

BLOCH-KATO PRO-P GROUPS AND LOCALLY POWERFUL GROUPS

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To Professor Helmut Koch, with admiration on his 80th birthday.

ABSTRACT. A Bloch-Kato pro- p group G is a pro- p group with the property that the \mathbb{F}_p -cohomology ring of every closed subgroup of G is quadratic. It is shown that either such a pro- p group G contains no closed free pro- p groups of infinite rank, or there exists an *orientation* $\theta: G \rightarrow \mathbb{Z}_p^\times$ such that G is θ -abelian. (See Thm B.) In case that G is also finitely generated, this implies that G is powerful, p -adic analytic with $d(G) = cd(G)$, and its \mathbb{F}_p -cohomology ring is an exterior algebra (see Cor. 4.8). These results will be obtained by studying locally powerful groups (see Thm A). There are certain Galois-theoretical implications, since Bloch-Kato pro- p groups arise naturally as maximal pro- p quotients and pro- p Sylow subgroups of absolute Galois groups (see Corollary 4.9). Finally, we study certain closure operations of the class of Bloch-Kato pro- p groups, connected with the Elementary type conjecture.

1. INTRODUCTION

Following [3] one calls a pro- p group G a *Bloch-Kato pro- p group* if the cohomology ring $H^\bullet(K, \mathbb{F}_p)$ is a quadratic \mathbb{F}_p -algebra for every closed subgroup K of G . From the positive solution of the Bloch-Kato conjecture recently obtained by M. Rost and V. Voevodsky (with C. Weibel's patch) one knows that for every field F containing a primitive p th root of unity the maximal pro- p quotient $G_F(p)$ of the absolute Galois group G_F of F is a Bloch-Kato pro- p group (see [24] and [25] for an overview of the proof, and [16], [26], [28], [29] for the foundation and completion of the proof).

The main goal of this paper is to establish a strong version of Tits alternative for Bloch-Kato pro- p groups. (See [23] or [6] for the original Tits alternative on linear groups.) For this purpose we study in section 3 *locally powerful* pro- p groups G , where we call a pro- p group G locally powerful if every finitely generated closed subgroup K of G is powerful. In order to state the classification of torsion-free, finitely generated, locally powerful pro- p groups effectively, we will introduce the notion of an *oriented pro- p group* (G, θ) , i.e., G is a pro- p group and $\theta: G \rightarrow \mathbb{Z}_p^\times$ is a (continuous) homomorphism of pro- p groups, where \mathbb{Z}_p denotes the ring of p -adic integers, and $\mathbb{Z}_p^\times \subset \mathbb{Z}_p$ denotes its group of units. For an oriented pro- p group (G, θ) one has a particular closed subgroup

$$Z_\theta(G) = \left\{ h \in \ker(\theta) \mid ghg^{-1} = h^{\theta(g)} \text{ for all } g \in G \right\}$$

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which will be called the θ -center of G . The oriented pro- p group (G, θ) will be called θ -abelian, if $Z_\theta(G) = \ker(\theta)$. Thus every θ -abelian pro- p group is metabelian. Obviously, if $\theta \equiv \mathbf{1}$ is constant equal to 1, $Z_\mathbf{1}(G)$ coincides with the center of G , and $(G, \mathbf{1})$ is $\mathbf{1}$ -abelian if, and only if, G is abelian. In §3.4 we will prove the following theorem.

Theorem A. *A torsion-free finitely generated pro- p group G is locally powerful if, and only if, there exists an orientation $\theta: G \rightarrow \mathbb{Z}_p^\times$ such that (G, θ) is θ -abelian.*

Using Theorem A we will deduce in section 4 the following Tits alternative-type result for Bloch-Kato pro- p groups (see Theorem 4.6).

Theorem B. *Let p be an odd prime, and let G be a Bloch-Kato pro- p group. Then either G is θ -abelian for some orientation $\theta: G \rightarrow \mathbb{Z}_p^\times$ or G contains a closed non-abelian free pro- p subgroup.*

For p odd, a result similar to Theorem B was already proved by R. Ware for maximal pro- p Galois groups [27, Theorem 1 and Corollary 1]. His article was also a motivation for us to look for a Tits alternative in the class of Bloch-Kato pro- p groups.

In the final section we will consider free and direct products as well as inverse limits of Bloch-Kato pro- p groups. It will turn out that the class of Bloch-Kato pro- p groups is closed under free pro- p products (see Theorem 5.2), and under certain inverse limits (see Proposition 5.1). However, for direct products one has the following.

Theorem C. *Let G_1 and G_2 be Bloch-Kato pro- p groups, and assume that $G_1 \times G_2$ is Bloch-Kato as well. Then the following restrictions hold:*

- (i) *None of G_1 and G_2 is a powerful non-abelian Bloch-Kato group;*
- (ii) *at least one of the two groups is abelian.*

Moreover, $\mathbb{Z}_p \times S$ is a Bloch-Kato pro- p group for any free pro- p group S .

The main reason for these last investigations is the connection with the Elementary Type conjecture for maximal pro- p Galois groups.

2. PRELIMINARIES

We work in the category of pro- p groups. Henceforth subgroups are to be considered closed and all generators are to be considered topological generators (in the sense of the pro- p topology). For basic facts on Galois cohomology we refer to [18] or [20]. We abbreviate $H^k(G)$ for $H^k(G, \mathbb{F}_p)$ with the trivial G -action on \mathbb{F}_p . Thus $H^\bullet(G) = \bigoplus_{k \geq 0} H^k(G)$ denotes the graded cohomology ring equipped with the cup product \cup .

The first Bockstein homomorphism $\beta: H^1(G) \rightarrow H^2(G)$ is the connecting homomorphism arising from the short exact sequence of trivial G -modules

$$0 \longrightarrow \mathbb{Z}/p.\mathbb{Z} \longrightarrow \mathbb{Z}/p^2.\mathbb{Z} \longrightarrow \mathbb{Z}/p.\mathbb{Z} \longrightarrow 0.$$

When $p = 2$ one has $\beta(\chi) = \chi \cup \chi$ [4, Lemma 2.4].

If G is finitely generated, we denote by $d(G)$ the minimal number of generators of G , namely $d(G) = \dim(G/\Phi(G))$ as \mathbb{F}_p -vector space, where $\Phi(G)$ is the Frattini subgroup of G . In particular, if $d = d(G)$, we say that G is d -generated. Moreover, the rank $\text{rk}(G)$ of G is $\sup\{d(K) \mid K \leq_c G\}$. If $G = S/R$ is a minimal presentation

for G , with S a free pro- p group such that $d(S) = d(G)$, then the relation $\text{rank } r(G)$ is the minimal number of generators of R as a closed normal subgroup of S . Moreover, it is well known that

$$d(G) = \dim_{\mathbb{F}_p}(H^1(G)) \quad \text{and} \quad r(G) = \dim_{\mathbb{F}_p}(H^2(G))$$

(see [18, Ch. III §9]).

Finally, $xy = xyx^{-1}$, and $[x, y] = xy \cdot y^{-1}$ is the commutator of x and y , for $x, y \in G$.

As mentioned in the introduction, the maximal pro- p Galois group $G_F(p)$ of a field F containing the p th roots of unity μ_p is a Bloch-Kato group. Indeed if $\text{char } F = p$ then $G_F(p)$ is a free pro- p group (see [20, II §2.2]), which is Bloch-Kato since a free pro- p group has cohomological dimension equal to 1.

Otherwise, for a profinite group G let $\mathcal{O}^p(G)$ be the subgroup

$$\mathcal{O}^p(G) = \langle K \in \text{Syl}_\ell(G) \mid \ell \neq p \rangle,$$

where $\text{Syl}_\ell(G)$ is the set of the Sylow pro- ℓ subgroups; namely $G/\mathcal{O}^p(G)$ is the maximal pro- p quotient of G [30, Proposition 2.1].

Let $F(p)$ be the maximal p -extension of a field F with $\text{char } F \neq p$. Then the absolute Galois group of $F(p)$ is $G_{F(p)} = \mathcal{O}^p(G_F)$. Since $F(p)$ satisfies the hypothesis of the Bloch-Kato conjecture (i.e., $\text{char}(F(p)) \neq p$ and $\mu_p \subseteq F(p)$), also the cohomology ring $H^\bullet(\mathcal{O}^p(G_F))$ is quadratic.

Moreover, as $\mathcal{O}^p(G_F)$ is p -perfect, $H^1(\mathcal{O}^p(G_F)) = 0$. Thus $H^\bullet(\mathcal{O}^p(G_F)) = 0$. This implies that in the Lyndon-Hochschild-Serre spectral sequence arising from $1 \rightarrow \mathcal{O}^p(G_F) \rightarrow G_F \rightarrow G_F(p) \rightarrow 1$ the terms

$$E_2^{r,s} = H^r(G_F(p), H^s(\mathcal{O}^p(G_F)))$$

vanish for $s > 0$, and the spectral sequence collapses at the E_2 -term. Hence the inflation map $H^n(G_F(p)) \rightarrow H^n(G_F)$ is an isomorphism for every $n \geq 0$ [18, Lemma 2.1.2]. Thus $H^\bullet(G_F(p))$ is quadratic as $H^\bullet(G_F)$ is quadratic by the Bloch-Kato conjecture.

Note that all the p -Sylow subgroups of an absolute Galois group – for any prime p – are Bloch-Kato pro- p groups (see [4, §9]).

Bloch-Kato pro- p groups have been defined and studied the first time in [3]. A fundamental feature of Bloch-Kato groups is the following: if p is odd then a Bloch-Kato pro- p group is *torsion-free* [3, Proposition 2.3], whereas the only non-trivial finite (pro-)2 Bloch-Kato groups are the elementary abelian 2-groups [3, Proposition 2.4].

If we keep in mind the Galois-theoretical background, this fact can be seen as an analogue of the celebrated Artin-Schreier theorem, which states that the only non-trivial finite subgroup of an absolute Galois group is C_2 .

3. LOCALLY POWERFUL AND ORIENTED PRO- p GROUPS

3.1. Powerful pro- p groups and Lie algebras. A pro- p group G is said to be *powerful* if

$$[G, G] \subseteq \begin{cases} G^p & \text{for } p \text{ odd,} \\ G^4 & \text{for } p = 2, \end{cases}$$

where $[G, G]$ is the closed subgroup of G generated by the commutators of G , and G^p is the closed subgroup of G generated by the p -powers of the elements of G .

Let $\lambda_i(G)$ be the elements of the lower p -descending central series of the pro- p group G , namely $\lambda_1(G) = G$ and $\lambda_{i+1}(G) = \lambda_i(G)^p[\lambda_i(G), G]$. In particular, $\lambda_2(G)$ is the Frattini subgroup $\Phi(G)$. Then, a pro- p group G is called *uniformly powerful*, or simply *uniform*, if G is finitely generated, powerful, and

$$|\lambda_i(G) : \lambda_{i+1}(G)| = |G : \Phi(G)| \quad \text{for all } i \geq 1.$$

Thus a finitely generated powerful group is uniform if, and only if, it is torsion-free (see [7, Theorem 4.5]).

Recall that a pro- p group G is called locally powerful if every finitely generated closed subgroup K of G is powerful. Moreover, for uniform pro- p groups, one has the following property:

Proposition 3.1 ([7, Proposition 4.32]). *Let G be a d -generated uniform pro- p group, and let $\{x_1, \dots, x_d\}$ be a generating set for G . Then G has a presentation $G = \langle x_1, \dots, x_d | R \rangle$ with relations*

$$(3.1) \quad R = \left\{ [x_i, x_j] = x_1^{\lambda_1(i,j)} \cdots x_d^{\lambda_d(i,j)}, 1 \leq i < j \leq d \right\},$$

and for all i, j one has $\lambda_n(i, j) \in p\mathbb{Z}_p$ if p is odd, and $\lambda_n(i, j) \in 4\mathbb{Z}_2$ if $p = 2$.

If G is a uniform pro- p group, then it is possible to associate a \mathbb{Z}_p -Lie algebra $L = \log(G)$ to it (see [7, §4.5] and [14]), i.e., L is the \mathbb{Z}_p -free module generated by the generators of G , equipped with the sum

$$(3.2) \quad x + y = \lim_{n \rightarrow \infty} x +_n y, \quad x +_n y = \left(x^{p^n} y^{p^n} \right)^{p^{-n}},$$

and the Lie brackets

$$(3.3) \quad (x, y) = \lim_{n \rightarrow \infty} (x, y)_n, \quad (x, y)_n = \left[x^{p^n}, y^{p^n} \right]^{p^{-2n}}.$$

In analogy to pro- p groups we say that a \mathbb{Z}_p -Lie algebra L is *powerful* if $L \cong \mathbb{Z}_p^d$ for some $d > 0$ as \mathbb{Z}_p -module, and the derived algebra (LL) is contained in pL (resp. in $4L$ if $p = 2$). It is well known that for a uniform group G the Lie algebra $\log(G)$ is powerful.

Remark 3.2. (i) If $G = \langle x_1, \dots, x_n \rangle$ is uniform, then it is possible to write every element $g \in G$ as $g = x_1^{\lambda_1} \cdots x_n^{\lambda_n}$, with $\lambda_i \in \mathbb{Z}_p$, in a unique way. Thus the map

$$G \longrightarrow \log(G), \quad x_1^{\lambda_1} \cdots x_n^{\lambda_n} \longmapsto \lambda_1 x_1 + \dots + \lambda_n x_n$$

is a homeomorphism (in the \mathbb{Z}_p -topology) [7, Theorem 4.9].

(ii) If G is locally powerful and torsion-free, then every closed subgroup K of G is again a uniform group. Thus one can construct the Lie algebra $\log(K)$, which is in fact a subalgebra of $\log(G)$. In particular, the \mathbb{Z}_p -submodule $\text{Span}_{\mathbb{Z}_p} \{x \in \Omega\}$ of $\log(G)$ is closed under Lie brackets for every subset $\Omega \subseteq G$.

3.2. Oriented pro- p groups. Let (G, θ) , $\theta: G \rightarrow \mathbb{Z}_p^\times$, be an oriented pro- p group. For every closed subgroup $K \leq_c G$, $(K, \theta|_K)$ is again an oriented pro- p . Notice that the image of θ is a pro- p subgroup of \mathbb{Z}_p^\times , thus $\text{im}(\theta) \subseteq 1 + p\mathbb{Z}_p$.

The following fact is straightforward.

Fact 3.3. *Let G be a pro- p group, and let $\theta, \theta': G \rightarrow \mathbb{Z}_p^\times$, $\theta \neq \theta'$, be two distinct continuous homomorphisms such that G is both θ - and θ' -abelian. Then G is a closed subgroup of \mathbb{Z}_p^\times .*

The following property will turn out to be useful for our purpose.

Proposition 3.4. *Let (G, θ) be an oriented d -generated pro- p group G . Suppose further that $\text{im}(\theta) \leq_c 1 + 4\mathbb{Z}_2$ if $p = 2$. Then G is θ -abelian if, and only if, there exists a presentation*

$$(3.4) \quad G = \langle x_1, \dots, x_d \mid [x_1, x_i] = x_i^\lambda, [x_i, x_j] = 1, 2 \leq i, j, \leq d \rangle,$$

where $\lambda = \theta(x_1) - 1$ (if $p = 2$ then $\lambda \in 4\mathbb{Z}_2$).

Proof. Let G be θ -abelian, and put $A = \text{im}(\theta) \leq_c 1 + p\mathbb{Z}_p$. By hypothesis, A is cyclic and torsion-free, i.e., either $A \cong \mathbb{Z}_p$ or $A = 1$. In the latter case $G = Z_\theta(G)$, namely, G is abelian. Otherwise one has the short exact sequence

$$1 \longrightarrow Z_\theta(G) \longrightarrow G \xrightarrow{\theta} A \longrightarrow 1,$$

which splits since \mathbb{Z}_p is a projective pro- p group. This implies that $G \cong A \rtimes Z_\theta(G)$, where the action of A on $Z_\theta(G)$ is induced by θ . Therefore $A \rtimes Z_\theta(G)$ has a presentation (3.4), where $d = d(Z_\theta(G)) + 1$.

Conversely, suppose G is a pro- p group with presentation (3.4). Then one may construct an orientation $\theta: G \rightarrow \mathbb{Z}^\times$ such that $\theta(x_1) = 1 + \lambda$ and $\theta(x_i) = 1$ for $i = 2, \dots, d$. Then $Z_\theta(G)$ is generated by x_2, \dots, x_d , and G is θ -abelian. \square

3.3. Oriented \mathbb{Z}_p -Lie algebras. In analogy, we call a \mathbb{Z}_p -Lie algebra L together with a continuous homomorphism of Lie algebras $\theta_L: L \rightarrow \mathbb{Z}_p$, $\text{im}(\theta_L) \subseteq p\mathbb{Z}_p$, an *oriented \mathbb{Z}_p -Lie algebra*. Thus also in this case one may define the θ_L -center of L to be the ideal

$$Z_{\theta_L}(L) = \{v \in \ker(\theta_L) \mid \text{ad } x(v) = \theta_L(x).v \text{ for all } x \in L\}.$$

Then $Z_{\theta_L}(L)$ is an abelian subalgebra of L . If $Z_{\theta_L}(L) = \ker(\theta_L)$, then we call L a θ_L -abelian \mathbb{Z}_p -Lie algebra.

The following fact is straightforward.

Fact 3.5. *A \mathbb{Z}_p -Lie algebra L of rank d , together with an orientation θ_L , is θ_L -abelian if, and only if, L has a basis $\{v_1, \dots, v_d\}$ such that $(v_1, v_i) = \lambda.v_i$ and $(v_i, v_j) = 0$ for all $1 < i, j \leq d$, where $\lambda = \theta_L(v_1)$ (if $p = 2$ then $\lambda \in 4\mathbb{Z}_2$).*

Combining Proposition 3.4 and Fact 3.5, one obtains the following proposition.

Proposition 3.6. *A finitely generated uniform pro- p group G with orientation θ is θ -abelian if, and only if, the associated Lie algebra $\log(G)$ has an orientation θ_L such that $\log(G)$ is θ_L -abelian. In particular, $\theta_L = \log(\theta)$ and $\theta = \exp(\theta_L)$.*

Proof. From the construction of the Lie algebra $\log(G)$ given by (3.2) and (3.3), and from the presentation (3.4), computations show that if G is a uniform θ -abelian pro- p group then $\log(G)$ has Lie brackets as in Fact 3.5.

The map \log from the category of uniform pro- p groups to the category of powerful Lie algebras over \mathbb{Z}_p is a functor of categories. Moreover, the group structure can be reconstructed from the Lie algebra structure by the well known Baker-Campbell-Hausdorff series. Thus one has the functor \exp from the category of

powerful \mathbb{Z}_p -Lie algebras to the category of uniform pro- p groups, which is the inverse of \log . Namely \log and \exp are mutually inverse isomorphisms between the two categories [7, Theorem 9.10].

In particular, one has the following commutative diagram:

$$\begin{array}{ccc} G & \xrightarrow{\theta} & \mathbb{Z}_p^\times \\ \exp \uparrow & & \exp \uparrow \\ L & \xrightarrow{\theta_L} & p.\mathbb{Z}_p \\ \log \downarrow & & \log \downarrow \end{array}$$

this yields the claim. \square

3.4. Proof of Theorem A.

Theorem A. *A finitely generated uniform pro- p group G is locally powerful if, and only if, G there exists an orientation $\theta: G \rightarrow \mathbb{Z}_p^\times$ such that (G, θ) is θ -abelian.*

Proof. If G is θ -abelian, then, by Proposition 3.4, G is locally powerful and torsion-free.

Conversely, let G be a torsion-free locally powerful pro- p group with $d(G) = d \geq 2$. Thus by Proposition 3.1, G has a presentation $G = \langle x_1, \dots, x_d | R \rangle$ with relations as in (3.1). Let $H_{ij} \leq_c G$ be the closed subgroup generated by the elements x_i, x_j . Since H_{ij} is uniform as well, we have that

$$H_{ij} = \left\langle x_i, x_j \mid [x_i, x_j] = x_i^{\lambda_i(i,j)} x_j^{\lambda_j(i,j)}, \lambda_i, \lambda_j \in p.\mathbb{Z}_p \right\rangle,$$

so that $R = \{[x_i, x_j] = x_i^{\lambda_i(i,j)} x_j^{\lambda_j(i,j)}, 1 \leq i < j \leq d\}$ is the set of relations.

Since an abelian pro- p group is $\mathbf{1}$ -abelian, where $\mathbf{1}$ is the trivial orientation, we may assume that G is not abelian, i.e., we may assume without loss of generality that x_1 and x_2 do not commute.

Step 1: First suppose that $d = 2$. It is well known that if G is nonabelian, then G has a presentation $\langle x, y | [x, y] y^{-p^k} \rangle$ for some uniquely determined positive integer k [7, Chapter 4, Exercise 13]. Hence the claim follows from Fact 3.4.

Step 2: Suppose $d = 3$. By the previously mentioned remark we may choose x_1, x_2 such that $[x_1, x_2] = x_2^\lambda$, with $\lambda \in p.\mathbb{Z}_p$ (resp. $\lambda \in 4.\mathbb{Z}_2$ if $p = 2$). Thus

$$G = \left\langle x_1, x_2, x_3 \mid [x_1, x_2] = x_2^\lambda, [x_1, x_3] = x_1^{\lambda_1} x_3^{\lambda_2}, [x_2, x_3] = x_2^{\mu_1} x_3^{\mu_2} \right\rangle,$$

with $\lambda_i, \mu_i \in p.\mathbb{Z}_p$ (resp. in $4.\mathbb{Z}_2$). Let H_{ij} be the subgroups as defined above, with $1 \leq i < j \leq 3$, and let $L = \log(G)$. Clearly, $(x_i, x_j)_n \in H_{ij}$ for all n . Hence $(x_i, x_j) \in \text{Span}_{\mathbb{Z}_p} \{x_i, x_j\}$. In particular, the Lie brackets in L are such that

$$(x_1, x_2) = \alpha.x_2, \quad (x_2, x_3) = \beta_2.x_2 + \beta_3.x_3, \quad (x_1, x_3) = \gamma_1.x_1 + \gamma_3.x_3,$$

with $\alpha, \beta_i, \gamma_i \in p.\mathbb{Z}_p$ (resp. in $4.\mathbb{Z}_2$).

By the Jacobi identity, one has

$$\begin{aligned} 0 &= ((x_1, x_2), x_3) + ((x_2, x_3), x_1) + ((x_3, x_1), x_2) \\ &= (\alpha.x_2, x_3) + (\beta_2.x_2 + \beta_3.x_3, x_1) - (\gamma_1.x_1 + \gamma_3.x_3, x_2) \\ &= -\beta_3\gamma_1.x_1 + (\alpha\beta_2 - \alpha\beta_2 - \alpha\gamma_1 + \beta_2\gamma_3).x_2 + (\alpha\beta_3 - \beta_3\gamma_3 + \beta_3\gamma_3).x_3, \end{aligned}$$

hence $\beta_3\gamma_1.x_1 = 0$, and thus $\beta_3 = 0$ or $\gamma_1 = 0$.

- (1) If $\beta_3 = 0$, then by definition $(x_2, x_3) \in \text{Span}_{\mathbb{Z}_p}\{x_2\}$, i.e., $\text{Span}_{\mathbb{Z}_p}\{x_2\}$ is an ideal of L . Therefore we may choose without loss of generality x_1 and x_3 such that $(x_1, x_3) \in \text{Span}_{\mathbb{Z}_p}\{x_3\}$, and $(x_i, x_2) \in \text{Span}_{\mathbb{Z}_p}\{x_2\}$ for $i = 1, 3$.
- (2) If $\gamma_1 = 0$, then by definition $(x_1, x_3) \in \text{Span}_{\mathbb{Z}_p}\{x_3\}$, i.e., $\text{Span}_{\mathbb{Z}_p}\{x_2, x_3\}$ is an ideal of L . Therefore we may choose without loss of generality x_2 and x_3 such that $(x_2, x_3) \in \text{Span}_{\mathbb{Z}_p}\{x_2\}$, and $(x_1, x_i) \in \text{Span}_{\mathbb{Z}_p}\{x_i\}$ for $i = 2, 3$.

Altogether the Lie brackets in L are

$$(x_1, x_2) = \alpha' .x_2, \quad (x_2, x_3) = \beta' .x_2, \quad (x_1, x_3) = \gamma' .x_3,$$

with $\alpha', \beta', \gamma' \in p\mathbb{Z}_p$ (resp. in $4\mathbb{Z}_2$). The matrix of $\text{ad}(\gamma'.x_3)$ with respect to the basis $\{x_1, x_2, x_3\}$ is given by

$$\text{ad}(\gamma'.x_3) = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \beta'\gamma' & 0 \\ -\gamma'^2 & 0 & 0 \end{pmatrix}.$$

In particular, its trace is $\text{tr}(\text{ad}(\gamma'.x_3)) = \beta'\gamma'$. Since $\text{ad}(\gamma'.x_3) = (\text{ad}(x_1), \text{ad}(x_3))$, one has $\text{tr}(\text{ad}(\gamma'.x_3)) = \beta'\gamma' = 0$. Therefore $\beta' = 0$ or $\gamma' = 0$.

- (1) If $\beta' = 0$, let $v_1 = x_1 + x_2$ and $v_2 = x_2 + x_3$. Then $(v_1, v_2) = \alpha' .x_2 + \gamma' .x_3$. By Remark 3.2, one has that $(v_1, v_2) \in \text{Span}_{\mathbb{Z}_p}\{v_1, v_2\}$. Thus (v_1, v_2) is necessarily a multiple of v_2 , i.e., $\alpha' = \gamma'$.
- (2) If $\gamma' = 0$ and $\beta' \neq 0$, let $v = x_1 + x_2$. Then $(v, x_3) = \beta' .x_2$. By Remark 3.2, one has that $(v, x_3) \in \text{Span}_{\mathbb{Z}_p}\{v, x_3\}$. In particular, no multiple of x_2 lies in $\text{Span}_{\mathbb{Z}_p}\{v, x_3\}$. Therefore, this case is impossible.
- (3) If $\beta' = \gamma' = 0$ then $\alpha' = 0$ by (1). So L , and hence G , is abelian. But this case was excluded.

This yields $\beta' = 0$ and $\alpha' = \gamma' \neq 0$, with $\alpha' \in p\mathbb{Z}_p$ (resp. in $4\mathbb{Z}_2$). Therefore, by Fact 3.4 (ii), L is θ_L -abelian, with $\theta_L(x_1) = \alpha'$, $\theta_L(x_i) = 0$ for $i = 2, 3$, and the claim follows from Proposition 3.6.

Step 3: Finally, suppose that G is locally powerful, torsion-free with $d(G) = n + 1 \geq 4$, and let G be generated by x_1, \dots, x_{n+1} . Since G is non-abelian we may assume without loss of generality that x_1 and x_2 do not commute.

Let $H \leq_c G$ be the subgroup generated by x_1, \dots, x_n . Thus by induction there is a unique (non-trivial) orientation $\theta: H \rightarrow \mathbb{Z}_p^\times$ such that H is θ -abelian. In particular, we may assume that $[x_1, x_i] = x_i^\lambda$ and $[x_i, x_j] = 1$ for all $2 \leq i, j \leq n$, where $\lambda = \theta(x_1) - 1 \in p\mathbb{Z}_p \setminus \{0\}$ (resp. in $4\mathbb{Z}_2 \setminus \{0\}$ for $p = 2$).

Furthermore, let $H_i \leq_c G$ be the subgroup generated by x_1, x_i, x_{n+1} , for $2 \leq i \leq n$. By induction, for each i there exists an orientation $\theta_i: H_i \rightarrow \mathbb{Z}_p^\times$ such that H_i is θ_i -abelian.

Since $\theta_i(x_1) = \theta(x_1) = 1 + \lambda$ and $\theta_i(x_i) = \theta(x_i) = 1$ for all i , then necessarily $\theta_i(x_{n+1}) = 1$ for all i ; i.e., $[x_1, x_{n+1}] = x_{n+1}^\lambda$ and $[x_i, x_{n+1}] = 1$ for all i . Hence we may extend θ to G such that $\theta(x_{n+1}) = 1$. Thus G is θ -abelian.

This establishes the theorem. \square

4. A TITS ALTERNATIVE FOR BLOCH-KATO PRO- p GROUPS

4.1. Dimension of cohomology groups. If $p = 2$ then the cohomology ring of a Bloch-Kato group G is a quotient of the symmetric algebra $S^\bullet(H^1(G))$. On the

other hand, if p is odd then the cohomology ring of G is a quotient of the exterior algebra $\bigwedge_{\bullet}(H^1(G))$. Thus in this latter case if G is finitely generated then

$$(4.1) \quad \dim_{\mathbb{F}_p}(H^r(G)) \leq \binom{d(G)}{r} \quad \text{for all } r \geq 0.$$

In fact it is possible to prove a stronger result.

Proposition 4.1. *Let p be odd, and let G be a finitely generated Bloch-Kato pro- p group. Then*

- (i) $cd(G) \leq d(G) \leq \dim_{\mathbb{F}_p}(\lambda_2(G)/\lambda_3(G))$;
- (ii) $r(G) \leq \binom{d(G)}{2}$.

Proof. The inequalities $cd(G) \leq d(G)$ and $r(G) \leq \binom{d(G)}{2}$ are immediate consequences of (4.1).

The inflation map induces an isomorphism $\rho = \text{inf}_{G/\Phi(G)}^1$ in degree 1, so that the commutativity of the diagram

$$\begin{array}{ccc} H^1(G/\Phi(G)) \otimes H^1(G/\Phi(G)) & \xrightarrow{\cup} & H^2(G/\Phi(G)) \\ \downarrow \wr \rho \otimes \rho & & \downarrow \text{inf}_{G/\Phi(G)}^2 \\ H^1(G) \otimes H^1(G) & \xrightarrow{\cup} & H^2(G) \end{array}$$

implies that $\text{inf}_{G/\Phi(G)}^2$ is surjective.

Consider the five terms exact sequence arising from the quotient $G/\Phi(G)$. Since ρ is an isomorphism, it reduces to

$$(4.2) \quad 0 \longrightarrow H^1(\Phi(G))^G \longrightarrow H^2(G/\Phi(G)) \xrightarrow{\text{inf}_{G/\Phi(G)}^2} H^2(G) \longrightarrow 0.$$

Moreover, the group $H^1(\Phi(G))^G$ is isomorphic to the quotient $(\lambda_2(G)/\lambda_3(G))^*$ as discrete group, where $-^*$ denotes the Pontryagin dual.

Since $G/\Phi(G)$ is a elementary abelian p -group, the second cohomology group is

$$\begin{aligned} H^2(G/\Phi(G)) &= \beta(H^1(G/\Phi(G))) \oplus (H^1(G/\Phi(G)) \cup H^1(G/\Phi(G))) \\ &\cong H^1(G/\Phi(G)) \oplus (H^1(G/\Phi(G)) \wedge H^1(G/\Phi(G))) \\ &\cong H^1(G) \oplus (H^1(G) \wedge H^1(G)). \end{aligned}$$

From the sequence (4.2) one obtains

$$(4.3) \quad 0 \longrightarrow (\lambda_2(G)/\lambda_3(G))^* \longrightarrow H^1(G) \oplus (H^1(G) \wedge H^1(G)) \longrightarrow H^2(G) \longrightarrow 0.$$

Therefore

$$\begin{aligned} d(G) + \binom{d(G)}{2} &= \dim_{\mathbb{F}_p}(H^1(G) \oplus (H^1(G) \wedge H^1(G))) \\ &= \dim_{\mathbb{F}_p}\left(\frac{\lambda_2(G)}{\lambda_3(G)}\right) + \dim_{\mathbb{F}_p}(H^2(G)) \quad \text{by (4.3)} \\ &= \dim_{\mathbb{F}_p}\left(\frac{\lambda_2(G)}{\lambda_3(G)}\right) + r(G) \\ &\leq \dim_{\mathbb{F}_p}\left(\frac{\lambda_2(G)}{\lambda_3(G)}\right) + \binom{d(G)}{2}, \end{aligned}$$

namely $d(G) \leq \dim_{\mathbb{F}_p}(\lambda_2(G)/\lambda_3(G))$. □

Remark 4.2. There is no analogue of Proposition 4.1 in case that $p = 2$. For a Bloch-Kato pro-2 group G the exact sequence (4.2) specifies to

$$0 \longrightarrow (\lambda_2(G)/\lambda_3(G))^* \longrightarrow H^2((\mathbb{Z}/2\mathbb{Z})^d) \longrightarrow H^2(G) \longrightarrow 0,$$

and $\dim(H^2((\mathbb{Z}/2\mathbb{Z})^d)) = \binom{d+1}{2}$, while $\dim(H^2(G)) \leq \binom{d+1}{2}$.

Proposition 4.3. *Let p be odd, and let G be a Bloch-Kato pro- p group such that $cd(G) = d(G)$. Then the cohomology ring $H^\bullet(G)$ is isomorphic to the \mathbb{F}_p -exterior algebra $\bigwedge_\bullet(H^1(G))$.*

Proof. Let $H^1(G)$ be freely generated by χ_1, \dots, χ_d as \mathbb{F}_p vector space, and suppose for contradiction that $H^\bullet(G)$ is a non-trivial quotient of $\bigwedge_\bullet(H^1(G))$. Since $H^\bullet(G)$ is quadratic, there is a non-trivial relation in $H^1(G) \wedge H^1(G)$. Thus we may assume without loss of generality that

$$\chi_1 \cup \chi_2 = \sum_{(i,j) \neq (1,2)} a_{ij} \cdot \chi_i \cup \chi_j,$$

with $i < j$ and $a_{ij} \in \mathbb{F}_p$. This implies that

$$\chi_1 \cup \chi_2 \cup \dots \cup \chi_d = \sum_{(i,j) \neq (1,2)} a_{ij} \cdot \chi_i \cup \chi_j \cup \chi_3 \cup \dots \cup \chi_d = 0,$$

namely $H^d(G) = \text{Span}_{\mathbb{F}_p}\{\chi_1 \cup \dots \cup \chi_d\} = 0$, a contradiction. This yields the claim. \square

4.2. Powerful groups and the cup product. The following theorem is due to P. Symonds and Th. Weigel:

Theorem 4.4 ([21], Theorem 5.1.6). *Let G be a finitely generated pro- p group. Then the map*

$$\Lambda_2(\cup): H^1(G) \wedge H^1(G) \longrightarrow H^2(G)$$

induced by the cup product is injective if, and only if, G is powerful.

Let G be a pro- p group, and let H be a closed subgroup of G . Then we call H *properly embedded* in G , if the canonical map $H/\Phi(H) \rightarrow G/\Phi(G)$ is injective. The following fact is a direct consequence of Pontryagin duality.

Fact 4.5. *Let G be a pro- p group, and let H be a closed subgroup of G . Then the following are equivalent.*

- (i) H is properly embedded in G .
- (ii) $\text{res}_{G,H}^1: H^1(G, \mathbb{F}_p) \rightarrow H^1(H, \mathbb{F}_p)$ is surjective.

Theorem 4.6. *Let p be an odd prime, and let G be a Bloch-Kato pro- p group. Then the following are equivalent:*

- (i) G does not contain non-abelian closed free pro- p subgroups.
- (ii) G is locally powerful.
- (iii) there exists an orientation $\theta: G \rightarrow \mathbb{Z}_p^\times$ such that (G, θ) is θ -abelian. In particular, G is metabelian.

Moreover, if G is finitely generated, then (i), (ii), and (iii) are equivalent to:

- (iv) G is p -adic analytic.

Proof. Suppose that (i) holds and that G is not locally powerful. Then there exists a finitely generated subgroup $K \leq_c G$ which is not powerful. In particular, the map

$$\Lambda_2(\cup): H^1(K) \wedge H^1(K) \longrightarrow H^2(K)$$

is not injective. Let χ_1, \dots, χ_r be an \mathbb{F}_p -basis of the \mathbb{F}_p -vector space $H^1(K)$. Thus there exists a non-trivial element

$$\eta = \sum_{1 \leq i < j \leq r} a_{ij} \cdot \chi_i \wedge \chi_j \in \ker(\Lambda_2(\cup)).$$

As $\eta \neq 0$, there exist $m, n \in \{1, \dots, r\}$, $m < n$, such that $a_{mn} \neq 0$. Let $x_1, \dots, x_r \in K$ be a minimal generating system of K satisfying $\chi_i(x_j) = \delta_{ij}$ for all $i, j \in \{1, \dots, r\}$, and let $S = \langle x_m, x_n \rangle$. Then S is properly embedded in K , $\rho = \text{res}_{K,S}^1: H^1(K) \rightarrow H^1(S)$ is surjective, and, by construction, $\ker(\rho) = \text{Span}_{\mathbb{F}_p} \{ \chi_i \mid 1 \leq i \leq r, i \neq n, m \}$. From the surjectivity of $\rho \wedge \rho$ and the commutativity of the diagram

$$\begin{array}{ccc} H^1(K) \wedge H^1(K) & \xrightarrow{\Lambda_2(\cup)} & H^2(K) \\ \rho \wedge \rho \downarrow & & \downarrow \text{res}_{K,S}^2 \\ H^1(S) \wedge H^1(S) & \xrightarrow{\Lambda_2(\cup)} & H^2(S) \end{array}$$

one concludes that the map $\Lambda_2(\cup): H^1(S) \wedge H^1(S) \rightarrow H^2(S)$ is the 0-map. Thus – as S is Bloch-Kato – $H^2(S) = 0$, i.e., S is a 2-generated free pro- p group (see [18, Proposition 3.5.17]), a contradiction. This shows that (i) implies (ii).

The implication (ii) \Rightarrow (i) follows from the fact that a free pro- p group which is powerful must be cyclic. Moreover, the equivalence (ii) \Leftrightarrow (iii) follows from Theorem A. If G is finitely generated, the implication (ii) \Rightarrow (iv) is well known (see [7, Theorem 8.18]), whereas the implication (iv) \Rightarrow (i) follows from [7, Theorem 8.32]. This yields the claim. \square

Remark 4.7. Notice that in the proof we do not require the group G to be Bloch-Kato; in fact it is enough to assume that the cohomology of every closed subgroup of G is decomposable, i.e., it is generated in degree one (thus G is *almost* Bloch-Kato, in the language of [3]).

Corollary 4.8. *Let p be an odd prime, and let G be a Bloch-Kato pro- p group. Then the following are equivalent*

- (i) G is powerful.
- (ii) G contains no free pro- p groups of infinite rank.
- (iii) There exists an orientation $\theta: G \rightarrow \mathbb{Z}_p^\times$ such that G is θ -abelian.

Furthermore, if G is finitely generated, these properties are equivalent to

- (iv) G is p -adic analytic.
- (v) $cd(G) = d(G)$.
- (vi) $H^\bullet(G) \cong \bigwedge_{\bullet} ((G/\Phi(G))^*)$.

As we stressed in §2, Bloch-Kato groups arise naturally as maximal pro- p Galois groups and p -Sylow subgroups of absolute Galois groups. Thus the above results provide strong restrictions to such groups. In particular, one obtains the following result:

Corollary 4.9. *Let F be a field, such that $G_F(p)$ is a metabelian pro- p group (i.e., the commutator subgroup of $G_F(p)$ is abelian). If $F \supseteq \mu_p$ then $G_F(p)$ has generators $\{\sigma, \rho_i\}_{i \in \mathcal{I}}$ with relations $[\rho_i, \rho_j] = 1$ and $\rho_i^\sigma = \rho_i^{q+1}$, where $q = 0$ if $\mu_{p^k} \subseteq F$ for all $k \geq 1$, or $q = p^n$, where n is the largest integer such that $F \supseteq \mu_{p^n}$.*

This corollary provides the answer to a question raised by R. Ware in his paper [27, page 727]. Indeed he managed to prove that $G_F(p)$ has such a presentation if F contains also a p^2 th root of unity (and not only a p th root), though it seemed reasonable that such an assumption is not necessary – as, in fact, it is not.

In this case, the suitable orientation θ of $G_F(p)$ is the cyclotomic character, i.e., the map

$$\theta: G_F(p) \longrightarrow \text{End}_F(\mu_{p^\infty}) \cong \mathbb{Z}_p^\times,$$

where $\mu_{p^\infty} \leq \bar{F}^{sep}$ denotes the group of roots of unity of p -power order.

In particular, the θ -center is $Z_\theta(G_F(p)) = G_L(p)$, where $L = F(\mu_{p^\infty})$.

Example 4.10. Let $q = p^n$ be a (non-trivial) p -power, and let F be the field $F = k((\mathfrak{X}))$, where $k = \mathbb{F}_\ell(\mu_q)$, with $\ell \equiv 1 \pmod{p}$, and $\mathfrak{X} = \{X_1, \dots, X_n\}$. Then $G_F(p)$ has generators $\{\sigma, \rho_i\}_{i=1}^n$ with relations $[\rho_i, \rho_j] = 1$ and $\rho_i^\sigma = \rho_i^{q+1}$. Furthermore, if $\mu_q \subseteq k$ for every p -power q , then $G_F(p)$ is abelian, i.e., $G_F(p) \cong \mathbb{Z}_p^n$.

The case $p = 2$ is more subtle, since the pro-2 version for Theorem 4.4 is more involuted. Thus it turns out that it is impossible to state Theorem B also for Bloch-Kato pro-2. For example the pro-2 dihedral group

$$C_2 \rtimes \mathbb{Z}_2(2) = \langle \sigma, \rho \mid \sigma^2 = 1, \sigma \rho = \rho^{-1} \rangle$$

is θ -abelian, with $\theta(\sigma) = -1$, $\theta(\rho) = 1$, and it contains no non-abelian closed free pro-2 subgroups, yet it is not powerful.

Nevertheless, it is possible to get a similar result when we add more restrictions to G , and using [31, Theorem C].

Theorem 4.11. *Let G be a Bloch-Kato pro-2 group such that G is torsion-free, and assume that the first Bockstein homomorphism $\beta: H^1(G) \rightarrow H^2(G)$ is trivial. Then the following are equivalent:*

- (i) *Every non-trivial closed free subgroup of G is cyclic.*
- (ii) *G is locally powerful.*
- (iii) *there exists an orientation $\theta: G \rightarrow \mathbb{Z}_2^\times$ such that (G, θ) is θ -abelian.*

5. THE CLASS OF BLOCH-KATO PRO- p GROUPS

Some time ago I. Efrat has formulated a conjecture – the so called “elementary type conjecture” – for maximal pro- p Galois groups, which states that the group structure of maximal pro- p Galois groups of some fields is very restricted, namely such groups are free pro- p products and semidirect products of certain pro- p groups (see [9], [12]).

It seems very difficult to decide whether such an “elementary type” conjecture should hold already for the class of finitely generated Bloch-Kato pro- p groups. All known examples of Bloch-Kato pro- p groups have this property, but apart from this fact there is little evidence.

For this reason we investigate certain closure operations for the class of Bloch-Kato pro- p groups.

5.1. Projective limits and free products of Bloch-Kato groups.

Proposition 5.1. *Let $\{G_i, \pi_{ij}\}_{i \in I}$ be projective system of Bloch-Kato pro- p groups with π_{ij} surjective for all $i \leq j$, such that the maps*

$$\inf_{i,j}^\bullet : H^\bullet(G_j) \rightarrow H^\bullet(G_i)$$

induced by $\pi_{ij} : G_j \rightarrow G_i$ are injective for any $i \leq j$. Then for $\hat{G} = \varprojlim_i G_i$, the cohomology ring $H^\bullet(\hat{G})$ is quadratic.

Proof. It is well known that

$$\varinjlim_{i \in I} H^n(G_i) \cong H^n(\hat{G})$$

for every $n \geq 0$ [18, Proposition 1.5.1].

Moreover, the class of quadratic \mathbb{F}_p -algebras is closed under certain direct limits: namely if A_\bullet^i is a quadratic \mathbb{F}_p -algebra for all $i \geq 0$ with $A_\bullet = \varinjlim_i A_\bullet^i$ and such that the maps $A_n^i \rightarrow A_n^j$ are injective for all $i \leq j$, then A_\bullet is quadratic. This implies that $H^\bullet(\hat{G})$ is quadratic. \square

In order to state and prove the following theorem, we need O. Mel'nikov's version of the Kurosh subgroup theorem for free pro- p products (see [17]).

Let T be a profinite space, and let $\{G_t\}_{t \in T}$ be a family of pro- p groups. Then such a family defines a *sheaf* \mathcal{G} of pro- p groups, i.e., a profinite space \mathcal{G} together with a continuous surjection $\gamma : \mathcal{G} \rightarrow T$ such that for all $t \in T$, $\gamma^{-1}(t) = G_t$, and the group operation of G_t depends continuously on t . The free pro- p product of the family $\{G_t\}$ is the pro- p group $G = \coprod_t G_t$ together with a morphism $\iota : \mathcal{G} \rightarrow G$ such that for any pro- p group H and for any continuous map $\varphi : \mathcal{G} \rightarrow H$ whose restrictions $\varphi|_{G_t} : G_t \rightarrow H$ are all homomorphisms of pro- p groups, there exists a unique homomorphism $\tilde{\varphi} : G \rightarrow H$ such that $\tilde{\varphi} \circ \iota = \varphi$.

Theorem 5.2. *Let $G = \coprod_t G_t$ be the free product in the category of pro- p groups of a family of Bloch-Kato pro- p groups $\{G_t\}_{t \in T}$, where T is a profinite space. Then G is a Bloch-Kato pro- p group. In particular, the free pro- p product of two Bloch-Kato pro- p groups G_1 and G_2 is a Bloch-Kato pro- p group.*

Proof. Let K be a closed subgroup of G . Then by [17, Theorem 4.3] it is possible to decompose K in the following way:

$$K = \left(\coprod_{t \in T} \left(\coprod_{K \backslash G / G_t} (K \cap G_t^r) \right) \right) \sqcup S,$$

where S is a free pro- p group and the r vary over a set $\mathcal{R}_t \subset G$ of representatives of the coset space $K \backslash G / G_t$ – which is profinite.

In particular, K is the free pro- p product (over a profinite set) of closed subgroups of the groups G_t . Let $K_t = \coprod_{r \in \mathcal{R}_t} (K \cap G_t^r)$, so that $K = S \sqcup (\coprod_t K_t)$. As a consequence of [17, Theorems 4.1 and 4.2], one has that the homology corestriction maps

$$\begin{aligned} \text{cor}_n^{K_t} : \bigoplus_{K \backslash G / G_t} H_n(K \cap G_t^r, \mathbb{F}_p) &\longrightarrow H_n(K_t, \mathbb{F}_p), \quad \text{and} \\ \text{cor}_n^K : \bigoplus_{t \in T} H_n(K_t, \mathbb{F}_p) &\longrightarrow H_n(K, \mathbb{F}_p) \end{aligned}$$

are isomorphisms for $n \geq 1$. By Pontryagin duality, i.e.,

$$H_\bullet(G, \mathbb{F}_p)^* \cong H^\bullet(G, \mathbb{F}_p^*),$$

also the cohomology restriction maps

$$(5.1) \quad \text{res}_{K_t}^n: H^n(K_t) \longrightarrow \bigoplus_{K \setminus G/G_t} H^n(K \cap G_t^r), \quad \text{and}$$

$$(5.2) \quad \text{res}_K^n: H^n(K) \longrightarrow \bigoplus_{t \in T} H^n(K_t)$$

are isomorphisms for $n \geq 1$. Since the groups G_t are Bloch-Kato pro- p groups, the cohomology rings $H^\bullet(K \cap G_t^r)$ are quadratic. Thus, by (5.1) and (5.2) also the cohomology rings $H^\bullet(K_t)$ and $H^\bullet(K)$ are quadratic. Therefore G is Bloch-Kato. \square

5.2. Direct products of Bloch-Kato groups. It seems natural to consider direct products of Bloch-Kato pro- p groups. The next result is a consequence of Theorem A:

Proposition 5.3. *The direct product of a powerful non-abelian Bloch-Kato group G with any pro- p group is not Bloch-Kato.*

Proof. Let $\tilde{G} = G \times \mathbb{Z}_p$, with G θ -abelian, but not abelian, and suppose \tilde{G} is Bloch-Kato. Since \tilde{G} contains no free pro- p groups of rank greater than 1, \tilde{G} must be $\tilde{\theta}$ -abelian, for some orientation $\tilde{\theta}: \tilde{G} \rightarrow \mathbb{Z}_p^\times$ such that $\tilde{\theta}|_G = \theta$. But the action of G on \mathbb{Z}_p is trivial, and G is non-abelian (i.e., θ is not trivial), thus $\mathbb{Z}_p \not\leq Z_\theta(G)$, and \tilde{G} cannot be $\tilde{\theta}$ -abelian, a contradiction. Therefore \tilde{G} is not Bloch-Kato, and this implies that the direct product of a powerful non-abelian Bloch-Kato group with any non-trivial pro- p group is not Bloch-Kato. \square

However, one has the following.

Theorem 5.4. *Let S be a free pro- p group, and let $\tilde{G} = \mathbb{Z}_p \times S$. Then \tilde{G} is a Bloch-Kato pro- p group.*

Proof. First of all we show that the cohomology ring $H^\bullet(\tilde{G})$ is a quadratic \mathbb{F}_p -algebra. By [18, Theorem 2.4.6], one has that

$$H^n(\tilde{G}) = H^n(S) \oplus H^{n-1}(S), \quad \text{for } n \geq 1.$$

In particular, let $\{x_i\}_{i \in I}$ be a set of free generators of S , and let y be a generator of \mathbb{Z}_p . Moreover, let $x_i^* \in H^1(S)$ be the Pontryagin dual of x_i , for all $i \in I$, and let $y^* \in H^1(\mathbb{Z}_p)$ be the dual of y . Then

$$H^\bullet(\tilde{G}) = \mathbb{F}_p \oplus \text{Span}_{\mathbb{F}_p} \{x_i^*, y^*\}_{i \in I} \oplus \text{Span}_{\mathbb{F}_p} \{x_i^* \cup y^*\}_{i \in I},$$

namely, $H^\bullet(\tilde{G})$ is a quadratic \mathbb{F}_p -algebra.

Let K be a closed subgroup of \tilde{G} , and put $N = K \cap S$. Then N is a free pro- p group, and $N \triangleleft_c K$, moreover one has the following commutative diagram:

$$\begin{array}{ccccccc} 1 & \longrightarrow & S & \longrightarrow & \tilde{G} & \longrightarrow & \mathbb{Z}_p \longrightarrow 1 \\ & & \uparrow & & \uparrow & & \uparrow \\ 1 & \longrightarrow & N & \longrightarrow & K & \longrightarrow & A \longrightarrow 1 \end{array}$$

where either A is isomorphic to \mathbb{Z}_p or it is trivial. In the latter case $K \cong N$, and K is a Bloch-Kato group. Otherwise, the lower line of the diagram becomes

$$(5.3) \quad 1 \longrightarrow N \longrightarrow K \longrightarrow \mathbb{Z}_p \longrightarrow 1.$$

Since \mathbb{Z}_p is a projective pro- p group, (5.3) splits, and we have the isomorphism $K \cong \mathbb{Z}_p \times N$. In particular, $K \cong \mathbb{Z}_p \times N$, since $A \leq Z(\tilde{G})$. Therefore, the above argument implies that $H^\bullet(K)$ is a quadratic \mathbb{F}_p -algebra, and this proves the theorem. \square

On the other hand, direct products of non-abelian free pro- p groups are not Bloch-Kato. For the proof of the next theorem we make use of the following fact, the easy proof of which we leave to the reader.

Fact 5.5. *Let G be a finite p -group, and let M be a finitely generated left $\mathbb{F}_p[G]$ -module. Then*

$$(5.4) \quad \dim_{\mathbb{F}_p}(M^G) \cdot |C| \geq \dim_{\mathbb{F}_p}(M)$$

Theorem 5.6. *Let F_2 be a 2-generated free pro- p group. Then $F_2 \times F_2$ is not Bloch-Kato.*

Proof. Let F_2 be generated by x and r , and consider the presentation

$$1 \longrightarrow R \longrightarrow F_2 \xrightarrow{\varphi} \mathbb{Z}_p \longrightarrow 1,$$

where R is generated as closed normal subgroup by r . We call $\Gamma = F_2 \times_{\varphi} F_2$ the pullback object of the diagram

$$\begin{array}{ccc} \Gamma & \longrightarrow & F_2 \\ \downarrow & & \downarrow \varphi \\ F_2 & \xrightarrow{\varphi} & \mathbb{Z}_p \end{array}$$

in the category of pro- p groups. Namely,

$$\Gamma = F_2 \times_{\varphi} F_2 = \{(\xi, \xi') \in F_2 \times F_2 \mid \varphi(\xi) = \varphi(\xi')\} \leq_c F_2 \times F_2.$$

In particular, Γ is generated by the pairs (x, x) , (r, r) and $(r, 1)$. In fact, every element $(y, y') \in \Gamma$ can be written as

$$(5.5) \quad (y, y') = (\xi, \xi)(\rho, 1) = (\rho', 1)(\xi, \xi),$$

with $\xi \in F_2$ and $\rho, \rho' \in R$.

Let $R^{\text{ab}} = R/[R, R]$ be the abelianization of R , and let $H = R^{\text{ab}} \rtimes \mathbb{Z}_p$, where the action of \mathbb{Z}_p on R^{ab} is induced by the conjugation of F_2 . Moreover, let $D \triangleleft_c \Gamma$ be generated as closed normal subgroup of Γ by (r, r) .

By (5.5), the semidirect product $R \rtimes F_2$ maps onto Γ via the map φ' , where

$$\varphi'(\rho, \xi) = (\rho, 1)(\xi, \xi), \quad \text{with } \rho \in R, \xi \in F_2,$$

which is easily seen to be a homomorphism. Furthermore, φ' is injective, so $R \rtimes F_2$ is isomorphic to Γ .

Suppose that $\varphi'(\rho, \xi) = (\rho, 1)(\xi, \xi) \in D$. Thus $\xi \in R$, which implies that $(\xi, \xi), (\rho, 1) \in D$. By (5.5), one has that every element of D is generated by elements $(\xi \rho, \rho)$, with $\rho, \xi \in R$. Furthermore,

$$\xi_1 \rho_1 \cdot \xi_2 \rho_2 = \xi_1 (\rho_1 \rho_2) y, \quad \text{with } \rho_i, \xi_i \in R, y \in [R, R].$$

Thus, a limit argument shows that $(\rho, 1) \in D$ if, and only if, $\rho \in [R, R]$. This implies that

$$\frac{\Gamma}{D} \cong H = \frac{R \rtimes F_2}{[R, R] \rtimes R}.$$

We want to show that Γ is not finitely presented. Assume for contradiction that it is. Then also H is finitely presented, since D is finitely generated as normal subgroup of Γ .

Claim 1. *The group H is not finitely presented, i.e., $r(H) = \infty$.*

The Hochschild-Lyndon-Serre spectral sequence $H^r(\mathbb{Z}_p, H^s(R^{\text{ab}})) \Rightarrow H^{r+s}(H)$ collapses at the E_2 -term, i.e., $E_\infty = E_2$, since $cd(\mathbb{Z}_p) = 1$. Thus one has the following exact sequence:

$$(5.6) \quad 0 \longrightarrow H^1(\mathbb{Z}_p, H^1(R^{\text{ab}})) \longrightarrow H^2(H) \longrightarrow H^2(R^{\text{ab}})^{\mathbb{Z}_p} \longrightarrow 0.$$

Since R is one-generated as normal subgroup, the group R^{ab} is isomorphic to the completed group algebra $\mathbb{Z}_p[[\mathbb{Z}_p]]$. Thus the first cohomology group $H^1(R^{\text{ab}})$ is isomorphic to $(\mathbb{F}_p[[\mathbb{Z}_p]])^*$, and the second cohomology group $H^2(R^{\text{ab}})$ is isomorphic to the second exterior algebra $\Lambda_2(\mathbb{F}_p[[\mathbb{Z}_p]])^*$. Since $\mathbb{F}_p[[\mathbb{Z}_p]] \cong \varprojlim_k \mathbb{F}_p[[C_{p^k}]]$, where C_{p^k} is the cyclic group of order p^k , one has

$$H^2(R^{\text{ab}})^{\mathbb{Z}_p} \cong \varprojlim_{k, U_k} \Lambda_2(\mathbb{F}_p[[C_{p^k}]]^*)^{\mathbb{Z}_p/U_k},$$

where $U_k \triangleleft_o \mathbb{Z}_p$ is such that $\mathbb{Z}_p/U_k \cong C_{p^k}$.

Therefore, Fact 5.5 implies that for all $k \geq 1$ one has

$$\dim_{\mathbb{F}_p} \left(\Lambda_2(\mathbb{F}_p[[C_{p^k}]]^*)^{\mathbb{Z}_p/U_k} \right) \geq \frac{1}{|\mathbb{Z}_p/U_k|} \dim_{\mathbb{F}_p} \Lambda_2(\mathbb{F}_p[[C_{p^k}]]^*) = \frac{1}{p^k} \frac{p^k(p^k - 1)}{2}.$$

Thus $\dim(H^2(R^{\text{ab}})^{\mathbb{Z}_p}) \geq (p^k - 1)/2$ for all $k \geq 1$, i.e., $H^2(R^{\text{ab}})^{\mathbb{Z}_p}$ has infinite dimension, and so by (5.6) $\dim(H^2(H)) = r(H) = \infty$. This establishes the claim.

Therefore the claim implies that Γ is not finitely presented. In particular, $\dim(H^2(\Gamma)) = r(\Gamma) = \infty$, whereas $\dim(H^1(\Gamma)) = d(\Gamma) = 3$. Hence $H^\bullet(\Gamma)$ is not quadratic, and $F_2 \times F_2$ is not Bloch-Kato. \square

Remark 5.7. In particular, Theorem 5.6 shows that $F_2 \times F_2$ is not a *coherent* pro- p group, i.e., it contains a finitely generated group which is not finitely presented. By Proposition 4.1, any finitely generated Bloch-Kato pro- p group is a coherent group.

Now Theorem C is the combination of Proposition 5.3, Theorem 5.4 and Theorem 5.6.

Theorem C. *Let G_1 and G_2 be Bloch-Kato pro- p groups, and assume that $G_1 \times G_2$ is Bloch-Kato as well. Then the following restrictions hold:*

- (i) *None of G_1 and G_2 is a powerful non-abelian Bloch-Kato group;*
- (ii) *at least one of the two groups is abelian.*

In particular, $\mathbb{Z}_p \times S$ is a Bloch-Kato pro- p group for any free pro- p group S .

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