

Compatibility of t-Structures in a Semiorthogonal Decomposition

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Abstract

We describe how to obtain a global t-structure from a semiorthogonal decomposition with compatible t-structures on every component. This result is used to generalize a well-known theorem of Bondal on full strong exceptional sequences.

Keywords Triangulated categories · t-Structures · Semiorthogonal decomposition · Exceptional sequences · Filtered enhancement

Contents

1	Introduction
2	Some Basic Results on Bounded t-Structures
3	Semiorthogonal Decompositions and t-Structures
4	Quivers
5	Filtered Enhancements
6	Realized Triangulated Categories
A	Yoneda Extensions in a Triangulated Category
Re	eferences

1 Introduction

The notion of algebraic triangulated categories has raised great attention in the last decades. These particular triangulated categories are described in several equivalent ways: they admit an enhancement by dg categories or A_{∞} -categories; alternatively, they are obtained as the stable category of a Frobenius category. The most important examples are derived categories

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(assuming they are categories).¹ Interestingly, many results can be extended from derived categories to algebraic triangulated categories. We focus on the following.

Theorem—Bondal. [3, Theorem 6.2] Assume that the bounded derived category $D^{b}(X)$ of coherent sheaves on a smooth manifold X is generated by a strong exceptional sequence $\langle E_1, \ldots, E_n \rangle$. Then $D^{b}(X)$ is equivalent to the bounded derived category $D^{b}(\text{mod-}A)$ of right finite-dimensional modules over the algebra $A = \text{End}(\bigoplus_{i=1}^{n} E_i)$.

Bondal's result has been generalized to algebraic triangulated categories by Keller [15, Theorem 8.7]. In particular, the statement below is a consequence of Keller's work.

Theorem—Keller-Orlov. [20, Corollary 1.9] Let \mathscr{T} be an algebraic triangulated category. Assume that \mathscr{T} has a full strong exceptional sequence $\langle E_1, \ldots, E_n \rangle$. Then the category \mathscr{T} is equivalent to the derived category $D^{b}(\text{mod-}A)$, where $A = \text{End}(\bigoplus_{i=1}^{n} E_i)$ is the algebra of endomorphisms of the collection $\langle E_1, \ldots, E_n \rangle$.

A question may arise: is it possible to drop the algebraic requirement? At the moment, no answer has been found. As a matter of fact, it is incredibly hard to study the general case of triangulated categories; indeed, the definition of an exceptional object requires the category to be \mathbb{K} -linear, with \mathbb{K} a field, and the only known example of non-algebraic \mathbb{K} -linear triangulated category is studied in [23].

Our aim is to generalize Bondal's result. For this reason, we deal with the construction of a global t-structure, starting with compatible t-structures on semiorthogonal components. Surprisingly, the result is not hard to prove and it follows from basic theory. As a corollary, a full strong exceptional sequence of length 2 gives a heart of dimension at most 1, so that Hubery's result [11, Theorem 3.2] can be applied without any additional requirement on the triangulated category. We obtain the following.

Corollary 3.13. Let \mathbb{K} be a field. Any \mathbb{K} -linear triangulated category \mathscr{T} with a full strong exceptional sequence $\langle E_1, E_2 \rangle$ such that $\dim_{\mathbb{K}} \operatorname{Hom}(E_1, E_2) < \infty$ is algebraic. In particular, $\mathscr{T} \cong D^{\mathrm{b}}(\operatorname{mod-} A)$, where $A = \operatorname{End}(\bigoplus_{i=1}^{2} E_i)$.

For a strong exceptional sequence with length greater than 2 we deal with realized triangulated categories, i.e. triangulated categories \mathscr{T} admitting an exact functor real : $D^{b}(\mathscr{A}) \to \mathscr{S}$ for every heart \mathscr{A} of a bounded t-structure on a full subcategory \mathscr{S} of \mathscr{T} . In particular, it has been proven that all algebraic triangulated categories are realized (see Example 6.2 for a discussion on examples of realized triangulated categories). By induction on the length of the exceptional sequence, we can prove the main result.

Theorem 6.6. Let \mathbb{K} be a field and let \mathscr{T} be a realized \mathbb{K} -linear triangulated category with a full strong exceptional sequence $\langle E_1, \ldots, E_n \rangle$ such that $\bigoplus_i \operatorname{Hom}(X, Y[i])$ is a finite-dimensional vector space for any $X, Y \in \mathscr{T}$. Then $\mathscr{T} \cong D^{\mathrm{b}}(\operatorname{mod-} A)$, where $A = \operatorname{End}(\bigoplus_{i=1}^n E_i)$.

In Sect. 2, we recall some basic results on t-structures. Section 3 is devoted to the notion of compatible t-structures with respect to a semiorthogonal decomposition. Section 4 covers the needed knowledge on quivers, while Sect. 5 deals with filtered triangulated categories, introduced by Beilinson in [1, Appendix A]. In Sect. 6, we introduce the concept of realized triangulated category and state the main theorem. "Appendix A" generalizes a result on Yoneda extensions of exact categories.

¹ Here, and in the following, category is used to mean *locally small category*.

2 Some Basic Results on Bounded t-Structures

In this section, we define t-structures and hearts, and state some classical results.

Definition 2.1 A *t-structure* on a triangulated category \mathscr{T} is a full subcategory $\mathscr{T}^{\leq 0}$ closed by left shifts, i.e. $\mathscr{T}^{\leq 0}[1] \subset \mathscr{T}^{\leq 0}$, and such that for any object $E \in \mathscr{T}$ there is a distinguished triangle $A \to E \to B \to A[1]$, where $A \in \mathscr{T}^{\leq 0}$ and $B \in \mathscr{T}^{\geq 1} := (\mathscr{T}^{\leq 0})^{\perp}$.

We remember that for any full subcategory $\mathscr{C} \subset \mathscr{T}$, we write \mathscr{C}^{\perp} to mean the full subcategory whose objects are *Y* such that $\operatorname{Hom}(X, Y) = 0$ for any $X \in \mathscr{C}$.

We will write $\mathscr{T}^{\leq i} := \mathscr{T}^{\leq 0}[-i]$ and $\mathscr{T}^{\geq j} := \mathscr{T}^{\geq 1}[-j+1]$ for any i, j integers. A t-structure is said to be *bounded* if

$$\mathscr{T} = \bigcup_{i,j \in \mathbb{Z}} (\mathscr{T}^{\leq i} \cap \mathscr{T}^{\geq j}).$$

Moreover, the t-structure is *non-degenerate* if $\bigcap_i \mathscr{T}^{\leq i} = \bigcap_i \mathscr{T}^{\geq j} = 0$.

The heart (of the bounded t-structure $\mathscr{T}^{\leq 0}$) is the additive category $\mathscr{A} := \mathscr{T}^{\geq 0} \cap \mathscr{T}^{\leq 0}$, and it is proven to be abelian. We define the homological dimension of \mathscr{A} in \mathscr{T} , denoted by dim $\mathscr{T} \mathscr{A}$, as the greatest integer *n* such that Hom $(A, B[n]) \neq 0$ for some $A, B \in \mathscr{A}$.

Notation 2.2 Given a map $f : A \to B$ in a triangulated category, its cone will be denoted by C(f). When there is no need to make f explicit, we will write $C(A \to B)$.

Definition/Proposition. 2.3 [4, Lemma 3.2]. Let \mathscr{T} be a triangulated category. A heart (of bounded t-structure) on \mathscr{T} is an additive category \mathscr{A} satisfying the following properties:

1. For any two objects $A, B \in \mathcal{A}$, Hom(A, B[n]) = 0 for every n < 0.

2. Given an object $E \in \mathscr{T}$, we can find integers $k_1 > \cdots > k_m$ and a filtration

 $0 = E_0 \to E_1 \to \cdots \to E_{m-1} \to E_m = E$

such that $C(E_{i-1} \to E_i) = A_i[k_i]$ for some $A_i \in \mathscr{A}$. The *cohomology objects* (with respect to \mathscr{A}) are defined as $H^{-k_i}(E) := A_i$.

Lemma 2.4 Every bounded t-structure $\mathscr{T}^{\leq 0}$ is non-degenerate. In particular, the collection of functors H^i is conservative and $H^i(E) = 0$ for all i > 0 (respectively i < 0) if and only if $E \in \mathscr{T}^{\leq 0}$ (respectively $\mathscr{T}^{\geq 0}$); this is [2, Proposition 1.3.7].

Proof Let *E* be in the intersection of all $\mathscr{T}^{\leq i}$. Since $\mathscr{T}^{\leq 0}$ is bounded, *E* must be in $\mathscr{T}^{\leq j} \cap \mathscr{T}^{\geq h}$ for some *j*, *h*. Then *E* is in $\mathscr{T}^{\geq h}$, but also in $\mathscr{T}^{\leq h-1}$. By definition,

$$\mathcal{T}^{\geq h} = \mathcal{T}^{\geq 1}[-h+1] = (\mathcal{T}^{\leq 0})^{\perp}[-h+1] = (\mathcal{T}^{\leq 0}[-h+1])^{\perp} = (\mathcal{T}^{\leq h-1})^{\perp}.$$

So Hom(E, E) = 0, therefore E is a zero object. In the same way one proves that also $\bigcap_i \mathscr{T}^{\geq i} = 0$.

Lemma 2.5 Let $\mathscr{A} \subset \mathscr{T}$ be a heart. Then $0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$ is a short exact sequence in \mathscr{A} if and only if there exists a map $\gamma : C \to A[1]$ such that

$$A \xrightarrow{\alpha} B \xrightarrow{\beta} C \xrightarrow{\gamma} A[1]$$

is a distinguished triangle (cf. proof of [2, Theorem 1.3.6]).

Proof Notice that $H^i(A) = 0$ for $i \neq 0$ and $H^0(A) = A$ for any $A \in \mathscr{A}$. We recall that, given a distinguished triangle $E \to F \to G \to E[1]$, we have an induced exact sequence

$$\cdots \to H^i(E) \to H^i(F) \to H^i(G) \to H^{i+1}(E) \to \cdots$$

in A.

Let $0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$ be a short exact sequence in \mathscr{A} and set $C' := C(A \to B)$. The cohomology functors give rise to an exact sequence

$$0 \to H^{-1}(C') \to A \xrightarrow{\alpha} B \to H^0(C') \to 0$$

in \mathscr{A} . Since α is a monomorphism in \mathscr{A} , $H^{-1}(C')$ must be zero; on the other side, $H^0(C') \cong$ coker $(A \to B) = C$. The filtration in the definition proves that $C' \cong H^0(C') \cong C$, so we can choose C' to be C with the same map appearing in the distinguished triangle.

Conversely, let $A \xrightarrow{\alpha} B \xrightarrow{\beta} C \xrightarrow{\gamma} A[1]$ be a distinguished triangle with $A, B, C \in \mathscr{A}$. Then the cohomology functors show that there is an exact sequence

$$0 = H^{-1}(C) \to H^0(A) \xrightarrow{\alpha} H^0(B) \xrightarrow{\beta} H^0(C) \to H^1(A) = 0,$$

concluding that $0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$ is a short exact sequence.

3 Semiorthogonal Decompositions and t-Structures

After recalling the notion of semiorthogonal decomposition, we define compatibility between t-structures with respect to such decomposition. In Theorem 3.7 we show how this situation gives rise to a global t-structure. As an application of the result, we study exceptional sequences and state Corollary 3.13, which generalizes Bondal's theorem [3, Theorem 6.2] for exceptional sequences of length 2.

Definition 3.1 Let \mathscr{T} be a triangulated category. A *semiorthogonal decomposition* is a sequence of full triangulated subcategories $\mathscr{T}_1, \mathscr{T}_2, \ldots, \mathscr{T}_n$ such that

- 1. Hom $(\mathscr{T}_i, \mathscr{T}_j) = 0$ with i > j;
- 2. For any $E \in \mathscr{T}$, there is a filtration

 $0 = E_n \to E_{n-1} \to \cdots \to E_1 \to E_0 = E$

such that $C(E_i \to E_{i-1}) \in \mathscr{T}_i$ for any $i \in \{1, \ldots, n\}$.

In this situation, we will write $\mathscr{T} = \langle \mathscr{T}_1, \mathscr{T}_2, \dots, \mathscr{T}_n \rangle$.

Remark 3.2 Item 1 entails that both the filtration and its cones are unique up to isomorphism and functorial, as observed in [18, Remark 2.2].

Definition 3.3 Let \mathscr{T} be a triangulated category. Given two full subcategories \mathscr{X} and \mathscr{Y} of \mathscr{T} , we define $\mathscr{X} * \mathscr{Y}$ to be the full subcategory of \mathscr{T} whose objects are

 $\{Z \in \mathscr{T} \mid \text{there exists a distinguished triangle } X \to Z \to Y \to X[1], \text{ with } X \in \mathscr{X}, Y \in \mathscr{Y}\}.$

This construction gives rise to an operation * between full subcategories of \mathscr{T} .

Proposition 3.4 [2, Lemma 1.3.10]. *The operation * is associative.*

Example 3.5 Let \mathscr{T} be a triangulated category. Given a semiorthogonal decomposition $\mathscr{T} =$ $\langle \mathscr{T}_1, \ldots, \mathscr{T}_n \rangle$, we can write $\mathscr{T} = \mathscr{T}_n * \ldots * \mathscr{T}_2 * \mathscr{T}_1$. If we consider a t-structure $\mathscr{T}^{\leq 0}$ on \mathscr{T} , we have $\mathscr{T} = \mathscr{T}^{\leq 0} * \mathscr{T}^{\geq 1}$.

Definition 3.6 Let $\mathscr{T} = \langle \mathscr{T}_1, \mathscr{T}_2 \rangle$ be a semiorthogonal decomposition, \mathscr{T} any triangulated category. Assume that \mathscr{T}_i has a t-structure $\mathscr{T}_i^{\leq 0}$ for i = 1, 2. Then $\mathscr{T}_1^{\leq 0}$ and $\mathscr{T}_2^{\leq 0}$ are *compatible in* \mathscr{T} if Hom $(\mathscr{T}_1^{\leq 0}, \mathscr{T}_2^{\geq 1}) = 0$.

Denoted by \mathscr{A}_1 and \mathscr{A}_2 the hearts of $\mathscr{T}_1^{\leq 0}$ and $\mathscr{T}_2^{\leq 0}$ respectively, the *relative dimension* of \mathscr{A}_1 and \mathscr{A}_2 in \mathscr{T} is the number

$$\operatorname{rdim}_{\mathscr{T}}(\mathscr{A}_1, \mathscr{A}_2) := \begin{cases} \sup \{m \in \mathbb{Z} \mid \operatorname{Hom}(\mathscr{A}_1, \mathscr{A}_2[m]) \neq 0\} \\ -1 & \text{otherwise.} \end{cases}$$

Notice that, whenever the set above is nonempty, $\operatorname{rdim}_{\mathscr{T}}(\mathscr{A}_1, \mathscr{A}_2) \geq 0$ by compatibility. The reason why we have chosen the value -1 in case the set is empty will become clear reading the statement of Theorem 3.7.

Theorem 3.7 Let \mathscr{T} be a triangulated category with a semiorthogonal decomposition $\mathscr{T} =$ $\langle \mathcal{T}_1, \mathcal{T}_2 \rangle$. Given two compatible t-structures $\mathcal{T}_1^{\leq 0}$ and $\mathcal{T}_2^{\leq 0}$ on \mathcal{T}_1 and \mathcal{T}_2 respectively, the full subcategory defined by

$$\mathscr{T}^{\leq 0} := \mathscr{T}_2^{\leq 0} * (\mathscr{T}_1^{\leq 0}[1])$$

is a t-structure. Furthermore,

- 1. If $\mathscr{T}_1^{\leq 0}$ and $\mathscr{T}_2^{\leq 0}$ are bounded (respectively non-degenerate), then $\mathscr{T}^{\leq 0}$ is bounded (respectively non-degenerate).
- 2. Let \mathscr{A}_1 , \mathscr{A}_2 and \mathscr{A} be the hearts associated to $\mathscr{T}_1^{\leq 0}$, $\mathscr{T}_2^{\leq 0}$ and $\mathscr{T}^{\leq 0}$ respectively. Then

$$\mathscr{A} = \mathscr{A}_2 * (\mathscr{A}_1[1]).$$

3. The following equality holds true whenever at least one of the two hearts $\mathcal{A}_1, \mathcal{A}_2$ is nonzero:

$$\dim_{\mathscr{T}}\mathscr{A} = \max\{\dim_{\mathscr{T}_1}\mathscr{A}_1, \dim_{\mathscr{T}_2}\mathscr{A}_2, \operatorname{rdim}_{\mathscr{T}}(\mathscr{A}_1, \mathscr{A}_2) + 1\}.$$

Proof Since $\mathscr{T}_i^{\leq 0}[1] \subset \mathscr{T}_i^{\leq 0}$ for i = 1, 2, it is clear that also $\mathscr{T}^{\leq 0}$ is closed by left shifts. We aim to show that $\mathscr{T} = \mathscr{T}^{\leq 0} * \mathscr{T}^{\geq 1}$, where $\mathscr{T}^{\geq 1} := (\mathscr{T}^{\leq 0})^{\perp}$. Notice that

$$\mathcal{T}=\mathcal{T}_2*\mathcal{T}_1=\mathcal{T}_2^{\leq 0}*\mathcal{T}_2^{\geq 1}*(\mathcal{T}_1^{\leq 0}[1])*(\mathcal{T}_1^{\geq 1}[1]).$$

Since $\langle \mathscr{T}_1, \mathscr{T}_2 \rangle$ is a semiorthogonal decomposition and compatibility holds, we have

$$\mathcal{T}_{2}^{\geq 1} * (\mathcal{T}_{1}^{\leq 0}[1]) = \{ X \oplus Y \mid X \in \mathcal{T}_{2}^{\geq 1}, Y \in \mathcal{T}_{1}^{\leq 0}[1] \} = (\mathcal{T}_{1}^{\leq 0}[1]) * \mathcal{T}_{2}^{\geq 1}.$$

Therefore, $\mathscr{T} = \mathscr{T}_{2}^{\leq 0} * (\mathscr{T}_{1}^{\leq 0}[1]) * \mathscr{T}_{2}^{\geq 1} * (\mathscr{T}_{1}^{\geq 1}[1])$. We claim that $\mathscr{T}_{2}^{\geq 1} * (\mathscr{T}_{1}^{\geq 1}[1]) = \mathscr{T}^{\geq 1}$. Let $A \in \mathscr{T}_{2}^{\geq 1} * (\mathscr{T}_{1}^{\geq 1}[1])$. There exists a distinguished triangle $A_{2}^{\geq 1} \to A \to A_{1}^{\geq 1}[1] \to A_{2}^{\geq 1}[1]$ with $A_{2}^{\geq 1} \in \mathscr{T}_{2}^{\geq 1}$ and $A_{1}^{\geq 1}[1] \in \mathscr{T}_{1}^{\geq 1}[1]$. Now let $B \in \mathscr{T}^{\leq 0}$ and consider the distinguished triangle $B_{2}^{\leq 0} \to B \to B_{1}^{\leq 0}[1] \to B_{2}^{\leq 0}[1]$, where $B_{2}^{\leq 0} \in \mathscr{T}_{2}^{\leq 0}$ and $B_{1}^{\leq 0}[1] \in \mathscr{T}_{2}^{\leq 0}[1]$.

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 $\mathscr{T}_1^{\leq 0}$ [1]. The two distinguished triangles introduced give rise to the following hom-exact sequences:

$$\cdots \longrightarrow \operatorname{Hom}(B, A_{2}^{\geq 1}) \longrightarrow \operatorname{Hom}(B, A) \longrightarrow \operatorname{Hom}(B, A_{1}^{\geq 1}[1]) \longrightarrow \cdots$$
$$\cdots \longrightarrow \operatorname{Hom}(B_{1}^{\leq 0}[1], A_{1}^{\geq 1}[1]) \longrightarrow \operatorname{Hom}(B, A_{1}^{\geq 1}[1]) \longrightarrow \operatorname{Hom}(B_{2}^{\leq 0}, A_{1}^{\geq 1}[1]) \longrightarrow \cdots$$
$$\cdots \longrightarrow \operatorname{Hom}(B_{1}^{\leq 0}[1], A_{2}^{\geq 1}) \longrightarrow \operatorname{Hom}(B, A_{2}^{\geq 1}) \longrightarrow \operatorname{Hom}(B_{2}^{\leq 0}, A_{2}^{\geq 1}) \longrightarrow \cdots$$

Since $\langle \mathscr{T}_1, \mathscr{T}_2 \rangle$ is a semiorthogonal decomposition, $\operatorname{Hom}(B_2^{\leq 0}, A_1^{\geq 1}[1]) = 0$, and the properties of t-structures tell us that $\operatorname{Hom}(B_1^{\leq 0}[1], A_1^{\geq 1}[1]) = 0 = \operatorname{Hom}(B_2^{\leq 0}, A_2^{\geq 1})$. By compatibility, we also have $\operatorname{Hom}(B_1^{\leq 0}[1], A_2^{\geq 1}) = 0$. Then the last two exact sequences prove that Hom $(B, A_1^{\geq 1}[1]) = \text{Hom}(B, A_2^{\geq 1}) = 0$. The first exact sequence concludes that Hom(B, A) = 0. Finally, $A \in \mathscr{T}^{\geq 1}$.

Conversely, if $A \in \mathscr{T}^{\geq 1}$, then there exists a distinguished triangle

$$A^{\leq 0} \to A \to A^{\geq 1} \to A^{\leq 0}[1]$$

with $A^{\leq 0} \in \mathscr{T}^{\leq 0}$ and $A^{\geq 1} \in \mathscr{T}_2^{\geq 1} * (\mathscr{T}_1^{\geq 1}[1])$. Notice $A^{\leq 0} \to A$ must be zero because $A \in \mathscr{T}^{\geq 1}$. Since $A^{\geq 1}$ cannot have a direct summand in $\mathscr{T}^{\leq 0}$, we get that $A^{\leq 0} = 0$. In particular, $A = A^{\geq 1}$; as wanted, $\mathscr{T}_2^{\geq 1} * (\mathscr{T}_1^{\geq 1}[1]) = \mathscr{T}^{\geq 1}$.

1. First, we deal with boundedness. Let $A \in \mathcal{T}$. From the semiorthogonal decomposition, we get a distinguished triangle $A_2 \to A \to A_1[1] \to A_2[1]$ where $A_i \in \mathscr{T}_i$ for $i = 1, 2.^2$ Since $\mathscr{T}_i^{\leq 0}$ is bounded, $A_i \in \mathscr{T}_i^{\leq k_i} \cap \mathscr{T}_i^{\geq h_i}$ for some integers k_i, h_i . Let $k := \max\{k_1, k_2\}$ and $h := \min\{h_1, h_2\}$.

By assumption, $A_i \in \mathcal{T}_i^{\leq 0}[-k_i]$, so $A_i[k] \in \mathcal{T}_i^{\leq 0}[k-k_i] \subseteq \mathcal{T}_i^{\leq 0}$ being t-structures closed by left shifts. Therefore, $A[k] \in \mathcal{T}^{\leq 0}$; in other words $A \in \mathcal{T}^{\leq 0}[-k] = \mathcal{T}^{\leq k}$. Similarly, $A_i \in \mathcal{T}_i^{\geq 1}[1-h_i]$ implies $A_i[h-1] \in \mathcal{T}_i^{\geq 1}[1-h_i+h-1] \subseteq \mathcal{T}_i^{\geq 1}$ (here we use the closure by right shifts). We conclude that $A[h-1] \in \mathcal{T}^{\geq 1}$, which means that $A \in \mathscr{T}^{\geq 1}[1-h] = \mathscr{T}^{\geq h}$. We have showed that $A \in \mathscr{T}^{\leq k} \cap \mathscr{T}^{\geq h}$.

To prove non-degeneracy when $\mathscr{T}_1^{\leq 0}$ and $\mathscr{T}_2^{\leq 0}$ are non-degenerate, let $C \in \bigcap_i \mathscr{T}^{\leq j}$. By Remark 3.2, we have $C = C(E \to F)$ for $E \in \bigcap_{i} \mathscr{T}_{1}^{\leq j}$ and $F \in \bigcap_{i} \mathscr{T}_{2}^{\leq j}$. By hypothesis, both intersections are zero, so $C \cong 0$ as wanted. The proof of $\bigcap_{i} \mathscr{T}^{\geq j} = 0$ is analogous since $\mathscr{T}^{\geq 1} = \mathscr{T}_2^{\geq 1} * (\mathscr{T}_1^{\geq 1}[1]).$

- 2. For any $A \in \mathscr{A}$ we can find two distinguished triangles, according to the fact that $A \in \mathscr{T}^{\leq 0}$ and $A[-1] \in \mathscr{T}^{\geq 1} = \mathscr{T}_2^{\geq 1} * (\mathscr{T}_1^{\geq 1}[1])$. Then Remark 3.2 proves that \mathscr{A} is exactly as described in the statement.
- 3. Let $A = C(A_1 \rightarrow A_2)$ and $B = C(B_1 \rightarrow B_2)$ be two objects of \mathscr{A} , with $A_i, B_i \in \mathscr{A}_i$, i = 1, 2. For any *m*, we consider the long exact sequence

 $\cdots \rightarrow \operatorname{Hom}(A_1[1], B[m]) \rightarrow \operatorname{Hom}(A, B[m]) \rightarrow \operatorname{Hom}(A_2, B[m]) \rightarrow \cdots$

associated to the distinguished triangle $A_1 \rightarrow A_2 \rightarrow A \rightarrow A_1[1]$. By considering the first and the last term, we can create two exact sequences associated to $B_1 \rightarrow B_2 \rightarrow$ $B \rightarrow B_1[1]$:

² The choice of $A_1[1]$ in the distinguished triangle is motivated by the fact that $\mathscr{T}^{\leq 0} = \mathscr{T}_2^{\leq 0} * (\mathscr{T}_1^{\leq 0}[1])$.

 $\cdots \to \operatorname{Hom}(A_1[1], B_2[m]) \to \operatorname{Hom}(A_1[1], B[m]) \to \operatorname{Hom}(A_1[1], B_1[m+1]) \to \cdots$ $\cdots \to \operatorname{Hom}(A_2, B_2[m]) \to \operatorname{Hom}(A_2, B[m]) \to \operatorname{Hom}(A_2, B_1[m+1]) \to \cdots$

Notice that Hom $(A_2, B_1[m+1]) = 0$ since $A_2 \in \mathscr{T}_2$ and $B_1 \in \mathscr{T}_1$. Taking

$$\ell := \max\{\dim_{\mathscr{T}_1} \mathscr{A}_1, \dim_{\mathscr{T}_2} \mathscr{A}_2, \operatorname{rdim}_{\mathscr{T}} (\mathscr{A}_1, \mathscr{A}_2) + 1\},\$$

the exact sequences above prove that $\operatorname{Hom}(A, B[m]) = 0$ for any $m > \ell$, so dim $\mathcal{T} \mathscr{A} \le \ell$. ℓ . To conclude, it suffices to show that dim $\mathcal{T} \mathscr{A} \ge \ell$.

We have two cases. If ℓ is realized by the homological dimension of \mathscr{A}_1 or \mathscr{A}_2 , we notice that $\mathscr{A}_1[1], \mathscr{A}_2 \subset \mathscr{A}$ by item 2, so dim $\mathscr{T} \mathscr{A} \geq \ell$.

Assume $\ell = \operatorname{rdim}_{\mathscr{T}}(\mathscr{A}_1, \mathscr{A}_2) + 1$. If $0 < \ell < +\infty$, for some choices of $A_1[1]$ and B_2 in \mathscr{A} we have $\operatorname{Hom}(A_1[1], B_2[\ell]) \neq 0$. Similarly, if $\ell = +\infty$, there is a sequence $(a_n) \subset \mathbb{Z}$ such that $a_n \to +\infty$ and $\operatorname{Hom}(A_1^n[1], B_2^n[a_n]) \neq 0$ for any a_n and some $A_1^n[1], B_2^n \in \mathscr{A}$. Since item 2 tells us that $A_1[1], A_1^n[1], B_2, B_2^n \in \mathscr{A}$, in both cases dim \mathscr{T} \mathscr{A} cannot be less than ℓ . If $\ell = 0$, then ℓ is also equal to the homological dimensions of \mathscr{A}_1 or \mathscr{A}_2 , and this possibility has already been addressed.

Remark 3.8 As already used in the last part of the proof, the constructed t-structure may not behave as wanted. For instance, using the notation of the statement, \mathcal{A}_1 is not contained in \mathcal{A} : we need to consider its shift $\mathcal{A}_1[1]$.

One may think this shifting could be easily adjusted, but the requirement needed is incredibly strong. The first idea it comes to mind is to consider the t-structure $\mathscr{T}_1^{\leq 1} = \mathscr{T}_1^{\leq 0}[-1]$ instead of $\mathscr{T}_1^{\leq 0}$. Indeed, if we ask $\mathscr{T}_1^{\leq 1}$ and $\mathscr{T}_2^{\leq 0}$ to be compatible, no shift will be involved, and in particular $\mathscr{A}_1, \mathscr{A}_2 \subset \mathscr{A}$. However, requiring $\mathscr{T}_1^{\leq 1}$ and $\mathscr{T}_2^{\leq 0}$ to be compatible implies that $\operatorname{Hom}(\mathscr{A}_1, \mathscr{A}_2) = 0$, which is generally too restrictive.

Remark 3.9 Theorem 3.7 is incredibly linked to torsion pairs (for an introduction of the concept, we refer to [10, Section I.2]). Let \mathscr{T} be a triangulated category with a semiorthogonal decomposition $\langle \mathscr{T}_1, \mathscr{T}_2 \rangle$ and a t-structure $\mathscr{T}^{\leq 0}$ such that $\mathscr{T}_i^{\leq 0} = \mathscr{T}^{\leq 0} \cap \mathscr{T}_i$ is a t-structure on \mathscr{T}_i for i = 1, 2. If these t-structures are compatible in \mathscr{T} , Theorem 3.7 gives rise to a t-structure $\mathscr{T}^{\leq 0}_{\#}$, which is different from $\mathscr{T}^{\leq 0}$. Indeed, $E \in \mathscr{T}_1^{\leq 0} \cap \mathscr{T}_1^{\geq 0}$ is an object in $(\mathscr{T}^{\leq 0}_{\#} \cap \mathscr{T}^{\geq 0}_{\#})[-1]$.

As a matter of fact, $\mathscr{T}_{\#}^{\leq 0}$ gives rise to a heart which is a tilted version of the heart \mathscr{A} of $\mathscr{T}^{\leq 0}$. This is simply true by picking the couple $\mathscr{F} = \mathscr{A} \cap \mathscr{T}_1$ and $\mathscr{T} = \mathscr{A} \cap \mathscr{T}_2$, which is a torsion pair by [17, Exercise 6.5].

Remark 3.10 Theorem 3.7 is very similar to [2, Theorem 1.4.10], which constructs global t-structures via recollements instead of semiorthogonal decompositions. Let us explain this relation in detail.

First of all, we recall that any recollement gives rise to a semiorthogonal decomposition. We consider $\mathscr{T} = \langle \mathscr{T}_1, \mathscr{T}_2 \rangle$ a semiorthogonal decomposition and let $\mathscr{T}_i^{\leq 0}$ a t-structure on \mathscr{T}_i for i = 1, 2. Then, under their respective assumptions, from Theorem 3.7 we get the global t-structure $\mathscr{T}_2^{\leq 0} * (\mathscr{T}_1^{\leq 0}[1])$, while [2, Theorem 1.4.10] gives the t-structure $\mathscr{T}_2^{\leq 0} * \mathscr{T}_1^{\leq 0}$. In other words, the new result gives a tilted version of the old statement (see Remark 3.9).

Moreover, the two theorems deal with different situations. Indeed, although it is possible to construct a left adjoint i^* to the inclusion $i_* : \mathscr{T}_1 \to \mathscr{T}$ (i.e. \mathscr{T}_1 is *left admissible*) and a right adjoint j^* to the inclusion $j_! : \mathscr{T}_2 \to \mathscr{T}$ (i.e. \mathscr{T}_2 is *right admissible*) by [3, Lemma 3.1], in general a left (respectively right) admissible subcategory does not need to be right (respectively left) admissible. Conversely, a recollement does not ensure that the compatibility requirement is satisfied, since $\mathscr{T}_2^{\geq 1}$ is not necessarily equal to $\mathscr{T}^{\geq 1} \cap \mathscr{T}_2$.

Concerning our studies, Theorem 3.7 is to be preferred because it computes the homological dimension of the obtained heart; this is crucial, especially for Corollary 3.13.

The definition of compatible t-structures so that Theorem 3.7 holds can be generalized to semiorthogonal decompositions of any length, but the requirement may result unnatural since we need to consider some shifting.

Definition 3.11 Let $\mathscr{T} = \langle \mathscr{T}_1, \ldots, \mathscr{T}_m \rangle$ and assume \mathscr{T}_i has a t-structure $\mathscr{T}_i^{\leq 0}$ for $i = 1, \ldots, m$. Then all the t-structures are *compatible* if $\operatorname{Hom}(\mathscr{T}_i^{\leq 0}[k-i-1], \mathscr{T}_k^{\geq 1}) = 0$ for any k > i.

With this notion of compatibility, an analogous of Theorem 3.7 can be obtained by recursion. With the same notation of the definition above, if \mathscr{A}_i is the heart of $\mathscr{T}_i^{\leq 0}$, the heart $\mathscr{A} \subset \mathscr{T}$ built via Theorem 3.7 is described as

$$\mathscr{A} = \mathscr{A}_m * \mathscr{A}_{m-1}[1] * \ldots * \mathscr{A}_2[m-2] * \mathscr{A}_1[m-1].$$

Example 3.12 — *Exceptional sequence* Let \mathbb{K} be a field and consider a \mathbb{K} -linear triangulated category \mathcal{T} . We recall that an *exceptional object* is an object $E \in \mathcal{T}$ such that

$$\operatorname{Hom}(E, E[n]) = \begin{cases} \mathbb{K} & \text{if } n = 0\\ 0 & \text{otherwise.} \end{cases}$$

A sequence of exceptional objects $E_1, \ldots, E_m \in \mathcal{T}$, such that $\text{Hom}(E_i, E_j[n]) = 0$ for any i > j and all *n*, is called *exceptional sequence*. It is *full* if \mathcal{T} is generated by E_1, \ldots, E_m , i.e. if \mathcal{T} is exact equivalent to the smallest full triangulated subcategory of \mathcal{T} containing E_1, \ldots, E_m .

Consider a K-linear triangulated category with a full exceptional sequence E_1, \ldots, E_m such that $\bigoplus_i \text{Hom}(A, B[i])$ is a finite-dimensional vector space for any $A, B \in \mathcal{T}$.³ By [12, §1.4], it is known that such a full exceptional sequence gives rise to a semiorthogonal decomposition given by $\mathcal{T}_i = \{\bigoplus_{\ell} E_i^{\oplus b_{\ell}}[\ell] : b_{\ell} \in \mathbb{N}\}$. We will use the notation $\langle E_1, \ldots, E_m \rangle$ to indicate exceptional sequences. Notice that on each \mathcal{T}_i we can consider a bounded t-structure with heart $\mathcal{A}_i = \{E_i^{\oplus b} : b \in \mathbb{N}\}$.

If the full exceptional sequence is also *strong*,⁴ i.e. Hom $(E_i, E_j[n]) = 0$ for any *i*, *j* and $n \neq 0$, the above t-structures are compatible: indeed, taking k > i,

$$\operatorname{Hom}\left(\bigoplus_{\ell\geq 0} E_i^{\oplus b_\ell}[\ell][k-i-1], \bigoplus_{j<0} E_k^{\oplus c_j}[j]\right) = 0.$$

Moreover, the t-structure induced on \mathcal{T} is bounded.

Corollary 3.13 Let \mathbb{K} be a field. Any \mathbb{K} -linear triangulated category \mathscr{T} with a full strong exceptional sequence $\langle E_1, E_2 \rangle$ such that $\dim_{\mathbb{K}} \operatorname{Hom}(E_1, E_2) < \infty$ is algebraic. In particular, $\mathscr{T} \cong D^{\mathrm{b}}(\operatorname{mod-} A)$, where $A = \operatorname{End}(\bigoplus_{i=1}^{2} E_i)$.

Proof Theorem 3.7 and Example 3.12 prove that \mathscr{T} has a heart of dimension at most 1. By [11, Theorem 3.2], \mathscr{T} is algebraic. We conclude by [20, Corollary 1.9].

Example 3.14 By the previous corollary, $D^{b}(\mathbb{P}^{1}_{\mathbb{K}})$ is the unique \mathbb{K} -linear triangulated category with a full strong exceptional sequence $\langle E_1, E_2 \rangle$ such that $\dim_{\mathbb{K}} \operatorname{Hom}(E_1, E_2) = 2$.

³ In fact, it suffices to require this property for $A, B \in \{E_1, \ldots, E_m\}$.

⁴ This condition can be weakened.

4 Quivers

In order to study exceptional sequences of length greater than 2, we will need some basic knowledge on quivers. Here we will give a brief introduction, mostly following [3, Section 5].

Definition 4.1 A quiver is a quadruple $Q = (Q_0, Q_1, s, t)$, where Q_0 is a set of vertices, Q_1 a set of arrows between vertices and $s, t : Q_1 \to Q_0$ are the maps indicating source and target respectively. A quiver is *finite* if Q_0 and Q_1 are finite. It is *ordered* if the vertices are ordered and for every arrow $a, s(a) \le t(a)$.

A path p of length n is a sequence of arrows $a_1, \ldots, a_n \in Q_1$ such that $t(a_i) = s(a_{i+1})$. Moreover, with the same notation, $t(p) := t(a_n)$ and $s(p) := s(a_1)$. We also allow paths of length 0: such paths are in correspondence with the vertices. Let p, q be two paths. Then the composition of paths $q \circ p$ is defined to be the concatenated path whenever s(q) = t(p).

Let \mathbb{K} be a field. The *path algebra* $\mathbb{K}Q$ is the \mathbb{K} -vector space with basis the paths. The product is described as follows:

$$\lambda q \cdot \mu p = \begin{cases} (\lambda \mu) \ q \circ p & \text{if } s(q) = t(p) \\ 0 & \text{otherwise} \end{cases}$$

where $\lambda, \mu \in \mathbb{K}$ and p, q are paths. In particular, paths of length 0 are idempotents in $\mathbb{K}Q$.

If $S \subset \mathbb{K}Q$ is any subset, (Q, S) is called *quiver with relations* and its associated path algebra is given by $\mathbb{K}Q/\langle S \rangle$, where $\langle S \rangle$ is the ideal generated by S.

Now, let us consider $A = \mathbb{K}Q/\langle S \rangle$ the path algebra associated to the quiver with relations (Q, S). A left *A*-module is a vector space *V* over \mathbb{K} with the left action of the algebra *A*. This is also called *representation of a quiver*. Using the paths of length 0, which are associated to the vertices of *Q*, then *V*, as a vector space, decomposes into a direct sum $\bigoplus_{i \in Q_0} V_i$, where V_i is the vector space associated to the vertex *i*. Moreover, for every path $p \in A$, we get a linear operator $V_{s(p)} \to V_{t(p)}$.

When dealing with right A-modules, one can consider the *opposite quiver* Q^{op} where s, t are swapped with respect to Q. In other words, arrows go in the other direction, analogously to what happens with the notion of opposite category. As one expects, left modules associated to (Q^{op}, S^{op}) are right modules of A.

In case the quiver Q is finite and ordered, let X_1, \ldots, X_n be the vertices and p_i the idempotent in A associated to X_i . Every right A-module V has a decomposition $V = \bigoplus_{i \in O_0} G_i V = \bigoplus_{i \in O_0} V p_i$.

Let us denote with S_i the representation for which $G_j S_i = \delta_{ij} \mathbb{K}$, where δ_{ij} is the Kronecker delta, and all arrows are represented by the zero morphisms. Notice that for each right *A*-module *V* we can create a filtration

$$0 = F^0 V \hookrightarrow F^1 V = G^1 V \hookrightarrow F^2 V = \bigoplus_{j=1}^2 G_j V \hookrightarrow \cdots \hookrightarrow F^{n-1} V = \bigoplus_{j=1}^{n-1} G_j V \hookrightarrow F^n V = V$$
(3.2)

such that each quotient $F^i V/F^{i-1}V$ is a direct sum of copies of S_i . Projective modules are $P_i = p_i A$ and the decomposition $A = \bigoplus_{i=1}^n P_i$ holds. As a matter of fact,

$$A = \operatorname{Hom}_{A}(A, A) = \operatorname{Hom}_{A}\left(\bigoplus_{i=1}^{n} P_{i}, \bigoplus_{i=1}^{n} P_{i}\right) = \bigoplus_{i,j} \operatorname{Hom}(P_{i}, P_{j}).$$

Deringer

These isomorphisms allow to interpret the arrows of a quiver as morphisms between projective modules. In particular, being A the path algebra of an ordered quiver, $\text{Hom}(P_i, P_j) = 0$ for i > j. Furthermore, it is possible to consider the exact sequence

$$0 \to F^{i-1}P_i \to P_i \to S_i \to 0 \tag{3.3}$$

for every $i = 1, \ldots, n$. Notice that $P_1 = S_1$.

Let \mathscr{T} be a \mathbb{K} -linear algebraic triangulated category with a full strong exceptional sequence $\langle E_1, \ldots, E_n \rangle$. Then $A = \operatorname{End}(\bigoplus_{i=1}^n E_i)$ is the path algebra of an ordered and finite quiver with relations. In particular, the equivalence $F : \mathscr{T} \to D^b(\operatorname{mod-} A)$ obtained in [20, Corollary 1.9] is such that $F(E_i) = P_i$, the projective modules of the path algebra A.

5 Filtered Enhancements

In this section, we explore the definition of filtered triangulated categories and give a fairly simple result that has not been found in the literature, namely if a triangulated category admits a filtered enhancement, then every full triangulated subcategory admits a filtered enhancement in a natural way (see Proposition 5.4). Main reference is [1, Appendix A]. In Remark 5.6, we discuss the relation of filtered enhancements with realization functors.

Definition 5.1 Let us consider a quintuple $(\mathscr{F}, \mathscr{F}(\leq 0), \mathscr{F}(\geq 0), s, \alpha)$, where \mathscr{F} is a triangulated category, $\mathscr{F}(\leq 0)$ and $\mathscr{F}(\geq 0)$ are strict full triangulated subcategories, $s : \mathscr{F} \to \mathscr{F}$ is an exact equivalence and $\alpha : \mathrm{id}_{\mathscr{F}} \to s$ is a natural transformation. We set $\mathscr{F}(\leq n) = s^n \mathscr{F}(\leq 0)$ and $\mathscr{F}(\geq n) = s^n \mathscr{F}(\geq 0)$. In this picture, \mathscr{F} is called *filtered triangulated category* if it satisfies the following axioms:

fcat 1 $\mathscr{F}(\leq 0) \subset \mathscr{F}(\leq 1)$ and $\mathscr{F}(\geq 1) \subset \mathscr{F}(\geq 0)$.

fcat 2 $\mathscr{F} = \bigcup_n \mathscr{F}(\leq n) = \bigcup_n \mathscr{F}(\geq n).$

fcat 3 Hom $(\mathscr{F}(\geq 1), \mathscr{F}(\leq 0)) = 0.$

fcat 4 For any $X \in \mathscr{F}$ there exists a distinguished triangle $A \to X \to B \to A[1]$ where $A \in \mathscr{F}(\geq 1)$ and $B \in \mathscr{F}(\leq 0)$; in other words, $\mathscr{F} = \mathscr{F}(\geq 1) * \mathscr{F}(\leq 0)$.

fcat 5 For any $X \in \mathscr{F}$, it holds that $\alpha_{s(X)} = s(\alpha_X)$.

fcat 6 For any $X \in \mathscr{F}(\geq 1)$ and $Y \in \mathscr{F}(\leq 0)$, α induces isomorphisms

$$\operatorname{Hom}(Y, X) \cong \operatorname{Hom}(Y, s^{-1}X) \cong \operatorname{Hom}(sY, X).$$

A triangulated category \mathscr{T} admits a *filtered enhancement* if there exists a filtered triangulated category \mathscr{F} such that $\mathscr{T} \cong \mathscr{F}(\leq 0) \cap \mathscr{F}(\geq 0)$ in the sense of triangulated categories. With an abuse of notation, we will always assume that $\mathscr{T} = \mathscr{F}(\leq 0) \cap \mathscr{F}(\geq 0)$.

Proposition 5.2 [1, Proposition A.3]. Let \mathscr{F} be a filtered triangulated category. Then the following assertions hold true:

- 1. The inclusion $i_{\leq n} : \mathscr{F}(\leq n) \to \mathscr{F}$ has a left adjoint $\sigma_{\leq n}$, and the inclusion $i_{\geq n} : \mathscr{F}(\geq n) \to \mathscr{F}$ has a right adjoint $\sigma_{\geq n}$. In particular, these adjoints are exact (see, for instance, [12, Proposition 1.41]).
- 2. There is a unique natural transformation $\delta : \sigma_{\leq n} \to \sigma_{\geq n+1}[1]$ such that, for any $X \in \mathscr{F}$,

$$\sigma_{\geq n+1}(X) \to X \to \sigma_{\leq n}(X) \stackrel{\delta(X)}{\to} \sigma_{\geq n+1}(X)[1]$$

is a distinguished triangle. Up to unique isomorphism, this is the only distinguished triangle $A \to X \to B \to A[1]$ with $A \in \mathscr{F}(\geq n+1)$ and $B \in \mathscr{F}(\leq n)$.

3. For any two integers m, n, we have the following natural isomorphisms:

$$\sigma_{\leq m}\sigma_{\leq n}\cong\sigma_{\leq\min\{m,n\}}, \quad \sigma_{\geq m}\sigma_{\geq n}\cong\sigma_{\leq\max\{m,n\}}, \quad \sigma_{\geq m}\sigma_{\leq n}\cong\sigma_{\leq n}\sigma_{\geq m}$$

Part of the proof. We want to prove the first two isomorphisms of item 3, since it is the only part of the statement not considered in [1]. Being the reasoning analogous, let us focus just on the first isomorphism. Let $X \in \mathscr{F}$. If $m \ge n$, then $\mathscr{F}(\le m) \supset \mathscr{F}(\le n)$. We recall that $\sigma_{\le m} i_{\le m} \cong$ id because the inclusion $i_{\le m}$ is fully faithful. Since $\sigma_{\le n}(X) \in \mathscr{F}(\le m)$, we simply have that $\sigma_{\le m} \sigma_{\le n}(X) \cong \sigma_{\le n}(X)$ by the natural isomorphism mentioned before. We conclude that $\sigma_{\le m} \sigma_{\le n} \cong \sigma_{\le n}$.

Let $m \leq n$, so that $\mathscr{F}(\leq m) \subset \mathscr{F}(\leq n)$. Then, by adjunction, we have the following isomorphisms for any $X \in \mathscr{F}$ and $Y \in \mathscr{F}(\leq m)$:

$$\operatorname{Hom}_{\mathscr{F}(\leq m)}(\sigma_{\leq m}\sigma_{\leq n}(X), Y) \cong \operatorname{Hom}_{\mathscr{F}}(\sigma_{\leq n}(X), i_{\leq m}(Y))$$
$$\cong \operatorname{Hom}_{\mathscr{F}}(X, i_{\leq n}i_{\leq m}(Y))$$
$$\cong \operatorname{Hom}_{\mathscr{F}}(X, i_{< m}(Y)).$$

In particular, $\sigma_{\leq m}\sigma_{\leq n}$ is left adjoint to $i_{\leq m}$. Since adjoints are determined up to a natural isomorphism, $\sigma_{\leq m}\sigma_{\leq n} \cong \sigma_{\leq m}$ as wanted.

Remark 5.3 By item 2 of Proposition 5.2, we also have the following isomorphisms:

$$s\sigma_{\leq n} \cong \sigma_{\leq n+1}s, \quad s\sigma_{\geq n} \cong \sigma_{\geq n+1}s.$$

Let us set $\operatorname{gr}^n := \sigma_{\leq n} \sigma_{\geq n}$. This is not the definition used in [1], but it will come in handy in the proof of the following statement.

Proposition 5.4 Let \mathcal{T} be a triangulated category admitting a filtered enhancement \mathcal{F} . Then any full triangulated subcategory \mathcal{S} of \mathcal{T} has a filtered enhancement given by the full subcategory \mathcal{G} of \mathcal{F} with objects

$$\{X \in \mathscr{F} \mid s^{-n} \operatorname{gr}^n(X) \in \mathscr{S} \,\forall n\}.$$

Proof First of all, we would like to show that \mathscr{G} is a triangulated subcategory of \mathscr{F} . Notice that the shift functor of \mathscr{F} obviously restricts to \mathscr{G} since s^{-n} grⁿ is exact, being composition of exact functors. Let us consider $X \to Y$ with $X, Y \in \mathscr{G}$. This gives a distinguished triangle $X \to Y \to Z \to X[1]$ in \mathscr{F} . We get that

$$s^{-n}\operatorname{gr}^n(X) \to s^{-n}\operatorname{gr}^n(Y) \to s^{-n}\operatorname{gr}^n(Z) \to s^{-n}\operatorname{gr}^n(X[1])$$

is a distinguished triangle in \mathscr{T} , with $s^{-n} \operatorname{gr}^n(X)$ and $s^{-n} \operatorname{gr}^n(Y)$ objects of \mathscr{S} . This suffices to conclude that $s^{-n} \operatorname{gr}^n(Z) \in \mathscr{S}$, so that $Z \in \mathscr{G}$. Next, we set $\mathscr{G}(\leq 0) := \mathscr{G} \cap \mathscr{F}(\leq 0)$ and $\mathscr{G}(\geq 0) := \mathscr{G} \cap \mathscr{F}(\geq 0)$. We would like to prove that the autoequivalence $s : \mathscr{F} \to \mathscr{F}$ can be restricted to \mathscr{G} . Let $X \in \mathscr{G}$. Then, by Remark 5.3, we have

$$s^{-n} \operatorname{gr}^{n}(sX) = s^{-n} \sigma_{\leq n} \sigma_{\geq n} s(X)$$
$$\cong s^{-n} \sigma_{\leq n} s \sigma_{\geq n-1}(X)$$
$$\cong s^{-n} s \sigma_{\leq n-1} \sigma_{\geq n-1}(X)$$
$$= s^{-n+1} \operatorname{gr}^{n-1}(X) \in \mathscr{S}$$

So we can restrict *s* and create an exact autoequivalence $s : \mathcal{G} \to \mathcal{G}$, called *s* as well by an abuse of notation. Of course, the restriction of $\alpha : id_{\mathcal{F}} \to s$ gives us the required natural

Deringer

transformation and fcat 5 is ensured. We set $\mathscr{G}(\leq n)$ and $\mathscr{G}(\geq n)$ via *s* as described in Definition 5.1. Being *s* an equivalence, we have the following

$$\mathscr{G}(\geq n) = s^n(\mathscr{G}(\geq 0)) = s^n(\mathscr{G} \cap \mathscr{F}(\geq 0)) = s^n(\mathscr{G}) \cap s^n(\mathscr{F}(\geq 0)) = \mathscr{G} \cap \mathscr{F}(\geq n),$$

and analogously $\mathscr{G}(\leq n) = \mathscr{G} \cap \mathscr{F}(\leq n)$. This immediately shows that fcat 1, 2, 3, 6 hold. As fcat 5 has already been dealt with, it remains to show fcat 4. In order to do that, we recall the distinguished triangle in item 2 of Proposition 5.2. Therefore, the statement is reduced to establish that the images of $\sigma_{\leq n}$ and $\sigma_{\geq n}$ are in $\mathscr{G}(\leq n)$ and $\mathscr{G}(\geq n)$ respectively, so that these functors are adjoints to the inclusions as in \mathscr{F} . Let $X \in \mathscr{G}$ and consider $\sigma_{\leq m}$. By item 3 of Proposition 5.2 and Remark 5.3 the following isomorphisms hold:

$$s^{-n} \operatorname{gr}^{n}(\sigma_{\leq m}X) = s^{-n}\sigma_{\leq n}\sigma_{\geq n}\sigma_{\leq m}(X)$$
$$\cong s^{-n}\sigma_{\leq n}\sigma_{\leq m}\sigma_{\geq n}(X)$$
$$\cong s^{-n}\sigma_{\leq m}\sigma_{\leq n}\sigma_{\geq n}(X)$$
$$\cong \sigma_{< m-n}s^{-n}\sigma_{< n}\sigma_{> n}(X)$$

In particular, $s^{-n} \operatorname{gr}^n(\sigma_{\leq m} X) \cong \sigma_{\leq m-n}(A)$, where $A \in \mathscr{S}$. If $m - n \geq 0$, we have the following inclusions:

$$A \in \mathscr{S} \subset \mathscr{T} \subset \mathscr{F}(\leq 0) \subset \mathscr{F}(\leq m-n),$$

so $\sigma_{\leq m-n}(A) = A$. If m - n < 0, being $A \in \mathscr{F}(\geq 0)$ it holds that $\operatorname{Hom}(A, \sigma_{\leq m-n}(A)) = 0$ by fcat 3. In particular, item 2 of Proposition 5.2 entails that $\sigma_{\leq m-n}(A) = 0$. As wanted, $s^{-n} \operatorname{gr}^n(\sigma_{\leq m}X) \in \mathscr{S}$, so that $\sigma_{\leq m}X \in \mathscr{G}$. With a similar reasoning, one can prove that $\sigma_{\geq m}X \in \mathscr{G}$.

The reason why filtered enhancements become of great interest is their relation with realization functors.

Definition 5.5 Let \mathscr{T} be a triangulated category. Given a heart (of a bounded t-structure) $\mathscr{A} \subset \mathscr{T}$, we call *realization functor* (of \mathscr{A} in \mathscr{T}) an exact functor real : $D^{b}(\mathscr{A}) \to \mathscr{T}$ such that $real_{|\mathscr{A}|} = id_{\mathscr{A}}$.

Remark 5.6 In [1, Appendix], it is proven that every triangulated category with a filtered enhancement admits a realization functor for any heart. However, some authors point out that an additional requirement, called fcat 7, may be necessary to provide the result (see [21, Appendix A] for further details).

For the sake of completeness, let us state this new axiom using the same notation of Definition 5.1.

fcat 7 Given any morphism $f: X \to Y$ in \mathscr{F} , the diagram

$$\begin{array}{cccc} \sigma_{\geq 1}(X) & \longrightarrow & X & \longrightarrow & \sigma_{\leq 0}(X) & \xrightarrow{\delta(X)} & \sigma_{\geq 1}(X)[1] \\ \downarrow^{\alpha_{\sigma_{\geq 1}}(Y)\sigma_{\geq 1}(f)} & \downarrow^{\alpha_{Y}f} & \downarrow^{\alpha_{\sigma_{\leq 0}}(Y)\sigma_{\leq 0}f} & \downarrow^{\alpha_{\sigma_{\geq 1}}(Y)\sigma_{\geq 1}(f)[1] \\ s(\sigma_{\geq 1}Y) & \longrightarrow & s(Y) & \longrightarrow & s(\sigma_{\leq 0}Y) & \longrightarrow & s(\sigma_{\geq 1}Y)[1] \end{array}$$

can be extended to a 3×3 -diagram whose rows and columns are distinguished triangles.

Once ensured that \mathscr{F} satisfies fcat 7, it is easy to prove that also \mathscr{G} as defined in Proposition 5.4 fulfills fcat 7. This will be key in what follows.

6 Realized Triangulated Categories

This section revolves around the unconventional notion of realized triangulated categories. After the definition, we will give some large classes of examples studied in the literature and prove a crucial result, Proposition 6.3. As an application, the generalization of Bondal's theorem [3, Theorem 6.2] is ensured for realized triangulated categories.

Definition 6.1 A triangulated category \mathscr{T} is called *realized* if for every heart \mathscr{A} of every full triangulated subcategory $\mathscr{S} \subset \mathscr{T}$ there exists a realization functor real : $D^{b}(\mathscr{A}) \to \mathscr{S} \subset \mathscr{T}$.

Example 6.2 1. Triangulated categories with a filtered enhancement are realized, as discussed in Proposition 5.4 and Remark 5.6.

- Algebraic triangulated categories are realized by [16, Theorem 3.2], where the first item is proved in detail in [14, Section 4]. In fact, every algebraic triangulated category has a filtered enhancement, as proved in [5, Proposition 3.8], but fcat 7 has not been investigated.
- 3. Every triangulated category which is the underlying category of a stable derivator admits a filtered enhancement; this is the content of [19]. Furthermore, topological triangulated categories obtained by stable combinatorial model categories are filtered by [9, Example 4.2]. In particular, there are examples of triangulated categories with a filtered enhancement which are not algebraic.

Proposition 6.3 [6, Corollary 2.8]. Let \mathcal{T} be a realized triangulated category and let \mathcal{A} be a heart on \mathcal{T} . The following assertions are equivalent:

- 1. \mathcal{T} has all the Ext groups of \mathcal{A} according to Definition A.12.
- 2. The realization functor real : $D^{b}(\mathscr{A}) \to \mathscr{T}$ is fully faithful.
- 3. The realization functor is full.

Moreover, under such circumstances, real is an exact equivalence.

Proof We start with $1. \Rightarrow 2$. Let $E, F \in D^{b}(\mathscr{A})$ and consider $\tilde{E} = \operatorname{real}(E)$ and $\tilde{F} = \operatorname{real}(F)$. Then, by Proposition 2.3, there exist integers $k_1 > \cdots > k_m$, $j_1 > \cdots > j_n$ and filtrations

$$0 = E_0 \to E_1 \to \dots \to E_{m-1} \to E_m = E$$
$$0 = F_0 \to F_1 \to \dots \to F_{n-1} \to F_n = F$$

with $C(E_{i-1} \to E_i) = E^i \in \mathscr{A}[k_i]$ and $C(F_{h-1} \to F_h) = F^h \in \mathscr{A}[j_h]$. Since real is an exact functor, their images \tilde{E}_i , \tilde{E}^i , \tilde{F}_h and \tilde{F}^h give the same filtrations. We consider the exact hom-sequences

 $\cdots \longrightarrow \operatorname{Hom}(E^{i}, F_{h}) \longrightarrow \operatorname{Hom}(E_{i}, F_{h}) \longrightarrow \operatorname{Hom}(E_{i-1}, F_{h}) \longrightarrow \cdots$ $\cdots \longrightarrow \operatorname{Hom}(E_{i}, F_{h-1}) \longrightarrow \operatorname{Hom}(E_{i}, F_{h}) \longrightarrow \operatorname{Hom}(E_{i}, F^{h}) \longrightarrow \cdots$

From these sequences, an induction on *i* and *h* proves that $\text{Hom}(E_i, F_h) \cong \text{Hom}(\tilde{E}_i, \tilde{F}_h)$, so finally $\text{Hom}(E, F) \cong \text{Hom}(\tilde{E}, \tilde{F})$ as wanted. Notice the base case is ensured since \mathscr{T} has all the Ext groups of \mathscr{A} .

The implication 2. \Rightarrow 3. is trivial. We deal with 3. \Rightarrow 1. In order to do that, we use item 3 and 4 of Proposition A.7, remembering Proposition A.15. Let $A, B \in \mathscr{A}$. Since $f_{1,A,B}$: Ext¹(A, B) \rightarrow Hom $\mathscr{T}(A, B[1])$ is an isomorphism by Dyer's Theorem A.2, we know that $f_{2,A,B}$ is injective. Moreover, since real is full, $f_{2,A,B}$ is surjective, thus an isomorphism. The same reasoning proves that $f_{n,A,B}$ is an isomorphism for every *n*, concluding that \mathscr{T} has all the Ext groups of \mathscr{A} .

We now assume that real is fully faithful and prove that it is also an equivalence. Let $E \in \mathcal{T}$. By Proposition 2.3, there are a sequence of integers $k_1 > \cdots > k_m$ and a filtration

$$0 = E_0 \to E_1 \to \cdots \to E_{m-1} \to E_m = E$$

such that $C(E_{i-1} \to E_i) = E^i \in \mathscr{A}[k_i]$. We prove by induction on *m* that *E* is in the essential image of real. If m = 0, there is nothing to prove. If m > 0, then by induction hypothesis $E_{m-1} = \operatorname{real}(\hat{E}_{m-1})$. Obviously, $E^m = \operatorname{real}(\hat{E}^m)$ because all shifts of the heart \mathscr{A} are in the essential image of real. By the filtration, $E = C(E^m[-1] \to E_{m-1})$. The map associated to this cone is the image of a unique map $f : \hat{E}^m[-1] \to \hat{E}_{m-1}$ in $D^b(\mathscr{A})$ because real is fully faithful. We consider its cone C(f). Since real is exact, we obtain an isomorphism $\operatorname{real}(C(f)) \cong E$.

Remark 6.4 As a corollary, it is immediately proven that not all hearts in a derived category have all the Ext groups. Indeed, in $D^{b}(\mathbb{P}^{1})$ one can show that $\mathscr{A} = \{\mathscr{O}_{\mathbb{P}^{1}}^{\oplus a_{0}}[2] \oplus \mathscr{O}_{\mathbb{P}^{1}}(1)^{\oplus a_{1}} | a_{0}, a_{1} \ge 0\}$ gives a heart (this can be done by applying Theorem 3.7). As highlighted in [17, Exercise 5.3], $D^{b}(\mathscr{A}) \cong D^{b}(pt)^{\oplus 2}$ is not equivalent to $D^{b}(\mathbb{P}^{1})$, so $D^{b}(\mathbb{P}^{1})$ cannot have all the Ext groups of \mathscr{A} .

With a different approach, notice that $\mathscr{A} \ni \mathscr{O}_{\mathbb{P}^1}[2] \to \mathscr{O}_{\mathbb{P}^1}(1)[2] \in \mathscr{A}[2]$ does not factor through an object in $\mathscr{A}[1]$, and therefore Corollary A.13 proves that $D^b(\mathbb{P}^1)$ does not have all the Ext groups of \mathscr{A} .

Remark 6.5 Let \mathbb{K} be a field and consider a realized \mathbb{K} -linear triangulated category \mathscr{T} with a full strong exceptional sequence $\langle E_1, \ldots, E_n \rangle$. Then we can consider the heart \mathscr{A} on \mathscr{T} obtained according to Theorem 3.7 and Example 3.12, giving rise to a realization functor $D^b(\mathscr{A}) \to \mathscr{T}$. One would like to prove that such functor is in fact an equivalence, so that [20, Corollary 1.9] can be applied to ensure the generalization of Bondal's result [3, Theorem 6.2]. However, when n > 2, it is not said that \mathscr{T} has all the Ext groups of \mathscr{A} ; for instance, if n = 3,

$$\mathcal{A} \ni E_1[2] \stackrel{f}{\longrightarrow} E_3[2] \in \mathcal{A}[2]$$

does not necessarily factor through $\mathscr{A}[1]$. In general, we would have $f \notin \operatorname{Ext}^2_{\mathscr{A}}(E_1[2], E_3)$ by Proposition A.7, item 1. For example, consider the quiver obtained by the following vertices and arrows:



In order to resolve this issue, we recall what already discussed in Remark 3.9. If the length of the exceptional sequence is 2, the heart obtained by Theorem 3.7 is a tilt of mod- A, where $A = \text{End}(\bigoplus_{i=1}^{2} E_i)$. As we will see, the same idea can be used to prove the general case.

Theorem 6.6 Let \mathbb{K} be a field and let \mathscr{T} be a realized \mathbb{K} -linear triangulated category with a full strong exceptional sequence $\langle E_1, \ldots, E_n \rangle$ such that $\bigoplus_i \operatorname{Hom}(X, Y[i])$ is a finite-dimensional vector space for any $X, Y \in \mathscr{T}$. Then $\mathscr{T} \cong D^{\mathrm{b}}(\operatorname{mod-} A)$, where $A = \operatorname{End}(\bigoplus_{i=1}^n E_i)$. **Proof** We will prove the statement by induction on *n*, the length of the exceptional sequence. The base case n = 2 is already taken care of by Corollary 3.13.

If n > 2, we write $\mathscr{T} = \langle \widetilde{\mathscr{T}}, E_n \rangle$. By induction hypothesis, there exists an exact equivalence $\varphi : D^{\mathrm{b}}(\mathrm{mod} \cdot \widetilde{A}) \to \widetilde{\mathscr{T}}$ with $\widetilde{A} = \mathrm{End}(\bigoplus_{i=1}^{n-1} E_i)$. We divide the proof in two parts:

- 1. The t-structures associated to $\varphi(\text{mod-}\tilde{A})$ and E_n are compatible. By Theorem 3.7, we obtain a heart \mathscr{A} on \mathscr{T} .
- 2. \mathscr{T} has all the Ext groups of \mathscr{A} .

Once both items are ensured, Proposition 6.3 can be applied, proving that $\mathscr{T} \cong D^{b}(\mathscr{A})$, and an application of [20, Corollary 1.9] will complete the proof.

From (3.2), every object $X \in \text{mod-} A$ has an associated filtration

$$0 = F^0 X \hookrightarrow F^1 X \hookrightarrow \dots \hookrightarrow F^{n-2} X \hookrightarrow F^{n-1} X = X$$

where $F^k X/F^{k-1}X$ is a direct sum of copies of S_k . Moreover, for each P_k there is a short exact sequence $0 \to F^{k-1}P_k \to P_k \to S_k \to 0$ by (3.3). In particular, $S_1 = P_1$.

Let us deal with 1. In order to prove it, it suffices to show that $\operatorname{Hom}(\varphi(X), E_n[m]) = 0$ for every $m \leq -1$ and $X \in \operatorname{mod} \widetilde{A}$. This can be done by induction on k, requiring $F^k X = X$. If k = 1, $F^1 X$ is in fact a direct sum of copies of $P_1 = \varphi^{-1}(E_1)$, so the claim holds.

If k > 1, notice that the short exact sequence $0 \to F^{k-1}P_k \to P_k \to S_k \to 0$ is associated to a distinguished triangle in \mathscr{T} , so it gives rise to the hom-sequence

$$\operatorname{Hom}(\varphi(F^{k-1}P_k)[1], E_n[m]) \to \operatorname{Hom}(\varphi(S_k), E_n[m]) \to \operatorname{Hom}(E_k, E_n[m]).$$

By induction, $\operatorname{Hom}(\varphi(F^{k-1}P_k)[1], E_n[m]) = 0$, while $\operatorname{Hom}(E_k, E_n[m]) = 0$ by hypothesis. Therefore, $\operatorname{Hom}(\varphi(S_k), E_n[m]) = 0$. We now consider $X = F^k X$ and the distinguished triangle

$$F^{k-1}X \to X \to X/F^{k-1}X \to F^{k-1}X[1]$$

obtained by the filtration. From the associated hom-sequence, $\text{Hom}(\varphi(X), E_n[m]) = 0$ since the same holds for $F^{k-1}X$ and $X/F^{k-1}X$, the last one being a direct sum of copies of S_k .

It remains to prove item 2. According to Corollary A.14, we will prove by induction on *m* that $\operatorname{Hom}(\varphi(X), E_n[m]) \cong \operatorname{Ext}_{\mathscr{A}}^m(\varphi(X), E_n)$ with $\varphi(X) \in \varphi(\operatorname{mod} \widetilde{A})[1] \subset \mathscr{A}$. The cases m = 0, 1 are true since \mathscr{A} is a heart. Let m > 1. By Proposition A.7, it holds that $\operatorname{Ext}^m(E_k[1], E_n) \subset \operatorname{Hom}(E_k[1], E_n[m]) = 0$, and therefore $\operatorname{Ext}^m(E_k[1], E_n) = 0$. Let us consider the distinguished triangle $F^{k-1}P_k \to P_k \to S_k \to F^{k-1}P_k[1]$. Applying $\operatorname{Hom}(\varphi(-), E_n[m])$, we get the following commutative diagram

$$\operatorname{Ext}^{m-1}(E_{k}[1], E_{n}) \longrightarrow \operatorname{Ext}^{m-1}(\varphi(F^{k-1}P_{k})[1], E_{n}) \longrightarrow \operatorname{Ext}^{m}(\varphi(S_{k})[1], E_{n}) \longrightarrow 0$$

$$\downarrow^{\cong} \qquad \qquad \downarrow^{\cong} \qquad \qquad \downarrow$$

$$\operatorname{Hom}(E_{k}[2], E_{n}[m]) \rightarrow \operatorname{Hom}(\varphi(F^{k-1}P_{k})[2], E_{n}[m]) \rightarrow \operatorname{Hom}(\varphi(S_{k})[1], E_{n}[m]) \rightarrow 0$$

$$(5.7)$$

proving that $\operatorname{Ext}^{m}(\varphi(S_{k})[1], E_{n}) \cong \operatorname{Hom}(\varphi(S_{k})[1], E_{n}[m])$ for every k (use, for instance, the five lemma).

Now, we proceed by induction on the length of the filtration. If $X = F^1X$, there is nothing to prove since F^1X is a sum of copies of $S_1 = E_1$, and therefore Hom $(\varphi(F^1X)[1], E_n[m]) = 0$ since m > 1. If $X = F^kX$, we consider the short exact sequence $0 \to F^{k-1}X \to X \to X/F^{k-1}X \to 0$. Then we get the following diagram:

$$\begin{array}{cccc} \operatorname{Ext}^{m-1}(\varphi(F^{k-1}X)[1], E_n) & \stackrel{\cong}{\longrightarrow} \operatorname{Hom}(\varphi(F^{k-1}X)[2], E_n[m]) \\ & \downarrow & \downarrow \\ \operatorname{Ext}^m(\varphi(X/F^{k-1}X)[1], E_n) & \stackrel{\cong}{\longrightarrow} \operatorname{Hom}(\varphi(X/F^{k-1}X)[1], E_n[m]) \\ & \downarrow & \downarrow \\ \operatorname{Ext}^m(\varphi(X)[1], E_n) & \stackrel{f_k}{\longrightarrow} \operatorname{Hom}(\varphi(X)[1], E_n[m]) \\ & \downarrow & \downarrow \\ \operatorname{Ext}^m(\varphi(F^{k-1}X)[1], E_n) & \stackrel{\cong}{\longrightarrow} \operatorname{Hom}(\varphi(F^{k-1}X)[1], E_n[m]) \\ & \downarrow & \downarrow \\ \operatorname{Ext}^{m+1}(\varphi(X/F^{k-1}X)[1], E_n) & \stackrel{g_k}{\longrightarrow} \operatorname{Hom}(\varphi(X/F^{k-1}X), E_n[m]). \end{array}$$

To show that f_k is an isomorphism, it suffices to apply the five lemma whenever g_k is a monomorphism. More strongly, we claim that g_k is an isomorphism. The idea is exactly the one seen above with the diagram (5.7). In order to prove that

$$\operatorname{Ext}^{m+1}(E_k[1], E_n) \subset \operatorname{Hom}(E_k[1], E_n[m+1]) = 0,$$

we will check that $\operatorname{Ext}^m(E_k[1], Y) \cong \operatorname{Hom}(E_k[1], Y[m])$ for any $Y \in \mathscr{A}$, and conclude by item 3 of Proposition A.7. This is in fact true. Indeed, notice that

$$\operatorname{Ext}^{m}(E_{k}[1], \varphi(X)[1]) = \operatorname{Hom}(E_{k}[1], \varphi(X)[m+1]) = 0$$

for any $X \in \text{mod-} \tilde{A}$ because E_k is projective in $\varphi(\text{mod-} \tilde{A})$. Furthermore, as remarked before (5.7), $\text{Ext}^m(E_k[1], E_n) = \text{Hom}(E_k[1], E_n[m]) = 0$. We conclude that

$$Ext^{m}(E_{k}[1], Y) = Hom(E_{k}[1], Y[m]) = 0$$

since any $Y \in \mathscr{A}$ is the extension of a direct sum of copies of E_n and an object $\varphi(X)[1] \in \varphi(\text{mod-}\tilde{A})$.

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A Yoneda Extensions in a Triangulated Category

A necessary remark to prove Hubery's main result is that, for a heart \mathscr{A} in a triangulated category \mathscr{T} , if dim $\mathscr{T}(\mathscr{A}) \leq 1$, then dim_{D^b(\mathscr{A})}(\mathscr{A}) ≤ 1 (see [11, Section 3]). This appendix aims to generalize this observation, providing results on Yoneda extensions in any triangulated category.

First of all, we want to recall a theorem by Dyer, as it will give the desired generality for Proposition A.7. For this reason, let us give the definition of exact category according to Quillen [22].

Definition A.1 An *exact category* \mathscr{A} is a full extension closed additive subcategory of an abelian category \mathscr{B} . A *conflation* (or short exact sequence) is given by a short exact sequence in \mathscr{B} contained in \mathscr{A} .

Theorem A.2 (Dyer) [7]. Let \mathscr{A} be a full extension closed additive subcategory of a triangulated category \mathscr{T} such that Hom(A, B[-1]) = 0 for any $A, B \in \mathscr{A}$.

Then \mathscr{A} has a natural exact structure, given by defining $0 \to A \to B \to C \to 0$ a conflation if $A \to B \to C \to A[1]$ is a distinguished triangle in \mathscr{T} for some $C \to A[1]$. This association gives rise to a natural isomorphism $\operatorname{Ext}^{1}_{\mathscr{A}}(A, B) \cong \operatorname{Hom}_{\mathscr{T}}(A, B[1])$ for all $A, B \in \mathscr{A}$.

Remark A.3 A heart \mathscr{A} in a triangulated category \mathscr{T} satisfies the requirements of Theorem A.2 thanks to Lemma 2.5.

Definition A.4 (*Yoneda extensions*) Let \mathscr{A} be an abelian category. The elements of the group $\operatorname{Ext}^{n}(A, B) \cong \operatorname{Hom}_{\operatorname{D}^{b}(\mathscr{A})}(A, B[n])$ are *n*-extensions for n > 0, i.e. exact sequences

$$\mathbf{X}: \mathbf{0} \to B \to X_1 \to \cdots \to X_n \to A \to \mathbf{0}$$

under the equivalence relation generated by identifying two exact sequences **X**, **Y** if there is a family of morphisms $\psi = {\psi_1, ..., \psi_n}$ satisfying the following commutative diagram

(cf. [8, Theorem III.5.5]). For n = 0, $\operatorname{Ext}^{0}(A, B) \cong \operatorname{Hom}_{\operatorname{D^{b}}(\mathscr{A})}(A, B) \cong \operatorname{Hom}_{\mathscr{A}}(A, B)$.

The Yoneda product is given by maps $Y_{A,B,C}^{n,m}$: $\operatorname{Ext}^{n}(A, B) \times \operatorname{Ext}^{m}(B, C) \to \operatorname{Ext}^{n+m}(A, C)$ for any $n, m \ge 0$ and any $A, B, C \in \mathscr{A}$. For $n, m \ge 1$, the Yoneda product is the map

$$\begin{aligned} \left(\mathbf{X} : 0 \to B \to X_1 \to \dots \to X_n \to A \to 0 , \ \mathbf{Y} : 0 \to C \to Y_1 \to \dots \to Y_m \to B \to 0 \right) \\ \downarrow \\ \mathbf{Y} \cdot \mathbf{X} : 0 \to C \to Y_1 \to \dots \to Y_m \to X_1 \to \dots \to X_n \to A \to 0. \end{aligned}$$

If n = m = 0, the product is simply the composition of maps. The case n > 0 and m = 0 requires a more sophisticated definition. Let $X_1 \in \text{Ext}^1(K, B)$ and $g : B \to C$. Then $g \cdot X_1$ is described by the following commutative diagram

where $g \cdot X_1$ is the pushout of g and $B \to X_1$. Now, considering an *n*-extension

 $\mathbf{X}: 0 \to B \to X_1 \to X_2 \to \cdots \to X_n \to A \to 0$

and $g: B \to C$, the Yoneda product is given by substituting $0 \to B \to X_1$ with $0 \to C \to g \cdot X_1$:

 $g \cdot \mathbf{X} : 0 \to C \to g \cdot X_1 \to X_2 \to \cdots \to X_n \to A \to 0.$

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Dually, one can describe the case n = 0 and m > 0. The Yoneda product so defined behaves according to the composition of maps (up to shift)

$$\operatorname{Hom}_{\mathcal{D}^{b}(\mathscr{A})}(A, B[n]) \times \operatorname{Hom}_{\mathcal{D}^{b}(\mathscr{A})}(B, C[m]) \to \operatorname{Hom}_{\mathcal{D}^{b}(\mathscr{A})}(A, C[n+m]).$$

The structure of abelian group of $\operatorname{Hom}_{\operatorname{D^b}(\mathscr{A})}(A, B[n])$ can be considered on $\operatorname{Ext}^n(A, B)$ via the *Baer sum*, described as follows. Let $\mathbf{X}, \mathbf{Y} \in \operatorname{Ext}^n(A, B)$. Consider the direct sum of the long exact sequences

$$\mathbf{X} \oplus \mathbf{Y} : 0 \to B \oplus B \to X_1 \oplus Y_1 \to \cdots \to X_n \oplus Y_n \to A \oplus A \to 0,$$

the diagonal map $\Delta_A = \begin{pmatrix} id \\ id \end{pmatrix}$: $A \to A \oplus A$ and the codiagonal map $\nabla_B = (id id)$: $B \oplus B \to B$. Then the Baer sum is given by $\mathbf{X} + \mathbf{Y} := \nabla_B \cdot (\mathbf{X} \oplus \mathbf{Y}) \cdot \Delta_A$.

The (absolute) homological dimension of \mathscr{A} , denoted by dim \mathscr{A} , is the greatest integer n such that $\operatorname{Ext}^n(A, B) \neq 0$ for some $A, B \in \mathscr{A}$.

Remark A.6. Last definition can be generalized to any exact category \mathscr{A} , where an *n*-extension is a sequence

 $0 \longrightarrow B \xrightarrow{\xi_0} X_1 \xrightarrow{\xi_1} X_2 \xrightarrow{\xi_2} \cdots \xrightarrow{\xi_{n-1}} X_n \xrightarrow{\xi_n} A \longrightarrow 0$

such that, for i = 1, ..., n - 1, ξ_i factor through an object $C_i \in \mathcal{A}$ and

$$0 \to B \to X_1 \to C_1 \to 0, \quad 0 \to C_1 \to X_2 \to C_2 \to 0, \quad \dots, \quad 0 \to C_{n-1} \to X_n \to A \to 0$$

are conflations. In particular, $C_i = \operatorname{im} \xi_i = \ker \xi_{i+1}$.

Proposition A.7. [6, Lemma 2.1]. Let \mathscr{A} be a heart of a triangulated category \mathscr{T} . More generally, let \mathscr{A} be an exact subcategory of \mathscr{T} as in Dyer's Theorem A.2. Then there is a well-defined map $f_{n,A,B}$: Ext^{*n*}(*A*, *B*) \rightarrow Hom $\mathscr{T}(A, B[n])$ for any *A*, *B* $\in \mathscr{A}$ and *n* ≥ 0 . The following facts are true.

1. The image of $f_{n,A,B}$ is given by all the maps $A \to B[n]$ factoring as

$$A \to C_{n-1}[1] \to \cdots \to C_1[n-1] \to B[n]$$

for some $C_i \in \mathcal{A}, i \in \{1, \ldots, n-1\}$.

- 2. The Yoneda product is sent to composition as expected: therefore, $f_{n,-,-}$ is a natural transformation and $f_{n,A,B}$ is a group homomorphism with respect to the Baer sum on Extⁿ(A, B).
- 3. If $f_{n-1,A,B}$ is an isomorphism for any $B \in \mathcal{A}$, then $f_{n,A,B}$ is injective.
- 4. Let $g_{n,A,B}$: Extⁿ $(A, B) \rightarrow \text{Hom}_{\mathscr{T}}(A, B[n])$ be a map for any $n \ge 0$ and $A, B \in \mathscr{A}$. If $g_{1,A,B}$ is the natural isomorphism of Theorem A.2 and the Yoneda product is sent to composition, then $g_{n,A,B} = f_{n,A,B}$.

Proof For n = 0, $f_{0,A,B}$: Hom $\mathscr{A}(A, B) \to \text{Hom}_{\mathscr{T}}(A, B)$ is an isomorphism since \mathscr{A} is a full subcategory of \mathscr{T} . Let n > 0 and consider **X** an exact sequence

$$0 \longrightarrow B \xrightarrow{\xi_0} X_1 \xrightarrow{\xi_1} X_2 \xrightarrow{\xi_2} \cdots \xrightarrow{\xi_{n-1}} X_n \xrightarrow{\xi_n} A \longrightarrow 0.$$

To **X** we can associate short exact sequences

$$0 \longrightarrow B = \operatorname{im} \xi_0 \longrightarrow X_1 \longrightarrow \operatorname{im} \xi_1 \longrightarrow 0$$
$$0 \longrightarrow \operatorname{im} \xi_1 \longrightarrow X_2 \longrightarrow \operatorname{im} \xi_2 \longrightarrow 0$$
$$\vdots$$
$$0 \longrightarrow \operatorname{im} \xi_{n-1} \longrightarrow X_n \longrightarrow \operatorname{im} \xi_n = A \longrightarrow 0$$

which are associated to distinguished triangles; therefore, we can consider a map

 $A \to \operatorname{im} \xi_{n-1}[1] \to \cdots \to \operatorname{im} \xi_2[n-2] \to \operatorname{im} \xi_1[n-1] \to B[n].$

We need to show that if (\mathbf{X}, ξ) and (\mathbf{Y}, η) give the same *n*-extension, then the associated map $A \to B[n]$ obtained is the same. Without loss of generality, assume there is a family of morphisms ψ as in Definition A.4. Then for each $i \in \{0, ..., n-1\}$ we have

$$\begin{array}{cccc} \operatorname{im} \xi_i & \longrightarrow & X_{i+1} & \longrightarrow & \operatorname{im} \xi_{i+1} & \longrightarrow & \operatorname{im} \xi_i [1] \\ & & & \downarrow & & \downarrow & \psi_{i+1} & & \downarrow & \varphi_i [1] \\ & & & & & \eta_i & \longrightarrow & Y_{i+1} & \longrightarrow & \operatorname{im} \eta_{i+1} & \longrightarrow & \operatorname{im} \eta_i [1] \end{array}$$

where φ_i is obtained by the universal property of the kernel. In order to prove that the middle square is commutative, we notice that

$$\begin{aligned} X_{i+1} \to \operatorname{im} \xi_{i+1} \to \operatorname{im} \eta_{i+1} &\hookrightarrow Y_{i+2} = X_{i+1} \to \operatorname{im} \xi_{i+1} \to X_{i+2} \to Y_{i+2} \\ &= X_{i+1} \to Y_{i+1} \to Y_{i+2} \\ &= X_{i+1} \to Y_{i+1} \to \operatorname{im} \eta_{i+1} \hookrightarrow Y_{i+2}, \end{aligned}$$

so $X_{i+1} \rightarrow \text{im } \xi_{i+1} \rightarrow \text{im } \eta_{i+1} = X_{i+1} \rightarrow Y_{i+1} \rightarrow \text{im } \eta_{i+1}$. Since φ_{i+1} is the only one making the middle square commutative by the universal property of the cokernel, TR3 entails that also the right-hand square is commutative.

We obtain a commutative diagram

$$\begin{array}{ccc} A & \longrightarrow \operatorname{im} \xi_{n-1}[1] & \longrightarrow \cdots & \longrightarrow \operatorname{im} \xi_2[n-2] & \longrightarrow \operatorname{im} \xi_1[n-1] & \longrightarrow B[n] \\ \downarrow^{\varphi_n} & \downarrow^{\varphi_{n-1}[1]} & & \downarrow^{\varphi_2[n-2]} & \downarrow^{\varphi_1[n-1]} & \downarrow^{\varphi_0[n]} \\ A & \longrightarrow \operatorname{im} \eta_{n-1}[1] & \longrightarrow \cdots & \longrightarrow \operatorname{im} \eta_2[n-2] & \longrightarrow \operatorname{im} \eta_1[n-1] & \longrightarrow B[n] \end{array}$$

where $\varphi_n = \text{id}$ and $\varphi_0 = \text{id}$, so that the rows are in fact the same map. This gives the well-definition of every $f_{n,A,B}$.

1. Let us consider a map $\alpha : A \to B[n]$ factoring through $A = C_n \to C_{n-1}[1] \to \cdots \to C_1[n-1] \to C_0[n] = B[n]$. To any $C_i[-1] \to C_{i-1}$, we can associate a cone, which is in \mathscr{A} by Theorem A.2. Let us call such cone X_i . We have the following short exact sequences: $0 \to C_{i-1} \to X_i \to C_i \to 0$. Since C_i is also the kernel of $X_{i+1} \to C_{i+1}$, we manage to create an exact sequence

$$0 \to B \to X_1 \to X_2 \to \cdots \to X_n \to A \to 0.$$

It is easy to notice that such exact sequence is associated to the map $\alpha : A \to B[n]$ via $f_{n,A,B}$.

2. In the case of Ext^n and Ext^m with n, m > 0, the Yoneda product is sent to composition with a reasoning similar to item 1. Therefore, it suffices to show it is true when either m or n is zero.

First, we recall that $f_{1,A,B}$ is exactly the map considered in Theorem A.2, which is a natural transformation for both entries. So (A.5) can be translated to

$$B \longrightarrow X_1 \longrightarrow K \xrightarrow{h} B[1]$$

$$\downarrow^g \qquad \downarrow \qquad \qquad \downarrow^{id} \qquad \downarrow^{g[1]}$$

$$C \longrightarrow g \cdot X_1 \longrightarrow K \xrightarrow{g[1]_f} C[1]$$
(A.8)

in \mathscr{T} . Let us prove that $f_{n,A,-}$ is a natural transformation, the proof of $f_{n,-,B}$ being dual. For a general *n*-extension

$$\mathbf{X}: \mathbf{0} \to \mathbf{B} \to \mathbf{X}_1 \to \mathbf{X}_2 \to \cdots \to \mathbf{X}_n \to \mathbf{A} \to \mathbf{0}$$

and $g: B \to C$, the map $A \to C[n]$ associated to $g \cdot \mathbf{X}$ factors through $K[n-1] \to C[n]$, where $K = \operatorname{im}(g \cdot X_1 \to X_2) = \operatorname{im}(X_1 \to X_2)$, according to (A.8). Furthermore, the same diagram shows that $K \to C[1]$ is obtained as a composition $K \to B[1] \to C[1]$, where the latter morphism is g[1]. Therefore, $A \to C[n]$ can be written as the composition of $A \to B[n]$, obtained by \mathbf{X} , and $g[n]: B[n] \to C[n]$, as wanted.

Finally, $f_{n,-,-}$ is a natural transformation for both entries A and B. Moreover, $f_{n,A,B}$ is a group homomorphism since the Baer sum of two extensions is given by Yoneda products as explained in Definition A.4.

3. We want to show that the zero map $A \to B[n]$ is associated to only one equivalence class of extensions, the trivial one, whenever $f_{n-1,A,X}$ is an isomorphism for any $X \in \mathcal{A}$. Let us consider

$$\mathbf{X}: 0 \to B \to X_1 \to X_2 \to \cdots \to X_n \to A \to 0$$

such that $f_{n,A,B}(\mathbf{X}) = 0$ and the associated factorization

$$A \to C_{n-1}[1] \to \cdots \to C_2[n-2] \to C_1[n-1] \to B[n].$$

We have the following diagram, where the rows are distinguished triangles:

Now we pick the map $A[1] \rightarrow B[n] \oplus A[1] \rightarrow X_1[n]$. Since f_{n-1,A,X_1} is a surjective, we get that $A \rightarrow X_1[n-1]$ is associated to an exact sequence

$$\mathbf{Y}: \mathbf{0} \to X_1 \to Y_1 \to \cdots \to Y_{n-1} \to A \to \mathbf{0},$$

Composing **Y** with $0 \rightarrow B \rightarrow X_1 \oplus B \rightarrow X_1 \rightarrow 0$, we have the following:

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We want to prove there are maps $Y_i \to X_{i+1}$ making every square of the diagram above commutative. It suffices to consider the sequences starting at X_1 and C_1 respectively (remember that C_1 is the image of $X_1 \to X_2$). The Yoneda product of **Y** and $g: X_1 \to C_1$ gives us $g \cdot \mathbf{Y}$, whose associated map $A \to X_1[n-1] \to C_1[n-1]$ factors as $A \to C_{n-1}[1] \to \cdots \to C_1[n-1]$ because of the right-hand commutative square in (A.9). Since f_{n-1,A,C_1} is injective by assumption, we know that $g \cdot \mathbf{Y}$ is in the same equivalence class of

$$0 \to C_1 \to X_2 \to \cdots \to X_n \to A \to 0.$$

Therefore, we can assume, up to equivalence, that X is in fact

$$0 \to B \to X_1 \to g \cdot Y_1 \to Y_2 \to \cdots \to Y_{n-1} \to A \to 0.$$

With this assumption, (A.10) can be completed with maps $Y_i \rightarrow X_{i+1}$ as wanted: the first morphism is given according to (A.5), while all the others are the identity. It remains to show that the equivalence class of

$$0 \to B \to X_1 \oplus B \to Y_1 \to \cdots \to Y_{n-1} \to A \to 0$$

is the one associated to 0, which is obvious because the diagram

commutes.

4. Let $g_{n,A,B}$ as in the statement and assume by induction that $g_{m,C,D} = f_{m,C,D}$ for any m < n and $C, D \in \mathscr{A}$. We consider $\mathbf{X} \in \operatorname{Ext}^{n}(A, B)$ given by

$$0 \to B \to X_1 \xrightarrow{\xi_1} X_2 \to \cdots \to X_n \to A \to 0.$$

Such an extension can be split into two shorter extensions:

$$\mathbf{X}_1: \quad 0 \to B \to X_1 \to \operatorname{coker}(\xi_1) \to 0$$

$$\mathbf{X}_2: \quad 0 \to \operatorname{coker}(\xi_1) \to X_2 \to \dots \to X_n \to A \to 0$$

Moreover, $\mathbf{X}_1 \cdot \mathbf{X}_2 = \mathbf{X}$. As $g_{n,A,B}$ sends Yoneda product to composition, we have

$$g_{n,A,B}(\mathbf{X}) = g_{n,A,B}(\mathbf{X}_1 \cdot \mathbf{X}_2)$$

= $g_{1,\operatorname{coker}(\xi_1),B}(\mathbf{X}_1) \circ g_{n-1,A,\operatorname{coker}(\xi_1)}(\mathbf{X}_2)$
= $f_{1,\operatorname{coker}(\xi_1),B}(\mathbf{X}_1) \circ f_{n-1,A,\operatorname{coker}(\xi_1)}(\mathbf{X}_2)$
= $f_{n,A,B}(\mathbf{X}_1 \cdot \mathbf{X}_2) = f_{n,A,B}(\mathbf{X}).$

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Remark A.11. By Proposition A.7, for any exact subcategory $\mathscr{A} \subset \mathscr{T}$ as in Dyer's Theorem A.2, it holds that $\operatorname{Ext}^2(A, B) \subset \operatorname{Hom}(A, B[2])$ for any $A, B \in \mathscr{A}$. In case \mathscr{A} is a heart, $\dim_{\mathscr{T}}(\mathscr{A}) \leq 1$ implies that $\dim_{\mathscr{A}} \leq 1$.

Definition A.12. Let \mathscr{T} be a triangulated category and \mathscr{A} an exact subcategory as in Dyer's Theorem A.2. We say that \mathscr{T} has all the Ext groups of \mathscr{A} if the morphism $f_{n,A,B}$ defined in Proposition A.7 is an isomorphism for any $A, B \in \mathscr{A}$ and all $n \in \mathbb{N}$.

Corollary A.13. A triangulated category \mathscr{T} has all the Ext groups of an exact subcategory \mathscr{A} as in Dyer's Theorem A.2 if and only if for every map $A \to B[n]$ there exists a factorization

$$A \to C_{n-1}[1] \to \cdots \to C_1[n-1] \to B[n]$$

with $C_i \in \mathscr{A}$ for $i \in \{1, ..., n-1\}$. In particular, if \mathscr{A} is a heart and $\dim_{\mathscr{T}} \mathscr{A} \leq 1$, then \mathscr{T} has all the Ext groups of \mathscr{A} and $\dim_{\mathscr{T}} \mathscr{A} = \dim_{\mathscr{T}} \mathscr{A}$.

Proof The only if part is obvious: if $f_{n,A,B}$ is an isomorphism, then the image of such map contains all morphisms $A \rightarrow B[n]$: item 1 of Proposition A.7 concludes.

Conversely, item 1 of Proposition A.7 shows that $f_{n,A,B}$ is surjective. By Theorem A.2, $f_{1,A,B}$ is an isomorphism: we obtain that $f_{2,A,B}$ is injective according to item 3 of Proposition A.7. An induction proves that this holds for every *n*.

Using Remark A.11 and Theorem A.2, we prove the last part of the statement.

Corollary A.14. Let \mathscr{T} be a triangulated category with a semiorthogonal decomposition $\mathscr{T} = \langle \mathscr{T}_1, \mathscr{T}_2 \rangle$ and two compatible t-structures $\mathscr{T}_1^{\leq 0}$ and $\mathscr{T}_2^{\leq 0}$ on \mathscr{T}_1 and \mathscr{T}_2 respectively. We denote with \mathscr{A}_i the heart associated to $\mathscr{T}_i^{\leq 0}$. By Theorem 3.7, we obtain the heart

$$\mathscr{A} = \mathscr{A}_2 * \mathscr{A}_1[1].$$

We consider the following hypotheses:

- 1. \mathcal{T}_i has all the Ext groups of \mathcal{A}_i ;
- 2. Hom $\mathcal{T}(A, B[m]) \cong \operatorname{Ext}^{m}_{\mathscr{A}}(A, B)$ for every $A \in \mathscr{A}_{1}[1]$ and $B \in \mathscr{A}_{2}$.

Then \mathscr{T} has all the Ext groups of the heart \mathscr{A} .

Proof Before starting the actual proof, let us remark that $\operatorname{Ext}_{\mathscr{A}}^{m}(A, B) = \operatorname{Ext}_{\mathscr{A}_{2}}^{m}(A, B)$ whenever $A, B \in \mathscr{A}_{2}$. Indeed, let

$$\mathbf{X}: 0 \to B \to X_1 \to X_2 \to \cdots \to X_n \to A \to 0$$

be an extension in \mathscr{A} with $A, B \in \mathscr{A}_2$ and let $\sigma_2 : \mathscr{T} \to \mathscr{T}_2$ be the right adjoint of the inclusion functor $\iota : \mathscr{T}_2 \to \mathscr{T}$. Then we get

which shows that $\iota \sigma_2 \mathbf{X} \cong \mathbf{X}$ in $\operatorname{Ext}^m_{\mathscr{A}}(A, B)$ (recall the equivalence relation used to describe the Yoneda extensions in Definition A.4). Since $\sigma_2 \mathbf{X} \in \operatorname{Ext}^m_{\mathscr{A}_2}(A, B)$, we conclude that ι gives an isomorphism between $\operatorname{Ext}^m_{\mathscr{A}_2}(A, B)$ and $\operatorname{Ext}^m_{\mathscr{A}}(A, B)$ whenever $A, B \in \mathscr{A}_2$. In a similar way, considering the left adjoint of the inclusion $\mathscr{T}_1 \to \mathscr{T}$, one can prove that $\operatorname{Ext}^m_{\mathscr{A}}(A, B) = \operatorname{Ext}^m_{\mathscr{A}_1[1]}(A, B)$ if $A, B \in \mathscr{A}_1[1]$. Given $A, B \in \mathcal{A}$, we consider two distinguished triangle $A_2 \to A \to A_1 \to A_2[1]$ and $B_2 \to B \to B_1 \to B_2[1]$ with $A_2, B_2 \in \mathcal{A}_2$ and $A_1, B_1 \in \mathcal{A}_1[1]$. We obtain the following hom-exact sequences

$$\cdots \longrightarrow \operatorname{Hom}(A_1, B[m]) \longrightarrow \operatorname{Hom}(A, B[m]) \longrightarrow \operatorname{Hom}(A_2, B[m]) \longrightarrow \cdots$$

$$\cdots \longrightarrow \operatorname{Hom}(A_1, B_2[m]) \longrightarrow \operatorname{Hom}(A_1, B[m]) \longrightarrow \operatorname{Hom}(A_1, B_1[m]) \longrightarrow \cdots$$

$$\cdots \longrightarrow \operatorname{Hom}(A_2, B_2[m]) \longrightarrow \operatorname{Hom}(A_2, B[m]) \longrightarrow \operatorname{Hom}(A_2, B_1[m]) = 0 \longrightarrow \cdots$$

By Proposition A.7, these exact sequences have maps from the Ext groups. We proceed by induction on m. From the induction hypothesis and item 3 of Proposition A.7 we deduce that

$$\operatorname{Ext}_{\mathscr{A}}^{m}(A_{2}, B_{1}) \subseteq \operatorname{Hom}(A_{2}, B_{1}[m]) = 0.$$

Therefore, hypothesis 1 and five lemma entails that $\operatorname{Hom}(A_2, B[m]) \cong \operatorname{Ext}_{\mathscr{A}}^m(A_2, B)$. The second row proves that $\operatorname{Hom}(A_1, B[m]) \cong \operatorname{Ext}_{\mathscr{A}}^m(A_1, B)$ using both hypotheses and five lemma. From the first row, we conclude that $\operatorname{Hom}(A, B[m]) \cong \operatorname{Ext}_{\mathscr{A}}^m(A, B)$.

Proposition A.15. [13, Propositions XI.4.7 and 4.8]. In the case of $D^{b}(\mathscr{A})$, the map $f_{n,A,B}$: Ext^{*n*}(*A*, *B*) \rightarrow Hom(*A*, *B*[*n*]) above is exactly the classical one, that associates to each $\mathbf{X} \in \text{Ext}^{n}(A, B)$ the map given by the composition of the inverse of the quasi-isomorphism

$$(0 \to B \to X_1 \to \cdots \to X_n \to 0) \to A$$

(the left-hand complex is such that X_n is at level 0) and the morphism

$$(0 \to B \to X_1 \to \cdots \to X_n \to 0) \to B[n].$$

In particular, in the case of $D^{b}(\mathscr{A})$ every $f_{n,A,B}$ is an isomorphism.

Proof This is a direct consequence of item 4 of Proposition A.7. The last sentence is a classical result; see, for instance, [13, Proposition XI.4.8].

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