1 **Post-print**

Eva, E., Malusà, M. G., & Solarino, S. (2020)

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Tectonics, 39(9), e2020TC006086

- 10 https://doi.org/10.1029/2020TC006086
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12 13	Seismotectonics at the transition between opposite-dipping slabs (western Alpine region)
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20	Key Points:
21	• Catalog of relocated seismic events and focal mechanisms for the past 30 years
22	• Seismotectonic data interpretation in the light of geology and deep tectonic structures
23	• A revised seismotectonic model for the western Alpine region
24	Abstract
25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42	We analyze a fully reprocessed dataset of ~ 9,000 seismic events recorded in the western Alpine region during the past 30 years, in order to understand how convergence between Africa and Eurasia is presently accommodated at the transition between the opposite-dipping Alpine and Apenninic slabs. We confirm that seismicity in the Internal Zone of the Western Alps is clustered along two different arcs (Briançonnais and Piedmont arcs), clearly outlined by events in the 0-12 km depth range. The Piedmont Arc is best outlined by events in the 12- 30 km depth range, forming a narrow belt that matches the shape and location of the Ivrea gravity anomaly. In the Internal Zone, σ_3 is oblique to the orogen trend. Although the mountain range is spreading gravitationally at a shallow level, spreading occurs intermittently with other earthquakes that are more directly related to plate interactions. Strike-slip solutions are predominant for events of magnitude $M_1 > 4$, and reverse solutions are dominant along the Piedmont Arc for events of magnitude $M_1 < 4$. Nodal planes have dominant NNW-SSE and ENE-WSW orientations that are common to major faults mapped in the study area. Integration with available tectonic and geodynamic constraints indicates that lithology distribution in the subduction wedge, orientation of major faults within and outside the subduction zone, and the exhumation of mantle rocks at shallow depth concurrently determine a complex seismotectonic scenario that may be expected in other subduction zones worldwide.
43	1 Introduction
44 45 46	The western Alpine region (Fig. 1a, d) is located at the transition between the opposite-dipping Alpine and Apenninic slabs [<i>Piromallo & Morelli</i> , 2003; <i>Sun et al.</i> , 2019; <i>Zhao et al.</i> , 2016]. It shows relatively minor seismicity [<i>Chiarabba et al.</i> , 2005; <i>Giardini et al.</i> , 2006].

47 *al.*, 1999] due to slow convergence between tectonic plates [*Serpelloni et al.*, 2016; *Sánchez*

48 *et al.*, 2018]. However, it is a key area to understand how deformation is partitioned at the

49 transition between subduction zones with opposite polarities, as surface geology has been

investigated for more than a century, seismicity has been continuously recorded since the
 1960s [*Amato et al.*, 1997, *Eva et al.*, 2010], and the deep tectonic structure is increasingly

well-known thanks to recent geophysical investigations [e.g., *Kästle et al.*, 2018; *Salimbeni et*

al., 2018; *Solarino et al.*, 2018; *Zhao et al.*, 2015; 2020]. Several kinematic models have been

54 proposed to explain the post-metamorphic evolution of the Alpine region, either invoking

horizontal indentation [e.g., Laubscher, 1988; Schmid et al., 2017; Tapponnier, 1977],

- 56 microplate rotation [e.g., Ménard, 1988; Vialon, 1990], or syn- to post-orogenic gravitational
- collapse [Eva et al., 1997; Eva & Solarino, 1998; Sue et al., 1999, 2007]. Recent studies also
- 58 highlight the impact of Apenninic subduction on the former Alpine subduction zone
- 59 [Carminati and Doglioni, 2012; Malusà et al., 2015; 2016a,b; Molli & Malavieille, 2011],
- from shallow crustal levels [*Malusà & Balestrieri*, 2012] to the asthenospheric mantle
- 61 [Malusà et al., 2018; Salimbeni et al., 2018], as well as the potential impact of glaciations
- and erosion [*Champagnac et al.*, 2007; *Nocquet et al.*, 2016; *Sternai et al.*, 2019].

In this article, we analyze the seismicity of the western Alpine region in the light of available tectonic and geodynamic constraints, based on a fully reprocessed dataset of ~ 9,000 seismic events recorded in the past 30 years. Our results improve the seismotectonic picture of the western Alpine area and provide pinpoints to understand how convergence

- between Africa and Eurasia is presently accommodated along the Adria-Europe plate-
- 68 boundary zone.

69 2 Tectonic Setting

70 2.1 Geology of the Western Alpine Region

71 The complex geologic configuration of the western Alpine region was largely shaped by Cretaceous-to-Present convergence between Africa and Eurasia [Dewey et al., 1989; 72 Jolivet et al., 2003], which led to the subduction of the Alpine Tethys and adjoining European 73 paleomargin beneath the Adriatic microplate [Handy et al., 2010; Malusà et al., 2015]. The 74 75 composite Alpine subduction wedge is well exposed in the so-called Internal Zone of the Western Alps (Fig. 1b) [Beltrando et al., 2010; Guillot et al., 2004]. It includes, from east to 76 west: (i) Austroalpine units accreted to the Adriatic upper plate in the Late Cretaceous (brown 77 in Fig. 1); (ii) a belt of eclogitic units rapidly exhumed in the late Eocene (dark blue in Fig. 78 1); and (iii) lower-grade frontal units piled up during the Paleogene (light blue in Fig. 1) 79 [Lardeaux et al., 2006; Schmid et al., 2004]. The Eocene eclogite belt [Malusà et al., 2011] 80 extends from the Lepontine dome to the Sestri-Voltaggio Fault (SVF in Fig. 1c) and includes 81 tectonic domes of eclogitized European continental crust (Monte Rosa, Gran Paradiso, Dora-82 Maira) enveloped by eclogitic metaophiolites (e.g., Viso, Voltri) [Bigi et al., 1990]. The 83 Paleogene frontal units form a double-vergence stack of blueschist-to-greenschist facies 84 cover sequences and basement slivers, locally capped by subgreenschist facies ophiolites 85 86 (e.g., Chenaillet) and turbidites (e.g., Helminthoid Flysch units). The Frontal Pennine Fault (FPF in Fig. 1c; Nicolas et al., 1990a) separates the frontal units of the Internal Zone from the 87 pre-Alpine basement of the External Zone (e.g, Mont Blanc, Belledonne and Argentera 88 89 External Massifs) and overlying Helvetic-Dauphinois cover sequences [Bellahsen et al., 2012; Dumont et al., 2011]. 90

91 The remarkably arcuate shape of the Alpine belt developed during different stages of the Alpine evolution. The arc at the transition between the Central and the Western Alps is 92 mainly an inheritance of continental breakup [Malusà et al., 2015; Vialon, 1990], as attested 93 by the continuous trend of the Ivrea gravity anomaly (Fig. 1b) that marks a slice of Adriatic 94 mantle exhumed to shallow depth during Tethyan rifting [Nicolas et al., 1990a; Scafidi et al., 95 2006; Zhao et al., 2015; Schmid et al., 2017]. In the southern Western Alps, part of the Ivrea 96 gravity anomaly can be ascribed to mantle wedge rocks exhumed to shallow (10-12 km) 97 depth beneath the Dora-Maira in the late Eocene, possibly due to localized plate divergence 98 during northward Adria motion [Liao et al., 2018a; Solarino et al., 2018]. The arc at the 99 100 transition between the Western and the Ligurian Alps is a much younger feature of the Adria-Europe plate boundary zone [Jolivet et al., 2003; Malusà et al., 2015], developed during 101 102 Neogene rollback of the Apenninic slab, the consequent opening of the Ligurian-Provençal 103







106 Figure 1. a: Tectonic sketch map of the Adria-Europe plate boundary zone and location of the western Alpine 107 region (green box). **b**: Spatial distribution of seismic events with $M_1 > 2.0$ recorded in the western Alpine region 108 by the INGV National Central Seismic Network and surrounding local networks from 1986 to 2016 (~9000 109 catalog events, black circles). These events define two long-recognized arcs, the Brianconnais Arc (BA) and the 110 Piedmont Arc (PA). Ivrea gravity anomaly (0 mGal isoline, in brown) after Bigi et al. (1990). c: Same dataset 111 after HypoDD relocation (~7000 events, red circles). The green lines indicate the cross sections of Figs. 2 and 112 3a. Acronyms of tectonic domains (in black): Aa, Aar; Ag, Argentera; AR, Aiguilles Rouges; Be, Belledonne; 113 Br, Briançonnais; DB, Dent Blanche; DM, Dora-Maira; EU, Embrunais-Ubaye; GP, Gran Paradiso; IV, Ivrea-114 Verbano; LB, Ligurian Briançonnais; LOC, Ligurian oceanic crust; MB, Mont Blanc; ME, Maures-Esterel; Mo, 115 Monferrato; MR, M. Rosa; Pe, Pelvoux; Sa, Sanremo Flysch; Sc, Schistes lustrés; Se, Sesia-Lanzo; TPB, 116 Tertiary Piedmont Basin; Vo, Voltri. Acronyms of major faults (in blue): ARF, Aosta-Ranzola Fault; BeF, 117 Belledonne Fault; BrF, Briançonnais Fault; BTF, Belleface-Trajo Fault; BzF, Bersezio Fault; CF, Chaligne 118 Fault; ChF, Chisone Fault; CoF, Cogne Fault; CxF, Chamonix Fault; FPF, Frontal Pennine Fault; GF, Gignod 119 Fault; IF, Insubric Fault; IHF, Internal Houiller Fault; LiT, Ligurian Thrust; LoF, Longitudinal Faults; LTF, Lis120 Trana Fault; MDF, Middle Durance Fault; MSF, Middle Susa Valley Fault; MTF, Monferrato Thrust Front;

OSF, Ospizio Sottile Fault; PaF, Parpaillon Fault; RhF, Rhone Fault; RMF, Rivoli-Marene Deep Fault; SF,
 Stura Fault; SiF, Simplon Fault; ST, Southalpine Thrusts; STF, Saorge-Taggia Fault; SVF, Sestri-Voltaggio

Fault; SzT, Saluzzo Thrust; VVF, Villalvernia-Varzi Fault. Tectonic map after Malusà et al. (2015). **d:** Slab

structure beneath the western Alpine region (after Zhao et al., 2016). The question mark indicates the site of

125 Alpine slab breakoff according to Kästle et al. (2020). Acronyms as in (a).

126 and Tyrrhenian basins in the Apenninic backarc [Faccenna et al., 2001], and the coeval 127 counterclockwise rotation of the Corsica-Sardinia continental block [Gattacceca et al., 2007]. The southern part of the Alpine subduction wedge, which is unconformably overlain by the 128 Oligocene-Miocene successions of the Tertiary Piedmont Basin (TPB in Fig. 1c), underwent 129 major counterclockwise rotation at this stage [Collombet et al., 2002; Maffione et al., 2008], 130 131 and was partly affected by Apenninic tectonics [e.g., Malusà & Balestrieri, 2012; Mosca et al., 2010]. The Monferrato thrust front (MTF in Fig. 1c) bounds to the north this rotated 132 133 segment of the Alpine subduction wedge. Farther north, the sedimentary succession of the Po Plain rests directly on top of the Mesozoic cover of the Adriatic microplate [Pieri & Groppi, 134 135 1981].

The complex upper crustal structure of the western Alpine region mirrors the 136 complexity documented by geophysical studies in the underlying upper mantle [e.g., 137 Lippitsch et al., 2003; Piromallo & Morelli, 2003; Giacomuzzi et al., 2011; Zhao et al., 2016, 138 2020; Hua et al., 2017; Kästle et al., 2018; Malusà et al., 2018; Solarino et al. 2018; Sun et 139 al., 2019]. The western Alpine area is in fact located at the transition between the SE-dipping 140 141 Alpine slab, to the north, and the SW-dipping Apenninic slab, to the south (Fig. 1d). Both slabs are imaged by recent high-resolution tomography models, but it is still debated whether 142 the western Alpine slab experienced break-off [e.g., Lippitsch et al., 2003; Beller et al., 2018; 143 Kästle et al., 2020] or not [e.g., Zhao et al., 2016; Hua et al., 2017; Ji et al., 2019]. 144

145 2.2 The Network of Major Faults

The Cenozoic evolution of the western Alpine region has led to the development of a 146 147 complex fault network in the upper crust (Fig. 1), investigated by several studies in the past twenty years [e.g., Bistacchi et al., 2000; Champagnac et al., 2004; Larroque et al., 2009; 148 149 Malusà et al., 2006; 2009; Sanchez et al., 2010; Sue & Tricart, 1999; 2003]. The major faults of the Internal Zone are generally parallel to the Frontal Pennine Fault and to the Alpine 150 orogen trend (see Fig. 1c). They include the east-dipping Brianconnais Fault (BrF in Fig. 1c) 151 152 [Bertrand et al., 1996; Bousquet et al., 2002] and the steeply dipping Internal Houiller Fault (IHF in Fig. 1c) [Malusà et al., 2005a,b; Polino et al., 2012] and Longitudinal Faults (LoF in 153 Fig. 1c) [Barfety and Gidon, 1975; Sue et al., 2007]. Lower-rank faults lying at a higher angle 154 to the orogen trend are found farther east, e.g., the Simplon Fault (SiF in Fig. 1c) at the 155 boundary between the Western and the Central Alps [Campani et al., 2010; Mancktelow, 156 157 1985], the Aosta-Ranzola Fault (ARF in Fig. 1c) in the NW Alps [Bistacchi et al., 2001; Malusà et al., 2009] and the Middle Susa Valley Fault (MSF in Fig. 1c) in the SW Alps 158 [Malusà, 2004; Perrone et al., 2011]. Other steeply dipping faults near-parallel to the orogen 159 160 trend are found along the eastern boundary of the eclogitic tectonic domes, such as the 161 Ospizio-Sottile (OSF in Fig. 1c) and Lis-Trana (LTF in Fig. 1c) faults [Bistacchi et al., 2000; Perrone et al., 2010]. Farther east, the dextral-reverse Insubric Fault (IF in Fig. 1c) 162 163 juxtaposes Austroalpine units that have experienced Cretaceous metamorphism to 164 Southalpine units bearing no evidence of Alpine metamorphic overprint [e.g., Schmid & Kissling, 2000; Müller et al., 2001]. To the south, the Insubric and Lis-Trana faults merge 165 beneath the western Po Plain into the Rivoli-Marene Deep Fault (RMF in Fig 1c) [Eva et al., 166

2015], a steeply dipping tectonic structure rooted in the Adriatic lithospheric mantle [*Malusà et al.*, 2017].

Major high-angle faults are also found in the External Zone of the Western Alps. They 169 170 are the Chamonix (CxF in Fig. 1c) [Gurlay & Ricou, 1983], Belledonne (BeF in Fig. 1c) [Thouvenot et al., 2003], Parpaillon (PaF in Fig. 1c) [Tricart, 2004] and Bersezio (BzF in Fig. 171 1c) [Sanchez et al., 2011] faults. Low-angle thrusts are found in a more external position 172 beneath the External Massifs [Malusà et al., 2005a] and in the Subalpine Chains [Schwartz et 173 al., 2017]. The Internal Zone of the Western Alps is bounded to the south by the steeply 174 dipping Stura Fault (SF in Fig. 1c) [Ricou, 1981; Giglia et al., 1996], an ESE-WNW structure 175 that displaced the Frontal Pennine Fault after deposition of the Annot Sandstone in the 176 177 Oligocene [Malusà et al., 2009; 2015]). Other ESE-WNW faults are found all along the Ligurian coast in the Voltri, Ligurian Brianconnais and Sanremo Flysch units (e.g., the 178 179 Saorge-Taggia Fault, STF in Fig. 1c) [Sanchez et al., 2010; Turino et al., 2009]. A major north-dipping thrust (Ligurian Thrust – LiT in Fig. 1c) is likely located offshore Liguria at the 180 181 base of the continental slope [Larroque et al., 2001; 2011]. Major south-dipping thrusts are 182 found beneath the western Po Plain (e.g, the Monferrato Thrust Front, MTF in Fig. 1c) [Pieri 183 & Groppi, 1981], in front of the north-dipping Southalpine thrusts (ST in Fig. 1c) [Fantoni et al., 2004]. 184

185 2.3 Seismicity in the Western Alpine Region

186 Seismicity in the western Alpine region is mainly concentrated in the Internal Zone 187 (Fig. 1b) and typically shows low to moderate magnitudes $(2 \le M_1 \le 4)$ [e.g., *Béthoux et al.*, 1998; Eva & Solarino, 1998; Eva et al., 1997; 1998; 2015; Godano et al., 2013; Jenatton et 188 al., 2007; Sue et al., 1999]. Seismic events form two long-recognized arcs [Rothé, 1941], 189 190 namely the Briançonnais Arc (BA in Fig. 1b) and the Piedmont Arc (PA in Fig. 1b) [Bethoux et al., 1998; Eva et al., 1997; Sue et al., 2007]. These seismic arcs apparently merge 191 192 southward into a single belt (Fig. 1b), reaching as far south as the Ligurian coast where the 193 seismicity is clustered offshore at the foot of the continental margin [Eva et al., 2001]. 194 Outside either west or east of the Alpine Internal Zone, earthquake distribution is often 195 clustered along major faults [e.g., Turino et al., 2009; Eva et al., 2015]. The Lepontine dome and the western Southern Alps are instead almost aseismic (Fig. 1b). Based on the analysis of 196 197 focal mechanisms, several studies [e.g., Eva & Solarino, 1998; Delacou et al., 2004; Sue et 198 al., 2007] have suggested a continuous area of extension in the core of the Western Alps, classically explained in terms of isostatic re-equilibration after cessation of Adria-Europe 199 convergence. Other studies provide seismotectonic evidence of active convergence south of 200 201 the Argentera [Larroque et al., 2009] and of active strike-slip faulting in the lithospheric 202 mantle beneath the western Po Plain [Malusà et al., 2017].

203 2.4 Geodetic Constraints

204 Present-day convergence rates in the western Alpine area are generally <1 mm/yr [e.g.,

205 Calais et al., 2002; Nocquet & Calais, 2003; Nocquet, 2012], i.e., much lower than those

206 inferred by palinspastic reconstructions of the Alpine region for the Oligocene and the

207 Miocene [e.g., Handy et al., 2010; Malusà et al., 2011, 2015] and much lower than geodetic

- uplift rates [Nocquet et al., 2016]. On the scale of the Central Mediterranean, geodetic studies
- 209 document ongoing NW-ward convergence of Africa towards Eurasia at rates of 4–5 mm/yr
- 210 [McClusky et al., 2003; Nocquet et al., 2006]. Most of this convergence is accommodated
- along the Maghrebides [Nocquet & Calais, 2004; Serpelloni et al., 2007], but ~10% of that
- motion is likely transferred northward to Sardinia and Corsica [Larroque et al., 2009;
- 213 *Nocquet*, 2012]. Continuous GPS measurements indicate convergence at rates of 0.4-0.8
- 214 mm/yr between Corsica, to the south, and the Ligurian Alps, the Monferrato and the

215 Provençal coast to the north [see Larroque et al., 2009, their Fig. 4]. North of the Ligurian-

216 Provençal basin, GPS measurements indicate convergence between the Provençal coast and

the Monferrato (at rates of 0.2-0.4 mm/yr), extension between the Argentera Massif and the

Monferrato (at rates of 0.2-0.7 mm/yr), and convergence between the Provençal coast and the Argentera Massif (at rates of 0.3-0.9 mm/yr) [*Larroque et al.*, 2009]. Recent geodetic

- measurements confirm extension at higher rates in the southern Internal Zone compared to
- the Argentera Massif, and shortening in the foreland areas [*Walpersdorf et al.*, 2018]. GPS
- data are also consistent with a counterclockwise rotation of Adria relative to Eurasia around a
- pole located in the western Po Plain [*Calais et al.*, 2002], but the number, shape and size of
- different fault blocks possibly involved in such rotation are still poorly understood. Right-
- lateral slip associated with Adria rotation (~0.5 mm/yr) would be distributed over a 130-km-
- wide belt in the northern Western Alps, and may decrease southwards [*Walpersdorf et al.*,
- 227 2018].

228 **3 Methods**

229 For this study, we selected seismic events recorded by the INGV National Central Seismic Network (2006) from 1986 to 2016 within an area spanning from 43°15' to 46°30' in 230 latitude and from 6°00' to 9°00' in longitude, with magnitude $M_1 > 2.0$ to ensure the 231 232 completeness of the catalog [e.g., Amato & Mele, 2008]. The initial dataset includes 9,190 233 catalog events that are reported in the map of Fig. 1b. Standard procedures of earthquake location (e.g., using Hypoellipse [Lahr, 1999]) are not able to account for the lateral variations 234 235 in mean crustal and upper mantle velocities (and Moho depth) expected in the study area due to 236 its complex tectonic setting [e.g., Buness et al., 1990; Kissling & Spakman, 1996; Scafidi et al., 2009; Solarino et al., 2018]. In order to improve the relative precision of earthquake positions, 237 238 we have reprocessed the whole earthquake dataset using HypoDD [Waldhauser & Ellsworth, 239 2000]. The HypoDD code is weakly dependent from the initial velocity model when the 240 distance between events is small compared to the event-station distance and the scale-length of 241 velocity heterogeneity [Waldhauser, 2001]. However, in a large area, this is not always fulfilled; we then employed a reliable min1D model [Kissling, 1988; Scafidi et al., 2006] to 242 243 avoid biased relocations in the presence of velocity model errors [Michelini & Lomax, 2004]. 244 Residuals between observed and theoretical travel-time differences are minimized by the 245 algorithm for pairs of earthquakes at each station. When using double-difference algorithms, 246 reliable starting locations are important to ensure that the theoretical ray paths are calculated correctly [Michelini & Lomax, 2004]. Nowadays, strict cooperation among seismic networks 247 248 makes comprehensive waveform datasets available to the scientific community. However, processing of these data is not performed routinely except for few stations at the borders 249 250 between countries. We then complemented the original catalog, especially for the oldest events, 251 by phase picking from surrounding and temporary networks [RESIF, 1995; Sismalp; SSS -252 Swiss Seismological Service (SED) at ETH Zurich, 1983; RSNI - University of Genoa, 1967] 253 to guarantee a more complete dataset. To avoid unstable solutions, events utilized for pairing should be well linked, which implies a selection of input data to ensure the accuracy in the 254 location procedure. As a result, the number of relocated earthquakes typically decreases 255 256 compared to the original dataset. The clustering parameters used during the several runs of 257 HypoDD were intended to create tight clusters without excluding many events from the final dataset (see Supplementary material for details). HypoDD can compute the result using two 258 algorithms: SVD (Singular Value Decomposition) and LSOR (Conjugate Gradient Least 259 260 Squares). SVD is computationally demanding and is used for small datasets, whereas LSQR is applicable to larger datasets. Our final dataset includes 7212 earthquakes that were relocated 261 262 using the LSQR algorithm. However, the location errors provided by LSQR are generally 263 underestimated [Waldhauser & Ellsworth, 2000] and should be assessed independently. We

then selected ~1600 earthquakes for relocation using both LSQR and SVD (see supplementary material for details) and compared the obtained locations and errors to get an estimate of LSQR performance compared to SVD [*Douilly et al.*, 2013]. Our reliability analysis shows that the depth location errors computed with SVD are lower than 2 km for 86% of the relocated events, and the horizontal location errors are lower than 2 km for 80% of the relocated events. The highest errors, up to 20 km, are found in the southernmost part of the study area due to the poorer azimuthal coverage of seismic stations.

We computed 106 fault plane solutions using the first onset technique [Reasenberg & 271 272 Oppenheimer, 1985] for earthquakes with $M_1 > 2.5$ having at least 15 readable and well distributed polarities around the hypocenter (see supplementary Table S1). We did not use 273 274 lower magnitude events in our analysis as they were recorded by fewer seismic stations, 275 especially in the past decades when instrument sensitivity was low. Moreover, the Po Plain is 276 a noisy area for seismic signals and, even when recorded, lower magnitude events often have 277 a poor signal-to-noise ratio that makes the recognition of the first onset questionable. Only in 278 the recent past the improved distribution of seismic stations, both in terms of number of 279 instruments and azimuthal coverage, has made the computation of low magnitude - high quality 280 focal solutions possible [e.g., *Beaucé et al.*, 2019]. Our dataset was eventually complemented with focal mechanisms from previous studies either obtained by the same technique [Baroux 281 et al., 2001; Eva & Solarino, 1998; Eva et al., 1998; Eva et al., 2015; Massa et al., 2006; 282 Nicolas et al., 1990b; Nicolas et al., 1999; Perrone et al., 2010; Sue et al., 1999; Turino et 283 284 al., 2009] or by waveform inversion [Pondrelli et al., 2006; Scognamiglio et al., 2009].

285 The resulting dataset of relocated events and fault-plane solutions was analyzed for different depth ranges and subareas within the framework of the geological and geophysical 286 287 constraints available for the western Alpine area. In order to evaluate the impact of the exhumed mantle wedge, imaged at depths as shallow as 10-12 km beneath the Dora-Maira by 288 289 recent tomography models [Solarino et al., 2018; Zhao et al., 2020], we have considered events in the 0-12 km and 12-30 km depth ranges separately. We have also plotted separately 290 the fault plane solutions for events of magnitude $M_1 < 4.0$ and $M_1 > 4.0$, as the latter are 291 292 potentially more representative of the reactivation of major faults described in Sect. 2.2. In 293 each subarea, we have also applied a stress tensor inversion method [Gephart & Forsyth, 294 1984] to the focal mechanism solutions.

295 **4 Results**

296 4.1 Relocated Seismic Events in Map View and Cross Section

297 The resulting dataset of ~7200 earthquakes relocated with HypoDD is reported in Fig. 298 1c (map view) and Fig. 2 (cross sections). In Fig. 2, the earthquake distribution after HypoDD relocation (in red) is compared with the initial distribution obtained with 299 Hypoellipse (in grey). Some examples of improved clustering of seismic events after 300 HypoDD relocation are also shown (insets to the right in Fig. 2). Note that many of the 301 302 earthquakes were mislocated in the initial catalog and were moved to the new HypoDD location, but ~1800 events were not located ("lost") because of missing links to other clusters. 303 304 However, Fig. 2 shows that the consequent loss of information can be considered negligible 305 for the aims of our study.

The map of relocated seismic events (Fig. 1c) confirms the clustering of seismicity in the Internal Zone along two different arcs [*Rothé*, 1941]. The Briançonnais and Piedmont arcs are clearly separated in Fig. 1c. The Briançonnais Arc runs parallel to the orogen trend and to the major longitudinal faults of the Internal Zone (PFT, BrF, IHF and LoF in Fig. 1c). It can be continuously followed as far north as the Gran Paradiso dome (GP in Fig. 1c), and 311 more discontinuously as far north as the Dent Blanche (DB in Fig. 1c). The Piedmont arc runs obliquely to the Eocene Eclogite belt. It cuts across the Dora-Maira dome (DM in Fig. 312 313 1c) from SSW to NNE, and it can be continuously followed as far north as the southern tip of 314 the Sesia-Lanzo unit (Se in Fig. 1c). Farther north, the seismicity along the potential 315 northward prolongation of the Piedmont Arc is more scattered. Both the Briançonnais and the Piedmont arcs terminate to the south against the Stura Fault (SF in Fig. 1c). Therefore, these 316 317 arcs do not merge southwards. In the Internal Zone, the seismicity gap between the two arcs 318 is locally bridged by earthquakes aligned along lower-rank faults oblique to the orogen trend 319 (e.g., MSF and ChF in Fig. 1c). After relocation, no seismicity cluster was obtained along 320 well-known tectonic structures such as the Aosta-Ranzola, Insubric and Sestri-Voltaggio 321 faults (ARF, IF and SVF in Fig. 1c), whereas clusters are found in correspondence to the 322 Monte Rosa (MR in Fig. 1c) and the Dent Blanche. In general terms, the Internal Zone of the 323 Western Alps shows a higher frequency of $M_1 > 2.0$ events in the southern part than in the 324 northern part. The highest frequency of seismic events is observed immediately to the north of the Stura Fault (Fig. 1c). 325

Earthquakes in the External Zone are generally more scattered. Seismicity clusters are found to the southwest of the Aar Massif (Aa in Fig. 1c), in the Aiguilles Rouges - Mont Blanc massifs (AR and MB in Fig. 1c), in the Argentera Massif (Ag in Fig. 1c) and in the Southern Subalpine Chains. Only few events have been successfully relocated around the Belledonne Massif, but far away from the Belledonne Fault that has been recently described as seismically active [*Thouvenot et al.*, 2003], whereas an evident ESE-WNW alignment of seismic events is observed along the Saorge-Taggia Fault (STF in Fig. 1c).

In the western Po Plain, a clear NNW-SSE alignment of seismic events marks the Rivoli-Marene Deep Fault (RMF in Fig. 1c), and clusters of seismic events are found along the westward continuation of the Villalvernia-Varzi Fault (VVF in Fig. 1c). Seismicity offshore Liguria is clustered along the Ligurian Thrust (LiT in Fig. 1c), but earthquakes are also recorded in the deepest parts of the Ligurian-Provençal basin (LOC in Fig. 1c).

338 Figure 2 shows earthquake distribution along six cross sections perpendicular to the 339 orogen trend. Such distributions are compared in Fig. 3a with surface geology and deep crustal structure as constrained by geophysical data [Eva et al., 2015, 2016; Malusà et al., 2015; Roure 340 et al., 1990; Solarino et al., 2018; Zhao et al., 2015]. Cross section A-A' runs from the 341 342 Aiguilles Rouges – Mont Blanc massifs through the Gran Paradiso dome to the Po Plain (Fig. 3a). Along this transect, seismicity is mainly concentrated in the 0-10 km depth range, between 343 the Frontal Pennine Fault and the Internal Houiller Fault (FPF and IHF in Figs. 2 and 3a). Only 344 few events are recorded in the Brianconnais units farther east (Br in Fig. 3a). The Gran 345 346 Paradiso dome (GP in Fig. 3a) is almost aseismic, whereas scattered seismic events are recorded down to ~15 km depth in the Sesia-Lanzo unit (Se in Fig. 3a), and in the 20-40 km 347 depth range beneath the western Po Plain. In the External Zone, seismicity is concentrated in 348 349 the 0-10 km depth range along thrust faults beneath the External Massifs (Fig. 3a).

350 Cross section B-B' runs from the Pelvoux Massif (Pe in Fig. 3a) through the northern part of the Dora-Maira dome (DM in Fig. 3a) to the western tip of the Monferrato hills (Mo 351 352 in Fig. 3a). Along this transect, scattered seismicity is recorded in the External Zone, down to 353 15 km depth (Fig. 3a). Seismic events in the Internal Zone are evenly distributed in the 0-10 km depth range across the whole stack of low-grade frontal units, although events are more 354 frequent between the Frontal Pennine Fault and the Longitudinal Faults (FPF and LoF in Fig. 355 356 2). In the Dora-Maira dome, seismicity is recorded down to 20 km depth (Fig. 3a), whereas 357 seismicity beneath the Po Plain is clustered at 25-30 km depth (Fig. 2).



361 Figure 2. Earthquake distribution before (in grey) and after HypoDD relocation (in red) along six representative 362 cross sections perpendicular to the orogen trend (see locations in Fig. 1c). Events are projected from a 20 km 363 thick swath profile (keys and acronyms as in Fig. 1). The insets to the right highlight the improved clustering of 364 seismic events after HypoDD relocation.

366 Cross section C-C' runs from the Embrunais-Ubaye nappe (EU in Fig. 3a) through the southern part of the Dora-Maira dome (DM in Fig. 3a) to the western Po Plain. Along this 367 368 transect, seismicity in the External Zone is concentrated in the vicinity of the Frontal Pennine 369 Fault down to 15-20 km depth (Fig. 3a), but most seismic events are recorded in the frontal units of the Internal Zone, in the 0-12 km depth range along the Brianconnais and 370 Longitudinal faults (BrF and LoF in Figs. 2 and 3a), and farther east within the Schistes 371 372 lustrés (Sc in Fig. 3a). Diffuse seismicity down to 15-20 km depth is documented in the 373 western part of the Dora-Maira dome, whereas scattered events in the ~10-15 km depth range 374 are documented in the eastern part of the dome, on top of the underlying mantle-wedge rocks 375 (Fig. 3a). Seismicity beneath the western Po Plain is found at depths >20 km in 376 correspondence with the Rivoli-Marene Deep Fault (RMF in Fig. 2).

377 Cross section D-D' runs from the Southern Subalpine Chains through the Argentera 378 Massif (Ag in Fig. 3a) to the Tertiary Piedmont Basin (TPB in Fig. 3a). Along this transect, 379 the Argentera basement is juxtaposed at the surface against Ligurian Brianconnais units (LB 380 in Fig. 3a) by the steeply dipping Stura Fault (SF in Figs. 2 and 3a). This fault is marked by a 381 dense alignment of seismic events continuously recorded from 0 to 20 km depth. The events 382 to the NE of the Stura Fault may mark the Frontal Pennine Fault beneath the Ligurian 383 Brianconnais units (Fig. 3a). To the SW, seismic events in the 0-15 km depth range mark the 384 external thrusts beneath the Argentera Massif [Eva et al., 2016].

Cross section E-E' cuts the Frontal Pennine Fault (FPF in Fig. 2) along the Ligurian coast. There, earthquakes in the European continental crust are clustered in the uppermost 20 km. In the Sanremo Flysch unit (Sa in Fig. 2), a continuous vertical alignment of seismic events marks the Saorge-Taggia Fault (STF in Fig. 2), which can be followed down to 12 km depth within the European basement (Fig. 3a).

Cross section F-F' runs from the base of the Ligurian-Provençal continental slope through the Voltri metaophiolites (Vo in Fig. 3a) to the eastern tip of the Monferrato hills (Mo in Fig. 3a). Offshore, earthquakes are mainly clustered in the 0-15 km depth range along the Ligurian Thrust (LiT in Figs. 2 and 3a). Onshore, relocated seismic events define vertical alignments down to 15-20 km depth, in correspondence to major structures such as the Villalvernia-Varzi Fault (VVF in Fig. 2).

396 4.2 Distribution of Relocated Seismic Events with Depth

The distribution of relocated seismic events is analyzed in Fig. 3b-d for different depth ranges (0 < Z < 12 km, 12 < Z < 30 km and Z > 30 km) identified according to the deep structure revealed by recent geophysical investigations. Seismic events deeper than 30 km are expected to provide clues on the seismicity in the Adriatic upper mantle, whereas events in the 30-12 km and 12-0 km depth ranges are analyzed separately to highlight the potential role of the exhumed mantle wedge beneath the Dora-Maira, as its top is located around 10-12 km depth according to recent local earthquake tomography models [*Solarino et al.*, 2018].

404 Both the Brianconnais and Piedmont arcs are clearly outlined even by considering 405 only seismic events in the 0-12 km depth range. The map of Fig. 3b does include most of the 406 features observed in the map of Fig. 1c, apart from the seismicity immediately to the east of 407 the Ivrea gravity anomaly and the seismicity in the deepest part of the Ligurian-Provençal 408 Basin. By considering only earthquakes in the 12-30 km depth range (Fig. 3c), the 409 Brianconnais Arc disappears. Few scattered earthquakes apparently lying within the frontal 410 units of the Alpine subduction wedge are instead located in the footwall of the Frontal 411 Pennine Fault, well within the European basement (see cross section C-C' in Fig. 3a). Some 412





415 Figure 3. a: Three-dimensional model of the western Alpine region portraying the spatial relationships between 416 major faults (blue lines) and HypoDD-relocated seismic events, indicated by red circles in map view and by 417 purple circles in cross-section (same as in Fig. 2). Tectonic sketch map after Bigi et al., 1990 and Malusà et al. 418 (2015); cross-sections based on Eva et al. (2015), Malusà et al. (2015), Roure et al. (1990), Solarino et al. (2018) 419 and Zhao et al. (2015). Keys and acronyms as in Fig. 1. b-d: Map distribution of HypoDD-relocated seismic 420 events for different depth ranges (b = $0 \le Z \le 12$ km; c = $12 \le Z \le 30$ km; d = $Z \ge 30$ km), and relationships with the 421 Ivrea gravity anomaly (purple dashed line = 0 mGal isoline, after Bigi et al., 1990). Seismic events in the 422 12<Z<30 km depth range (frame c) define an arcuate belt that matches the shape and location of the Ivrea 423 gravity anomaly.

424 of them originate in the European lower crust [Malusà et al., 2017, their Fig. 2]. The

- 425 Piedmont Arc is outlined even better in Fig. 3c than in Fig. 3b. Earthquakes in the 12-30 km
- 426 depth range define a narrow and continuous arcuate belt from the Stura Fault to the Lis-Trana
- 427 Fault, which matches the shape and location of the southern part of the Ivrea gravity anomaly
- 428 (in purple in Fig. 3c). Scattered events are observed beneath the Sesia-Lanzo, whereas a
- 429 NNW-SSE alignment of events is observed along the southward prolongation of the Lis-
- 430 Trana fault, marking the Rivoli-Marene Deep Fault (Fig. 3c). Seismic events arranged
- 431 according to NNW-SSE linear trends are also observed, in Fig. 3c, along the continental
- margin offshore Liguria, where these alignments match with faults mapped by *Chaumillon et al.* [1994]. Earthquakes deeper than 30 km are exclusively found to the east of the Ivrea
- 433 *al.* [1994]. Earthquakes deeper than 30 km are exclusively found to the east of the Ivrea 434 gravity anomaly (Fig. 3d). Most of them are aligned along the Rivoli Marene Deep Fault
- 434 gravity anomaly (Fig. 3d). Most of them are aligned along the Rivoli-Marene Deep Fault.

435 4.3 Distribution of Focal Mechanisms

Figure 4 shows the spatial distribution of focal mechanisms for seismic events of magnitude $2.5 < M_1 < 4$ and for the same depth ranges considered in Sect. 4.2 and Fig. 3b-d. Beach balls are color-coded according to focal mechanism categories (normal, obliquenormal, strike-slip, oblique-reverse and reverse) as defined by *Cronin* [2010]. Background circles in pale green are the relocated events of Fig. 3b-d.

441 Based on the tectonic setting described in Sect. 2.2, we have subdivided the study area 442 into seven different subareas that will be discussed separately. The External and Internal zones 443 of the NW and SW Alps have been considered as distinct subareas because of the different 444 boundary conditions characterizing the eastern side of the Western Alps to the north and to the south of the Monferrato Thrust Front. In fact, the Internal Zone to the north of the Monferrato 445 Thrust Front (subarea 2 in Fig. 4) is bounded to the east by a continuous slice of Adriatic 446 447 mantle exhumed to shallow depth during Tethyan rifting [Nicolas et al., 1990a; Malusà et al., 2015]. Instead, the Internal Zone to the south of the Monferrato Thrust Front (subarea 4 in Fig. 448 449 4) is bounded to the east by metamorphic units that were originally part of the Alpine subduction wedge, but have experienced major post-metamorphic rotations and are now buried 450 451 beneath the Cenozoic sediments of the Tertiary Piedmont Basin (axial belt domain 2 in Eva et 452 al., 2015). Subarea 4 shows a much higher frequency of seismic events compared to subarea 2, and it is also the site where the Brianconnais and Piedmont arcs are more evident. Focal 453 454 mechanisms in the western Po Plain, the Ligurian Alps and the Ligurian Sea (subareas 5 to 7 in 455 Fig. 4) are also discussed separately in the light of the different underlying geology.

In the northern External Zone (subarea 1), focal mechanisms are dominantly obliquenormal in the Aiguilles Rouges and Mont Blanc massifs, and exclusively strike-slip north of the Rhone Valley, where the observed ENE-WSW alignment of seismic events is consistent with computed nodal planes (Fig. 4a).

In the northern Internal Zone (subarea 2), normal focal mechanisms are mainly
distributed along a ~10-15 km wide belt parallel to the Simplon Fault, in its hanging wall
(Fig. 4a). Farther south in subarea 2, focal mechanisms are dominantly strike-slip, even
though normal to oblique-normal focal solutions are also present. For example, a normal
focal solution was computed in the Upper Aosta Valley in correspondence with a major fault
bend.



469 Figure 4. Spatial distribution of focal mechanisms for events of magnitude $2.5 \le M_1 \le 4$ and for different depth 470 ranges (a = $0 \le Z \le 12$ km; b = $12 \le Z \le 30$ km and Z > 30 km), based on own computations and literature data from 471 Baroux et al. (2001), Eva and Solarino (1998), Eva et al., (1998); Eva et al. (2015), Massa et al., 2006: Nicolas 472 et al. (1990b), Nicolas et al. (1999), Perrone et al., (2010), Pondrelli et al. (2006), Sue et al. (1999), 473 Scognamiglio et al. (2009), Turino et al. (2009). Circles in pale green are the relocated seismic events of Fig. 474 3b-d. Histograms in the lower row show the frequency distribution of different mechanisms in subareas 1 to 7 475 (see subareas boundaries in the inset on the top-right). Color codes are referred to focal mechanism categories 476 according to Cronin (2010) (normal: rake -110° to -70° ; oblique-normal: -160° to -110° , -70° to -20° ; strike-477 slip: -20° to 20° , -180° to -160° , 160° to 180° ; oblique-reverse: 20° to 70° , 110° to 160° ; reverse: 70° to 110°).

In the southern External Zone (subarea 3), focal mechanisms are dominantly obliquereverse, but all the other categories of mechanisms are also present, and almost equally
represented (see histograms in Fig. 4). Normal mechanisms are concentrated along a WNWESE belt to the south of the Argentera Massif (Fig. 4a), but they are progressively replaced to
the north by oblique-normal mechanisms, and farther north by oblique-reverse mechanisms.
To the southwest, oblique-reverse to reverse mechanisms are dominant in the southern
Subalpine Chains.

485 In the southern Internal Zone (subarea 4), focal mechanisms in the 0-12 km depth range are dominantly oblique-normal, both along the Briançonnais and Piedmont arcs, but all 486 487 the other categories of mechanisms are also present and almost equally represented (see 488 histogram in Fig. 4a). Reverse, oblique-reverse, strike-slip and oblique-normal mechanisms are evenly distributed in the whole subarea 4 from the Stura Fault to the northern Dora-Maira, 489 whereas normal mechanisms are exclusively found between latitude 44°30'N and latitude 490 44°50'N (Fig. 4a). In the 12-30 km depth range reverse solutions are dominant beside minor 491 strike-slip and oblique-normal solutions (Fig. 4b). 492

Beneath the western Po Plain (subarea 5), strike-slip, oblique-reverse and reverse
solutions are almost equally represented, both in the 0-12 km and in the 12-30 km depth
range. Strike-slip mechanisms are dominant at depths >30 km (beach balls outlined in orange
in Fig. 4b), where they are associated to events clustered along the Rivoli-Marene Deep Fault.

In the Ligurian Alps (subarea 6), strike-slip events are dominant and evenly distributed
in the whole subarea, but oblique-normal solutions are also present near Finale Ligure
[*Cattaneo et al.*, 1997]. In the Ligurian Sea (subarea 7), earthquakes in the 0-12 km depth range
are strike-slip to reverse with a majority of oblique-reverse solutions (Fig. 4a), whereas strikeslip and oblique-reverse solutions are found in the 12-30 km depth range (Fig. 4b).







504 Figure 5. a: Focal mechanisms for seismic events with magnitude $M_1 > 4.0$, based on own computed data 505 (color-coded according to depth range) and literature data (in grey, Baroux et al. 2001, Eva & Solarino 1998, 506 Eva et al. 1998, Eva et al. 2015, Massa et al. 2006, Nicolas et al. 1990b, Nicolas et al. 1999, Perrone et al. 2010, 507 Pondrelli et al. 2006, Scognamiglio et al. 2009, Sue et al. 1999, Turino et al. 2009). The rose diagram on the 508 bottom-left shows the strike of nodal planes for oblique-normal, strike-slip and oblique-reverse events. 509 Acronyms as in Fig. 1. b: Results of stress tensor inversion (Gephart & Forsyth, 1984) for subareas 1 to 7 based 510 on focal solutions for events of magnitude $M_1 > 2.5$. Lozenges = σ_1 and σ_3 main stress axes; black crosses and 511 red dots = 90% confidence limits; R = $(\sigma_2 - \sigma_1) / (\sigma_3 - \sigma_1)$; n = number of events.

512 Figure 5a shows the spatial distribution of focal mechanisms for seismic events of magnitude M₁ > 4, color-coded according to event depth. Beach balls in grey are referred to 513 literature data [Eva & Solarino, 1998; Eva et al., 2015; Nicolas et al., 1990b; Pondrelli et al., 514 515 2006; Scognamiglio et al., 2009; Sue et al., 1999; Thouvenot et al., 2003]. When this map is compared to the maps of Fig. 4, it is evident that strike-slip mechanisms become dominant 516 for events of magnitude $M_1 > 4$: they are found in all the subareas 1 to 7. Reverse mechanisms 517 are also common: they are found in subareas 2, 3, 6 and 7. The strike of nodal planes for 518 oblique-normal, strike-slip and oblique-reverse events of magnitude $M_1 > 4$ are summarized 519 in the inset of Fig. 5a. Nodal planes are dominantly NNW-SSE and ENE-WSW, and these 520 521 orientations are remarkably constant in all of the different subareas.

522 The results of stress tensor inversion for events of magnitude $M_1 > 2.5$ [Gephart & Forsyth, 1984] are shown in Fig. 5b. In the western part of the study area (subareas 2, 3 and 523 4), σ_1 has a N-S trend and progressively increasing dip angles moving northward, whereas the 524 525 stress ratio R ranges from 0.2 to 0.5. In the eastern part (subareas 5, 6 and 7), σ_1 invariably shows a NNW-SSE to NW-SE trend, with a stress ratio around 0.6-0.7. In the Internal Zone 526 of the Western Alps (subareas 2 and 4), σ_3 is sub-horizontal and oblique to the orogen trend, 527 528 and normal to the strike of lower-rank faults that lie at high angle to the Frontal Pennine Fault 529 (e.g., Simplon and Middle Susa Valley faults).

530 5 Discussion

531 5.1 Transtension in the Core of the Alps

532 Seismotectonic studies published over the past two decades in the Alpine region have 533 suggested that extension is the dominant process in the present-day tectonic activity of the Western Alps [e.g., Champagnac et al., 2004; Selverstone, 2005; Sue et al., 2007]. Focal 534 mechanisms in the Internal Zone would be mainly normal [Eva & Solarino, 1998; Sue et al., 535 536 1999], and areas undergoing extension would be correlated to areas with the thickest crust [Delacou et al., 2004, 2005]. Within this framework, extension in the Internal Alps could be 537 due to isostatic re-equilibration and gravitational collapse of the orogen relative to the 538 539 foreland areas [Sue et al., 2007]. The late-Alpine tectonic evolution would be thus controlled 540 by buoyancy forces [e.g., Molnar & Lyon Caen, 1988] rather than Adria-Europe 541 convergence.

542 Our results, however, show that σ_3 in the Internal Zone of the Western Alps is oblique to the orogen trend, and normal to the strike of lower-rank faults (Fig. 5b). Normal focal 543 544 mechanisms, which generally have shallow hypocentres, are only found in specific sites of 545 the Internal Zone (Fig. 4a): in the hanging wall of the Simplon Fault, along a major fault bend 546 within the low-grade frontal units of the Aosta Valley, and in a rather limited area to the north of the Stura Fault. Focal mechanisms in correspondence to the highest mountains of the 547 548 Internal Zone, i.e., the Monte Rosa (MR in Fig. 1c) and the Matterhorn (Dent Blanche unit, DB in Fig. 1c) are exclusively strike-slip (Figs. 4a, 5a). At depths >12 km, reverse solutions 549 are dominant along the Piedmont Arc (Fig. 4b), whereas strike-slip mechanisms are 550 predominant in the entire western Alpine area for events of magnitude $M_1 > 4$ (Fig. 5a). 551

Notably, normal-fault earthquakes are not specific of the Internal Zone. A WNW-ESE belt of extensional events of magnitude M_1 <4 is observed to the south of the Argentera Massif. This extensional belt lies at high angle to the Ligurian coast, which excludes relationships with a possible gravitational collapse of the Ligurian-Provençal margin due to the topographic gradient between the Argentera Massif and the Ligurian oceanic floor [e.g., *Larroque et al.*, 2009]. Instead, it may suggest a pull-apart structure along a higher-rank strike-slip fault, as discussed in Section 6. 559 These observations suggest that the mountain range may spread gravitationally at a 560 shallow level. However, spreading may occur intermittently with other earthquakes that are 561 more directly related to plate interactions.

562 5.2 Impact of Major Fault Orientation on Seismicity

Nodal planes for oblique-reverse, strike-slip and oblique-normal events of magnitude 563 $M_1>4$ have rather constant orientations, dominantly NNW-SSE and ENE-WSW (see Fig. 5a). 564 These orientations are common to many major faults mapped in the study area, which may 565 suggest a role exerted by inherited tectonic structures in controlling the seismicity pattern. 566 For example: the Rhone and Chamonix faults in the northern External Zone have an ENE-567 WSW strike; the Parpaillon and Bersezio faults in the southern External Zone, the 568 Brianconnais and Longitudinal faults in the western part of the Internal Zone, and the Lis-569 Trana Fault in the eastern part have a NNW-SSE strike. Active NNW-SSE and ENE-WSW 570 faults are revealed in places by alignments of seismic events, e.g. the Rivoli-Marene Deep 571 572 Fault beneath the western Po Plain and the faults crosscutting or marking the foot of the 573 Ligurian-Provençal margin offshore Liguria (Fig. 3). A relationship between orientation of major faults and nodal planes is evident in the Internal Zone for events of magnitude M1>4 574 575 (Fig. 5a). Moreover, a relationship is also observed between σ_3 and the orientation of lower-576 rank faults of the Internal Zone lying at high angle to the orogen trend (Fig. 5b).

577 Based on available focal mechanisms and choosing the nodal planes parallel to the 578 mapped faults, in the western Po Plain and in the Ligurian-Provençal Basin the NNW-SSE faults are left-lateral whereas in the southern External Zone they are right-lateral. The ENE-579 WSW faults are right-lateral in the northern External Zone, but they are reverse in the 580 581 Ligurian-Provençal Basin. Other major faults in Fig. 5a have WNW-ESE orientation (e.g., the Stura and Villalvernia-Varzi faults) and NNE-SSW orientation (e.g., the Belledonne and 582 Middle Durance faults). Based on focal mechanisms, these NNE-SSW faults are right-lateral 583 in the northern External Zone and left-lateral in the southern External Zone, whereas the 584 585 WNW-ESE faults are invariably right-lateral. The contrasting kinematic characteristics observed along major faults sharing the same orientations point to a composite kinematic 586 587 framework for the western Alpine area, possibly including different tectonic domains 588 characterized by different modalities of strain partitioning.

589 5.3 Impact of Exhumed Mantle Rocks on Seismicity

590 In the southern Western Alps, seismicity in the different depth ranges is largely controlled by mantle-wedge rocks exhumed to shallow depth beneath the Dora-Maira (Fig. 6). This body of 591 592 partly-serpentinized mantle-wedge rocks [Solarino et al., 2018] is largely aseismic but clearly 593 outlined, due to rheology contrasts, by earthquake alignments along its boundaries: (i) on the western side, it is marked by a narrow and continuous arcuate belt of earthquakes distributed, 594 595 in the 12-30 km depth range, from the Stura Fault to the Lis-Trana Fault (Fig. 3c); (ii) on the eastern side, it is marked by a NNW-SSE alignment of events corresponding to the Rivoli-596 Marene Deep Fault; (iii) on the southern side, it is bounded by the Stura Fault. 597

598 To the east of the exhumed mantle wedge, in the light of the deep tectonic structure revealed by recent tomography models that document steeply dipping NNW-SSE faults in 599 correspondence with observed earthquake alignments [e.g., Solarino et al., 2018; Lu et al., 600 601 2020], focal mechanisms are supportive of a kinematic framework that is relatively constant 602 with depth, and invariably dominated by left-lateral motion and local shortening (Fig. 6). To 603 the west and on top of the exhumed mantle wedge, the seismic style is dominated by reverse 604 mechanisms in the 12-30 km depth range, and by normal to oblique-normal mechanisms in 605 the 0-12 km depth range (Fig. 6).



Figure 6. Three-dimensional model of the western Alpine region showing the present-day partitioning of seismic deformation with depth. Arrows summarizing the observations of Fig. 5 are color coded according to the depth range (blue: 0 < Z < 12 km; green: 12 < Z < 30 km; orange: Z > 30 km). Cross-sections based on Frei et al. (1990), Malusà et al. (2017), Roure et al. (1990), Solarino et al. (2018) and Zhao et al. (2015, 2020).

612 Acronyms as in Fig. 1.

Moving northward along the Ivrea gravity anomaly (Fig. 6), a different seismotectonic framework is observed to the north of the Monferrato Thrust Front. There, reverse focal solutions indicate NW-SE shortening in front of the NE-SW-trending Adriatic mantle slice exhumed during Tethyan rifting. This uplifted Adriatic mantle would act as a rigid buttress transferring deformation towards more external areas of the Alpine orogen, as suggested by 3-D numerical models of continent-continent collision [*Liao et al.*, 2018b].

619 6 Summary Seismotectonic Model and Conclusions

At the transition between the opposite-dipping Alpine and Apenninic slabs, the 620 621 tectonic structure revealed by geologic and geophysical investigations [e.g., Handy et al., 622 2010; Malusà et al., 2015; 2017; Solarino et al., 2018; Zhao et al., 2015] appears to control the present-day accommodation of Africa-Eurasia convergence and the resulting seismicity 623 pattern. Convergence rates measured in the study area are generally very low (< 1mm/yr, see 624 625 Sect. 2.4), but increase towards the Central and the Eastern Alps due to counterclockwise rotation of Adria around a pole located in the western Po Plain [Calais et al., 2002; Sánchez 626 et al., 2018; Serpelloni et al., 2007]. We propose that a major role in determining the present-627 day seismotectonic scenario is likely played by: (i) lithology distribution and orientation of 628 major faults inside the Alpine subduction wedge; (ii) exhumation of mantle-wedge rocks at 629 shallow depth; (iii) tectonic inheritance, for example lithospheric mantle exhumed during 630 631 Tethyan rifting.

Based on our analysis and previous work [e.g., *Bauve et al.*, 2014; *Malusà et al.*, 2017; *Sue et al.*, 1999], four main seismotectonic domains can be recognized in the western Alpine region (Fig. 7). Domain 1 includes the southern External Zone, the Provençal coast and the adjoining offshore region. There, convergence is partitioned between shortening along NNWdipping thrusts, right-lateral slip along NNW-SSE to WNW-ESE high-angle faults, and left-

637 lateral slip along NNE-SSW high-angle faults. A similar deformation pattern was already described by *Bauve et al.* [2014], who anyway interpreted the observed right-lateral slip along 638 639 NNW-SSE faults as an effect of counterclockwise rotation of Adria. We remark that no major 640 effect of Adria rotation is expected in Domain 1, because Adria underthrusting beneath the Monferrato implies a change in boundary conditions to the south of latitude 45°N. 641

Domain 2 includes the western Po Plain to the south of the Monferrato Thrust Front, 642 643 the Ligurian Alps and the adjoining offshore region (Fig. 7). In this domain, the kinematic framework is dominated by left-lateral motion along steeply dipping NNW-SSE faults, 644 documented at different depth ranges, from the uppermost crust to the lithospheric mantle, by 645 seismic tomography and earthquake alignments. The observation that these faults are 646 647 systematically associated to left-lateral focal mechanisms is supportive of faster convergence in Domain 2 compared to Domain 1, which is not evidenced by geodetic data but is clearly 648 649 revealed by seismotectonic data. Convergence in Domain 2 is also accommodated by rightlateral slip along WNW-ESE faults (e.g., the Villalvernia-Varzi Fault) and by N-S shortening 650 651 along pre-existing thrust faults. The relative motion between domains 1 and 2 may have 652 favored local extension along ESE-WNW pull-apart structures, as revealed for example by 653 seismicity to the south of the Argentera. The occurrence of anomalously deep events to the south of the Monferrato Thrust Front, but not to the north, may provide seismotectonic 654 evidence against the hypothesis of ongoing northward tearing of the Alpine slab (Fig. 1d). 655

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657

658 Figure 7. Conceptual seismotectonic model at the transition between the opposite-dipping Alpine and

659 Apenninic slabs (see description in the main text). Earthquakes are only reported for the Alpine Internal Zone

⁶⁶⁰ (grey = 0-12 km depth; green = 12-30 km depth). Acronyms as in Fig 1. The white arrows indicate convergence

662 Domain 3 includes the western Po Plain and the External and Internal Zones north of latitude 45°N. The seismotectonic scenario of Domain 3 is largely determined by the 663 664 counterclockwise rotation of Adria and by the presence of the Ivrea mantle slice, exhumed during Tethyan rifting, which may have favored the propagation of deformation towards 665 more external areas of the orogen (Fig. 7). There, Adria rotation determines a distributed 666 right-lateral transcurrent regime, and in places localized extension observed for example in 667 the hanging wall of the Simplon Fault. The area in front of the Ivrea mantle slice is instead 668 characterized by NW-SE shortening. 669

670 In Domain 4, corresponding to the southern Internal Zone, the seismotectonic framework is largely controlled by the mantle-wedge body exhumed beneath the Dora-Maira 671 672 (green in Fig. 7). This body is pushed northward due to the component of convergence transmitted across Domain 1. This determines compression in the 12-30 km depth range, with 673 674 seismicity clustered along the Piedmont Arc, and a more distributed seismicity in the 0-12 km depth range in the absence of a rigid buttress to the east. The resulting scenario is consistent 675 676 with available geodetic constraints attesting to negligible E-W convergence across the 677 Western Alps.

This study underlines the major role exerted by the deep tectonic structure in controlling the seismicity pattern at the transition between opposite-dipping slabs. A full understanding of such a complex situation profits from high quality seismic catalogs, and their full integration with available tectonic, geodetic and geodynamic constraints, as well as high-resolution geophysical imagery over the entire plate-boundary zone. Lithology distribution in the subduction wedge, the orientation of major faults within and outside the subduction zone, and the exhumation of mantle rocks at shallow depth, all determine a

685 complex seismotectonic scenario that may be expected in other subduction zones worldwide.

686 Acknowledgments, Samples, and Data

⁶⁸⁷ The authors have no conflict of interest to declare. Colleagues of the CIFALPS working

group are thanked for discussions about the deep structure of the western Alpine region. All

data reported in this study are given in the supporting information tables and are archived at

690 <u>http://dx.doi.org/10.17632/5jp698sf2p.1</u> (Eva et al., 2020). The manuscript benefited from

691 comments from Associate Editor D. Grujic and four anonymous reviewers.

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