

# All-Oxide p–n Junction Thermoelectric Generator Based on SnO<sub>x</sub> and ZnO Thin Films

Eliana M. F. Vieira,\* José P. B. Silva,\* Kateřina Veltruská, Cosmin M. Istrate, Veniero Lenzi, Vanira Trifiletti, Bruno Lorenzi, Vladimír Matolín, Corneliu Ghica, Luis Marques, Oliver Fenwick, and Luis M. Goncalves



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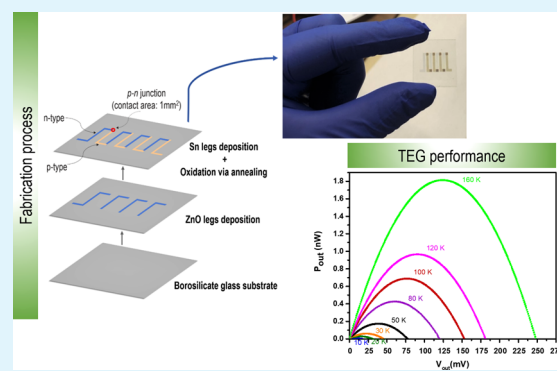
Supporting Information

**ABSTRACT:** Achieving thermoelectric devices with high performance based on low-cost and nontoxic materials is extremely challenging. Moreover, as we move toward an Internet-of-Things society, a miniaturized local power source such as a thermoelectric generator (TEG) is desired to power increasing numbers of wireless sensors. Therefore, in this work, an all-oxide p–n junction TEG composed of low-cost, abundant, and nontoxic materials, such as n-type ZnO and p-type SnO<sub>x</sub> thin films, deposited on borosilicate glass substrate is proposed. A type II heterojunction between SnO<sub>x</sub> and ZnO films was predicted by density functional theory (DFT) calculations and confirmed experimentally by X-ray photoelectron spectroscopy (XPS). Moreover, scanning transmission electron microscopy (STEM) combined with energy-dispersive X-ray spectroscopy (EDS) show a sharp interface between the SnO<sub>x</sub> and ZnO layers, confirming the high quality of the p–n junction even after annealing at 523 K. ZnO and SnO<sub>x</sub> thin films exhibit Seebeck coefficients ( $\alpha$ ) of  $\sim 121$  and  $\sim 258$   $\mu\text{V}/\text{K}$ , respectively, at 298 K, resulting in power factors (PF) of  $180$   $\mu\text{W}/\text{m K}^2$  (for ZnO) and  $37$   $\mu\text{W}/\text{m K}^2$  (for SnO<sub>x</sub>). Moreover, the thermal conductivities of ZnO and SnO<sub>x</sub> films are  $8.7$  and  $1.24$   $\text{W}/\text{m K}$ , respectively, at 298 K, with no significant changes until 575 K. The four pairs all-oxide TEG generated a maximum power output ( $P_{\text{out}}$ ) of  $1.8$   $\text{nW}$  ( $\approx 126$   $\mu\text{W}/\text{cm}^2$ ) at a temperature difference of 160 K. The output voltage ( $V_{\text{out}}$ ) and output current ( $I_{\text{out}}$ ) at the maximum power output of the TEG are  $124$   $\text{mV}$  and  $0.0146$   $\mu\text{A}$ , respectively. This work paves the way for achieving a high-performance TEG device based on oxide thin films.

**KEYWORDS:** thermoelectricity, energy generation, all-oxide device, p–n junction, density functional theory, TEGs

## INTRODUCTION

Thermoelectric generators (TEGs) are devices with the capability to convert waste heat into electrical energy. The maximum efficiency of the thermoelectric (TE) energy conversion is related to the figure-of-merit,  $ZT = \alpha^2 \sigma / k(T)$  of the TE materials, whereby  $\alpha$  is the Seebeck coefficient,  $\sigma$  is the electrical conductivity, and  $k$  is the thermal conductivity, at a specific temperature  $T$  and also depends on the device design.<sup>1,2</sup> Several strategies have been undertaken to improve the performance of TE devices over the past several decades,<sup>3,4</sup> but it is still problematic to achieve high  $ZT$  values without the use of scarce and high-cost materials (such as Bi, Te, and Sb). In our recent work, a p–n junction TEG device based on Bi<sub>2</sub>Te<sub>3</sub> and Sb<sub>2</sub>Te<sub>3</sub> thin films was developed with good thermoelectric performance ( $3.3$   $\text{mW}/\text{cm}^2$  of density power),<sup>5</sup> but the cost is too high for large exploitation and their practical utilization is limited due to a near-room temperature (RT) operating range. In addition, these devices seem to be unstable at high temperatures and tend to have oxidation issues.<sup>6</sup> Therefore, it is important to fabricate a low-priced device, with

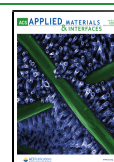


more abundant and eco-friendly materials, as this can be more powerful than to have a very high-performance TEG with an unpredictable price. To provide good efficiency while reducing the use of expensive and rare materials, researchers have developed TE devices using inorganic compounds—organic polymers due to their low-cost processing, biocompatibility, and flexibility.<sup>6,7</sup> In this context, we highlighted the work developed by Pires et al.<sup>6</sup> with a TEG made by 10 legs of Bi<sub>2</sub>Te<sub>3</sub>–poly(vinyl alcohol) (PVA) thick inks with a power output of  $\sim 9$   $\mu\text{W}/\text{cm}^2$  for a small temperature gradient of 46 K. Moreover, high power densities of more than  $10$   $\mu\text{W}/\text{cm}^2$  have also been recently reported involving Te-nanorod–

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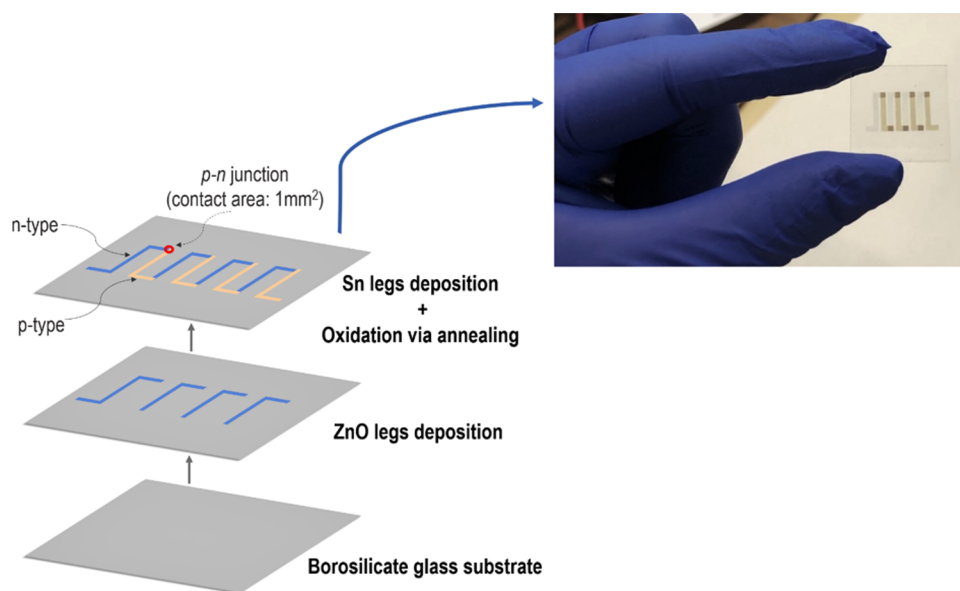
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**Table 1.** Performance of All-Oxide p–n Thermoelectric Generators with Different Material Systems and Device Configurations<sup>a</sup>

TE units	type of construction	no. of pairs	$\Delta T$ (K)	output power, $P_{\text{out}}$	references
p-Li-doped NiO; n-(Ba, Sr)PbO <sub>3</sub>	vertical	4	539	34.4 mW	Shin et al. <sup>46</sup>
p-Ca <sub>2.75</sub> Gd <sub>0.25</sub> Co <sub>4</sub> O <sub>9</sub> ; n-Ca <sub>0.92</sub> La <sub>0.08</sub> MnO <sub>3</sub>	vertical	8	390	21 mW/cm <sup>2</sup>	Matsubara et al. <sup>1111</sup>
p-Ca <sub>0.76</sub> Cu <sub>0.24</sub> Co <sub>4</sub> O <sub>9</sub> ; n-Ca <sub>0.8</sub> Dy <sub>0.2</sub> MnO <sub>3</sub>	vertical	4	346	8.42 mW	Park et al. <sup>47</sup>
p-ZnO; n-CuO	longitudinal (2D layered)	5		1 nW/(K <sup>2</sup> m <sup>2</sup> )	Zappa et al. <sup>48</sup>
p-Ca <sub>3</sub> Co <sub>4-x</sub> O <sub>9+δ</sub> ; n-CaMnO <sub>3-δ</sub> -CaMn <sub>2</sub> O	vertical	1	160	5.7 mW 23 mW/cm <sup>2</sup>	Kanas et al. <sup>49</sup>
p-Ca <sub>2</sub> Co <sub>2</sub> O <sub>5</sub> ; n-TiO <sub>2-x</sub>	longitudinal (2D layered)	1	450	0.2 mW/cm <sup>2</sup>	Caliari et al. <sup>50</sup>
p-Ca <sub>3</sub> Co <sub>4-x</sub> O <sub>9+δ</sub> ; n-CaMnO <sub>3-δ</sub> -CaMn <sub>2</sub> O <sub>4</sub>	vertical	1	650	7.2 mW 28.9 mW/cm <sup>2</sup>	Kanas et al. <sup>51</sup>
p-SnO <sub>x</sub> ; n-ZnO	planar	4	160	1.8 nW 126 μW/cm <sup>2</sup>	this work

<sup>a</sup>The performance of the TEG presented in this work is also shown.



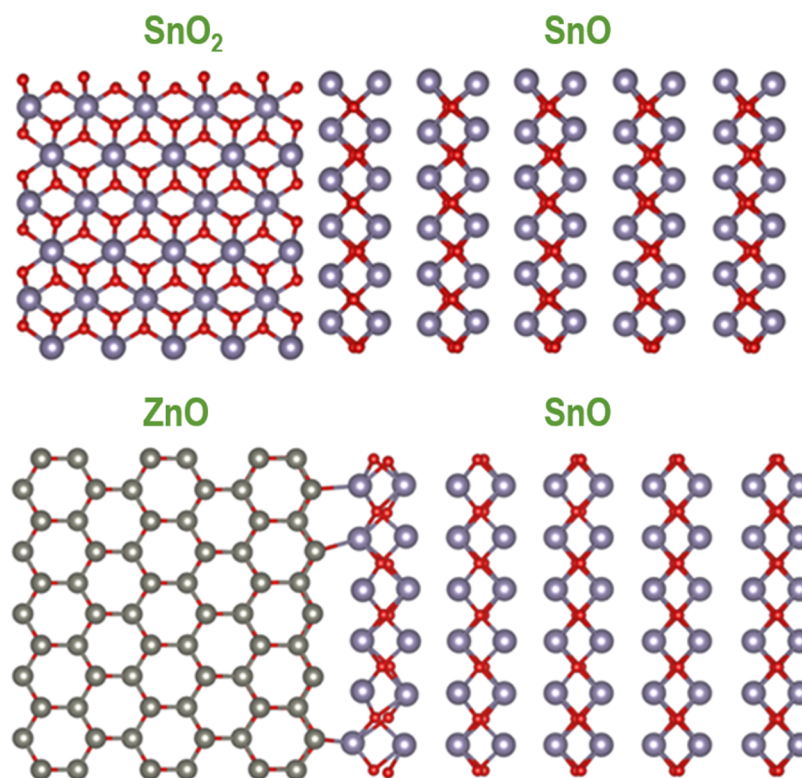
**Figure 1.** (Left) Schematic describing the fabrication process of the p–n TEG and (right) photograph of the developed thin-film TEG showing a good overlapping of the well-defined n- and p-legs.

poly(3,4-ethylenedioxythiophene):polystyrene sulfonate (PEDOT:PSS)<sup>8</sup> and Cu<sub>2</sub>Se–PEDOT:PSS composite materials.<sup>9</sup> In turn, Park et al.<sup>10</sup> reported a TE device made by only four pairs of ZnO/Al<sub>2</sub>O<sub>3</sub>–Bi<sub>0.5</sub>Sb<sub>1.5</sub>Te<sub>3</sub> legs that generated ~1 nW at  $\Delta T = 80$  K. However, these devices still have a high cost due to the presence of tellurium alloys.

Therefore, all-oxide TE devices for energy harvesting are promising due to their cost-effectiveness, the use of earth-abundant and nontoxic elements, and their stability in oxidizing atmospheres over a wide range of operating temperatures.<sup>11</sup> Table 1 summarizes the TE performance of some examples of all-oxide p–n TE generators employing different material systems and TEG configurations. The performance of the TEG presented in this work is also shown. It is noted that the TE devices with a vertical configuration can generate higher power density levels (in the range of mW/cm<sup>2</sup>), mainly due to the higher cross-sectional

area of the thermoelectric legs. These devices are composed of bulky elements (of several microns or few millimetres) to sustain higher temperature differences ( $\Delta T$ ), which limits their integration in micro/nano-electromechanical systems, such as smart watches, fitness trackers, wireless networks, and biomedical devices (e.g., health monitoring devices adapted to the human body).<sup>12–14</sup> In this context, a two-dimensional (2D) layered configuration has a higher potential for integration in micro/nano-engineering structures, with the advantage that it can be designed to output large voltages at relatively small  $\Delta T$ .<sup>15</sup> Moreover, using TE thin films, the devices can benefit from small size and low weight.

Therefore, in this work, a prototype of an all-oxide 2D thin-film TEG was performed with four n-ZnO and p-SnO<sub>x</sub> pairs. The junctions between the oxide materials are formed where they overlap one another, which eliminates the need for external connecting electrodes and therefore the energy losses



**Figure 2.** Optimized  $\text{SnO}_2/\text{SnO}$  (top) and  $\text{ZnO}/\text{SnO}$  (bottom) interfaces, as seen from an in-plane direction. In the representations, gray spheres are Zn atoms, red ones are O atoms, and light purple ones are Sn atoms.

via the contact resistance. We decided to use n-type ZnO films owing to their promising TE properties over a wide temperature range (RT to 1300 K).<sup>16</sup> On the other hand, we decided to use p- $\text{SnO}_x$  since we have shown that p-type tin oxide ( $\text{SnO}_x$ ) thin films can exhibit high thermoelectric performance, especially due to their high Seebeck coefficients.<sup>17</sup> The interface structure and band alignment of the  $\text{ZnO}/\text{SnO}_x$  heterojunction were investigated by scanning transmission electron microscopy (STEM) and X-ray photoelectron spectroscopy (XPS) experiments correlated with density functional theory (DFT) calculations, which provide evidence of the formation of a type II heterojunction. The thermoelectric characteristics of the individual n-ZnO and p- $\text{SnO}_x$  materials were investigated and associated with the TEG performance.

## MATERIALS AND METHODS

**Fabrication of Thin Films.** A transparent  $\sim 110$  nm thick ZnO film used for a n-type thermoelectric leg was fabricated by pulsed laser deposition (PLD). The film was fabricated on borosilicate glass (20 mm  $\times$  20 mm) using a commercial ZnO target (99.99% purity from Kurt Lesker). The substrate was held at 673 K, at an oxygen partial pressure of 0.01 mbar. The 248 nm line of an excimer laser, with energy of 400 mJ and pulse rate of 10 Hz, was focused on the target. The p-type film was achieved by the thermal evaporation of a metallic Sn thin film and further annealing in air at 523 K for 3 h, with a heating/cooling rate of 278 K/min, obtaining a 70 nm thick  $\text{SnO}_x$  thin film. The thickness of the  $\text{SnO}_x$  films was 70 nm to ensure that the films had the best TE and electric properties and to maximize the output power density of the TEG. These parameters are critically dependent on the thickness of the  $\text{SnO}_x$  layer and annealing parameters, as we demonstrated in our recent work.<sup>17</sup> The deposition parameters were investigated to optimize the TE and electric properties of the ZnO films. Thin films were characterized in isolation prior to TEG characterization.

**Device Fabrication.** The TEG device was fabricated using laser cut patterned stainless steel shadow masks to pattern L-shaped strips (8 mm (length)  $\times$  1 mm (width)) on top of a borosilicate glass substrate. The TEG consists of four pairs of ZnO- $\text{SnO}_x$  legs, in which each film was fabricated using the experimental parameters described above. The ZnO layers were deposited by PLD before the deposition of the  $\text{SnO}_x$  layers on top due to the higher-temperature fabrication. After the Sn deposition by thermal evaporation, the whole TEG structure (ZnO layers + Sn layers) was annealed in air at 523 K for 3 h to promote Sn oxidation. The fabrication steps are summarized in Figure 1.

**Thin Film Characterization.** TEM images of the p-n heterojunction device were obtained on a JEM-ARM 200F analytical electron microscope operated at 200 kV. The details of sample preparation can be found elsewhere.<sup>18</sup> X-ray photoelectron spectroscopy (XPS) measurements were carried out using the experimental conditions that can be found in ref 14. In-plane Seebeck coefficients,  $\alpha$ , of ZnO and  $\text{SnO}_x$  films were measured at 298 K in the “two-probe” configuration.<sup>19</sup> Each film was connected at two metal blocks with two Pt-100 sensors, and a small thermal gradient was generated along the film by the Yokogawa source model 7651 equipment. The  $\Delta V$  was measured by Agilent 34410A 61/2 Digit Multimeter.  $\alpha$  values and errors were obtained with the LINEST function, which calculates the statistics for a linear fit using the “least squares” method to calculate the best fit and returns an array that describes the line (slope and error, for example). Seebeck calibration with a standard pure metal (Cu) was performed. Electrical conductivity,  $\sigma$ , measurements were performed using the conventional van der Pauw method. The in-plane thermal conductivities of the oxide films were obtained on films deposited on a suspended  $\text{Si}_3\text{N}_4$  membrane by a pseudo-steady-state  $3-\omega$  method using a Linseis thin film analyzer (described elsewhere<sup>20–22</sup>).

**Device Characterization.** The thermoelectric power output of the four p-n junction TEGs was measured using a homemade testing system composed of thermoelectric modules (TEC1-12706 model) to create different temperature gradients on the hot and cold sides of the TEG, K-type thermocouples, aluminium heatsinks, and the Optris PI



450 infrared (IR) camera to measure the temperatures. The TEG was placed in between the TE modules. The output voltage ( $V_{\text{out}}$ ), output current ( $I_{\text{out}}$ ), and power output of the TEG are acquired while a known load resistance ( $R_{\text{load}}$ ) is connected to the output terminals of the device.  $V_{\text{out}}$  and  $I_{\text{out}}$  values were obtained using an Agilent multimeter model 34410A with  $6^{1/2}$  digits. Detailed information on the measurement setup can be found in ref 5.

**Computational Details.** All ab initio calculations were performed using the projector augmented wave (PAW) method as implemented in the Vienna Ab Initio Simulation Package (VASP), version 5.4.4.<sup>23,24</sup> PAW<sup>25</sup> pseudopotentials were used in all calculations; for Sn and Zn, the semicore *s* states were treated as valence ones. DFT calculations were employed using the generalized gradient approximation (GGA) approximation and Perdew–Burke–Ernzerhof (PBE) functional.<sup>26</sup> The band offsets were estimated using the ionization potentials (IP) of the materials under consideration.<sup>27,28</sup> The IP was obtained as a difference between the vacuum energy and the Fermi energy

$$\text{IP} = \Delta E_{\text{vac-ref}} - \Delta E_{\text{VBM-ref}} \quad (1)$$

where  $\Delta E_{\text{vac-ref}}$  is the difference between the value of the electrostatic potential far from the surface and its reference value taken in a bulk-like region.  $\Delta E_{\text{VBM-ref}}$  is the difference between the valence band maximum (VBM) obtained from a bulk calculation and the electrostatic potential reference value. The latter is the average of the local Kohn–Sham potential within a PAW sphere over atomic sites in the bulk or in a bulk-like region in slabs. Once the IP is known, the conduction band minimum (CBM) could be obtained by adding the band gap value  $E_{\text{Gap}}$

$$E_{\text{CBM}} = E_{\text{VBM}} + E_{\text{Gap}} \quad (2)$$

where the band gap is obtained from bulk calculations and  $E_{\text{VBM}}$  is the negative of IP.

To obtain the VBM energies and band gaps, the EGW0 approximation to the many-body perturbation theory was employed.<sup>29,30</sup> Initially, unit cells of bulk ZnO, SnO, and SnO<sub>2</sub> were fully relaxed at the PBE level of theory, using a plane wave energy cutoff of 800 eV and a  $6 \times 6 \times 6$   $\gamma$ -centered *k*-point grid. The lattice parameters were then reset to their experimental values. After this, the GW calculation has been performed using the same *k*-point grid and plane wave energy cutoff, and a cutoff of 200 eV was employed for the response function. Corrections to the quasiparticle energies due to basis set incompleteness were calculated using a  $3 \times 3 \times 3$  *k*-point grid and added to the  $6 \times 6 \times 6$  calculation.<sup>31</sup>

To obtain the vacuum energies, 2 nm thick slabs of SnO, SnO<sub>2</sub>, and ZnO, with a vacuum layer of 15 nm were considered. A  $\gamma$ -centered  $6 \times 6 \times 1$  *k*-point grid was employed. The lattice parameters of the dimensions parallel to the surface were kept fixed to their experimental values and the slabs were relaxed until all atomic forces were smaller than 10 meV/Å. By defining the *z*-axis as the surface normal, the vacuum energy was calculated as the value of the electrostatic potential  $\varphi(z)$  averaged over the *xy*-plane in the vacuum region. It was verified, for all slabs, that the vacuum was thick enough to ensure the convergence of  $\varphi(z)$ . Only nonpolar surfaces were considered.

To include the interface effects, we also performed explicit interface calculations for the ZnO(1010)/SnO(001) and SnO<sub>2</sub>(001)/SnO(001) heterojunctions, which are represented in Figure 2, to calculate the natural band offset using the approach of Hinuma and collaborators<sup>27</sup>

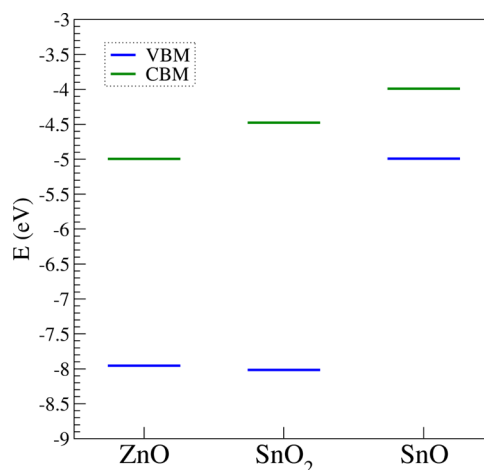
$$\Delta E_{\text{VBM}}^{A-B} = (\Delta E_{\text{VBM-ref},A}^A - \Delta E_{\text{vac-ref},A}^A + \Delta E_{\text{vac-ref},X}^A) + \Delta E_{\text{ref},X}^{A-B} - (\Delta E_{\text{VBM-ref},B}^B - \Delta E_{\text{vac-ref},B}^B + \Delta E_{\text{vac-ref},X}^B) \quad (3)$$

where the subscript *A*, *B*, or *X* indicates that the experimental in-plane lattice constant of material *A*, *B*, or their average *X* was used, and  $\Delta E_{\text{ref},X}^{A-B}$  is the difference in the reference energies between the materials *A* and *B*, obtained from bulk-like regions far from the surfaces and the interface.

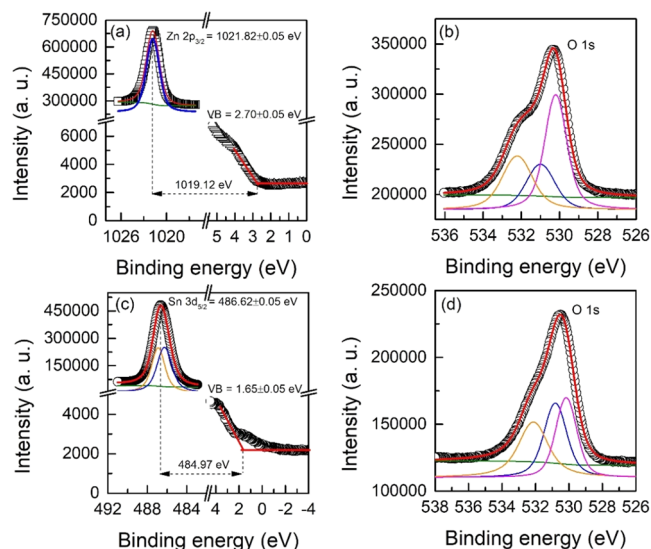
The plane wave energy cutoff was set to 520 eV for both interfaces. In the ZnO(1010)/SnO(001) case, it was possible to obtain a near-zero lattice mismatch by considering a larger supercell comprising 240 atoms. Conversely, the SnO<sub>2</sub>(001)/SnO(001) interface presents a large lattice mismatch of 20%, which was reduced to 12% by considering a SnO cell rotated by 45°. The interfaces were relaxed until forces converged to 10 meV/Å, using a  $2 \times 2 \times 1$  and a  $4 \times 4 \times 1$  *k*-point grid for ZnO/SnO and SnO<sub>2</sub>/SnO systems, respectively.

## RESULTS AND DISCUSSION

Theoretical ab initio calculations were employed to understand which type of junction could be formed between ZnO and

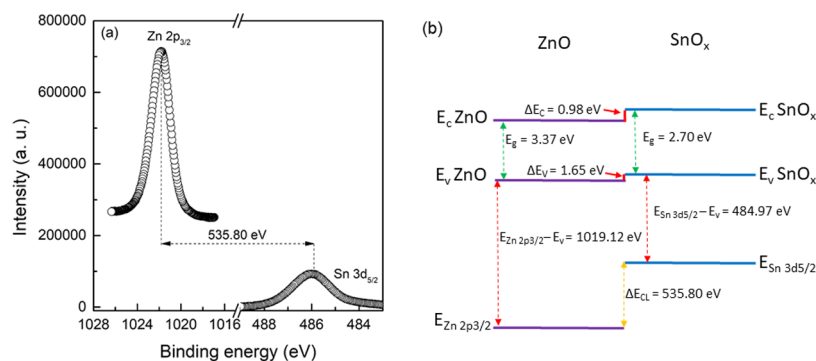


**Figure 3.** Band alignment between ZnO, SnO<sub>2</sub>, and SnO calculated using the EGW0 method. In all pictures, the zero of the energy corresponds to the vacuum energy, as obtained from slab calculations.

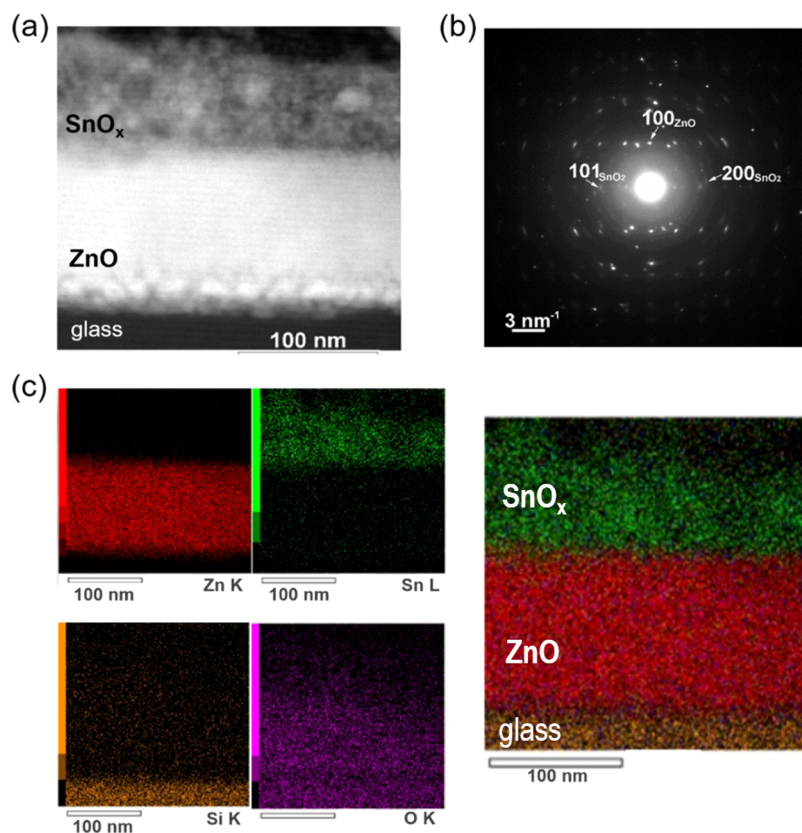


**Figure 4.** XPS spectra of (a) Zn 2p and the VB region, (b) O 1s for the ZnO film, (c) Sn 3d and the VB region, and (d) O 1s for the SnO<sub>x</sub> film.

SnO<sub>x</sub>. To treat the problem using DFT, we approximate SnO<sub>x</sub> as a polycrystalline SnO<sub>2</sub>/SnO system. This way, the relevant p–n interfaces are SnO/SnO<sub>2</sub> and SnO/ZnO. Moreover, pure ZnO, SnO, and SnO<sub>2</sub> materials were employed, i.e., the presence of bulk and/or interfacial defects has been neglected. In Figure 3, we summarize the band alignment as obtained considering the IP of the bulk materials. The ionization



**Figure 5.** XPS spectra of (a) Sn 3d<sub>5/2</sub> and Zn 2p<sub>3/2</sub> for the SnO<sub>x</sub>/ZnO heterojunction. (b) Energy band diagram of the SnO<sub>x</sub>/ZnO heterojunction obtained from XPS measurements.



**Figure 6.** (a) HAADF-STEM image at a low-magnification of the borosilicate glass/n-ZnO/p-SnO<sub>x</sub> heterojunction with (b) the corresponding SAED pattern. (c) EDS maps showing the elemental distribution (Zn, red; Sn, green; Si, orange; O, purple). RGB map was obtained by overlapping the Zn, Sn, and Si maps.

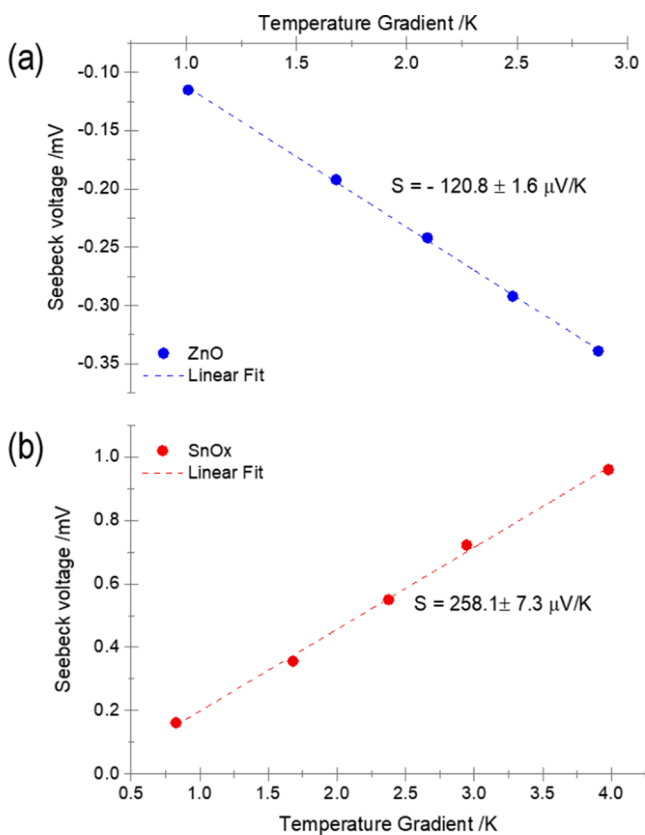
potential, IP, of ZnO was found to be 7.96 eV, and its band gap was 2.97 eV, providing a slight underestimation of the experimental value of 3.3 eV.<sup>32</sup> For SnO and SnO<sub>2</sub>, we found IPs of 4.99 and 8.01 eV, respectively, with band gaps of 0.99 and 3.54 eV, respectively. It should be noted that SnO is an indirect band gap material, and we report here the indirect gap. These results agree with experimental and theoretical values reported in the literature for SnO<sub>2</sub> and ZnO,<sup>33</sup> whereas for SnO, we found a slight deviation from the experimental values, which are  $\sim 5.7$  eV for IP and  $\sim 2.7$  eV for the direct gap.<sup>34</sup> Concerning the indirect gap, theoretical calculations performed with hybrid functionals yielded a value of 0.7 eV and a VBM of 4.4 eV.<sup>35</sup>

Regarding the alignment, both the SnO/ZnO and SnO/SnO<sub>2</sub> p–n junctions show a type II behavior. The energy

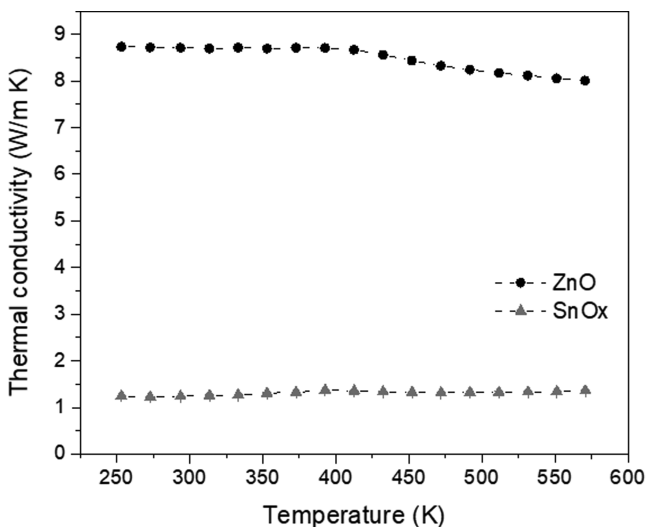
differences in VBM are large for both junctions, around 3 eV, whereas we observe a difference in conduction bands of 0.5 eV for the ZnO/SnO junction and 1.0 eV for the SnO/SnO<sub>2</sub> one.

The natural band offsets obtained using the interface calculations show a slightly different picture: the SnO/SnO<sub>2</sub> offset was 2.99 eV, while the value of 2.09 eV was found for the ZnO/SnO interface. The SnO<sub>2</sub>/SnO prediction is in line with the results of Figure 3, while the ZnO/SnO prediction is slightly lower. In both cases, the prediction of a type II heterojunction holds, with the CBM energy differences of 0.12 eV for ZnO/SnO and 0.44 eV for SnO<sub>2</sub>/SnO.

Figure 4a,b shows the typical core-level (CL) spectra of the Zn 2p<sub>3/2</sub>, valence band (VB) maximum, and O 1s for the ZnO film, respectively. The typical CL spectra of the Sn 3d<sub>5/2</sub>, VB, and O 1s for the SnO<sub>x</sub> film are shown in Figure 4c,d



**Figure 7.** Seebeck voltage measured as a function of the temperature difference applied in the ZnO and SnO<sub>x</sub> films. *S* values obtained from the slopes are included.



**Figure 8.** Thermal conductivity of the ZnO and SnO<sub>x</sub> thin films deposited on Si<sub>3</sub>N<sub>4</sub> membranes.

respectively. The XPS spectra were investigated by fitting the Shirley-type function and a sum of Voigt functions to the experimental results, with the KolXPD software.<sup>29</sup> Only one valence state of Zn is observed at  $1021.82 \pm 0.05$  eV, while the VB value of ZnO is determined to be  $2.70 \pm 0.05$  eV (Figure 4a). The O 1s peak is deconvoluted into three peaks, at 530.19 eV (O<sup>2-</sup> ions in the ZnO lattice), 531.00 eV (O<sup>2-</sup> ions in oxygen-deficient regions within the ZnO matrix), and 532.25 eV (chemisorbed and dissociated oxygen species or OH),

respectively.<sup>30</sup> Figure 4c shows the fitted Sn 3d<sub>5/2</sub> core-level spectrum with Sn<sup>2+</sup> (at  $486.25 \pm 0.05$  eV) and Sn<sup>4+</sup> (at  $486.90 \pm 0.05$  eV) components, respectively, which are in agreement with the reported values.<sup>18</sup> The VB value of SnO<sub>x</sub> is determined to be  $1.65 \pm 0.05$  eV. The O 1s peak is deconvoluted into three peaks at  $530.16 \pm 0.051$  eV (associated with O–Sn<sup>2+</sup>),  $530.80 \pm 0.05$  eV (associated with O–Sn<sup>4+</sup>), and  $532.13 \pm 0.05$  eV (adsorbed O<sub>x</sub> ions), as shown in Figure 4d.<sup>20</sup> The contents of SnO<sub>2</sub> and SnO were calculated using the Sn 3d spectra and were 48 and 52%, respectively, which suggest a p-type SnO<sub>x</sub> film.<sup>18</sup> This result is also in good agreement with our previous X-ray diffraction (XRD) studies that confirmed the presence of both phases.<sup>18</sup> Heterostructures can be classified into three types, i.e., type I (symmetric), type II (staggered), or type III (broken).<sup>36</sup> The efficiency of electron–hole pairs splitting at the interface, with electrons transferred to one layer and the holes to the other, is strongly dependent on the heterostructure band alignment architecture.

Therefore, the band alignment at a SnO<sub>x</sub>/ZnO interface was investigated by XPS using CL spectra of Sn 3d<sub>5/2</sub> and Zn 2p<sub>3/2</sub> from a very thin SnO<sub>x</sub> layer, 2 nm, deposited on top of the ZnO layer, 110 nm (Figure 5a). The  $\Delta E_V$  at the SnO<sub>x</sub>/ZnO interface was determined with the following equation<sup>34,35</sup>

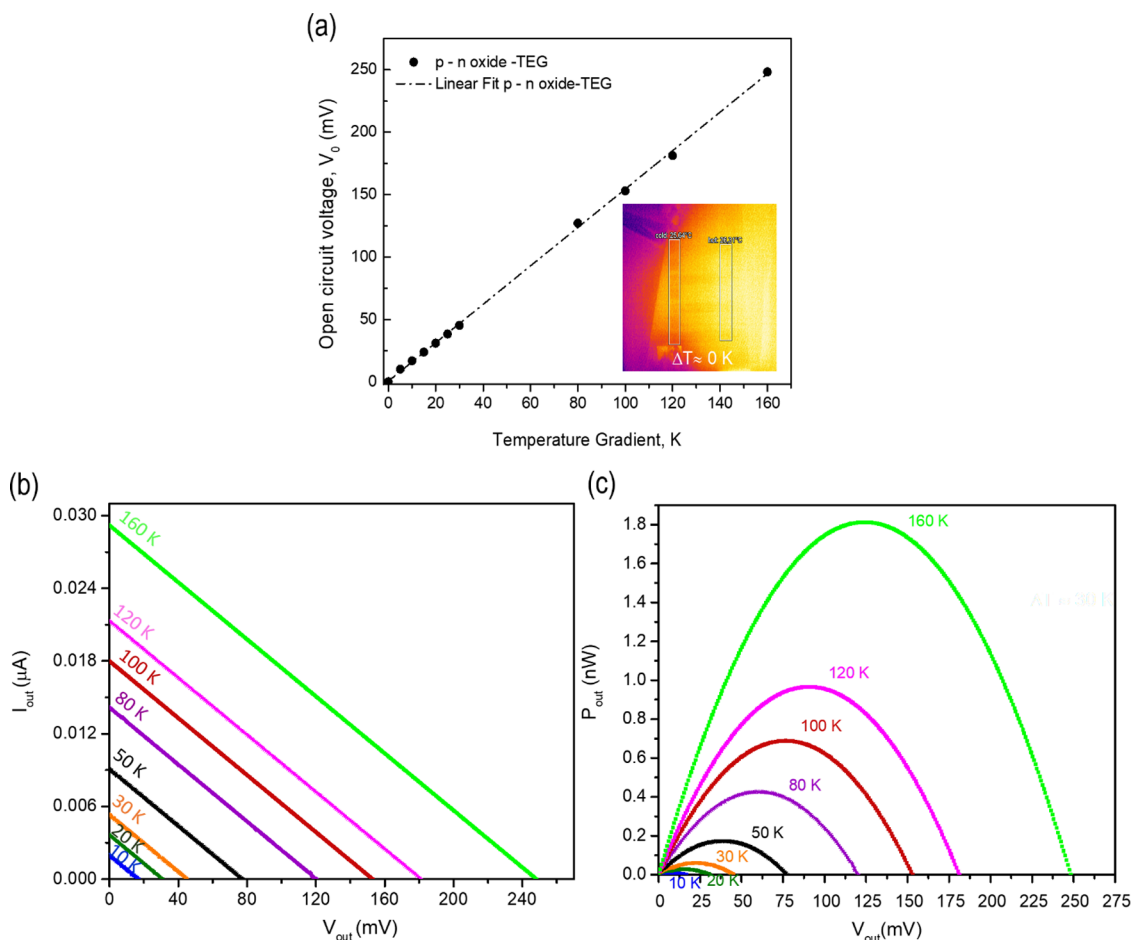
$$\begin{aligned} \Delta E_V &= \Delta E_V \\ &= (E_{\text{Sn } 3d_{5/2}}^{\text{SnO}_x} - E_{\text{VB}}^{\text{SnO}_x})_{\text{SnO}_x} - (E_{\text{Zn } 2p_{3/2}}^{\text{ZnO}} - E_{\text{VB}}^{\text{ZnO}})_{\text{ZnO}} \\ &\quad + (E_{\text{Zn } 2p_{3/2}}^{\text{ZnO}} - E_{\text{Sn } 3d_{5/2}}^{\text{SnO}_x})_{\text{SnO}_x/\text{ZnO}} \end{aligned} \quad (4)$$

where  $(E_{\text{Zn } 2p_{3/2}}^{\text{ZnO}} - E_{\text{Sn } 3d_{5/2}}^{\text{SnO}_x})_{\text{SnO}_x/\text{ZnO}}$  is the energy difference between Zn 2p<sub>3/2</sub> and Sn 3d<sub>5/2</sub> CLs, while  $(E_{\text{Sn } 3d_{5/2}}^{\text{SnO}_x} - E_{\text{VB}}^{\text{SnO}_x})_{\text{SnO}_x}$  and  $(E_{\text{Zn } 2p_{3/2}}^{\text{ZnO}} - E_{\text{VB}}^{\text{ZnO}})_{\text{ZnO}}$  are the VB energies with reference to the CL positions of thick SnO<sub>x</sub> and ZnO layers, respectively. As shown in Figure 5b, the  $\Delta E_V$  was found to be 1.65 eV, in reasonable agreement with interface calculations that predict a value of 2.09 eV for the ZnO/SnO interface. The decreased value for  $\Delta E_V$  is related to the formation of a ZnO/SnO<sub>2</sub> heterojunction, as Figure 3 suggests, since the SnO<sub>x</sub> film is composed of SnO and SnO<sub>2</sub>. The  $\Delta E_C$  at the interface was also determined from the following equation<sup>34,35</sup>

$$\Delta E_C = \Delta E_V + E_g^{\text{SnO}_x} - E_g^{\text{ZnO}} \quad (5)$$

where  $E_g^{\text{SnO}_x}$  and  $E_g^{\text{ZnO}}$  are the band gap values obtained experimentally for the SnO<sub>x</sub> ( $E_g = 2.70$  eV)<sup>18</sup> and ZnO ( $E_g = 3.37$  eV)<sup>37</sup> films, which agrees with the calculated ones. The  $\Delta E_C$  is 0.98 eV. Figure 5b shows the energy band diagram for the heterojunction, which forms a type II heterostructure, as predicted by DFT calculations. Figure 6a,b shows the high-angle annular dark-field (HAADF)-STEM image of the n-ZnO/p-SnO<sub>x</sub> heterojunction and the corresponding electron diffraction (selected area electron diffraction (SAED)) pattern confirming the existence of both deposited layers, where the main diffraction rings can be indexed as the characteristic rings of the ZnO hexagonal phases and the SnO<sub>2</sub> tetragonal rutile phase, respectively. Although there are no diffraction rings related to the SnO phase, probably due to their small concentration, we have unequivocally identified the presence of SnO in the SnO<sub>x</sub> layer in the band alignment studies performed by XPS measurements as well as in the deconvoluted Sn 3d<sub>5/2</sub> peaks. Furthermore, our previous XRD studies





**Figure 9.** (a) Open-circuit voltage ( $V_0$ ) of the four p–n TE pairs as a function of the temperature gradient between 10 and 160 K. The inset shows the thermography image of the p-SnO/n-ZnO device, showing the L-type design of the TEG and the (b) output current ( $I_{out}$ ) and (c) output power ( $P_{out}$ ) of the developed TEG as a function of output voltage ( $V_{out}$ ) for different temperature gradients.

confirmed the presence of SnO and SnO<sub>2</sub> phases in the SnO<sub>x</sub> films.<sup>17,18</sup> Moreover, the energy-dispersive X-ray spectroscopy (EDS) maps (Figure 6c) reveal the distribution of Zn, Sn, O, and Si elements in the layers and the substrate. The color image in RGB was obtained by superposition of images of each element and no perceptible diffusion between the layers is observed. It should be noted that the whole TEG structure was subjected to an annealing of 523 K during 3 h to promote the formation of SnO<sub>x</sub> film (please see the [Materials and Methods](#) section), which confirms the stability of the p–n junction.

The presence of the p–n junction was investigated by the current–voltage ( $I$ – $V$ ) characteristics, at room temperature, in an indium tin oxide (ITO)/ZnO/SnO<sub>x</sub>/ITO heterostructure. Figure S1 (available in the [Supporting Information](#)) shows the  $I$ – $V$  curve for the ITO/ZnO/SnO<sub>x</sub>/ITO heterostructure. A diode behavior was observed with a forward turn-on voltage of around 0.8 V and a forward-to-reverse current ratio of about 88 at 2 V. A similar behavior was also observed in other ZnO/SnO heterojunctions.<sup>38</sup>

The thermovoltage against  $\Delta T$  is shown in Figure 7 for the ZnO and SnO<sub>x</sub> films. A negative Seebeck coefficient of  $-120.8 \pm 1.6 \mu\text{V/K}$  was obtained from the linear fitting for the ZnO film (Figure 7a), confirming the n-type conduction behavior (i.e., electrons are the majority carriers), while a p-type characteristic of SnO<sub>x</sub> film is revealed by the positive Seebeck coefficient ( $\alpha = +258.1 \pm 7.3 \mu\text{V/K}$ ; holes are the majority

carriers) (Figure 7b). XPS results suggest that the SnO phase (52%) is dominant in the SnO<sub>x</sub> film and therefore a p-type behavior is expected.<sup>17</sup> The Seebeck value for SnO<sub>x</sub> films is approximately double the magnitude of that of the ZnO films.

Moreover, using the van der Pauw method, the electrical conductivity of  $1.2 \times 10^4$  and  $5.6 \times 10^2$  S/m for the ZnO and SnO<sub>x</sub> films was determined, which results in a power factor of  $180 \pm 0.6$  and  $37 \pm 2.8 \mu\text{W/m K}^2$ , respectively. Our ZnO power factor is higher than other ones reported for dense ZnO films, for example  $<35 \mu\text{W/m K}^2$  for undoped and Dy-doped ZnO films<sup>39</sup> and  $\sim 9 \mu\text{W/m K}^2$  for nanowire-structured ZnO films ( $\sim 200$  nm thick).<sup>40</sup> Moreover, our ZnO film shows a similar power factor at 298 K when compared with Al<sub>2</sub>O<sub>3</sub>/ZnO superlattices reported by Park et al.<sup>10</sup> Moreover, the achieved SnO<sub>x</sub> power factor is higher than that of the tin oxide films produced by reactive sputtering recently reported by Jia et al.<sup>41</sup> with the power factor value of  $11 \mu\text{W/m K}^2$  and by SnO films reported by Miller et al.,<sup>42</sup> with the power factor value of  $1.2 \mu\text{W/m K}^2$  at a low temperature of 325 K.

Thermal conductivity for both oxide films was recorded between 250 and 570 K (Figure 8). The thermal conductivities,  $k$ , of the ZnO and SnO<sub>x</sub> films were determined to be 8.1–8.7 and 1.23–1.36 W/m K from 250 to 570 K, respectively. Particularly, the  $k$  values of ZnO and SnO<sub>x</sub> films at 298 K of temperature are 8.7 and 1.24 W/m K, respectively. These values are comparable with the reported literature, in

which it is possible to observe ZnO films with  $k$  values between 0.3 and 43 W/m K<sup>43</sup> and SnO<sub>x</sub> films with  $k$  values between 0.2 and 2 W/m K.<sup>42,44</sup>

Considering the power factor and thermal conductivity measurements, the in-plane ZT values of ZnO and SnO<sub>x</sub> thin films at 298 K were calculated. These were  $1.6 \times 10^{-3}$  and  $4.5 \times 10^{-5}$  for the ZnO and SnO<sub>x</sub>, respectively, at 298 K.

The open-circuit voltage of the developed device, formed by four pairs of n-ZnO and p-SnO<sub>x</sub> legs, was studied between 10 and 160 K, as shown in Figure 9. Our device reveals a maximum output voltage of 248 mV for 160 K. The device exhibit an overall thermoelectric Seebeck voltage of about 1.5 mV/K, which is in good agreement with the Seebeck coefficients of the individual p- and n-type materials, which suggests that an additional contribution to the generated electric power due to the separation of thermally excited charge carriers by the built-in field within the space-charge region of the junction is minimal.<sup>45</sup> A thermography image of the p–n device captured using an IR camera at the beginning of the measurements (with  $\Delta T \approx 0$  K) is shown in the inset of Figure 9a. Moreover, the electric resistance of the device was measured to be 8.5 M $\Omega$ .

The power generation performance of the device was obtained by the measurements of the  $V_{\text{out}}/I_{\text{out}}$  (output voltage/output current) from 10 to 160 K, as shown in Figure 9b,c. The all-oxide p–n TEG generated the maximum power output of 1.8 nW (126  $\mu\text{W}/\text{cm}^2$ ) at  $\Delta T = 160$  K. Moreover,  $I_{\text{out}}$  and  $V_{\text{out}}$  that maximize the output power of the TEG are  $\approx 0.0146$   $\mu\text{A}$  and  $\approx 124$  mV, respectively. It is noteworthy that the output power density at 30 K ( $\approx 8$   $\mu\text{W}/\text{cm}^2$ ) is of the same magnitude as those of the hybrid Bi<sub>2</sub>Te<sub>3</sub>/PVA TE composites with films of thickness between 10 and 265  $\mu\text{m}$ ,<sup>6</sup> with the advantage of being thinner and composed by low-cost and eco-friendly materials. In addition, our all p–n device shows comparable output power values at  $\Delta T = 30$  K compared to the four pairs of Al<sub>2</sub>O<sub>3</sub>/ZnO superlattice film/p-Bi<sub>0.5</sub>Sb<sub>1.5</sub>Te<sub>3</sub> thermoelectric generator reported by Park et al.<sup>10</sup> Moreover, our device does not have metal contacts, making it more interesting for high-temperature applications, and can offer higher output voltages than the ones reported in ref 10 due to higher Seebeck coefficients of our oxide films.

## CONCLUSIONS

In this work, a novel all-oxide p–n 2D thin-film TEG device concept was successfully designed using n-type ZnO and p-type SnO<sub>x</sub> films. DFT calculations and XPS measurements suggest the formation of a type II heterojunction, while STEM and EDS of the p–n junction reveal a sharp interface between the SnO<sub>x</sub> and ZnO layers, after an annealing procedure of 250 °C for 3 h, confirming the high quality of the p–n junction. Power factors of 180 and 37  $\mu\text{W}/(\text{m K}^2)$  were obtained for n-type ZnO and p-type SnO<sub>x</sub>, respectively. The thermal conductivity measurements for each oxide film suggest that the materials are stable up to temperatures of 575 K and the open-circuit voltage of the TEG device is in good agreement with the voltages Seebeck coefficients of the individual p- and n-type materials, which suggests that any additional contribution to the generated electric power due to the separation of thermally excited charge carriers by the built-in field within the space-charge region of the junction is minimal. Our device delivered a maximum output power (power density) of 1.8 nW (126  $\mu\text{W}/\text{cm}^2$ ) for a temperature difference of 160 K, with a large open-circuit voltage of 240 mV. The development of

heterostructures based on n-type ZnO and p-type SnO<sub>x</sub> thin films may be an effective way to develop a nontoxic all-oxide TEG device.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsami.1c09748>.

Current–voltage curve for the ITO/ZnO/SnO<sub>x</sub>/ITO heterostructure (PDF)

## AUTHOR INFORMATION

### Corresponding Authors

Eliana M. F. Vieira – CMEMS—UMINHO, Universidade do Minho, 4804-533 Guimaraes, Portugal; [orcid.org/0000-0001-8198-6024](https://orcid.org/0000-0001-8198-6024); Email: [evieira@dei.uminho.pt](mailto:evieira@dei.uminho.pt)

José P. B. Silva – Centro de Física das Universidades do Minho e do Porto (CF-UM-UP, 4710-057 Braga, Portugal; Email: [josesilva@fisica.uminho.pt](mailto:josesilva@fisica.uminho.pt)

### Authors

Kateřina Veltruská – Department of Surface and Plasma Science, Faculty of Mathematics and Physics, Charles University, 18000 Prague 8, Czech Republic

Cosmin M. Istrate – National Institute of Materials Physics, 077125 Magurele, Romania

Veniero Lenzi – Centro de Física das Universidades do Minho e do Porto (CF-UM-UP, 4710-057 Braga, Portugal; [orcid.org/0000-0002-3633-1966](https://orcid.org/0000-0002-3633-1966)

Vanira Trifiletti – School of Engineering and Materials Science, Queen Mary University of London, London E1 4NS, U.K.

Bruno Lorenzi – Department of Materials Science, University of Milano-Bicocca, I-20125 Milano, Italy

Vladimír Matolín – Department of Surface and Plasma Science, Faculty of Mathematics and Physics, Charles University, 18000 Prague 8, Czech Republic

Corneliu Ghica – National Institute of Materials Physics, 077125 Magurele, Romania

Luis Marques – Centro de Física das Universidades do Minho e do Porto (CF-UM-UP, 4710-057 Braga, Portugal

Oliver Fenwick – School of Engineering and Materials Science, Queen Mary University of London, London E1 4NS, U.K.; [orcid.org/0000-0001-7499-5117](https://orcid.org/0000-0001-7499-5117)

Luis M. Goncalves – CMEMS—UMINHO, Universidade do Minho, 4804-533 Guimaraes, Portugal

Complete contact information is available at:

<https://pubs.acs.org/doi/10.1021/acsami.1c09748>

### Author Contributions

E.M.F.V. and J.P.B.S. contributed equally to this work. E.M.F.V. and J.P.B.S. conducted thin films and device fabrication, characterization, and data analysis. K.V. and V.M. made XPS measurements and analysis. C.M.I. and C.G. made STEM measurements and characterization. V.L. and L.M. performed the DFT calculations and analysis. V.T. and O.F. performed the thermal conductivity measurements and analysis. B.L. performed the electrical measurements and analysis. L.M.G. conceived and designed the experiments. E.M.F.V. wrote the manuscript. The authors contributed equally in discussing the results and the manuscript.



## Notes

The authors declare no competing financial interest.

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