

## 1 **Multifunctional magnetic gold nanomaterials for cancer**

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### 13 **Keywords**

14 Gold nanomaterials, physicochemical properties, gold-magnetic hybrid, multi-imaging,  
15 multi-therapy, cancer theranostics

16

### 17 **Abstract**

18 The integration of multiple imaging and therapeutic agents into a customizable  
19 nanoplatform to allow for accurate identification and rapid prevention of cancer is attracting  
20 great attention. Among the available theranostic nanosystems, magnetic gold  
21 nanoparticles are particularly promising as they exhibit unique physicochemical properties  
22 that can support multiple functions, including: 1) cancer diagnosis by magnetic resonance  
23 imaging, X-ray computed tomography, Raman and photoacoustic imaging, 2) drug delivery  
24 and 3) plasmonic photothermal and photodynamic therapies. This review gives an  
25 overview of recent advances in the fabrication of multifunctional gold nanohybrids with  
26 magnetic and optical properties and their successful demonstration in multimodal imaging  
27 and therapy of cancer. Concerns around toxicity of these nanomaterials are also  
28 discussed in view of an imminent transition to the clinical practice.

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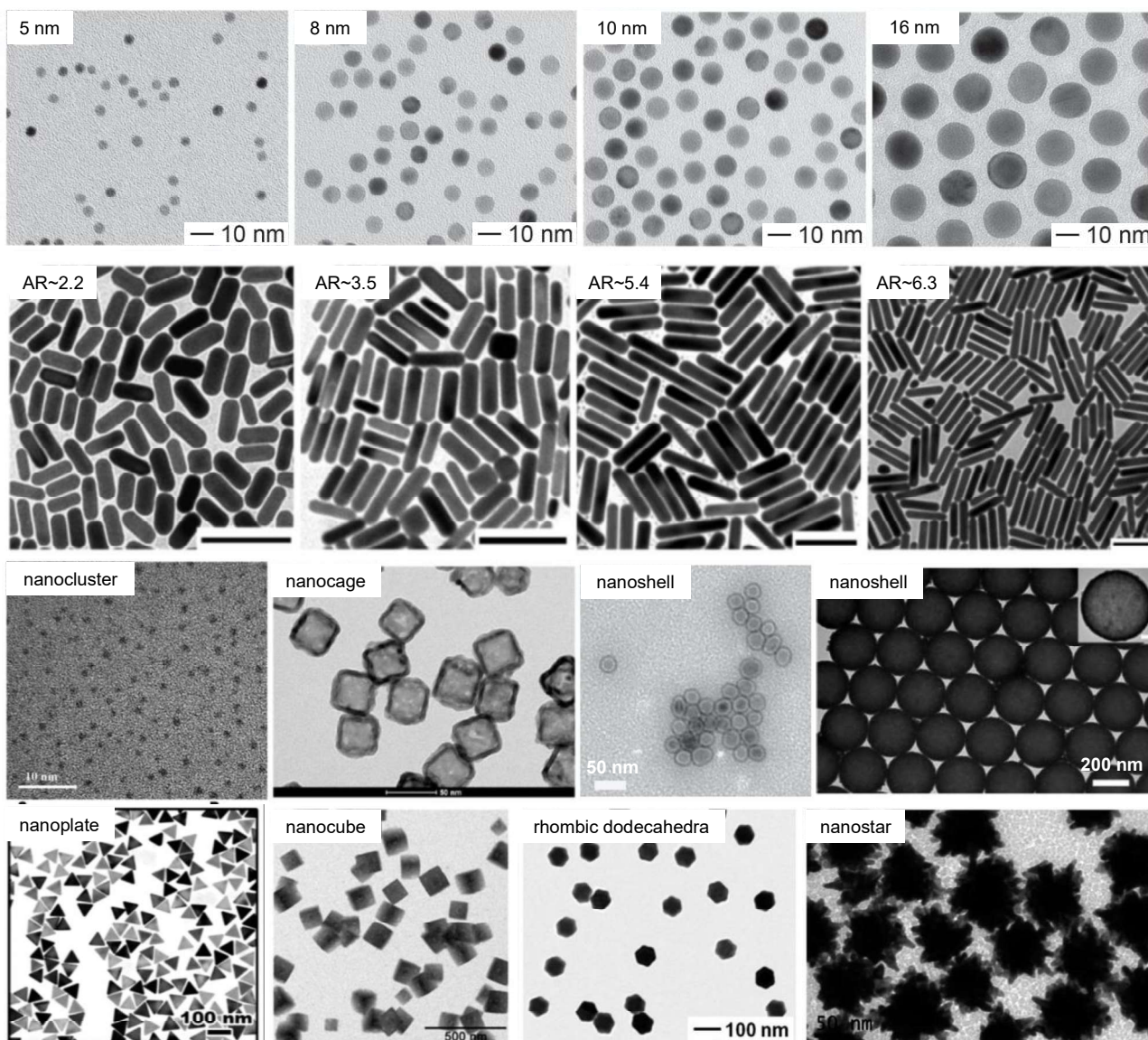
## 1 **Gold nanoparticles allow developing a new generation of all-in-one therapeutic and** 2 **diagnostic materials for biomedicine**

3 In recent years, the use of a **multimodal approach** (see Glossary) for cancer  
4 management has increasingly acquired importance. The preferred strategy refocused from  
5 monotherapy to multitherapy to enhance treatment efficiency, so as to a combination of  
6 cancer therapy and diagnosis. In this transformation, nanotechnology is recognized as a  
7 key player that is expected to drive such profound transformation. Within this vision, two or  
8 more therapeutic agents can be associated into a single **multifunctional nanomaterial** to  
9 obtain the benefits of a bimodal or trimodal synergistic therapy. Moreover, the co-assembly  
10 of drugs and contrast agents is allowed to originate **theranostic** probes able to exert the  
11 detection and cure of tumors at the same time [1].

12 Over the last decade, gold-based magnetic nanoparticles have attracted interest in  
13 the management of **cancer disease** by taking advantage of combined multiple diagnostic  
14 and/or therapeutic potentialities. The multifunctional character of gold nanoparticles  
15 (AuNPs) is mainly attributable to the unique chemical-physical properties of these  
16 nanomaterials, which allows for customization of several diagnostic and therapeutic  
17 functions. The potential of AuNPs as a contrast agent for cancer diagnosis has been  
18 described for magnetic resonance imaging (MRI) associated with spin-active contrast  
19 agents [2,3], X-ray computed tomography (CT) [4], surface-enhanced Raman  
20 spectroscopy (SERS) imaging [5] and **photoacoustic imaging** (PAI) [6].

21 AuNPs also represent an efficient system for plasmonic photothermal and  
22 photodynamic therapies (PPT and PDT) [7], **radiotherapy** (RT) [8], and for the delivery of  
23 drugs [9] and genes [10]. The potential of these nanocomplexes as theranostic systems  
24 with the opportunity of multitherapy for cancer control has been more recently investigated.  
25 The present review is aimed to highlight the potential of these Au-based nanotheranostic  
26 agents to face cancer through multiple approaches.

27 Despite the great potential of magnetic AuNPs for **clinical translation**, very limited  
28 perspective on this topic has been outlined so far [11-13]. The present report aims to  
29 provide an updated and critical insight into the recent literature focusing on hybrid  
30 magnetic AuNPs preparation, classification, chemical-physical characterization, and on the  
31 advanced methodologies for combined cancer diagnosis and therapy. Concerns around  
32 toxicity of these nanomaterials are also discussed in view of a tangible transition into the  
33 clinical practice.



1  
2 Figure 1. Transmission electron microscopy (TEM) images of various gold nanostructures.  
3 The first row shows single-crystal gold nanospheres with different sizes in the range of 5-  
4 16 nm. Reproduced with permission from ref. [18] Copyright 2014 Wiley-VCH. The second  
5 row represents TEM images of gold nanorods with tunable aspect ratio from 2.2 to 6.3.  
6 The scale bars of all images are 100 nm. Reproduced with permission from ref. [21]  
7 Copyright 2015 Ivyspring. The images of gold nanoclusters, nanocages, and nanoshells  
8 are presented in the third row. Reproduced with permission from ref. [16,23,24,26]  
9 Copyright 2014 Royal Society of Chemistry, Copyright 2016 American Chemical Society,  
10 Copyright 2010 Nature Publishing Group, and Copyright 2016 Wiley-VCH. The other  
11 shapes of gold such as nanoplates, nanostars, nanocubes, and rhombic dodecahedra are  
12 shown in the last row. Reproduced with permission from ref. [28-31] Copyright 2010 and  
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14 Chemistry.

## 1 **Gold nanomaterials preparation, classification, chemical-physical characterization**

2 The utilization of biocompatible gold **nanostuctures**, including nanocluster,  
3 nanosphere, nanorod and nanocage, in biomedicine is more favorable compared to other  
4 inorganic nanomaterials owing to their facile synthesis in aqueous solution, straightforward  
5 surface modification and unique physiochemical properties [14-31]. The size and shape  
6 dependent optical properties of gold nanomaterials, including fluorescence, **localized**  
7 **surface plasmon resonance** (LSPR) and effective absorption of X-rays have been  
8 exploited to design multifunctional nanoprobess for cancer diagnosis and treatment.  
9 Several approaches have been reported for the synthesis of these gold nanostructures  
10 (Figure 1), however, we highlight here the most frequently employed wet methods (Table  
11 1).

12 The bright fluorescence in the visible to near-infrared (NIR) spectral region of gold  
13 nanoclusters (AuNCs) is mainly capitalized on bioimaging applications. Nanoclusters are  
14 composed of several to hundreds of gold atoms and can be prepared either by bottom-up  
15 or top-down approaches [15,16]. In bottom-up methods, stable nanoclusters are obtained  
16 via reduction of gold salts in presence of thiol materials as strong capping agents or  
17 peptides as structure-defined scaffolds. The most commonly used reducing agent is  
18 sodium borohydride and sometimes the light is also used to avoid hazardous chemicals.  
19 Conversely, the etching of AuNPs by thiol ligands produce gold nanocluster in a top-down  
20 approach. The optical properties of synthesized gold nanoclusters depend on their  
21 physiochemical characteristics such as size, shape and surface chemistry [15].

22 Gold nanospheres are probably the most widely explored colloidal nanoparticles in  
23 biomedicine and most of the reported methods for their synthesis are based on two  
24 original methods that were developed by Turkevich and Frens [17]. The reduction of  
25  $\text{HAuCl}_4$  using sodium citrate in an aqueous solution produces AuNPs of different sizes  
26 depending on the ratio of reducing agent to gold salt. Adding a seed-mediated growth step  
27 is advantageous to obtain larger nanoparticles [18]. AuNPs are also prepared in a biphasic  
28 solution assisted by a phase transfer agent and a capping surfactant [19]. The typical  
29 single LSPR peak of small round nanoparticles becomes wider and shifts from 520 nm to  
30 higher wavelengths upon increasing size [17].

31 Among various methods for the synthesis of gold nanorods (AuNRs) with controlled  
32 aspect ratio, a simple, highly efficient and reproducible seed-mediated growth method has  
33 been extensively exploited over the past decades [20,21]. This method involves the  
34 synthesis of small AuNPs by reduction of gold precursors (e.g.,  $\text{HAuCl}_4$ ) to be used as

1 seeds for subsequent growth of these seeds into nanorods in presence of growth solution.  
 2 The anisotropic character of AuNRs results in two characteristic LSPR peaks, one  
 3 associated to a transverse mode around 520 nm, which is fixed, while the second relative  
 4 to a longitudinal mode at 600-1800 nm, which is instead dependent on the aspect ratio of  
 5 AuNRs [20,21]. AuNRs exhibit higher photothermal conversion efficiency compared to  
 6 spherical nanoparticles and are therefore more appropriate for **photothermal therapy**.

7 Gold nanoshells can be generated using several colloidal nanomaterials, including  
 8 silica nanoparticles, iron oxide nanoparticles and quantum dots, which act as a template,  
 9 by means of deposition of gold through chemical reduction of gold salts [22-24]. The gold  
 10 layer on these core-shell nanoparticles has thickness-dependent enhanced tunable LSPR  
 11 properties from visible to NIR region. In addition to their unique optical properties, the  
 12 combination with the inherent properties of core materials offers the opportunity to develop  
 13 an efficient multifunctional theranostics platform for cancer.

14 The hollow interior and porous wall of gold nanocages are fabricated by deposition  
 15 of reduced gold on the surface of a silver nanocube template followed by spontaneous  
 16 oxidation of silver through galvanic replacement, producing a colloidal solution with LSPR  
 17 peak in the range between 400-1200 nm [25]. These amazing nanostructures allow to  
 18 incorporate therapeutic agents inside their cavity, making them candidates for cancer  
 19 theranostics [14,25,26].

20 The progress in the synthesis and characterization of other gold nanostructures  
 21 including nanoplates, nanostars, and nanocubes has been also well established in the last  
 22 decades. Most popular methods used for the preparation of these nanostructures are  
 23 chemical reduction and seed-mediated growth [27-31]. Their optical properties including  
 24 NIR LSPR peak make them attractive for biomedical applications. Consequently,  
 25 synthesized gold nanostructures support the development of many different **magnetic**  
 26 **gold** nanostructures more (Box 1) [32-42].

27

28 **Table 1.** Summary of various gold nanostructures for the design and synthesis of  
 29 multifunctional magnetic gold nanocomposite-based cancer theranostics.

nanostructure	synthesis method	characterization	ref.
nanocluster	chemical reduction, photoreduction, chemical etching	bright fluorescence, high two- photon absorption cross-section, good biocompatibility	[15,16]
nanosphere	chemical reduction, seed-	single LSPR peak in the range	[14,17-

	mediated growth	of 520-650 nm, the smallest specific surface area, highest colloidal stability	[19, 27]
nanorod	seed-mediated growth, electrochemical reduction, photochemical reduction	two typical LSPR peaks with the tunable longitudinal mode, higher extinction coefficient, enhanced photothermal conversion efficiency, superior scattering contrast agent	[14, 20,21,27]
nanoshell	template-directed synthesis	tunable LSPR features with stronger NIR absorbance and scattering, highly effective for photothermal therapy and surface-enhanced Raman scattering	[14, 22-24]
nanocage	galvanic replacement reaction	large absorption cross-section, tunable LSPR properties from 400 to 1200 nm, hollow interiors and porous walls	[14, 25,26]
others (e.g., nanoplate, nanostar, nanocube, etc.)	chemical reduction, seed-mediated growth, polyol approach, one-pot synthesis	strong LSPR throughout the visible region and NIR region, more effectiveness of branched nanostructure for surface-enhanced Raman scattering	[14,27-31]

1

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### Box 1. Strategies for the Synthesis of Magnetic Gold Nanostructures

Numerous facile synthesis methods have been well established for the preparation of monodisperse magnetic nanoparticles with tunable sizes and shapes over the past decades [32]. Therefore, for making various types of magnetic gold nanostructures, for example, Janus, core-satellite, and core-shell nanoparticles (Figure I) from individual nanoparticles, we describe briefly most commonly used strategies.

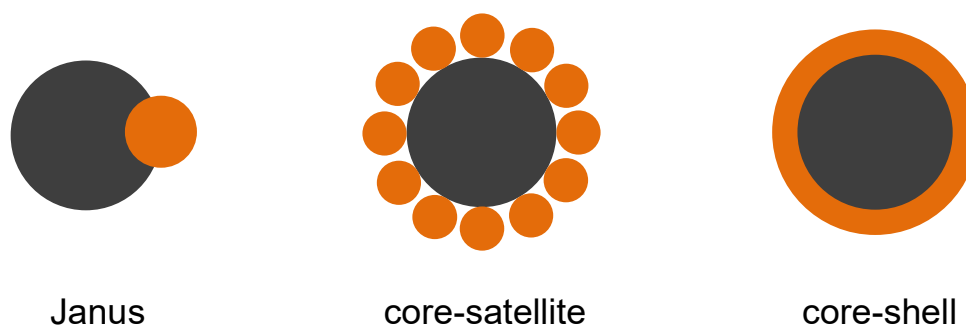


Figure I. Schematic illustrations of frequently synthesized magnetic gold nanostructures for biomedical applications. Black color structures represent magnetic nanoparticles and orange color structures represent AuNPs.

Synthesis of dumbbell-shaped Janus magnetic gold nanostructures involve deposition of single magnetic nanoparticles on each pre-synthesized AuNPs via thermal decomposition of precursors, such as  $\text{Fe}(\text{CO})_5$  for magnetic nanoparticles, or one-pot method where mixture of precursors, for example,  $\text{HAuCl}_4$  and  $\text{Fe}(\text{CO})_5$  for both nanoparticles, are heated simultaneously [33-35]. Synthesized Janus nanoparticles using gold seed are usually monodisperse.

Core-satellite magnetic gold nanostructures consisting of a magnetic nanoparticle core decorated with several smaller AuNPs on peripheral of the core have been prepared by linking separate nanoparticles. These hybrids sometimes act as intermediate for the synthesis of core-shell nanoparticles. In general, negatively charged satellite AuNPs are immobilized on a positively charged inner magnetic nanoparticles via electrostatic interaction [36,37]. Besides, in situ formations of AuNP seeds on the surface of magnetic nanoparticles via reduction of  $\text{HAuCl}_4$  promote the generation of the core-satellite structure [38,39].

Gold coated magnetic nanoparticles have been prepared by two methods. In the direct method, gold precursor is directly deposited onto the chemically modified surface of magnetic nanoparticles having similar crystal lattices, followed by reduction of gold by reducing agents [23,40]. In contrast, indirect methods necessitate adsorbing first small AuNPs on the magnetic nanoparticles surface as seeds that act as nucleation sites to facilitate the growth of the gold shell upon addition of growth solution [41,42]. The latter method is more favorable for the synthesis of highly monodisperse gold nanoshells with tunable thickness.

## 1 **Biomedical applications of hybrid AuNPs**

2 A number of imaging techniques including MRI, CT, and PAI as well as therapeutic  
3 approaches including PTT, PDT, RT, chemotherapy, and hyperthermia therapy using  
4 magnetic gold **nanocomposites** have been developed towards the diagnosis and  
5 treatment of several diseases and especially cancer (Figure 2).

### 6 7 ***Imaging***

8 In view of an accurate detection of occult metastases and due to the need of tissue  
9 reconstruction after treatment of highly aggressive cancer, the development of newly  
10 designed complex biomaterials, imaging techniques, and specialized imaging probes to  
11 sensitively access detailed images of the 3D spatial distribution of malignancies seem  
12 vital. The imaging of tissues helps to differentiate the diseases states like tumors from  
13 healthy environments. Besides, the progress of cancer therapy can be monitored by  
14 bioimaging.

15 MRI is a **non-invasive technique**, widely employed in molecular imaging and  
16 medical diagnosis in the clinic [43]. Contrast agents (CAs), including paramagnetic Gd(III)  
17 complexes for T<sub>1</sub>-weighted images and superparamagnetic iron oxide nanoparticles for T<sub>2</sub>-  
18 weighted images, are frequently employed in MRI to enhance imaging sensitivity and  
19 accuracy in diagnosis [44]. The magnetic relaxivities of CAs are conveniently improved by  
20 combination with plasmonic AuNPs in nanocomposites as a result of surface plasmon-  
21 driven enhanced magneto-optical interaction [45]. The fruitful validation of magnetic-gold  
22 nanocomposites as efficient CAs, for example, Gd(III)-DNA@gold nanostars and Gd(III)-  
23 labeled AuNPs, were demonstrated in MRI of pancreatic cancer with high contrast-to-noise  
24 ratios [46,47].

25 Another widely employed non-invasive imaging and diagnostic clinical technique is  
26 CT, which relies on the absorption of X-rays by tissues and is commonly recommended  
27 after or in concomitance with MRI [43]. Among recently developed nano-CAs, AuNPs are  
28 particularly indicated for CT owing to their intrinsic high X-ray absorption coefficient [48].  
29 Indeed, various magnetic gold hybrids were extensively developed and used as CAs for  
30 pre-clinical identification of tumors by CT [49,50].

31 PAI comes forth as a quantitative and non-invasive real-time diagnostic method  
32 used in clinical setups. It benefits from converting the absorbed light into an outgoing



1 thermoacoustic wave, thus offering a complementary approach to the established imaging  
2 techniques [51]. AuNPs have been suggested as CAs for PAI owing to their extremely high  
3 absorption of light in the NIR window [52]. For this reason, AuNR-bound magnetic  
4 nanoparticles was used in magnetically targeted PAI imaging of cancer [53].

5  
6 Thanks to high sensitivity and accessible cost, optical molecular imaging is an  
7 emerging technique useful for examining the occurrence and progression of the tumor  
8 [43]. For example, fluorescence optical imaging (FOI) and newly developed fluorescent  
9 biomarkers have been customized for real-time monitoring of physicochemical processes  
10 and critical cellular activities associated with tumor insurgence and progression and for  
11 multiplexed imaging of cancer foci detection and therapeutic outcome [43,54]. Therein,  
12 biosynthesized complexes of AuNCs and iron with high fluorescence properties in the NIR  
13 region was more beneficial for early diagnosis of malignant tumors basing on fluorescence  
14 imaging [54]. Two-photon luminescence (TPL) flourished as one of the most powerful  
15 bioimaging tools for imaging and tracking at the microscopic level with high resolution [55].  
16 Due to plasmon modes of metallic nanostructures AuNPs can be used as TPL emission  
17 resources for imaging of **tumor microenvironment** [56]. Plasmonic nanostructures  
18 significantly enhance the signal emission compared to single fluorescent dye molecule  
19 [57]. Furthermore, magnetic AuNPs can improve surface plasmon oscillation and non-  
20 radiative decay of excited AuNPs by an effective magneto-optical coupling, which is  
21 suitable for multimodal imaging [45]. The nanocomposite of AuNPs with IONPs provided  
22 bright TPL images of cancer cells [58].

23 SERS imaging is another promising and complementary optical modality for  
24 surface-sensitive biomedical imaging that allows visualization of molecules distribution in  
25 cells with high resolution [59]. The SERS effect of AuNPs is more useful to enhance the  
26 sensitivity and specificity to determine intracellular cancer biomarkers accurately with very  
27 low noise to signal ratio and multiplexing capability [48]. Thus, AuNPs are an attractive CA  
28 for Raman imaging of cancer cells/tumors. Amendola and colleagues designed magneto-  
29 plasmonic nanoparticles (Au-Fe alloy) that allowed the identification of tumor by SERS  
30 imaging [60].

### 31 **Therapy**

32 Thanks to their easy surface functionalization and capability of thermal ablation of  
33 tumors, AuNPs are gaining popularity in view of an imminent clinical transition. According

1 to their LSPR, AuNPs convert the absorbed laser beam into heat and kill the tumor cells  
2 consequently [61]. However, the application of NIR-assisted therapeutic methods remains  
3 challenging in treating deep tumors due to low tissue penetration of light [48]. Eyvazzadeh  
4 and colleagues demonstrated the potential of Au-coated IONPs with high NIR light  
5 absorption as a candidate for cancer photothermal therapy [62].

6 Radiotherapy is a crucial therapeutic mode applied for the treatment of nearly 50%  
7 of cancer patients [8]. Recently, much interest was raised toward application of AuNPs as  
8 **radiosensitizer** in radiotherapy of cancer with special attention to hypoxic solid tumors  
9 taking advantage of Au nanostructures high absorption and efficiency in generating  
10 secondary electrons under  $\gamma$ -ray or X-ray irradiation [63]. In fact, it has been shown that  
11 AuNPs with different sizes and shapes can improve significantly the effectiveness of  
12 radiation doses both *in vitro* and *in vivo*. Yang and colleagues demonstrated that the  
13 efficiency of radiotherapy depends on the size-dependent accumulation of magnetic  
14 AuNPs at the tumor site [64].

15 PDT recapitulates important benefits such as negligible invasiveness, capability of  
16 being specifically targeted, the possibility of administering repeated doses in contrast to  
17 radiotherapy, and minimal or absent scarring after healing [65]. Another advantage of  
18 PDT, which is beneficial for the patient, is the possibility of being performed in an  
19 outpatient or day-case setting without side effects [65]. Han and colleagues used Gd<sub>2</sub>O<sub>3</sub>-  
20 AuNC hybrids to sensitize the generation of singlet oxygen (<sup>1</sup>O<sub>2</sub>) under NIR laser  
21 stimulation in PDT of cancer. The AuNC component in the Gd<sub>2</sub>O<sub>3</sub>-AuNCs hybrid enhanced  
22 the efficiency of PDT increasing the production of <sup>1</sup>O<sub>2</sub> [66].

23 Hyperthermia has long been used to treat cancer. Hyperthermia kills or weakens  
24 the tumor cells by exposing the body tissues to high temperatures. Since tumor cells are  
25 more sensitive to heat compared to non-diseased cells, they could be more susceptible to  
26 chemotherapy or radiation therapy after hyperthermia treatment [48]. Notably, magnetic  
27 hyperthermia therapy has important clinical advantages because of its ability to destroy  
28 deep tumor tissues in a non-invasive manner taking advantage of wide spatial penetration  
29 of radiofrequency radiation produced by an alternating magnetic field [32].  
30 Superparamagnetic AuNPs clusters showed typical superparamagnetic behavior and  
31 extraordinary magnetic hyperthermia effect, which is more favorable for cancer  
32 theranostics compared to simple photothermal therapy [67].

1 In the past half century, chemotherapy has been one of the most popular cancer  
2 treatment methods [68]. In conventional chemotherapy, delivery of anticancer drug to  
3 tumor cells is performed by the circulatory system. Therefore, this method needs high  
4 concentrations of drug administered to the patient to reach tumor cells and since it is not  
5 tumor-targeted, system toxicity and side effects of the drug are generally high [68].  
6 Therefore, being able to deliver the drug to specific tumor tissues, nanoformulations  
7 become an attractive alternative to traditional chemotherapy. Magnetic assisted drug  
8 delivery systems outperform other targeting techniques exploiting a variety of energy  
9 sources such as electromagnetic fields and ultrasounds [69]. In magnetic targeted drug  
10 delivery (MTD), magnetic nanoparticle surface is modified with an appropriate  
11 biocompatible coating to allow drug loading. Therefore, highly accumulated drug  
12 molecules at the tumor site using an external magnetic field exhibit enhanced anticancer  
13 activity with limited side effects. The characteristics of AuNPs such as plenty of  
14 preparation methodologies and ease of surface functionalization make them potential  
15 candidates for modifying MNPs with versatile profunctional nanostations [48]. For  
16 example, Peng and colleagues reported mesoporous magnetic gold for targeted delivery  
17 of drug to the breast tumor site by exposure to an external magnetic field [70].  
18

#### Box 2. Advantages and Disadvantages of Various Imaging and Therapy Modalities

##### **magnetic resonance imaging**

*advantages:* non-invasiveness, high spatial resolution, unlimited tissue penetration depth, no involvement of radiation

*disadvantages:* relatively low sensitivity, long processing time

*remarks:* enhanced relaxivity of magnetic AuNPs based CAs increased the sensitivity

##### **computed tomography**

*advantages:* non-invasiveness, high spatial resolution, unlimited tissue penetration depth

*disadvantages:* involvement of high dose ionizing radiation, low sensitivity

*remarks:* AuNPs based superior CAs reduced the false positive results

##### **photoacoustic imaging**

*advantages:* fast imaging, high spatial resolution, highly sensitive, 3D image reconstruction

*disadvantages:* low tissue penetration depth, photo-toxicity to surrounding tissues

*remarks:* high effectiveness of AuNPs with magnetic properties as CAs in photoacoustic imaging of tumors

### **fluorescence imaging**

*advantages:* non-invasiveness, highly sensitive, multiplex imaging

*disadvantages:* poor tissue penetration depth, high photobleaching, photo-toxicity to tissues, low spatial resolution

*remarks:* highly photo-stable fluorescence properties of magnetic AuNPs was more successful for imaging of tumor cells/tissues

### **surface enhanced Raman spectroscopy (SERS) imaging**

*advantages:* high sensitivity, multiplex imaging, first signal acquisition

*disadvantages:* laser-induced photodamage of tissues, interference by fluorescence

*remarks:* optical properties of AuNPs improved signal to noise ratio

### **photothermal therapy**

*advantages:* low cost, minimal invasiveness, high specificity

*disadvantages:* photodamage of healthy tissues by high power laser, restricted tissue penetration aptitude of laser

*remarks:* magnetic AuNPs with higher photothermal conversion efficiency successfully inhibited tumor growth using low power laser

### **photodynamic therapy**

*advantages:* slightly invasive, low cost compared to others, localized treatment, rapid and repeatable

*disadvantages:* less effective for large and hypoxic tumor, side effects by laser irradiation

*remarks:* multifunctional magnetic AuNPs enabled to supply oxygen into hypoxic tumor

### **magnetic hyperthermia**

*advantages:* high susceptibility of tumor cells to heat, tumor homing of heat mediators by

external magnetic field, higher tissue penetrating power of magnetic field

*disadvantages:* non-homogeneous distribution of heat mediators into tumor, lack of more efficient heat mediators

*remarks:* combination with other therapies using magnetic AuNPs improved the efficiency

### radiotherapy

*advantages:* more effective for rapid cancer treatment, lower recurrence rate, superior cure rate

*disadvantages:* use of high dose ionizing radiation, damage of surrounding healthy tissues, limited to treat hypoxic solid tumors

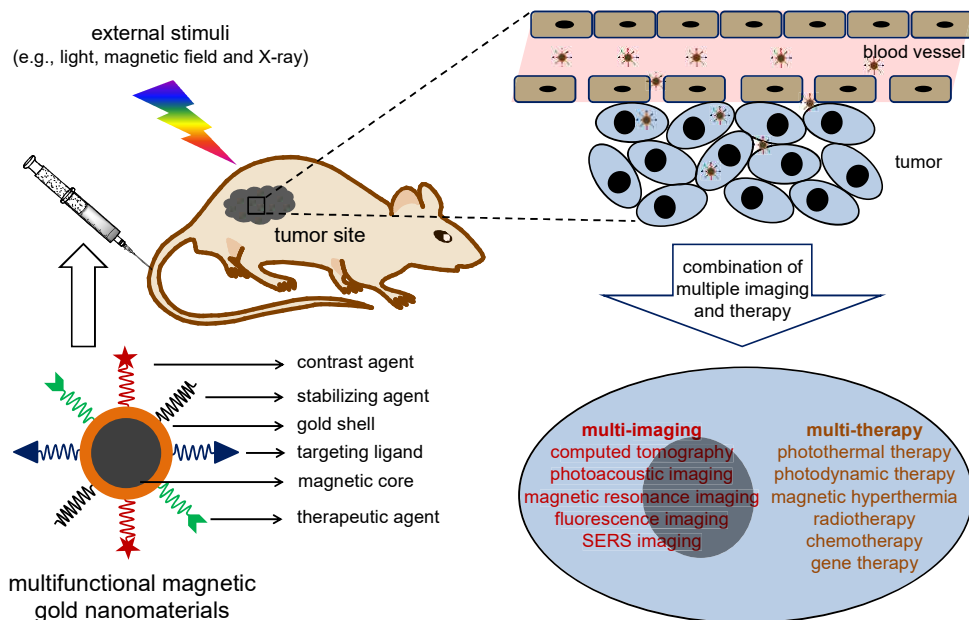
*remarks:* magnetic AuNPs worked as radiosensitizers to reduce the side effects and also overcome hypoxia-induced resistance of ionizing radiation

### chemotherapy

*advantages:* very effective against rapidly growing tumor, reduced the chance of recurrence after surgery, lower acute toxicity compared to radiotherapy

*disadvantages:* affect healthy cells/tissues, long-term side effects

*remarks:* multifunctional magnetic AuNPs provided the selectivity to destroy tumor cells only



1

2 Figure 2. Schematic representation of multifunctional magnetic gold nanomaterials used in  
3 multimodal imaging and therapy of cancer with the aim of developing an effective novel  
4 cancer theranostics.

5

## 1 **Combined AuNPs-based approaches for multi-imaging**

2           The multimodal imaging consists of a combination of more than one non-invasive  
3 imaging techniques into a single platform to achieve enhanced spatial resolution and  
4 improved sensitivity compared to each individual imaging technique (Box 2). In principle,  
5 multimodal imaging is expected to allow the diagnosis of diseases at the early stage and  
6 monitoring the therapeutic effects with high accuracy [71]. Biocompatible multifunctional  
7 gold nanomaterials endowed with active magnetic properties have successfully  
8 established their significant character as an effective CA in order to perform multimodal  
9 imaging including CT, MRI, and FOI. For example, Wu and colleagues used Gd<sup>3+</sup> ion-  
10 induced aggregated gold nanoclusters inside silica with enhanced emission for *in vitro* and  
11 *in vivo* diagnosis of breast cancer by FOI, MRI, and CT imaging techniques at once [72]. In  
12 another study, gadolinium oxide-gold nanocluster hybrids stabilized by BSA protein had  
13 greater contrast capability in trimodal imaging (e.g., CT, MRI, and FOI) of cervical cancer  
14 cells and *in vivo* system [66]. Kuang research group prepared a biocompatible spiky  
15 Fe<sub>3</sub>O<sub>4</sub>@Au supraparticle with enhanced NIR absorption, which efficiently served as an  
16 imaging agent for MRI, CT, and PA imaging of cervical cancer simultaneously [73].  
17 Therefore, such types of multifunctional magnetic AuNPs are more promising agents for  
18 the development of cancer theranostics via employment of their therapeutic aptitudes such  
19 as photothermal therapy, radiotherapy, and magnetic hyperthermia.

20

## 21 **Combined AuNPs-based approaches for multi-therapy**

22           In the last years, the association of multiple therapies in a single nanotool has  
23 received remarkable attention to overcome the limitations of monotherapy for cancer  
24 treatment (Box 2). The use of AuNPs for multitherapy has been mainly directed to  
25 strengthen the efficacy of photothermal therapy by synergistic effects of different therapies.

26

### 27 *Magnetic gold nanoshells for multi-therapy*

28           The magnetic nanocomposites with gold nanoshells that exhibit surface plasmon  
29 absorbance in the NIR region are more promising for the development of an efficient  
30 cancer therapeutic agent via a combination of photothermal therapy with other treatment  
31 modalities. In recent years, several such types of multifunctional nanomaterials offering the  
32 platform of multimodal image-guided cancer therapy have been reported (Table 2). For  
33 example, Yeh research group reported multifunctional nanoparticles based on

1 mesoporous silica coated  $\text{Fe}_3\text{O}_4@Au$  nanoparticles loaded with anticancer drug  
2 doxorubicin followed by oligonucleotide capping for effective treatment of cervical cancer  
3 [74]. The enhancement of nanoparticle internalization and production of heat due to the  
4 photothermal effect of gold inside the tumor was achieved by application of an external  
5 magnetic field followed by NIR laser excitation, which facilitated the drug release in a  
6 controlled manner. Therefore, collective anticancer activities exposed significant  
7 therapeutic effects towards tumor tissue that allowed removing the tumor completely after  
8 14 days. Similarly, Ma and colleagues observed the higher therapeutic effectiveness of  
9 CDF-Au shell nanomicelle in cervical cancer treatment owing to the effects of  
10 photothermal therapy and magnetic field guided drug delivery simultaneously [75]. Huang  
11 research group investigated the effect of multimodal therapy in presence of an external  
12 magnetic field in breast cancer treatment using magnetic gold nanopopcorns containing  
13 NIR absorbing photosensitizer [76]. The *in vitro* studies exhibited that gradient magnetic  
14 field accelerated intracellular uptake as well as the release of photosensitizer agent and as  
15 a result, the synergistic effect of photothermal and photodynamic therapies improved  
16 anticancer activity compared to that without magnetic field. Recently, the combination of  
17 photothermal therapy with radiotherapy using gold-coated IONPs specifically induced the  
18 higher level of apoptosis in KB cancer cells that is suitable for treatment of head and neck  
19 cancer [77].

20

### 21 *Magnetic gold nanomaterial for multi-therapy*

22 The integration of magnetic nanoparticles with gold nanomaterials, particularly  
23 AuNRs, into single nanoplatform is estimated to have greater impact in cancer treatment  
24 (Table 2). In this section, we provide the effectiveness of these nanocomposites in the  
25 management of combination therapy for cancer. The drug-loaded nanocapsule  
26 (GNR@IOs-DOX) with superior magneto-plasmonic characteristic and stimuli-responsive  
27 drug delivery behavior presented a well-organized multifunctional system for effective  
28 cancer treatment [78]. The complete abolition of breast tumor at the early stage without  
29 possible recurrence was attained through the combination of magnetically guided tumor  
30 homing of this nanocapsule and, subsequently, dual effect of NIR laser triggered increased  
31 temperature and released doxorubicin. Hu and colleagues designed and prepared a  
32 successful multifunctional polycationic nanocomposite based on negatively charged  
33 polydopamine coated IONPs and positively charged AuNRs to complex plasmid DNA for  
34 dual therapy of glioma cancer [79]. The combined application of photothermal and gene

1 therapy had more potentiality in inhibition of high proliferation of glioma. A novel  
 2 nanocomposite was developed by Yang and colleagues via decoration of plasmonic  
 3 photothermal agent AuNPs and targeted agent Fe<sub>3</sub>O<sub>4</sub> nanoparticles on black phosphorus  
 4 nanosheet, which performed as photosensitizer [80]. A low-power NIR laser was sufficient  
 5 to produce combo of photothermal and photodynamic therapies to cervical cancer cells  
 6 that effectively inhibited the tumor growth. The multifunctional core-shell magnetic AuNPs  
 7 (Au@FeS-PEG) with strong NIR absorption property exhibited an excellent therapeutic  
 8 function to overcome hypoxia-associated radiotherapy resistance by means of mild  
 9 photothermal therapy-initiated enhancement of oxygen level in the tumor  
 10 microenvironment [81]. Therefore, the efficiency of radiotherapy was enhanced and this  
 11 treatment modality was then further combined with photothermal therapy using this  
 12 multifunctional nanoparticles, resulting in more efficient treatment of **tumor hypoxia**.

13  
 14 **Table 2.** Recently developed magnetic gold nanocomposites for multi-therapy in cancer  
 15 treatment.

	nanocomposite	cancer type	treatment modalities	achievement	ref.
<b>Gold shell</b>	Fe <sub>3</sub> O <sub>4</sub> @Au@mSiO <sub>2</sub> -dsDNA/DOX	cervical	photothermal therapy/chemotherapy	synergistic effect of magnetic field and NIR light showed the significant therapeutic effect	[74]
	CDF-Au	cervical	photothermal therapy/chemotherapy	enhancement of selectivity and potentiality to overcome resistance to the anticancer drug	[75]
	MUA-PEG/SiNC/IOC-Au	breast	photothermal therapy/photodynamic therapy	improved the efficiency of combined therapy using external magnetic field	[76]
	Au@Fe <sub>2</sub> O <sub>3</sub>	head and neck	photothermal therapy/radiotherapy	combined therapy induced a large extent of apoptosis in cancer cell	[77]



<b>Gold material</b>	GNR@IOs-DOX	breast	photothermal therapy/chemotherapy	complete removal of the tumor without recurrence by magnetically tumor homing of the therapeutic agent and its synergistic effect	[78]
	pDNA/Au@PDM/Fe <sub>3</sub> O <sub>4</sub>	glioma	photothermal therapy/gene therapy	effective tumor inhibition through a combination of dual therapy	[79]
	BPs@Au@Fe <sub>3</sub> O <sub>4</sub>	cervical	photothermal therapy/photodynamic therapy	dual therapy using low power NIR light suppressed the tumor growth efficiently	[80]
	Au@FeS-PEG	breast	photothermal therapy/radiotherapy	enhancement of radiotherapy efficiency in hypoxic tumor microenvironment by mild photothermal therapy	[81]

1

## 2 **Combined AuNPs-based approaches for theranostics**

3 Theranostic agents have attracted major interest in recent years because of their  
4 concurrent diagnostic and therapeutic roles in biomedicine. In this regard, nanoparticle-  
5 based platforms have been identified as valid tools for cancer theranostics. Therefore,  
6 multifunctional magnetic AuNPs containing both magnetic and optical properties have  
7 been rapidly developed to achieve an effective theranostic agent, advantageous for multi-  
8 imaging and multi-therapy of cancer. Han and colleagues demonstrated that indocyanine  
9 green-loaded protein-stabilized gadolinium oxide-gold nanoclusters nanoplatform was  
10 more effective for multimodal imaging as mentioned in the previous section and this  
11 nanoplatform further improved PDT and PTT [66]. Drug-loaded nanocapsules (GNR@IOs-  
12 DOX) also allowed for the diagnosis of the tumor by MRI and PAI imaging techniques  
13 because of their magnetic and plasmonic properties [78]. Trimodal imaging (e.g., MRI, CT,  
14 and PAI) of the tumor using nanocomposite of AuNRs and IONPs having multiple  
15 therapeutic abilities was effective for cancer theranostic applications [79].

1            Additionally, several cancer theranostic agents composed of gold and magnetic  
2 materials have been reported through various types of combination such as single therapy  
3 with single imaging, single therapy with multi-imaging, and multi-therapy with single  
4 imaging. Peptide engineered metal-organic framework-based nanocomposites had  
5 longitudinal relaxivity of  $1.204 \text{ mM}^{-1} \text{ s}^{-1}$  and highly stable photothermal conversion  
6 efficiency of 40.5% that are suitable for efficient  $T_1$ -weighted MRI and photothermal  
7 therapy, respectively [82]. Thus, this nanocomposite attained theranostic capacity  
8 specifically for triple-negative breast cancer. The core-shell theranostic nanoplateform  
9 composed of anticancer drug nanoparticles as a core and coated with a thin layer gold  
10 shell with magnetic IONPs was prepared to combine MRI and synergistic effects of PTT  
11 and chemotherapy [83]. Zhang research group developed  $\gamma\text{-Fe}_2\text{O}_3\text{@Au}$  core-shell  
12 magnetic gold nanoflowers-based cancer theranostics, which allowed the precise  
13 determination of the tumor by multi-imaging techniques including MRI, PAI, and SERS, as  
14 well as the efficient destruction of the tumor by PTT [84].

### 15 **Biosafety of magnetic AuNPs**

16            The **biosafety** evaluation of nanomaterials is essential for their safe clinical  
17 application in the biomedical field. The toxicity of nanomaterials depends on their size,  
18 shape, surface chemistry, biological target, and time of exposure [85]. Over the past  
19 decades, several *in vitro* and *in vivo* toxicity studies have been performed to demonstrate  
20 the lower toxicity of individual AuNPs and MNPs [86,87]. However, the complete  
21 understanding of the toxic effects of magnetic gold hybrids to biological systems is still  
22 limited.

23            The most recent studies aimed to elucidate the toxicological impact of magnetic  
24 AuNPs *in vitro* and *in vivo*, highlighted the safety profile of these nanocomplexes. The  
25 hybrid of gadolinium oxide-gold nanoclusters employed for multimodal imaging had  
26 negligible hemolytic effect at concentration range of  $1.6\text{-}16 \text{ mg mL}^{-1}$  and also cell viability  
27 remained above 80% using this hybrid at concentration as high as  $8 \text{ mg mL}^{-1}$  [66].  
28 Multifunctional therapeutic agents  $\text{Au@FeS}$  induced no observable toxicity after 30 days  
29 post-injection to healthy mice at high dose of  $20 \text{ mg kg}^{-1}$  [81]. Furthermore, magnetic gold  
30 hybrids such as  $\text{Fe}_2\text{O}_3\text{@Au}$  exhibited no significant toxicity on healthy tissues including  
31 liver, heart, kidney, and lung [82,84]. As a result, these hybrids were successfully  
32 established as cancer theranostics agents in pre-clinical studies.

33

## 1 **Concluding Remarks and Future Perspectives**

2 Multifunctional nanomaterials have displayed more benefits in biomedicine owing to  
3 their effective synergistic diagnostic and therapeutic effects. In this review, we have  
4 summarized on the design, preparation and properties of magnetic gold multifunctional  
5 nanomaterials as cancer theranostics that support their utilization for simultaneous  
6 diagnosis using multiple imaging techniques as well as treatment using multiple  
7 therapeutic approaches. Recent pre-clinical studies showed that due to the versatile  
8 physiochemical properties of magnetic gold nanomaterials, their applications in multimodal  
9 imaging and therapy of tumors are more fruitful for cancer treatment compared to  
10 individual approaches by overcoming some of their previous limitations. Though several  
11 pre-clinical studies successfully demonstrated the higher theranostic efficiency of magnetic  
12 gold nanomaterials, nevertheless some important aspects should be considered for further  
13 improvement in theranostic outcome and successive clinical translation (see Outstanding  
14 Questions).

15 The magnetic gold nanocomposites possess both magnetic as well as optical  
16 properties and also these properties affect to each other depending on the nature of each  
17 material. Furthermore, the sensitivity and resolution of the various imaging techniques and  
18 the efficiency of therapies are usually different. As a result, the composition of  
19 nanocomposites is a crucial factor to regulate their physiochemical properties and later the  
20 efficiency of multimodal imaging and therapy. Therefore, the optimization of  
21 physiochemical properties of nanocomposites via tuning their size, shape, and  
22 appropriateness of the choice of nanomaterials is essential to enhance their performance.

23 Inorganic nanoparticles possess unique physical and chemical properties that are  
24 more suitable for imaging and therapeutic purpose, while these nanoparticles also exhibit  
25 toxicity effects to human healthy tissues and organs. Additionally, the toxicity depends on  
26 other parameters such as surface chemistry. In order to apply the multifunctional magnetic  
27 gold nanomaterials in the human body; their complete biosafety evaluation is mandatory  
28 by means of monitoring biodistribution, accumulation and clearance of these  
29 nanomaterials in healthy tissues and organs after successful applications. Moreover, to  
30 reduce the significant side effects in multimodal approaches that arise from additional  
31 imaging and therapeutic agents (e.g., radioactive elements and drug molecules) as well as  
32 external stimuli (e.g., high power laser and ionizing radiation) during and after cancer  
33 treatment, several issues should be carefully addressed. For instance, adjustment of

1 minimum essential doses of imaging and therapeutic agents, enhancement of desired  
2 properties of magnetic gold nanomaterials that allows therapeutic performance under  
3 biologically safe external stimuli and selection of less harmful imaging and therapeutic  
4 modalities without any major compromise in the efficiency of cancer treatment. We are  
5 confident that solving most of these issues will bring this advanced new class of colloidal  
6 nanomaterials to maturation for starting the transition toward a first in man study to the  
7 benefit of patients, society and the health care system.

8

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13

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15  
16

## 17    **Glossary**

18    **Biosafety:** the inhibition of loss of biological integrity in large scale due to nanomaterials  
19    effect on human health. Human biosafety of nanomedicine is a major concern for clinical  
20    use.

21    **Cancer disease:** a large number of diseases that involves abnormal cell proliferation and  
22    the ability to spread throughout the body. Cancer is the third leading cause of death in the  
23    world.

24    **Clinical translation:** a research leads to superior development of new diagnostic and  
25    therapeutic approaches by way of measuring their impact in the human body.

26    **Localized surface plasmon resonance:** a unique optical characteristic of conductive  
27    nanomaterials produced by incident light-driven collective oscillation of conduction band  
28    electrons in nanomaterials of a size smaller than the wavelength of light. It is a powerful  
29    analytical technique in biomarkers determination as well as useful for photothermal  
30    therapy.

31    **Magnetic gold:** a type of nanocomposites composed of gold nanomaterials and magnetic  
32    materials shows the inherent properties of both materials.

1 **Multifunctional nanomaterial:** an innovative nanoplatform in biomedicine that exhibits  
2 multiple functions to determine and treatment of diseases by acting as contrast agents and  
3 therapeutic agents for various imaging and therapy. Multifunctional nanoparticles are more  
4 effective for cancer management.

5 **Multimodal approach:** most effective approach in biomedical field consists of more than  
6 one diagnostic and therapeutic approach in order to precise identification as well as  
7 enhanced treatment of diseases.

8 **Nanocomposites:** high-performance nanomaterials made of two or more materials that  
9 display properties of typical properties of individual material.

10 **Nanostructures:** a material of different dimensions with size in the range of nanometer  
11 scale.

12 **Non-invasive technique:** a conventional medical technique that does not involve incision  
13 into the body or the removal of tissue during diagnosis as well as treatment.

14 **Photoacoustic imaging:** photoacoustic effect based an emerging biomedical imaging  
15 modality that has high prospective in preclinical research and clinical practice.

16 **Photothermal therapy:** a physiochemical therapy for cancer treatment in which released  
17 heat from photothermal agents (e.g., AuNPs) with high photothermal conversion efficiency  
18 under NIR laser irradiation kill cancer cells.

19 **Radiotherapy:** a therapeutic approach using a high dose of ionizing radiation to destroy  
20 cancer cells and shrink the tumors subsequently with limited side effects and high success  
21 rate.

22 **Radiosensitizer:** an agent including gold nanomaterials that archives greater tumor  
23 inhibition by amplification of X-ray radiation doses.

24 **Theranostic:** a new field of medicine in which simultaneous diagnosis and therapy are  
25 performed for the management of diseases.

26 **Tumor hypoxia:** a state of solid tumors arises from an insufficient supply of oxygen in  
27 blood vessels that leads to resistance to radiotherapy as well as chemotherapy.

28 **Tumor microenvironment:** the cellular environment where the tumor cells are  
29 surrounded by non-cancerous cells including fibroblasts, immune cells, proteins, and blood

1 vessels. The tumor can alteration this environment while the environment can affect the  
2 growth of the tumor.

3

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5

1 **Outstanding Questions**

2           What is the role of size, shape, and composition of each material in nanocomposites  
3 to develop a novel cancer theranostics with optimum magnetic and optical properties for  
4 effective cancer diagnosis and treatment?

5           How to minimize the intrinsic toxicity of nanomaterials and side effects of an overdose  
6 of therapeutic agents and external stimuli to healthy tissues and organs?

7           Why do the unique magnetic and optical properties of magnetic gold nanomaterials  
8 allow for enhanced multiple imaging and therapy?

9           How many imaging and therapeutic modalities are needed for the development of  
10 multimodal cancer theranostic for the sensitive and selective early detection of cancer and  
11 also effective treatment of cancer including drug-resistant, hypoxic and metastatic tumor  
12 without any recurrence?

13           How can we modify the surface of magnetic gold nanomaterials with various agents  
14 (e.g., imaging, therapeutic, targeting and stabilizing) of appropriate ratios to get highly  
15 colloidal stable and biocompatible multifunctional magnetic gold nanomaterials for targeted  
16 cancer therapy in the clinic?

17

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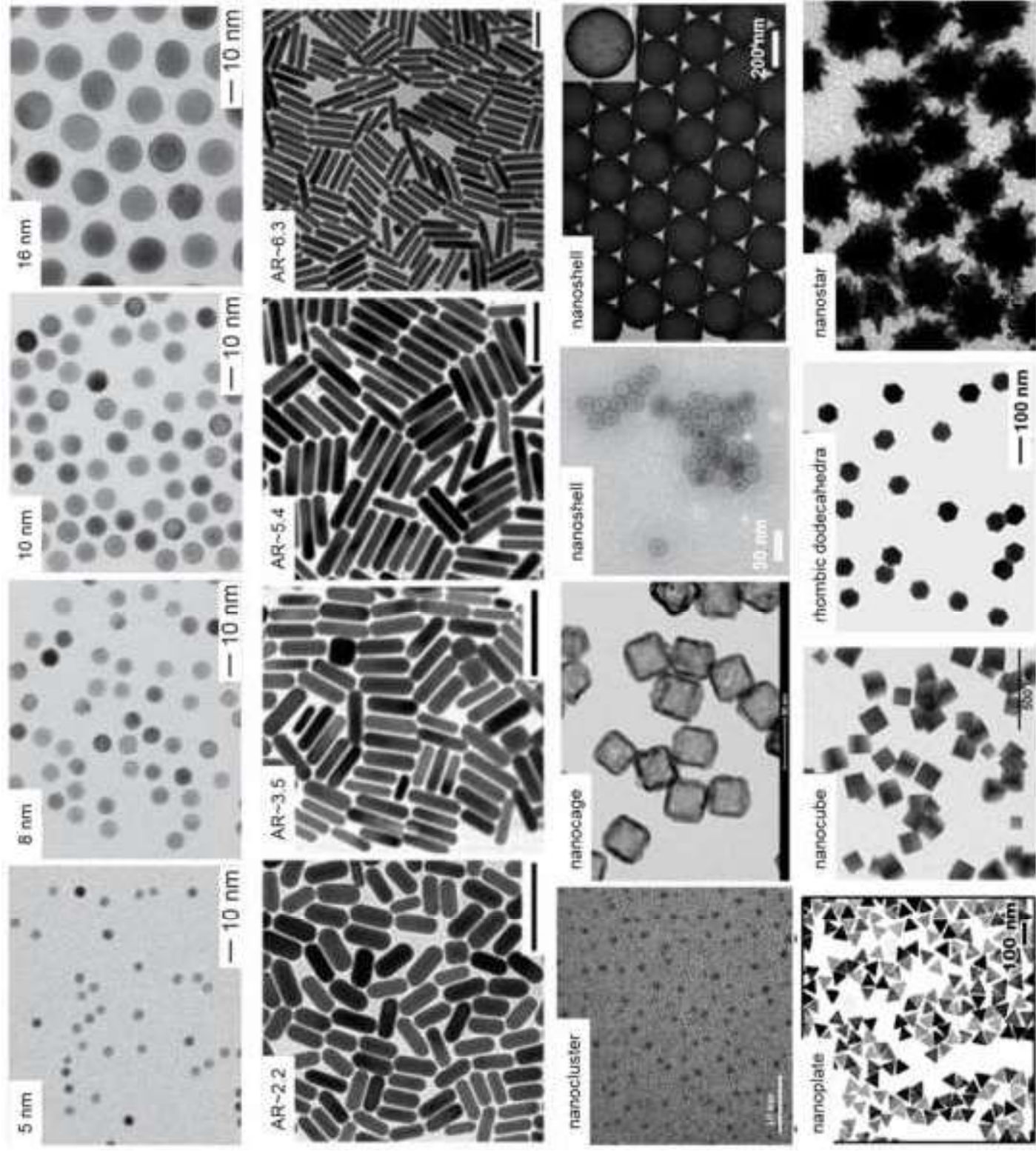
## Highlights

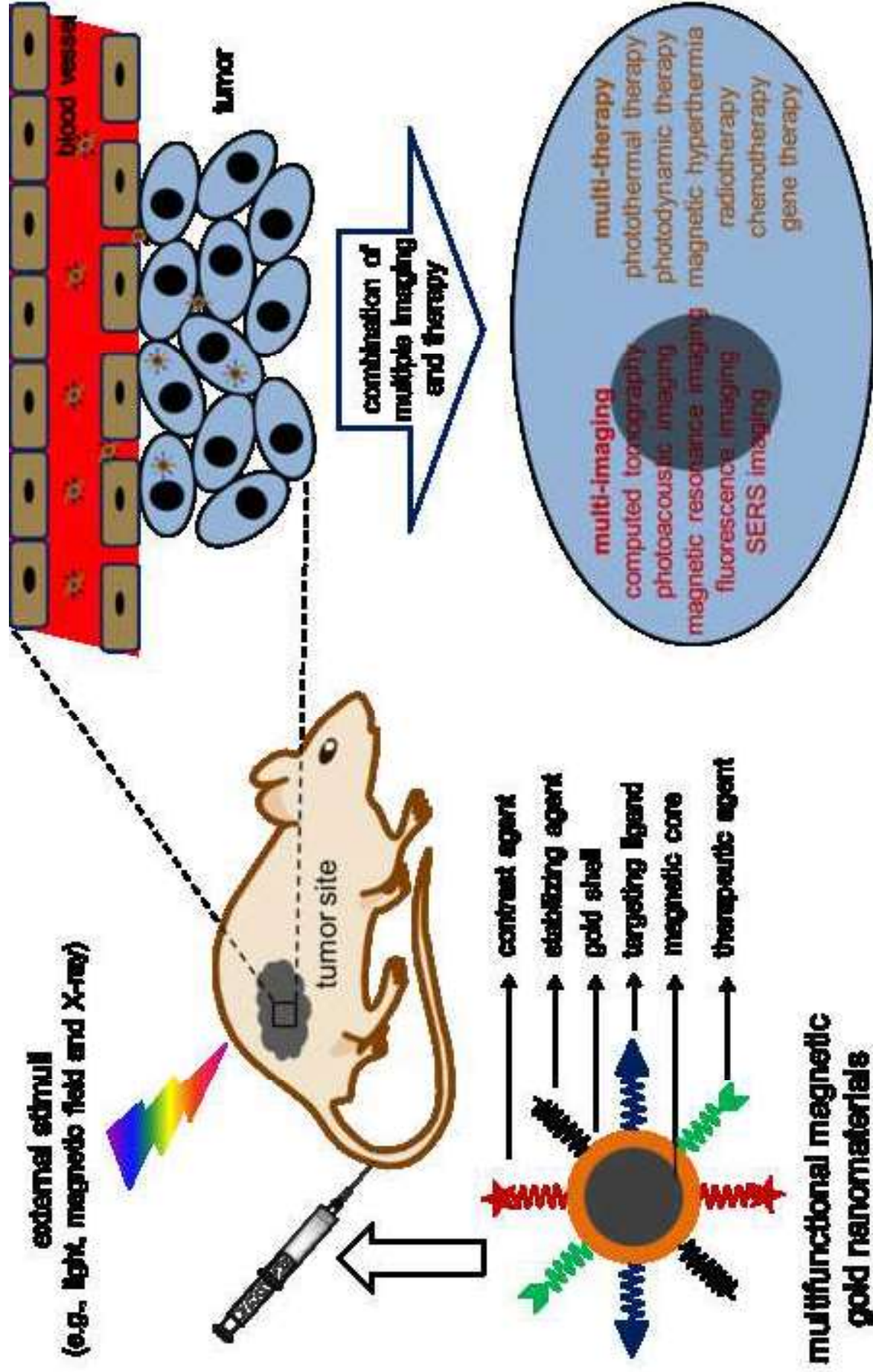
Fundamental strategies for the synthesis of gold nanostructures with desirable optical properties are well developed, which is mandatory requirement for the design and fabrication of multifunctional magnetic gold nanomaterials.

Use of magnetic gold nanomaterials as contrast agent in multimodal imaging overcome the limitations of each individual imaging technique in early stage cancer diagnosis by providing enhanced spatial resolution and improved sensitivity.

Synergistic effects of multiple therapies using magnetic gold nanomaterials based therapeutic agent are more effective in the treatment of cancer compared to single therapy.

Cancer theranostics based on a combination of multiple imaging and therapies show excessive potentiality to fight against cancer, in which biocompatible multifunctional magnetic gold nanomaterials can play a significant role in clinical translation in the future.

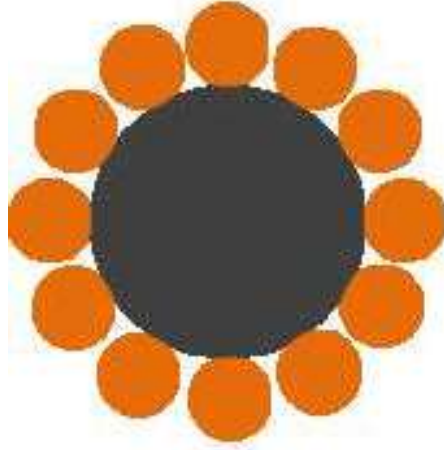








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