# **1** Contemporary recent extension and compression in the Central Andes

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9 Abstract

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10 Although extension in the high Andes vs. compression in the lowlands has already been widely 11 discussed in the literature, for the first time we recognized both extensional and contractional 12 structures that developed contemporaneously during late Pliocene-Quaternary times in a wide area 13 of the central Andean chain (about 90,000 km<sup>2</sup>), where crustal earthquake data are missing. This 14 area comprises north-eastern Chile, south-western Bolivia and north-western Argentina, and 15 extends from the Puna Plateau to the Altiplano-volcanic belt. Late Pliocene-Quaternary folds, with 16 hinge lines trending NNE-SSW to N-S, are mostly located along the westernmost part of the 17 volcanic belt and the eastern part of the Western Cordillera. Locally, there are coeval reverse faults, 18 parallel to the folds, which reach up to the surface; particularly, the Miscanti Ridge, Tolocha Fault 19 and La Casualidad Ridge may be the morphostructural expression of tens-km-long fault-20 propagation folds, which locally show topographic scarps hundreds of meters high. North and east 21 of the contractional structures, we found evidence of late Pliocene-Quaternary normal faults striking 22 N-S in the southern part of the study area, and NW-SE in the northern part. Well-developed grabens 23 are present in the higher areas of the volcanic belt and in the transition zone with the Puna Plateau. 24 The surface rupture zones of normal fault swarms range 8 to 24 km in length, with single fault 25 strands up to 18 km long, which are typical of tectonic structures. The distribution in space and time 26 of the studied contractional and extensional structures indicates that they originated in the same 27 time period; we thus address the challenging question regarding the possible origin of the stress 28 sources, by analysing possible causes such as volcanotectonics, high topography, orogeny collapse, 29 and gravitational spreading of the orogen, in relation also with the role played by inherited 30 structures. We finally analyse the relations between the different structures and magma upwelling, 31 and the potential for seismic hazard.

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33 Key words: Andes, stress field, Plio-Quaternary, extension, contraction, orogeny collapse

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#### 35 1. Introduction

36 In areas of plate convergence, Andean-type tectonics have been regarded as a typical setting 37 where subduction and related processes generate the thickening of the lithospheric wedge above the 38 Wadati-Benioff zone in a compressive regime (e.g. Kley et al., 1999; Cobbold and Rossello, 2003). 39 This can be expressed by contractional tectonics with widespread folding and reverse faulting, 40 whereby the stress field is given by horizontal greatest ( $\sigma_1$ ) and intermediate ( $\sigma_2$ ) principal stress. 41 On the other hand, Nakamura and Uyeda (1980) suggested that the overall tectonics within a 42 volcanic arc above a subduction zone can be transcurrent, with both the  $\sigma_1$  and least principal stress 43  $(\sigma_3)$  in a horizontal orientation. Several authors recognized also the presence of normal faults, 44 especially with outstanding examples found in Peru (Sébrier et al., 1988) and in other settings like 45 the Himalayan region (Burchfiel and Royden, 1985). This prompted a long-lasting debate on the 46 role played by high topography and crustal root in interacting with regional plate tectonic forces 47 (Molnar and Lyon-Caen, 1988; Deverchère et al., 1989; England and Houseman, 1989; Wdowinski 48 and Bock, 1994; Zoback, 1992; Gölke and Coblentz, 1996; Steinberger et al., 2001; Clark et al., 49 2005).

50 In South-America, most works about these topics involved mainly numerical modelling that 51 used the World Stress Map as major source of information on the current stress field (e.g. Coblentz 52 and Richardson, 1996; Meijer et al., 1997; Heidbach et al., 2008; Flesch and Kreemer, 2010; Ruch 53 and Walter, 2010). However, focal mechanism solutions of crustal earthquakes in a wide area 54 corresponding to the central part of the Andean Chain are missing, causing a formidable gap in the 55 dataset used to conduct numerical modelling. There are only very few in-situ stress measurements 56 that were done only at the topographic level (Schafer and Dannapfel, 1994) and thus do not 57 represent reliable values of the crustal interior. We underscore that this area is particularly 58 important for any attempts at understanding the relations between orogenic processes and their 59 causes, because it represents a more complex setting than other regions of the Andes. The central 60 Andes, in fact, are composed by the Altiplano/Puna Plateau, as well as a wide volcanic chain (missing in Peru, southern Ecuador and Venezuela, and much thinner and regular in southern-61 central Chile), the Cordilleras and the Subandean ranges, both marked by a complex topography 62 63 and a very diverse distribution of geological units.

64 Besides the lack of reliable data on active crustal stresses in this region, also the studies on the 65 geological structural evolution during Plio-Quaternary times are much less numerous than those 66 dedicated to the older deformation phases, and are only related to local areas; the Plio-Quaternary 67 tectonic evolution of some areas of the central Andes has been described as dominated by reverse 68 faults and folds, or strike-slip faults (González et al., 2009; Eichelberger et al., 2015). Other works 69 on the central Andes showed that faulting and folding fully developed in the Eocene-Oligocene and 70 then ended (Jordan et al., 1997; Kraemer et al., 1999; Coutand et al., 2001; Carrapa et al., 2005; 71 Mpodozis et al., 2005), or suggested that a maximum tectonic shortening was locally reached

during the Neogene Quechua phase (Allmendinger et al., 1997). For Gubbels et al. (1993) and Cladouhos et al. (1994), the shortening phase ceased at 9-10 Ma in the southern Altiplano and northern Puna Plateau (PP), whereas for Gonzalez et al. (2009) it has been active as long as the Quaternary west of the PP.

76 In regards to extensional tectonics, other studies of the central Andes suggested the 77 development of post-Miocene normal faulting with extension perpendicular or oblique to the orogen 78 (Lahsen, 1982; Riller et al., 2001; Tibaldi et al., 2009; Schoenbohm and Strecker, 2009; Montero-79 Lopez et al., 2010; Zhou et al., 2013; Daxberger and Riller, 2015). Further studies indicated orogen-80 parallel or sub-parallel extension (Allmendinger et al., 1989; Marrett et al., 1994; Daxberger and 81 Riller, 2015; Giambiagi et al., 2016), or extension just limited to parts of the PP (Cladouhos et al., 82 1994). Most of these papers focused on limited areas and do not allow to reach a regional view for 83 the tectonics of late Pliocene-Quaternary times; as a consequence, several papers addressed only the 84 extensional structures, whereas others only the contractional deformations. Moreover, a map of late 85 Pliocene-Quaternary faults does not exist for the whole studied area. We have chosen to include 86 different domains, such as cordilleras and Altiplano, in order to reach a regional perspective and 87 locate possible boundaries between different recent tectonic deformation fields.

88 In view of the above, we wish to address a number of challenging scientific questions, as 89 follow: *i*) the assessment of the actual deformation and related state of stress in the central Andes 90 during late Pliocene-Quaternary times is of paramount importance for understanding its structural 91 evolution and for conducting any reliable modelling of the recent geodynamics in western South-92 America. Can field data allow to draw a consistent picture of the recent tectonic evolution in the 93 area? *ii*) Which are the origins of the forces responsible for the different deformation processes? *iii*) 94 The identification of structures of Quaternary age is key to assessing seismic hazard; the region at 95 the junction among Chile, Bolivia and Argentina is not densely populated but, nevertheless, several 96 rural villages are present, made of buildings characterised by very poor structure and masonry, 97 suggesting their high vulnerability. Are there recent, major structures in the central Andes that 98 might be of interest for seismic hazard assessment? iv) Since the central Andes host tens of recent 99 and active volcanoes, it is scientifically challenging also to understand the type of structures, 100 kinematics and tectonic stresses that control magma upwelling and emplacement at shallow depth.

In order to tackle the above questions, we collected geological-structural data across a wide area of about 90,000 km<sup>2</sup> encompassing north-eastern Chile, south-western Bolivia and northwestern Argentina, and extending from the PP to the east to the Altiplano-volcanic belt to the west (between 21°40'S-24°30'S, and 66°30'W-68°40'W) (Figs. 1A-B). The data were collected during field geological, structural and morphostructural surveys carried out over the past ten years,

106 integrated with the interpretation of detailed satellite images. All data are originals apart from two 107 graben structures already published in Bonali et al. (2012) and Tibaldi et al. (2008), here reviewed 108 due to their importance. The surveys focused on the structures affecting deposits of Plio-Quaternary 109 age, with special attention to defining the age, geometry and kinematics of deformations. We 110 contribute to a better understanding of the recentmost geological-structural evolution of the central 111 Andes with a regional perspective. The results indicate the presence of contemporary contractional 112 and extensional structures distributed in different zones. Their distribution is analysed in terms of 113 the local geological setting, topography, altitude and spatial position, with the purpose of assessing 114 also the possible origin of the forces that caused deformation.

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# 116 **2. Geological-structural background**

The central Andes, between 20.5°S and 24.5°S, are limited to the east by the Eastern Cordillera and Subandean zone that correspond to the front of imbricated thrust slices, mostly striking N-S and located in Bolivia, and to the west by the Western Cordillera of northern Chile, characterized by N-S to NNW-SSE folds and reverse faults. The region between these two cordilleras is represented by the Altiplano-PP, which extends from south-western Bolivia to north-western Argentina, and, more to the west, by the chain of volcanoes along the Chile-Bolivia and Chile-Argentina borders.

123 The studied area (Fig. 1) comprises the PP, the volcanic arc, and the region of transition to the 124 Western Cordillera. The oldest rocks here are intrusive plutons of Paleozoic age. The oldest 125 sedimentary deposits are marine rocks of Jurassic-late Cretaceous age, followed by early Miocene 126 sedimentary rocks. These deposits crop out in a scattered way, and are mostly covered by Miocene 127 lava flows and late Miocene ignimbrites. Several stratovolcanoes of late Miocene, Pliocene and 128 Quaternary age have been identified in the study area (Tibaldi et al., 2009, 2017a). The younger 129 lavas are interlayered with Pliocene and Pleistocene ignimbrites that were erupted in the main 130 phases of caldera collapse, the largest structures being the Pastos Grandes (PG), La Pacana (LP), 131 Panizos (CP), Vilama (VC), and Cerro Guacha (CG) calderas (Fig. 1B).

The Cenozoic tectonic evolution of central Andes has been dominated by contractional 132 133 deformation (De Celles and Horton, 2003; Deeken et al., 2006; Strecker et al., 2007) caused by the 134 convergence between the South-American and Nazca plates. Contraction has been accompanied by 135 crustal thickening, followed by uplift and formation of the PP (Isacks, 1988; Allmendinger et al., 136 1997; Elger et al., 2005). Compressional deformation began in late Cretaceous west of the study 137 area (Mpodozis et al., 1995; Bascuñan et al., 2015), and propagated eastward in the Eocene-138 Oligocene (Jordan et al., 1997; Kraemer et al., 1999; Coutand et al., 2001; Carrapa et al., 2005; 139 Mpodozis et al., 2005). Local limited extension was detected in the Atacama rock succession,

140 corresponding to a period of extensional relaxation during late Oligocene-early Miocene times 141 (Pananont et al., 2004). Contraction resumed again and reached its climax in the Neogene Quechua 142 phase of the Andean orogeny (Allmendinger et al., 1997). This shortening phase ceased at 9-10 Ma 143 in the southern Altiplano and northern PP (Gubbels et al., 1993; Cladouhos et al., 1994), and at 2-4 144 Ma in the southern PP (Marrett et al., 1994), and shifted eastwards in the thin-skinned Subandean 145 fold-and-thrust belt (Baby et al., 1995, Moretti et al., 1996; Echavarria et al., 2003). Following 146 Gonzalez et al. (2009), contraction went on also during Pliocene and Quaternary times in the 147 volcanic chain immediately west of the PP.

- 148 It has been demonstrated that extension affected this part of the central Andes as well, mainly 149 in the PP and its close surroundings (review in Daxberger and Riller, 2015). A N-S, orogen-parallel 150 extension was proposed by Allmendinger et al. (1989) and Marrett et al. (1994). The beginning of 151 this phase was dated to the late Miocene-early Pliocene along the southern margin of the PP 152 (Montero-Lopez et al., 2010). In this area, Zhou et al. (2013) found Quaternary (< 1 Ma) normal faults following a NE-SW to NNE-SSW extension direction, oblique to the local trend of the 153 154 mountain belt. Along the southern margin of the PP, also Schoenbohm and Strecker (2009) documented extension oblique to the orogeny, but < 3.5-7 Ma. Cladouhos et al. (1994) showed the 155 156 presence of < 9 Ma, minor normal faults in the northern part of the PP. A NE-SW directed phase of 157 extension of late Pliocene-Quaternary age was suggested by Tibaldi et al. (2009) at some sites in the 158 PP and more to the west in the volcanic chain. In the PP as a whole, various structures pointing to 159 E-W to NW-SE Neogene-Quaternary extension were recently found by Daxberger and Riller 160 (2015).
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### 162 **3. Methods**

163 In order to date the structures, we used crosscutting relationships with the stratigraphic units, 164 the age of which has been reconstructed by local geological surveys and all published radiometric 165 datings. Most widespread stratigraphic markers are represented by the various ignimbrite flows outpoured by calderas: the Pujsa ignimbrite ( $5.6 \pm 0.2$  Ma; de Silva and Gosnold, 2007), the Atana-166 167 Toconao-La Pacana ignimbrite (4.0-4.5 Ma; González et al., 2009 and references therein), the 168 Tucucaro-Patao ignimbrite (3.1–3.2 Ma; González et al., 2009 and references therein ), the Talabre ignimbrite  $(2.52 \pm 0.06 \text{ Ma}; \text{Barquero-Molina}, 2003)$ , and the Purico-Cajon ignimbrite (1-1.3 Ma;169 170 de Silva and Gosnold, 2007).

The presence of open fractures associated to a fault zone has been considered evidence of recent (late Pleistocene-Holocene) displacements: In fact, even in such an arid environment, the time from the base of the Eemian interglacial (126 ka BP) should have been long enough to enable

174 filling ground fractures by wind deposits and possible failure of fracture edges. The fractures 175 limited to a single slope have not been considered since they might have been influenced by local 176 gravity slope deformation. For each fault, we defined its kinematics and we quantified its: *i*) strike; 177 *ii*) dip direction; *iii*) dip angle; and *iv*) offset. The kinematics has been defined in the field, wherever 178 possible, by combining offset of markers with recrystallized fibres and Riedel microfractures on slip 179 planes. In very remote areas, the fault trace has been reconstructed also with the interpretation of 180 Google-Earth<sup>TM</sup> images. For each fold, the hinge line orientation and the fold vergence has been reconstructed. Since our paper focuses on late Pliocene-Quaternary tectonics, fault planes of this 181 182 age have not been exhumed by erosion, also in consideration of the very low erosion rate of the 183 studied region. As commonly done for geological-structural studies applied to recent faulting 184 (McCalpin, 2009, and references therein), we reconstructed the components of kinematics based on 185 the measurement of offset markers represented by surfaces and landforms. The vertical component 186 of motions was measured based on the fault offset of the upper surface of the deposit, which usually 187 coincides here with the topographic surface due to the very low erosion rate and recent age of the 188 studied deposits. The strike-slip component of motions was reconstructed by means of measurement 189 of lateral offset of deposits such as fluvial beds, or of landforms such as dry water gullies and water 190 divides. The fault geometry has been reconstructed only in the case that a continuous fault can be 191 followed in the field across not-flat terrains. The fault dip and inclination have thus been 192 reconstructed based on the trace of the fault as followed on slopes perpendicular to the fault strike. 193 The fault strike has been measured based on the average orientation of the fault scarp. Results from 194 our field surveys have been integrated with information from published geological maps/papers. 195 Although in the older part of the rock succession (pre-Pliocene), good fault striae are quite 196 widespread, in the younger lavas and Quaternary ignimbrites, real striated tectonic planes are 197 extremely rare. Moreover, several fault scarps affect loose deposits or hard rock covered by fine-198 grained sediments. The few available young fault planes with tectonic striae have been processed to 199 determine the stress tensor by means of the SG2PS software (Structural Geology to Post Script 200 Converter - http://www.sg2ps.eu; Sasvári and Baharev, 2014). For fissures and joints affecting 201 deposits of Plio-Quaternary age, we measured attitude and the opening direction as well, as 202 evidence of extension orientation. These structures have been measured only if they belong to long 203 swarms as better explained below.

Slip planes can be produced by tectonics (i.e. remote regional stresses), gravity slope deformation, magma push, and lava flows. Since we focused on late Pliocene-Quaternary faulting, and erosion in the study area has been very limited during this time interval, the tectonic fault traces to be studied cannot have been exhumed by erosion and thus they represent the coseismic surface rupture length. Based on fault length-magnitude scale, a fault reaching the surface should have been associated to an earthquake sufficiently energetic to produce a length of the surface rupture zone > 7-10 km (Mark, 1977; Bonilla et al., 1984; Wells and Coppersmith, 1994; Leonard, 2010). As a consequence, we selected only those fault segments that belong to fracture swarms with total lengths > 7 km, which is more compatible with a tectonic origin, and the same approach has been used also for fissures and extensional joints.

214 To avoid collection of data possibly linked to gravity slope deformation, comprising deep-215 seated gravity slope deformations or shallower landslide phenomena, we again focused on long 216 fracture swarms that cross different slopes. In regards to magma-related deformations, several 217 recent papers have showed that dykes propagating horizontally or vertically can produce surface 218 faulting (e.g. Ruch et al., 2016; Ágústsdóttir et al., 2016), as well as also shallow magma chambers 219 can produce surface brittle deformation (review in Tibaldi, 2015). For magma-related graben 220 formation, we checked the width of the studied grabens (1-4 km wide) since those induced by 221 diking are quite narrow, mostly in the order of tens of meters to 500-800 m (Ruch et al., 2016) and 222 up to 1.5 km at the large Hawaii volcano-tectonic rifts (Jackson et al., 1975). Then we payed special 223 attention to the location of possible Plio-Quaternary magma chambers, and thus we did not consider 224 the structures located in correspondence of the calderas of that age. Although we are aware that 225 especially shallow magma intrusions can also induce long faults, we highlight that by the above 226 described approaches we tried to minimize the possible data collection of structures of non-tectonic 227 origin.

228 We wish to stress that special attention must be given when collecting striae and other 229 tectogliphes at lava and ignimbrite flows: Tibaldi (1996) demonstrated that in these rocks special 230 criteria should be used to collect reliable fault slip indicators due to the possible presence of 231 slickensides produced by sectors of the flow moving at different speeds that are very similar to 232 textures induced by actual tectonic slip. For this reason, each site was evaluated using Tibaldi 233 (1996)'s methods. This method consists in locating the slip plane respect to the possible presence of 234 a lava flow and its flow direction, and to evaluate its vertical persistence. By comparing these data, 235 it is possible to establish if the slip plane has been induced by lava motions. The method also 236 considers the presence of specific features on the slip planes, called "burrs", which develop only on 237 lava flow slip planes and not on tectonic fault planes (Tibaldi et al., 2017b).

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**4. Results** 

# 240 4.1 Compressional tectonic structures

241 The main compressional structure that can be observed in the studied area is the Miscanti 242 Ridge (MR) (Figs. 1B and 2A, Table 1), named after Gonzalez et al. (2009), which extends from 243 Latitude 23.13°S southward as far south as the Chile-Argentina border. The ridge is locally 244 discontinuous due to covering of clusters of volcanic edifices, and thus it might be difficult to 245 recognize it along its entire lengths on satellite images. As a consequence, we also used field checks 246 and detailed topographic maps to survey its whole spatial development. It is composed of a northern 247 part (north Miscanti Ridge in Table 1), made of two segments for a total of 40 km, plus a southern 248 part with a length of 35 km. In its northern part, the MR trends N10° and can be observed at the 249 surface as a morphological symmetric high; the two ridge slopes are tens of meters up to 150 m 250 higher than the surrounding areas. Farther south, it becomes more asymmetric, with a gentle 251 western slope and a steep scarp facing east. The height of the western slope is in the order of 100-252 150 m, whereas the eastern scarp reaches a maximum height of 350 m north of the border between 253 Chile and Argentina. The MR is crossed by a few river valleys that enable studying its inner 254 structure given by strongly deformed pre-Pliocene sedimentary strata, above which are younger 255 deposits usually showing angular unconformities at the base. It is difficult to attribute a precise age 256 to the older strata; they are red beds of possible Cretaceous age (Purilactis Group) or more recent 257 sediments of Neogene age (San Pedro Formation). The younger deposits are represented by 258 ignimbrites, dated in this area to 3.1-3.2 Ma (Tucucaro-Patao Ignimbrite, Gonzalez et al., 2009 and 259 references therein) and younger lavas. These deposits are affected by reverse faults (Fig. 2B) and folding deformation. Near Lake Miscanti, there are several lava flows, which were affected by 260 261 folding, such as for example some of the Pliocene flows of the Meñiques Volcano that now are 262 located on the ridge top (Fig. 2A; Gonzalez et al., 2009), and a younger flow (possibly dating back 263 to the Pleistocene) from the same volcano, whose frontal lobe was uplifted about 10 m along the 264 eastern scarp of the MR (Fig. 2C).

Seventeen km south of Miscanti Lake, the MR is obscured by a volcano (site *A*, Fig. 1 - $67^{\circ}48'58''W$ ,  $23^{\circ}56'4''S$ ) that sits on top of a NNE-trending, 13-km-long, asymmetric ridge; strata gently dip to the NW along the western side of the ridge, whereas a steeply-dipping scarp offsets Pliocene lavas along the opposite side. The volcano shows a flank collapse towards the SE, suggesting a possible influence of the movements of the underlying fold.

A main reverse fault, here named Toloncha Fault (TF), crops out 14 km to the west, where it offsets the Plio-Pleistocene Toloncha volcano (TV) (see Figure 1 for location) and the 3.1-3.2 Ma Tucucaro-Patao Ignimbrite, and transitions northward to an anticline (total length 39 km). The fault strikes N00°-10°, dips to the west, and can be followed southward for 27.3 km, whereby it apparently disappears approaching a huge group of recent volcanoes. To the west there is a swarm of folds and east-dipping reverse faults (Gonzalez et al., 2009) that we consider backthrust splays of
the main TF; the dominant shortening direction is N95°.

277 Further 13 km southward, at the Chile-Argentina border, another very high ridge reappears 278 with pre-Pliocene folded strata giving rise to an east-vergent, NNE-SSW to N-S asymmetric fold, 279 here named La Casualidad Ridge (LCR) (Fig. 1). The western morphological slope shows a 280 topographic difference up to 300 m, and the eastern scarp is as much as 850 m high. A lava flow 281 with preserved flow structures, but of difficult absolute age attribution, is tilted along the ridge at site B (Fig. 1 -  $68^{\circ}3'21''W$ ,  $24^{\circ}44'36''S$ ). Another lava flow produced by a volcano located on top of 282 283 the ridge, is affected by a 20-40 m offset at the base of the ridge scarp (site C, Fig. 1 -  $68^{\circ}12'53''W$ , 284 25°5'1"S). The latter lava flow has been dated to the Plio-Pleistocene (SERNAGEOMIN, 2003) and 285 lies above an ignimbrite unit (named Archibarca/Caballo Muerto) of 3.6 Ma BP (Brandmeier, 2014 286 and references therein). Although ages are not well constrained here, it is possible that also the 287 Argentinian section of the ridge has moved during the late Pliocene-Quaternary times, and given a 288 maximum age of 3 Ma for the offset lava, the minimum slip-rate is 0.01 mm/yr. In correspondence 289 of the LCR, DeCelles et al. (2015) showed the presence of a main thrust dipping to the west. The 290 total length of this swarm of ridge structures in Chile and Argentina is about 200 km; however, 291 further studies would be needed to fully understand which segments of the ridges moved in recent 292 times.

At a distance of 14 km northwest of Miscanti Lake, there is a series of minor NNW-SSE to N-S trending folds that affect the Tucucaro-Patao ignimbrite (3.1–3.2 Ma; Gonzalez et al., 2009 and references therein) (Fig. 1, Table 1). They are expressed by deposits with local opposite dips that indicate anticline structures. The average shortening direction is N85°. Most are asymmetrical with eastward vergence, and locally give place to reverse faults mostly dipping west (Gonzalez et al., 2009).

More to the south, 10 km west of Miscanti Lake, there is another series of folds with more curvilinear hinge lines and a convex side to the east in plan view, and associated reverse faults, characterised by dominant vergence to the east (site *D* in Fig. 1 -  $67^{\circ}54'15''W$ ,  $23^{\circ}43'29''S$ ). The average shortening direction is N90°. They affect the Tucucaro-Patao ignimbrite and lavas of Plio-Pleistocene age (Gonzalez et al., 2009).

At the latitude of the Talabre village (Fig. 1), 12 km west of the northern termination of the MR, ignimbrite deposits referred to the 2.0-2.3 Ma old Talabre Formation (Gonzalez et al., 2009) show an outcropping reverse fault that dips towards the west (site *E* in Fig. 1 -  $67^{\circ}49'21''W$ ,  $23^{\circ}18'15''S$ ). The surface fault trace is 9 km long and has a sinuous trace in plan view compatible with a shallow dip. The average strike is N-S. North of San Pedro de Atacama, there is a major anticline that involves in its nucleus red beds of possible Cretaceous-Neogene age, above which, in discordance, there is a series of ignimbrite deposits (site *F* in Fig. 1 -  $68^{\circ}9'10''W$ ,  $22^{\circ}35'45''S$ ). The younger deposit here has been dated 4-4.14 Ma BP (Puripicar Ignimbrite) (Brandmeier, 2014). The fold is 9.5 km long, with the hinge line trending NNE-SSW. The fold limbs are quite symmetric as far as the uppermost deposits are concerned.

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#### 316 4.2 Extensional tectonic structures

317 Immediately south of the Ollague volcano (68°10'46"W, 21°18'36"S) (OV in Fig. 1B), there is a swarm of NW-striking normal faults, mostly dipping to the SW, which affect lavas of Plio-318 319 Pleistocene age, and ignimbrite deposits of Pliocene age. The fault swarm has a total length of 8 km 320 and runs across slopes of different orientation, thus excluding a gravity slope origin. At the Pastos 321 Grandes Caldera in Chile (PG in Fig. 1B), there is a swarm of NW-SE normal faults that in part 322 border the caldera, and in part are nested within the centre of the caldera floor. These structures 323 should be genetically related to the collapse of this volcano-tectonic structure and to a resurgent 324 dome, respectively.

325 West of the Pastos Grandes Caldera (PG), another, 19 km long, major system of NW-striking normal faults runs from the Aguilucho volcano to north of the Inacaliri volcano (Chile) (Figs. 1 and 326 327 3) (Table 1). Two major faults, 10 and 18 km long, have converging dips, thus producing a 328 symmetric graben. The graben floor is 50-150 m lower than the shoulders, which range in altitude 329 from 4600 m to 5200 m a.s.l. The graben floor is affected by other minor normal faults, each from 2 330 to 4 km long. The faults have dips between 50° and 70° and a rectilinear trace in plan view, which 331 is consistent with their steep dip. They offset a series of NW-SE-aligned stratovolcanoes of 332 Pliocene age (Sernageomin, 2003) and lava flows dated 1.1±0.2 Ma BP (Mercado et al., 2009). The 333 longest fault bounding the graben to the northeast is sealed by a lava dome (Pabellon) dated at 80-334 130 ka BP by Ar-Ar (Tibaldi et al., 2009) and 50±10 ka by K-Ar (Urzua et al., 2002).

East of Inacalari volcano (Bolivia) eruptive fissures, punctuated by aligned vents, of Quaternary age striking NW-SE are present, suggesting that a NE-SW-directed extension has been active (Fig. 4 and site *G* in Fig. 1 -  $67^{\circ}49'17''W$ ,  $22^{\circ}00'30''S$ ). Parallel to these fissures there are also normal faults such as those affecting the De Jorcada Pliocene volcano (Fig. 4 -  $22^{\circ}02'10''\circ S$ ,  $67^{\circ}45'40^{\circ}W$ ): this fault swarm is 13 km long and one single fault segment, with a very sharp and poorly eroded scarp, is 6 km long. This fault faces to the SW and the topographic offset amounts to a maximum of 40 m.

342 Farther south, in Bolivia, there is another swarm of recent normal faults, here labelled as Sol 343 de Mañana Graben (Figs. 1B and 5A). Here, a series of NW-striking faults with fresh scarps are 344 present and offset the Tatio ignimbrite deposit of  $1.9 \pm 0.8$  Ma BP (de Silva and Gosnold, 2007) and 345 a younger series of lavas emitted by effusive centres located along the same fault swarm (Figs. 5A-346 B). The swarm is 13 km long in total and made of fault strands each long up to a maximum of 6.8 347 km. The trace in plan view is quite rectilinear, consistent with a steep dip of the faults (e.g. Figs. 348 5C-D). The maximum offset is 30 m along the master fault facing NE, and up to 30-50 m along the 349 opposite master fault facing SW (Figs. 5A-D).

350 Farther south, there is another swarm of normal faults with a total length of 24 km, here termed 351 Laguna Verde Graben (Bolivia, Figs. 1 and 6). They strike more NNW-SSE in the northern part 352 where they affect volcanoes of Pliocene-Quaternary age (Fig. 6A). Towards the south and east, the 353 faults strike NW-SE and affect a Neogene stratovolcano and a Neogene-Quaternary dome (more 354 probably of Pleistocene age) (Figs. 6A-C). Since these volcanoes are completely cut by the faults, it 355 is possible to observe in section view the fault traces from an altitude of 5085 m down to 4360 m; 356 they show a clear sub-vertical dip angle (e.g. Figs. 6B-C), thus consistent with normal faulting 357 architecture. The longest fault segment is 9.45 km long.

358 The area between the Licancabur volcano and the ALMA observatory is composed of an 359 ignimbritic plateau affected by a 18 km long swarm of N-S fractures (Figs. 1 and 7A). The trace of 360 all these fractures is rectilinear in plan view, suggesting they have a vertical to sub-vertical attitude. 361 The swarm is crossed by a road section that allows to clearly recognize their attitude and offset (e.g. 362 Figs. 7B-C). Most are extensional fractures, filled with loose deposits (Figs. 7B-C). Some are 363 partially empty, suggesting a young age. The opening direction, based on offset piercing points, 364 indicates an overall E-W trending  $\sigma_3$ . A few of these structures show dip-slip normal motions (e.g. 365 Fig. 7B). At the surface of the ignimbrite, the water gullies crossed by these fractures do not show 366 any strike-slip offset, suggesting pure extensional motions. More to the SW, just north of the 367 ALMA observatory, the same ignimbrite plateau is offset by a N-S-striking fault zone with a very 368 fresh morphology (Fig. 7D). Water gullies are vertically offset, indicating pure dip-slip motions. 369 The fault zone, with a total length of 8.6 km, is composed of six close fault segments, with vertical 370 scarps facing west. The fault traces are rectilinear in plan view suggesting a steep attitude of the 371 planes. In proximity of these scarps there is no bulging of the ignimbrite succession, giving rise to a 372 step-like geometry typical of extensional fault settings. On the contrary, the Quaternary reverse 373 faults that here we studied are almost always associated to bulging and folding of the rock 374 succession. The N-S normal faults affect an ignimbrite plateau composed of the 1-1.3-Ma-old 375 Purico Formation (de Silva and Gosnold, 2007).

376 It is worth mentioning that other swarms of NW-SE-striking, main Quaternary normal faults 377 are present, but they belong to the resurgent domes in the interior of the Guacha and La Pacana 378 calderas (e.g. Fig. 1), and thus they are not of pure tectonic origin and have to be interpreted in 379 terms of the action of magma forces.

380 Southeast of the La Pacana caldera, there is a graben with N-striking normal faults (Figs. 1 and 381 8A). The whole structure is 7.8 km long, with a maximum width of 3.5 km. The bounding faults 382 have converging dips and define a symmetric graben (Fig. 8A). The offsets of the westernmost 383 master fault are mostly in the 5-8 m range; the maximum observed offset is 16 m (e.g. Fig. 8C); at 384 the easternmost master fault, most offsets are as much as a few meters, up to 14 m (e.g. Fig. 8D). 385 The faults offset ignimbrites of the Atana Member  $(3.96 \pm 0.02 \text{ Ma BP}, \text{ de Silva and Gosnold},$ 386 2007) and a series of lava flows of Pliocene age (Sernageomin, 2013). Considering that, in the 387 middle of the graben floor, we discovered empty extensional fractures striking N-S with opening in 388 the order of 35 cm (Fig. 8B), we must conclude that some recent (latest Pleistocene-Holocene) 389 deformation occurred here.

390

# **5. Discussion**

# **392 5.1** The time-space distribution of deformation

393 The surveys carried out in Chile, Bolivia and Argentina allowed us gain a major insight into the 394 distribution of the recent-most deformation pattern in space and time. Our data show the presence of both extensional and contractional deformations, which developed within the same late Pliocene-395 396 Quaternary time window; this observation was not completely put forward by the previous 397 literature, since in the study area previous papers highlighted the presence of just contractional or 398 only extensional structures during the last phase of deformation, ignoring or minimizing the 399 presence of the other type of structures. For example, the paper by Gonzalez et al. (2009) described 400 the presence only of young shortening structures in part of the area studied here, in the form of folds 401 and reverse faults, and did not consider the presence of extensional structures. Other authors 402 mentioned the presence of extensional faults but suggested they are of no significance, and may 403 have formed as a result of slope instability (e.g. Arriagada et al., 2006). Other authors, on the 404 contrary, such as for example Lahsen (1982), suggested that compressional structures do not affect 405 deposits younger than 4 Ma BP in the whole northern Chilean Andes, which in turn are just offset 406 by N-S normal faults. A paper by Allmendinger et al. (1989) recognized the presence of 407 contemporaneous extensional and contractional deformations, but suggested that normal faults are 408 only present in the PP, and shortening shifted in the Quaternary east of the PP at the foreland thrust 409 system.

410 Our data instead, put forward that late Pliocene-Quaternary tectonics is in general more 411 important than previously thought in the studied area, and that contraction and extensional 412 deformations can develop contemporaneously at very short distance each other. In regard to 413 contractional structures, in Table 1 it is possible to notice the age of the deposits involved in the 414 younger compressional phase: the folds and reverse faults affect strata dated up to 2.0 Ma BP by 415 radiometric dating or to the Pleistocene by stratigraphic methods. This indicates the presence of a 416 compressional phase that was active in late Pliocene and Quaternary times. If we look at the 417 youngest extensional structures, they involve deposits up to the Pliocene (2.4 Ma) and Quaternary 418 (0.7 Ma). From the point of view of the time window, these data indicate that contractional and 419 extensional deformations formed contemporaneously. The occurrence of diverse types of 420 deformation during the same time implies a rotation of stress tensor in space. In the study area, 421 Quaternary strike-slip motions have been documented at a few faults, such as at the NNE-striking 422 Bequeville fault (Marrett et al., 1994) located in the eastern, lower corner of our study area, whereas 423 the Calama-Olacapato-El Toro fault shows Quaternary slip only in the eastern-most part of our 424 study area (Fig. 1) (Bonali et al., 2012; Lanza et al., 2013). Also the geometry and distribution of 425 Quaternary folds is consistent with dominant pure shear ductile deformation; in fact, the pattern of 426 folds with a typical en-échelon arrangement or other evidence of main Quaternary shear zones is 427 lacking in the study area. In conclusion, during late Pliocene-Quaternary times, the studied area has 428 been characterised by two main domains, one with horizontal  $\sigma_1$  and  $\sigma_2$  and the other one with 429 horizontal  $\sigma_3$  and  $\sigma_2$ , whereas transcurrent motions were very local along the eastern border of the 430 area.

From the point of view of the distribution in space, the late Pliocene-Quaternary contractional 431 deformations are concentrated along the MR, TF and LCR, in Chile. The northernmost evidence of 432 433 compression along the MR has been indicated at Latitude 22.98°S (7 km south of the Chile-Bolivia 434 border) by Gonzalez et al. (2009). More to the west, late Pliocene-Quaternary contractional 435 structures have been found south of the ALMA observatory (23.07°S), and more to the north, such 436 as the fold at Latitude 22.42°S. The claim for extensional deformations between the latter fold and 437 the northern termination of the MR is based on the discovery of extensional fissures and normal 438 faults between the Licancabur volcano and the ALMA observatory (Fig. 7A). This swarm of 439 extensional fractures and minor normal faults is composed of parallel N-S-striking planes, perfectly 440 rectilinear in plan view, that indicate high-angle fracture planes, confirmed by the section view 441 along road cuts (Figs. 7B-C). The measurement of opening directions shows an overall 442 homogeneous E-W extension. All deposits of this ignimbritic plateau and the topography do not 443 show any bulging that might indicate folding. Therefore, we need to rule out that this swarm of structures might be the expression of extension at the extrados of a fold. The main normal fault located immediately southwest of the fracture swarm (north of ALMA) is also characterised by a rectilinear trace in plan view, which is compatible with a sub-vertical fault attitude (Fig. 7D). This geometry of the fault trace, and the regular planar topography and faulted deposits, is completely different from the setting at the reverse fault located north of the Talabre village (see Fig. 1): This reverse fault, in fact, is characterised by a bulging in correspondence of the hanging-wall block and by a sinuous shape of the fault surface trace in plan view, confirming the different kinematics.

451

### 452 **5.2 Origin of deformation**

453 The coexistence of extensional and contractional deformations in the same section of an orogen 454 needs to be adequately explained. First of all, several swarms of NW-SE-striking, extensional 455 faults, are located in the interior of calderas, namely the La Pacana, Pastos Grande, and Guacha. 456 They show very similar structural features, represented by series of parallel, up to 20 km long, NW-457 SE-striking main normal faults, and minor NE-SW-striking normal faults. They bound the caldera 458 margin, such as in the case of La Pacana, or they affect only the caldera centre. Although they have 459 lengths of fault surface rupture that are compatible with tectonic seismogenic faults (e.g. Wells and 460 Coppersmith, 1994), the movements along the NW-SE faults at these calderas are here interpreted 461 as produced by magma inflation and deflation linked with caldera activity, as already suggested for 462 La Pacana by Gardeweg and Ramírez (1987). The NW-SE geometry of these faults, instead, may 463 have been guided by pre-existing regional structures: this area, in fact, has been affected, in pre-464 Quaternary times, by transcurrent motions along NW-SE regional faults, as suggested in previous 465 literature (Riller et al., 2001; Matteini et al., 2002; Mazzuoli et al., 2008; Tibaldi et al., 2009).

466 Other recent normal fault swarms are not related with calderas and thus their origin should be different. These fault swarms are from 7.8 to 24 km long and contain single, continuous fault 467 468 segments up to 18 km long. The lengths of the measured outcropping normal fault swarms are fully 469 compatible with the typical surface rupture length of tectonic seismogenic faults, as shown in the 470 databases of Wells and Coppersmith (1994) and several other authors. This means that the surveyed 471 structures may represent the topographic rupture of faults originated during prehistoric earthquakes. 472 Although we are aware that the extremely arid climate can play a major role in preserving the 473 morphologies, the very sharp appearance of some of these faults, such as the structure north of 474 ALMA observatory, and the local presence of empty large ground fractures, suggest possible recent 475 movements of late Quaternary age.

476 Regarding their origin, most of these normal faults affect areas with a flat horizontal to sub-477 horizontal morphology, such as in the case of the Sol de Manana graben (Fig. 5), the Laguna Verde 478 graben (Fig. 6), the fracture swarm south of Licancabur, the fault north of ALMA (Fig. 7), and the 479 graben at the Argentina-Chile border (Fig. 8). The only exception is given by the Inacaliri graben 480 that rests on top of a volcano row (Fig. 3). The latter setting can be the evidence of a gravity force 481 responsible for the formation of the graben, possibly in connection with volcano spreading. 482 Spreading is here a possible factor, due to the huge mass of the volcanic row and the possible 483 presence of clay-salty deposits below it, given the vicinity with a salar deposit. In all the other 484 cases, we have to exclude similar effects or the possible influence of slope gravity. Based on the 485 above, and the lengths of the single fault segments as well as the entire fault swarms, we conclude 486 that the studied extensional structures are tectonic in origin.

487 The average altitude of the areas affected by the folds and reverse faults of late Pliocene-488 Quaternary age is 3941 m, whereas the areas affected by the extensional structures have an average 489 altitude of 4520 m (Table 1). These data suggest that topographic loading has an effect on the stress 490 tensor and thus on the type of deformation (Fig. 9); our results are consistent with the study of 491 Sébrier et al. (1988) for the Andes of central Peru, which showed that a compressional stress field 492 with horizontal  $\sigma_1$  dominates at an average elevation < 4000 m, whereas a vertical  $\sigma_1$  is expected above this average altitude. In fact, the majority of normal faults considered in this study (82%) are 493 494 locate in areas with an elevation > 4000 m (Fig. 10).

495 If we consider topography as a proxy to crustal thickness, which for the central Andes has been 496 demonstrated by Beck et al. (1996), the lithosphere below areas of higher altitude that suffered from 497 intense crustal thickening, may be subject to thinning and extension with mass removal towards the 498 foreland, where the crust is thinner (Fig. 9) (Schoenbohm and Strecker, 2009, and references 499 therein). The presence of a high heat flow below the volcanic chain and the PP (Springer and 500 Forster, 1998) facilitates this mass transfer due to the low crustal strength below these regions. A 501 readjustment of mass transfer at depth is accompanied by uplift and collapse of some sectors of the 502 orogen (Tibaldi et al., 2009; Bonali et al., 2012; Lanza et al., 2013; Giambiagi et al., 2016). We 503 prefer this interpretation to the gravitational spreading of the orogen, because of the presence of 504 orogen-perpendicular extension in the whole study area; in fact, the orogen orientation changes 505 from N-S to NW-SE at the same latitude where we found a rotation of the normal fault strike (Fig. 506 9), whereas the gravitational spreading model implies often orogen-parallel extension (Daxberger 507 and Riller, 2015). In the studied area, it is interesting to note that the decrease in the frequency of 508 tectonic normal faults from north to south correlates with the decrease in crustal thickness in the 509 same direction: in the northern part of our study area, Prezzi et al. (2009) shows that the Moho 510 depth is in the range -55 to -75 km and in the southern part (south of 23°20") is from -50 to -65 km, 511 and McGlashan et al. (2008) indicate for the same areas a crustal thickness of 67-80 km and 42-59

km respectively. This suggests that gravity flow in the crust and orogenic collapse is more probablein the northern part, consistent with the distribution of surface extension.

514 A recent (< 10 Ma BP) broad uplift of the Altiplano-PP has been put forward by several authors 515 (Garzione et al., 2008, and references therein). We suggest that at the surface, this uplift may have 516 been accommodated by motions along normal faults with two different directions of extension. In 517 the northern part of the study area, a preferentially NE-SW-directed extension, whereas, to the south 518 a dominant E-W extension. This may be due to the effect of inherited structures produced by 519 previous phases of transcurrent motions along NW-SE planes in the northern part (Tibaldi et al., 520 2009, 2017a) and along N-S to NNE-SSW structures in the southern part. These structures are 521 suitably oriented with respect to the extensional vectors induced by the orogen collapse. This view 522 is consistent with the numerical study of the gravitational potential energy of the Central Andes by 523 Flesch and Kreemer (2010) that shows an orogen-perpendicular  $\sigma_3$  rotating from NE-SW in 524 northernmost Chile (north of 22°S) to E-W more to the south, as resulting also from our field-based 525 analysis.

526 As an alternative, or complementary hypothesis to the above, we emphasize the importance of 527 the MR, TF and LCR that represent a major (100 to 200 km long) corridor made of fault-528 propagation folds, with the main slip planes dipping west. The abrupt re-orientation of the 529 extensional structure strike from NW-SE to N-S at the latitude of the northern MR may also signify 530 that the N-S normal faults and the MR-TF-LCR structures are genetically linked: the MR-TF-LCR 531 allow overthrusting of the Western Cordillera tectonic units towards the volcanic chain. The 532 thickening in the overthrusting area produces an overload on the crust with the possible formation 533 of a flexure eastward, which in turn produces at the surface extensional structures striking around 534 N-S, parallel to the flexure trend (Fig. 11). Anyway, this scheme fails to explain the several graben 535 structures located far away from the compressional ridges, and thus we favour more the high-536 topography/orogenic collapse explanation.

537

# 538 5.3 Structure kinematics, stress tensor and volcanism

The whole area of the volcanic belt east and north of the MR and LCR, comprising the studied part of the PP, is affected by normal faults of late Pliocene-Quaternary age, which show two main directions of extension: NE-SW north of the northern termination of the MR, and E-W more to the south. This stress configuration in the Andean chain and in the PP facilitates the use of NW-SEstriking fractures as magma paths in the northern part of the study area, and N-S-striking structures in the southern part. This interpretation is consistent with the recent findings on the space-time distribution of volcanic centers and their morphometric characteristics by Tibaldi et al. (2017a). These authors, in fact, put forward that the morphometry and alignments of hundreds of volcanic centers in the same area as the one documented here, are compatible with NW-SE-striking magma paths in the northern part of the area, and with N-S-striking magma paths in the southern part. Some scattering of magma path orientations exists, but this reflects the complexity of shallow magma plumbing systems in the interior of volcanic edifices, where the magma conduit can be controlled also by a number of parameters different from the tectonic stress state or regional fractures (for a review of these topics see Tibaldi, 2015).

553 Based on the data here presented on the late Pliocene-Quaternary normal faulting, the magma 554 paths of the volcanoes of the same age located near these structures benefitted from both the  $\sigma_3$  and 555  $\sigma_2$  being horizontal, a stress tensor orientation that is classically considered to favour magma upwelling to the surface. On the contrary, the older volcanoes that are located in the northern part of 556 557 the studied area may have been controlled by previous tectonic phases characterised by transcurrent 558 motions along the NW-SE faults, consistent with the strike-slip kinematics observed, for example, 559 by Acocella et al. (2007), Bonali et al. (2012) and Lanza et al. (2013). For example, during the 560 Neogene, about 20 km of left-lateral displacement took place along the Calama-Olacapato-El Toro 561 fault (Allmendinger et al., 1983). Such a stress configuration, characterised by horizontal  $\sigma_3$  and  $\sigma_1$ , 562 has been increasingly regarded as also favourable to magma upwelling (Tibaldi et al., 2010; 563 Spacapan et al., 2016). A more general control of the NW-SE faults on volcanism, and especially on 564 caldera development in the Puna Altiplano, was suggested also by Riller et al. (2001, 2006), Caffe 565 et al. (2002), Chernicoff et al. (2002), Petrinovic et al. (2005), and Ramelow et al. (2006).

566 As we have seen, during late Pliocene-Quaternary times other zones within the study area have 567 also been affected by a compressive regime. This stress state, with horizontal  $\sigma_1$  and  $\sigma_2$ , has been classically regarded as unfavourable to magma upwelling, and magma movements have been 568 569 mostly suggested to occur along horizontal planes, being the  $\sigma_3$  axis vertical (e.g. Cas and Wright, 570 1987; Glazner, 1991; Hamilton, 1995; Watanabe et al., 1999). Nevertheless, in the study area 571 several Pliocene-Quaternary volcanoes are located exactly in correspondence of reverse faults and 572 folds of the same age. For example, along the Miscanti Ridge, several volcanoes are located near the fault-propagation fold in the hanging-wall block or in the footwall block. Some of these edifices 573 574 are aligned exactly in correspondence of the crest of the fault-propagation fold, as the example on 575 the La Cusualidad Ridge portrayed in Figure 12, and have been partially involved in the 576 compressional deformation process. This clearly suggests a direct control of contractional structures 577 on volcano location and growth, as will be detailed below. Some volcanoes sitting atop of folds, 578 also show evidence of huge lateral failure, as sector or flank collapse. This suggests a further 579 possible direct connection between the folding process and volcano development, as the faultpropagation fold growth may have contributed to volcano slope instability. This is also attested to by the fact that the collapsed volcanoes have the failed flank pointing in the direction of the scarp created by the process of the fold's asymmetric growth. This pattern is consistent with the findings of other authors obtained by way of analogue modelling (Galland et al., 2007; Tibaldi, 2008; Ferrè et al., 2012) or by field data in other areas (Tobisch and Paterson, 1990; Martì et al., 1992; Ferrè et al., 2002; review in Tibaldi et al., 2010).

586 We suggest that, in a contractional setting, magma can rise along ramp segments of reverse 587 faults, although these are not oriented normally to  $\sigma_3$ , consistent with previous similar suggestions 588 by Tibaldi (2005) and Cembrano and Lara (2009). This may be explained in terms of the different 589 forces necessary for magma intrusion in intact host rocks (or poorly fractured rocks) versus rocks 590 affected by continuous long faults; dyking can occur through intrusion into a newly formed fracture 591 if magmatic pressure  $(p_m)$  exceeds the lithostatic pressure  $(p_l)$ , plus the horizontal compressive stress in the host rocks perpendicular to the dyke, plus the host rock tensile strength (Gudmundsson, 592 593 1995, 2006, 2012). If, on the contrary, the host rock has already been affected by well-developed 594 mechanical discontinuities (usually faults) produced by previous deformation events, these planes 595 have no cohesion (or very poor cohesion in the case of sealing effects) and magma propagates along 596 them if magmatic overpressure  $(p_o = p_m - p_l)$  exceeds the compressive stress perpendicular to that 597 plane. In the case of a ramp structure, the component of the stress acting perpendicularly to the 598 plane is a fraction of  $\sigma_1$ , plus a component due to the overburden. This means that a weakness zone, 599 such as a ramp structure, can represent a suitable magma pathway under an active compressional 600 stress state. Once magma reaches the interface between the volcano and the substratum, magma 601 upwelling to the crater zone may be facilitated by a local stress state linked with the volcano 602 morphology (see Tibaldi, 2008), with previous intrusions that modified the stress pattern (Chaput et 603 al., 2014), or with stretching at the outer-arc of a fold (Gonzalez et al., 2009; Gürer et al., 2016).

604

#### 605 **5.4 Are there active faults?**

606 Among the criteria to assess the presence of faults capable of producing earthquake hazard, 607 the age and area (surface length for field data) of the slip plane are of paramount importance. 608 Holocene fault slip is regarded as a prerequisite for establishing the potential for new earthquakes 609 along a given structure. Anyway, there are several pieces of evidence that suggest quiescence times 610 that are longer than the Holocene (Grützner et al., 2017; Williams et al., 2017, and references 611 therein). At an active convergence zone like the Andes, where geodynamics is dominated by the 612 presence of effective subduction between the Nazca plate and the South American plate, tectonic 613 stresses may be transmitted to the crust above the Wadati-Benioff zone. Although stress

transmission may be attenuated by thermal processes, great crustal thickness, etc., we cannot rule out the possibility that low magnitude stresses may accumulate in the upper crust over time and may be accommodated elastically until the shear stress along the fault reaches a threshold value that will cause rare events of surface fault rupture to happen. This hypothesis is consistent on one side with the scarcity of crustal seismicity in the studied area, and on the other side by the presence of several faults that show evidence of surface rupture in Quaternary times. Moreover, there is clear evidence of Quaternary folding that might hinder reverse blind faults.

621 Although a more detailed chronology of the most recent movements along the studied 622 structures is not available, there are clues that further studies are required to assess if there is a 623 possible seismic potential. We highlight, in particular, the great length of some of the studied 624 structures: the main compressional features are the Miscanti Ridge (MR), which is made of 625 different segments totalling about 75 km, the 39-km-long Toloncha Fault and associated fold, and 626 the about 100-km-long La Casualidad Ridge, also showing a segmented pattern. Also the coeval 627 normal fault swarms, although shorter than the compressional structures, are characterised by 628 important lengths, in the order of 8-24 km. Based on published databases that put in relation 629 earthquake magnitude with surface rupture lengths of seismogenetic faults (Geller, 1976; Mark, 630 1977; Bonilla et al., 1984; Wells and Coppersmith, 1994; Leonard, 2010), it can be noted that these 631 lengths are compatible with surface ruptures linked to paleo-earthquakes. The main problem in the 632 studied area is that stratigraphy resolution is not accurate enough to enable assessing a late 633 Pleistocene or Holocene age of the latest slip along these structures, which might aid in determining their potential seismic hazard. The presence of very fresh morphologies along the fault scarps, 634 635 including very sharp fault edges, river offsets, and especially empty fissures, cannot be considered 636 conclusive due to the very poor erosion rate of the region. However, based on the length and large 637 number of the structures here described, we suggest that it may be worth conducting further studies, 638 possibly integrated with paleoseismological investigations by trenching.

639

# 640 6. Conclusions

Our geological-structural data have been collected in a wide region, as large as 90,000 km<sup>2</sup>, at the border among Chile, Bolivia and Argentina, comprising part of the Western Cordillera, the volcanic belt and the Altiplano-Puna Plateau. The approach of our study is focused on the collection of data relative to surface folding and faulting of late Pliocene-Quaternary age that must comply with series of parameters that allow to consider only structures that have a higher probability of tectonic origin. The parameters for faults are scaling relationships between the length of the surface rupture zone of tectonic faults and their capability of breaking the surface, the relationships with 648 slope attitude (in order to exclude slope deformations), and the possible influence of local magma 649 inflation/deflation phases. Focusing only on faults that can be attributed to young coseismic tectonic 650 events, allows a more reliable assessment of the late Pliocene-Quaternary deformation field and 651 related stress state, which represents the foundation for a better comparison with results coming 652 from other methods.

653 Respect to previous authors, we conclude by two major points: 1) late Pliocene-Quaternary 654 tectonics is here in general more important than previously thought, and 2) within the recent 655 tectonics, there is a division in space of the dominant deformation kinematics. In fact, the eastern 656 part of the Western Cordillera and the westernmost part of the volcanic belt are characterised by the 657 presence of late Pliocene-Quaternary folds, with hinge lines trending NNE-SSW to N-S, and 658 parallel reverse faults which reach the surface. The main compressional structure is the Miscanti 659 Ridge (MR) that runs N10° from the border Chile-Bolivia southward with a series of segments 660 totalling about 75 km. At its apparent southern termination, the MR is covered by a dense array of 661 Plio-Quaternary volcanoes, but further south a similar ridge reappears in Argentina with a more 662 NNE strike and contraction is transferred more to the west along the N-S-striking, 39 km long 663 Toloncha Fault and associated fold. Farther south, a series of main fold/fault segments give rise to 664 the La Casualidad Ridge, totalling about 100 km with a NNE-SSW to N-S trend. These three main 665 structures are interpreted as fault-propagation folds, which locally show evidence of late Pliocene-666 Quaternary motions, both in the Chile and Argentina sections. Several coeval minor folds and reverse faults are present west of these structures. The important length of some of these structures 667 668 and their age claim for further studies to assess if there is a possible seismic potential.

East and north of the MR, several swarms of normal faults are also present. They strike NW-SE north of the MR and N-S at the latitude of the MR. They affect deposits dated to Pliocene-Quaternary times on a stratigraphic basis, or radiometrically dated at 2.4-0.7 Ma BP. The normal fault swarms have length in the order of 8-24 km, and they are mostly located in flat areas, giving rise to graben structures. These data enable ruling out the hypothesis of a genesis linked to slope gravity effects, suggesting they are tectonic faults. Other NW-SE normal fault swarms are linked to caldera failure and resurgence.

These data allow us to underscore that the central Andean chain has been subject to the development of coeval contractional and extensional structures of regional importance. The average altitude of the areas affected by the late Pliocene-Quaternary folds and reverse faults is 3941 m, whereas the areas affected by the extensional tectonic structures have an average altitude of 4520 m. This is consistent with the interpretation that the normal faults here might have been induced by orogen collapse. The change in strike of the normal faults from NW-SE to N-S at the latitude of the northern termination of the MR, may be explained as resulting from orogen-perpendicular collapse and by the inheritance of pre-existing structures that reactivated under the new extensional stress field.

This extensional structural setting is favourable to the transfer of magma to the surface especially within the core of the chain. Nevertheless, several volcanoes are located also ontop of compressional features such as folds and reverse faults, indicating that magma can rise also along paths subjected to a compressional stress state.

689

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# 989 FIGURE CAPTIONS AND TABLE

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991 Figure 1. (A) Inset shows the location of the study area with the Northern Volcanic Zone (NVZ), 992 the Central Volcanic Zone (CVZ), the Southern Volcanic Zone (SVZ), and the Austral Volcanic 993 Zone (AVZ). (B) Structural map of the study area with main late Pliocene-Quaternary faults, folds, 994 and calderas, based on new data from this work and previous papers and geological maps (Marsh et 995 al., 1992; Servicio Geológico Nacional, 1996; Salfity and Monaldi, 1998; Sernageomin, 2003; 996 González et al., 2009; Tibaldi et al., 2009; Bonali et al., 2012). Black boxes show location of 997 corresponding figures. Letters A to G refer to geological sites cited in the main text. CP-Cerro 998 Panizos caldera, COT-Calama-Olacapato-El Toro fault, GC-Guacha caldera, LV-Licancabur 999 volcano, JV-Juriques volcano, LCR-La Casualidad Ridge, LP-La Pacana caldera, MR-Miscanti 000 Ridge, OV-Ollague volcano, PG-Pastos Grandes caldera, SPA-San Pedro de Atacama, TV-Plio-001 Pleistocene Toloncha volcano, VC-Vilama caldera.

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Figure 2. (A) Structural sketch map of the northern sector of the Miscanti Ridge (Chile), showing the main late Pliocene-Quaternary compressional structures (modified after Sernageomin (2003) and González (2009)); location in Figure 1. (B) Photo of reverse faults accompanied by folding of Pliocene ignimbrite deposits (Tucucaro-Patao ignimbrite, 3.1–3.2 Ma); location in Figure 2A. (C) Photo of a lava flow of estimated Pleistocene age whose frontal lobe is offset and uplifted along the Miscanti Ridge. Rose diagrams of strike is shown for the five normal faults and for the four reverse faults; stereo plot for field-surveyed reverse faults, with kinematics, is reported.

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Figure 3. (A) Geological map of the Inacaliri Graben area (Chile) (modified after Sernageomin, 2003, Tibaldi et al., 2009, and Tibaldi et al., 2017a); field-measured vertical offsets of normal faults that create the graben are reported. Rose diagram of strike is shown for the 36 faults, stereo plot of the main field-surveyed normal faults with kinematics is reported. (B) Note the presence of the late Pleistocene-Holocene Pabellon lava dome (21°50'20"S - 68°09'15"W) growth on the NW-striking normal fault (modified after Tibaldi et al., 2009).

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**Figure 4.** Geological-structural map of the De Jorcarda volcano and nearby Pleistocene-Holocene aligned vents; rose diagram of strike is shown for the 13 mapped faults.

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Figure 5. (A) Geological-structural map with new studied normal faults that highlight the "Sol de Manana graben" (Bolivia) (modified after Tibaldi et al., 2009, and Brandmeier, 2014). Arrows indicate the location of field-measured vertical offsets. Rose diagram of strike is shown for the 36 faults; stereo plot of the field-surveyed normal faults with kinematics is reported. (B) Panoramic view of offset lava flows of possible late Pleistocene-Holocene age with a maximum dip-slip amount of about 30 m. (C-D) Detailed views of fault scarps belonging to the SW and NE part of the graben.

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034 Figure 6. (A) Geological map of the area located around Laguna Verde (Bolivia), just north of the 035 Argentina-Bolivia border (modified after Sernageomin, 2003, Tibaldi et al., 2009 and Brandmeier, 036 2014). Newly mapped normal faults affecting the area are reported. North of the Licancabur and Juriques volcanoes, normal faults mainly strike NW-SE, whereas to the south normal faults and 1037 038 extensional fractures strike about N-S and offset ignimbrites of the Purico Formation, dated at 1-1.3 039 Ma by de Silva and Gosnold (2007). Field-measured vertical offsets are reported as well as rose 040 diagrams of strike is shown for the 47 faults; stereo plot of the field-surveyed normal faults with 041 kinematics is reported. (B-C) Photos of a normal faults studied in the area, location in Figure 6A.

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044 Figure 7. (A) Geological map of the studied area with the newly studied normal faults and 045 extensional fractures (geological units after Sernageomin (2003) and Brandmeier, 2014). Green dots 046 locate the structural stations, the respective plane to pole plots of measured joints and extensional 047 fractures are reported. The number in the centre of the pole plot indicates the number of measurements, white arrows indicate the estimated  $\sigma_{Hmin}$  direction based on the statistical 048 049 contouring of the average opening direction from the whole data set of extensional joints collected 050 at each site. Blue-green colours represent lowest density of contour, whereas red colour represents 051 highest density of data. Rose diagram of strike is shown for the 76 faults. (B) Photo of an open, 30-1052 cm-wide, N-S-striking extensional fractures that dips to the east and shows a small (few cms) 053 vertical component. (C) Photo of a set of N10°-striking extensional fractures in the Purico 054 Ignimbrite. (D) Panoramic view of the N-S striking normal fault affecting the Purico Ignimbrite 055 north of the ALMA Observatory.

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058 Figure 8. (A) Geological-structural map of the area with normal faults located at the border Chile-059 Argentina (redrawn after Bonali et al., 2012; Sernageomin, 2003; Brandmeier, 2014). Yellow dots locate the structural stations, the respective plane to pole plots of measured extensional joints and 060 061 fractures are reported. The number in the centre of the pole plot indicates the number of 062 measurements, white arrows indicate the estimated  $\sigma_{Hmin}$  direction based on the statistical 063 contouring of the average opening direction from the whole data set of extensional joints collected 064 at each site. Blue-green colours represent lowest density of contour, whereas red colour represents 065 highest density of data. Rose diagram of strike is shown for the 83 faults as well as the stress tensor 066 calculated on 11 fault planes. (B) Photo of a 35-cm-open, N-S-striking extensional fracture located in the middle of the graben of Figure 6A. (C-D) Photos of about N-S-striking normal faults 067 068 affecting the volcanic unit of Miocene age in the western and eastern part of the graben, 069 respectively. The dashed line represents an offset river gully.

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**Figure 9.** 3-D sketch of distribution of the main late Pliocene-Quaternary stress states in the study area. Note that  $\sigma_1$  is horizontal and trends about E-W in the low lands east and west of the volcanic arc-Puna Plateau, where crust is thinner, whereas  $\sigma_1$  is vertical in the highlands. In the northern part

- of the study area, extension is more common where crust is thicker and gravity orogenic collapse ismore probable. Crust thickness from McGlashan et al. (2008).
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Figure 10. Shaded view of the study area, dark grey zones represent areas with elevation > 4000 m a.s.l.; normal faults are reported as well as the rose diagrams of the fault strike for the entire set of extensional structures (212) and only for normal faults (174) located at an elevation > 4000 m.

- 1083 1084 **Figure 11.** 3-D sketch illustrating the effect of the piling of tectonic units that may produce 1085 flexuring in front of the advancing thrust. Flexuring, in turn, may determine extension at the 1086 extrados of the flexure with reorientation of  $\sigma_3$  that becomes horizontal and normal to the thrust 1087 strike. The fault-propagation fold portrayed is the La Casualidad Ridge.
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**Figure 12.** Example of a volcanic centre exactly located in correspondence of the crest of the faultpropagation fold (at  $24^{\circ}48'26''S$ ,  $68^{\circ}3'51''W$ ), here corresponding to the La Cusualidad Ridge. White lines show bedding attitude.

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**Table 1.** List of localities characterized by late Pliocene to Quaternary deformation. Deformation type, shortening direction, age of involved deposits, altitude and total length of the structures are reported.

Locality	Deformation type	Shortening direction	Age of involved deposits	Altitude (m a.s.l.)	Total length (km)
Northern Miscanti Ridge (Chile)	Main fold	N100°	3.1-3.2 Ma	4200- 5000	40
Miscanti Ridge – Lake Miscanti (Chile)	Main fold	N100°	Plio-Pleistocene	4142- 4380	35
Unnamed (Chile)	Fold/reverse fault	N120°	Pliocene	3930- 4400	13
Toloncha Fault (Chile)	Fault (+ fold)	N90°	Pleistocene	3400- 4000	27.3 (+ 11.7)
La Casualidad Ridge (Argentina)	Fold/reverse fault	N90°-100°	Post-3.1 Ma	3700- 4950	100
14 km NW of Miscanti Lake (Chile)	Folds	N85°	3.1-3.2 Ma	3000- 3700	14
10 km west of Miscanti Lake (Chile)	Folds and reverse faults	N90°	3.1-3.2 Ma and Pleistocene	2900- 3900	9.7
North of Talabre (Chile)	Reverse fault	E-W	2.0-2.3 Ma	3600- 3740	9
North of San Pedro de Atacama (Chile)	Main fold	N100°	4-4.14 Ma	3900- 4100	9.5
Locality	Deformation type	Extension direction	Age involved deposits	Altitude (m a.s.l.)	Total length (km)
SW of Ollague volcano (Chile)	Normal faults	NE-SW	Plio-Pleistocene	3950- 4600	8
Inacaliri graben (Chile)	Normal faults	NE-SW	Pliocene	4510- 5200	19

East of Inacalari	Normal faults	NE-SW	Pliocene	4700-	13
volcano (Bolivia)	Normariaans		Thoeene	5700	
Sol de la Manana	Normal faults		1 2-0 7 Ma	4800-	12
graben (Bolivia)	Normariaults	INE-SVV	1.5-0.7 1010	5250	13
Laguna Verde graben	Normal faults	NE-SW	Plio-Pleistocene	4360-	24
(Bolivia)				5500	
South of Licancabur	Extensional	E-W	1.35 Ma	3950-	18
(Chile)	fractures			4100	
North of ALMA	Normal faults	E-W	1.35 Ma	3336-	8.6
(Chile)				3500	
SE of La Pacana				1200	
caldera (border	Normal faults	E-W	Post-2.4 Ma	4580-	7.8
Argentina-Chile)				4510	