

A Transversal Property for Permutation Groups Motivated by Partial Transformations

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Abstract

In this paper we introduce the definition of (k, l) -universal transversal property, which is a refinement of the definition of k -universal transversal property, which in turn is a refinement of the classic definition of k -homogeneity for permutation groups. In particular, a group possesses the $(2, n)$ -universal transversal property if and only if it is primitive; it possesses the $(2, 2)$ -universal transversal property if and only if it is 2-homogeneous. Up to a few undecided cases, we give a classification of groups satisfying the (k, l) -universal transversal property, for $k \geq 3$. Then we apply this result for studying regular semigroups of partial transformations.

1 Introduction

Let G be a permutation group on Ω of degree n . For $k \in \mathbb{N}$, the group G is *k-homogeneous* if it acts transitively on the set of k -subsets of Ω . Also, we say that G possesses the *k-universal transversal property* (or *k-ut property* for short) if the orbit of any k -subset contains a transversal for any k -partition of Ω (a property studied in [7]). It is clear that k -homogeneity implies the k -ut property.

One of our main goals in this paper is to refine this observation, in the following way.

Definition. Let k and l be integers with $1 \leq k \leq l \leq n$ and let G be a permutation group on Ω of degree n . Then G is said to have the *(k, l)-universal transversal property* (or *(k, l)-ut* for short) if, given any k -subset A and any l -subset B of Ω and a k -partition P of B , there exists $g \in G$ such that Ag is a transversal for P .

Now it is clear that the (k, k) -ut property is just k -homogeneity and the (k, n) -ut property is the k -ut property. Moreover, when $k = n$, every group satisfies the (k, l) -ut property and hence in what follows we will always assume $k < n$.

We show in Proposition 2.1 (c) that, for groups with the k -ut property, (k, l) -ut becomes weaker as l increases; so, for any group G satisfying k -ut, there is a threshold $t(G, k)$ such that G satisfies (k, l) -ut if and only if $n \geq l \geq t(G, k)$.

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The aim of this paper is to investigate the (k, l) -ut property and its impact on semigroups of partial transformations. The group-theoretic part of the investigation falls into two quite separate parts. For $k = 1$ and $k = 2$, there is no hope of a general classification: every permutation group has the 1-ut property, whereas 2-ut is equivalent to primitivity. Moreover, the threshold $t(G, k)$ for $k \in \{1, 2\}$ is simply expressed in terms of well-understood parameters (orbit lengths when $k = 1$, and valencies of orbital graphs when $k = 2$), and can be efficiently computed for any permutation group (see Propositions 3.1 and 4.1).

On the other hand, the results of [3, 7] give a nearly complete classification of groups with the k -ut property for $3 \leq k \leq n - 2$, and so it is entirely reasonable to ask for a similar classification of groups satisfying (k, l) -ut for any such k and all l with $k \leq l \leq n$; and this we do (up to a few unresolved cases). The results are summarised below.

Theorem 1.1. *Let G be a permutation group of degree n having the k -ut property with $n > k > \lfloor (n + 1)/2 \rfloor$. Then G is k -homogeneous, and $t(G, k) = k$.*

Theorem 1.2. *Let G be a permutation group of degree n having the k -ut property with $6 \leq k \leq \max\{\lfloor (n + 1)/2 \rfloor, n - 6\}$. Then G is either the alternating or the symmetric group of degree n . In particular, $t(G, k) = k$.*

Theorem 1.3. *Let G be a permutation group of degree n having the 5-ut property. Then either $t(G, 5) = 5$ and hence G is 5-homogeneous, or $n = 33$, $G = \text{P}\Gamma\text{L}(2, 32)$ and $t(G, 5) = 30$.*

Theorem 1.4. *Let G be a permutation group of degree n having the 4-ut property. Then either $t(G, 4) = 4$ and hence G is 4-homogeneous, or one of the following holds:*

- (a) $n = 12$, $G = M_{11}$ and $t(G, 4) = 10$,
- (b) $n = 8$, $G = \text{PGL}(2, 7)$ and $t(G, 4) = 7$,
- (c) $n = 7$, $G = \text{AGL}(1, 7)$ and $t(G, 4) = 7$,
- (d) $n = q + 1$, $\text{PSL}(2, q) \leq G \leq \text{P}\Gamma\text{L}(2, q)$, $q \equiv 11 \pmod{12}$ or $q = 2^p$ with p prime, and for all $c \in \text{GF}(q) \setminus \{0, 1\}$ the elements -1 , c and $c - 1$ generate the multiplicative group of the field $\text{GF}(q)$.

We are not sure if case (d) actually arises for $n \geq 50$ and what the value for $t(G, 4)$ is in case it does arise.

Theorem 1.5. *Let G be a permutation group of degree n having the 3-ut property. Then either $t(G, 3) = 3$ and hence G is 3-homogeneous, or $(G, n, t(G, 3))$ is in Table 1.*

This machinery is used to obtain a result about transformation semigroups. Before stating the main result we introduce some notation. Let Ω be a set; a *partial transformation* t on Ω is a function $t : \Delta \rightarrow \Omega$, where Δ is an arbitrary subset of Ω . The set of all such partial transformations forms a semigroup $\text{PT}(\Omega)$ under partial composition. Let $\text{PT}_{k,l}(\Omega)$ denote the set of all partial transformations $t : \Delta \rightarrow \Omega$ on Ω such that $|\Omega t| = k$ and $|\Delta| = l$. Recall that a semigroup S is *regular* if, for all $a \in S$, there exists $b \in S$ such that $a = aba$.

Theorem 1.6. *Let G be a permutation group on Ω of degree n and consider the following three properties:*

- (a) G possesses the (k, l) -ut property.
- (b) For all $t \in \text{PT}_{k,l}(\Omega)$, the semigroup $\langle G, t \rangle$ is regular.
- (c) For all $m \geq l$ and all $t \in \text{PT}_{k,m}(\Omega)$, the semigroup $\langle G, t \rangle$ is regular.

Then, (c) implies (b) and (b) implies (a); moreover, when $k \leq \max\{\lfloor (n + 1)/2 \rfloor, n - 6\}$, (a) implies (c) and hence (a), (b) and (c) are equivalent.

n	G	$t(G, 3)$	Comments
$q + 1$	$\text{PSL}(2, q) \leq G \leq \text{P}\Sigma\text{L}(2, q)$	$\frac{q+5}{2} \leq t(G, 3) \leq \frac{3q+11}{5}$	$q \equiv 1 \pmod{4}$
$2^{2d-1} + 2^{d-1}$	$\text{Sp}(2d, 2)$	$2^{2d-2} + 2^{d-1} + 1 \leq t(G, 3) \leq \frac{3 \cdot 2^{2d-1} + 3 \cdot 2^d + 2}{5}$	$d \geq 3$
28	$\text{Sp}(6, 2)$	19	
$2^{2d-1} - 2^{d-1}$	$\text{Sp}(2d, 2)$	$2^{2d-2} + 3 \leq t(G, 3) \leq \frac{3 \cdot 2^{2d-1} + 14}{5}$	$d \geq 4$
2^{2d}	$2^{2d} : \text{Sp}(2d, 2)$	$2^{2d-1} + 3 \leq t(G, 3) \leq \frac{3 \cdot 2^{2d} + 14}{5}$	$d \geq 2$
5	C_5	5	
5	$D(2 * 5)$	5	
7	$\text{AGL}(1, 7)$	6	
11	$\text{PSL}(2, 11)$	9	
16	$2^6 : A_6$	11	
64	$2^6 : G_2(2)'$	$59 \leq t(G, 3) \leq 64$	
64	$2^6 : G_2(2)$	$59 \leq t(G, 3) \leq 64$	
65	$\text{Sz}(8)$	$45 \leq t(G, 3) \leq 65$	
65	$\text{Aut}(\text{Sz}(8))$	$45 \leq t(G, 3) \leq 65$	
176	HS	$165 \leq t(G, 3) \leq 176$	
276	Co_3	$165 \leq t(G, 3) \leq 169$	
$q^2 + 1$	$\text{Sz}(q) \trianglelefteq G \leq \text{Aut}(\text{Sz}(q))$	-	no information on $t(G, 3)$, $q = 2^{2d+1}$, $d \geq 2$
q	$G \leq \text{AGL}(1, q)$	-	no information on $t(G, 3)$ $\text{AGL}(1, q) \leq G$ or $ \text{AGL}(1, q) : G = 2$ q is prime with $q \equiv 11 \pmod{12}$, or $q = 2^p$ with p prime, and $\forall c \in \text{GF}(q) \setminus \{0, 1\}, \langle -1, c, c-1 \rangle = q-1$

In the past, the *praxis* was to consider a semigroup theory problem solved whenever it was reduced to a problem in group theory. This dramatically changed in recent years as it turned out to be much more instructive for both sides to keep an ongoing conversation. One of the driving forces of this conversation has been the following general problem:

Classify the pairs (G, a) , where a is a map on a finite set Ω and G is a group of permutations of Ω , such that the semigroup $\langle G, a \rangle$ generated by a and G has a given property P .

A very important class of groups that falls under this general scheme is that of *synchronizing groups*, groups of permutations on a set that together with any non-invertible map on the same set generate a constant (see [10, 1, 4, 5, 8, 11, 26]). These groups are very interesting from a group theoretic point of view and are linked to the *Černý conjecture*, a longstanding open problem in automata theory.

Another instance of the general question is the following. Let $A \subseteq \mathbb{T}(\Omega)$, the full transformation monoid on Ω ; classify the permutation groups $G \leq S_n$ such that $\langle G, a \rangle$ is regular for all $a \in A$. For many different sets A , this problem has been considered in [2, 3, 7, 9, 18, 19, 20, 24], among others. The goal of this paper is to consider the similar problem when A is a set of partial transformations with prescribed domain and image sizes (Theorem 1.6).

Section 2 contains some general results. In Sections 3 and 4 we deal with the cases $k = 1$ and $k = 2$. Sections 5 and 6 deal with the cases $k \geq 3$. In Section 7 we use the previous results to extract our main application on semigroups.

2 General results

We begin by collecting some observations about the (k, l) -ut property.

Proposition 2.1. *Let G be a permutation group of degree n .*

- (a) *The (k, k) -ut property is equivalent to k -homogeneity and the (k, n) -ut property is equivalent to the k -ut property.*
- (b) *The $(2, n)$ -ut property is equivalent to primitivity.*
- (c) *For fixed k , if G possesses (k, l) -ut, then it possesses (k, m) -ut, for all $l \leq m \leq n$.*

Proof. Part (a) is clear and part (b) follows from [7, Theorem 1.8].

For part (c), suppose that G has the (k, l) -ut property, with $l < n$. We show that G has the $(k, l + 1)$ -ut property. To this end, let Ω be the domain of G , let $A \subseteq \Omega$ be a k -subset, let $B \subseteq \Omega$ be an $(l + 1)$ -subset, and let P be a k -partition of B . Let b be an element of B lying in a part of P with more than one element: observe that this is possible from the pigeonhole principle because $l + 1 > l \geq k$. Now, let \bar{B} be the set $B \setminus \{b\}$ and let \bar{P} be the partition obtained from P by removing b from the part containing b and leaving all other parts unchanged. Since G has the (k, l) -ut property, some G -conjugate of A is a section for \bar{P} and hence also a section for P . Applying this repeatedly proves part (c). \square

As already noted, Proposition 2.1 (c) implies that, for a permutation group G satisfying the k -ut property, there is a threshold $t(G, k) \geq k$ such that G satisfies (k, l) -ut if and only if $n \geq l \geq t(G, k)$. Much of our investigation will concern the value of this threshold.

Proposition 2.2. *Let $2 \leq k \leq l$, let G be a permutation group on Ω of degree n having the property that the setwise stabiliser of any k -subset acts transitively on it, and let $a \in \Omega$.*

- (a) *If G has the (k, l) -ut property, then the point stabiliser G_a in its action on $\Omega \setminus \{a\}$ has the $(k - 1, l - 1)$ -ut property.*
- (b) *The group G is $(k - 1)$ -homogeneous.*

In particular, if G has the k -ut property, then $t(G, k) \geq t(G_a, k - 1) + 1$.

Remark This is not the first time that the hypothesis in this proposition has occurred in the literature and, for instance, it is relevant for investigating min-wise independent sets of permutations, see [15, Corollary 1]. In particular, groups satisfying this hypothesis are classified in [15, Theorem 2].

Proof. We start by proving (a): suppose that G is a permutation group of degree n having the property that the setwise stabiliser of any k -subset acts transitively on it and suppose that G has the (k, l) -ut property.

Let A be a $(k - 1)$ -subset of $\Omega \setminus \{a\}$, let B be an $(l - 1)$ -subset of $\Omega \setminus \{a\}$, and let P be a $(k - 1)$ -partition of B . By assumption, the k -subset $\{a\} \cup A$ can be mapped to a transversal of the k -partition $\{\{a\}\} \cup P$ of $\{a\} \cup B$ by an element $g \in G$. By transitivity, we can premultiply g by an element of the setwise stabiliser of $\{a\} \cup A$ to ensure that a maps into the part $\{a\}$ of the partition. The resulting element of G belongs to the stabiliser of a and maps A to a transversal for P . Thus part (a) is proven.

Part (a) immediately implies $t(G, k) \geq t(G_a, k - 1) + 1$ for groups satisfying the k -ut property. For part (b), observe that the hypothesis in this proposition implies that we can move, via elements in G , a $(k - 1)$ -subset to any $(k - 1)$ -subset which meets it in $k - 2$ points, and the general case follows by induction. \square

Remark From [7, Theorem 3.3], we see that for $n > k > \lfloor (n + 1)/2 \rfloor$, k -ut is equivalent to k -homogeneity. In particular, for a fixed k with $n > k > \lfloor (n + 1)/2 \rfloor$, all the (k, l) -ut properties for $k \leq l \leq n$ are equivalent by Proposition 2.1. Therefore, for our investigation we need to consider only $k \leq \lfloor (n + 1)/2 \rfloor$. However, we will occasionally replace this bound with $k \leq \max\{\lfloor (n + 1)/2 \rfloor, n - 6\}$ to facilitate our semigroup application.

Observe that when $k = n$, every permutation group satisfies the k -ut property.

We now note the implications of an important result from [7] for our problem.

Proposition 2.3. *Let G be a permutation group of degree n . Suppose that G has the (k, l) -ut property with $2 \leq k \leq \max\{\lfloor (n + 1)/2 \rfloor, n - 6\}$. Then either G has the $(k - 1, m)$ -ut property for every m with $k - 1 \leq m \leq n$ or one of the following holds:*

- (a) $n = 5, k = 3, t(G, k) = 5$ and G is cyclic or dihedral,
- (b) $n = 7, k = 4, t(G, k) = 7$ and G is isomorphic to $\text{AGL}(1, 7)$.

Proof. If G has (k, l) -ut, then it has (k, n) -ut from Proposition 2.1 (c). Therefore G has k -ut from Proposition 2.1 (a). By [7, Proposition 4.3(2)], we deduce that one of the following holds:

- G is $(k - 1)$ -homogeneous,
- $n = 5, k = 3$ and G is cyclic or dihedral,
- $n = 7, k = 4$ and G is isomorphic to $\text{AGL}(1, 7)$,
- $k > \lfloor (n + 1)/2 \rfloor$.

(Observe that [7, Proposition 4.3(2)] lists five exceptions; however, by a computation one can easily check that only three actually arise.)

If G is $(k - 1)$ -homogeneous, then G satisfies $(k - 1, k - 1)$ -ut from Proposition 2.1 (a). Therefore, by Proposition 2.1 (c), G satisfies $(k - 1, m)$ -ut for any m with $k - 1 \leq m \leq n$.

In the second and in the third case, a computation yields $t(G, k) = n$ as required, and we obtain the two exceptions listed in the statement of this lemma.

Finally, suppose $k > \lfloor (n + 1)/2 \rfloor$. As $k \leq \max\{\lfloor (n + 1)/2 \rfloor, n - 6\}$, we have $k \leq n - 6$. From the remark preceding Proposition 2.3, we deduce G is k -homogeneous and hence $(n - k)$ -homogeneous. As $n - k \geq 6$, from the Livingstone-Wagner theorem, we get that G is 6-transitive and hence G is the symmetric or the alternating group of degree n . In particular, the lemma follows immediately. \square

Proposition 2.3 is a natural analogue of the Livingstone–Wagner theorem [21] and it will be crucial for our application to semigroups.

3 The case $k = 1$

Proposition 3.1. *Let G be a permutation group of degree n , let $1 \leq l \leq n$ and let d be the size of a smallest G -orbit. Then G possesses the $(1, l)$ -ut property if and only if every orbit has size at least $n - l + 1$. Equivalently $t(G, 1) = n - d + 1$.*

Proof. The group G has the $(1, l)$ -ut property if and only if every G -orbit intersects every l -subset, which is true if and only if every G -orbit has size at least $n - l + 1$. \square

Corollary 3.2. *Let G be a permutation group of degree n . If G is transitive, then $t(G, 1) = 1$; if G is intransitive, then $t(G, 1) \geq n/2 + 1$.*

Proof. If G is transitive, then G has $(1, m)$ -ut, for every $1 \leq m \leq n$, and hence $t(G, 1) = 1$. If G is intransitive, then the smallest G -orbit has size at most $n/2$ and hence by Proposition 3.1 $t(G, 1) \geq n - n/2 + 1 = n/2 + 1$. \square

So the threshold $t(G, 1)$ cannot lie between 2 and $(n + 1)/2$. We will see that this is an instance of a general phenomenon.

4 The case $k = 2$

Recall, for instance from [6, Theorem 1.8], that the following conditions are equivalent:

- G is primitive;
- every orbital graph for G (graph whose edges form a G -orbit on 2-subsets) is connected; and
- G has the 2-ut property.

We remark that this result uses the convention that the trivial group acting on two elements is primitive.

Proposition 4.1. *Let G be a primitive permutation group of degree n , let $l \in \mathbb{N}$ and let d be the smallest valency of an orbital graph for G . Then G possesses the $(2, l)$ -ut property if and only if $l \geq n - d + 1$. Equivalently, $t(G, 2) = n - d + 1$.*

Proof. Let Ω be the domain of G . We observe first that G has $(2, l)$ -ut if and only if the induced subgraph of any orbital graph on any l -subset of Ω is connected. For the requirement is that each orbital graph has an edge which is a transversal for every 2-partition of every l -subset.

Suppose that $l \leq n - d$. Let Γ be an orbital graph of valency d and let B be an l -subset consisting of a vertex v and $l - 1$ non-neighbours of v . Then the induced subgraph of Γ on B is disconnected.

Suppose that $l > n - d$. Let Γ be an orbital graph for G and let B be an l -subset of Ω . Now, we use the result of Watkins [31] asserting that the vertex-connectivity of a vertex-primitive graph is equal to its valency. (Watkins does not state this but it is implicit in his proof.) In our case, every orbital graph has connectivity at least d , meaning that the deletion of $d - 1$ or fewer vertices leaves a connected graph. Since $|\Omega \setminus B| = n - l \leq d - 1$, we deduce that the subgraph induced by Γ on B is connected. \square

Corollary 4.2. *Let G be a primitive permutation group of degree n . If G is 2-homogeneous, then $t(G, 2) = 2$; if G is not 2-homogeneous, then $t(G, 2) \geq (n + 3)/2$.*

Proof. If G is not 2-homogeneous, then there are at least two orbital graphs, and so the smallest valency of an orbital graph is at most $(n - 1)/2$. Hence, by Proposition 4.1, $t(G, 2) \geq n - (n - 1)/2 + 1 = (n + 3)/2$. \square

In particular, the threshold $t(G, 2)$ cannot lie between 3 and $n/2 + 1$. We can actually slightly improve Corollary 4.2.

Corollary 4.3. *Let G be a primitive permutation group of degree $n > 2$. Then*

- (a) *G has $(2, n - 1)$ -ut.*
- (b) *Either G has $(2, n - 2)$ -ut, or n is prime and G is the cyclic or the dihedral group of degree n .*

Proof. Since orbital graphs are connected, they have valency at least 2 when $n > 2$. Moreover, if an orbital graph does have valency 2, then it is a cycle, and G is as in (b). The results now follows from Proposition 4.1. \square

Remark Corollary 4.3 can be pushed a little further. The primitive groups with an orbital graph of valency 3 were determined by Wong [32], following the pioneering work of Sims [28] on the connection between permutation groups and graphs. This was extended to valency 4 by Wang [30], using preliminary results of Sims and Quirin, and to valency 5 by Fawcett *et al.* [14]. So, with the exception of the groups in these classifications, a primitive group has $(2, n - 5)$ -ut.

However, the proofs increase in length and complexity as the valency increases, and it is perhaps unlikely that this sequence of results will be continued in the new future.

At the other end of the scale, if G is not 2-homogeneous and has $(2, (n + 3)/2)$ -ut, then there must be two orbital graphs each of valency $(n - 1)/2$. There are three possibilities:

- (a) the stabiliser of a point has two self-paired suborbits of size $(n - 1)/2$;
- (b) the stabiliser of a point has a self-paired suborbit of size $(n - 1)/2$, and two paired suborbits of size $(n - 1)/4$;
- (c) the stabiliser of a point has four suborbits of size $(n - 1)/4$, falling into two pairs of paired suborbits.

In the third case, G has odd order (since an involution in G would imply the existence of a self-paired suborbit), and so is soluble. Therefore, in the third case, G is an affine group, that is, G is permutation isomorphic to a subgroup of the affine general linear group $\text{AGL}(V)$, where V is a finite vector space over a finite field of odd order. Then, the group generated by G and $-I_V$ falls under case (a). In case (a), we can invoke the classification of rank 3 primitive groups. The finite primitive groups of rank 3 of affine type (according to the O’Nan-Scott partition into types) were classified by Liebeck [22]. Kantor and Liebler [17] have classified the rank 3 primitive permutation groups having socle a finite classical group, and Liebeck and Saxl [23] have classified the rank 3 primitive permutation groups having socle a finite exceptional group of Lie type or a sporadic simple group. The papers [17, 22, 23] contain all the relevant information for the complete classification of rank 3 primitive permutation groups. For dealing with Case (a), one might invoke also the classification of $3/2$ -transitive groups by Bamberg *et al.* [13]. The conclusion (for case (a) and (c)) is that either G is an affine group, or G is the alternating or the symmetric group of degree 7 acting on 2-subsets (with degree 21).

Case (b) is a little more problematic. In this case G has rank 4, and (arguing as before) we can assume that G is not affine. Except for the primitive groups of affine type, Cuypers in his D. Phil. thesis [12] has classified the primitive groups having rank 4.

There is some recent interest in pushing these classifications a little further for answering a question of Muzychuk concerning coherent configurations, see [25]. However, since there are various primitive rank 3 permutation groups with nearly equal subdegrees, we cannot improve the bound any further by using these investigations.

5 The cases $k \geq 3$: preliminaries

A *hypergraph* is an ordered pair (Ω, E) , where E is a subset of the power set of Ω . We refer to the elements of E as the *edges* of the hypergraph. A hypergraph is *k-uniform* if each edge is a k -subset. Moreover, we say that a k -uniform hypergraph is *regular* if any $(k - 1)$ -subset is contained in a constant number of edges, and call this number the *valency* of the hypergraph. (This terminology is not standard.)

Proposition 5.1. *Let G be a permutation group of degree n with the k -ut property and $k \leq \max\{(n+1)/2, n-6\}$, let $k \leq l \leq n$ and let d_k be the smallest valency of a regular G -invariant k -uniform hypergraph. If G has the (k, l) -ut property, then $l \geq n - d_k + 1$.*

Proof. If $k \in \{1, 2\}$, then the result follows from Propositions 3.1 and 4.1. Suppose then $k \geq 3$.

Assume that G is $(k - 1)$ -homogeneous. Then, every G -orbit on k -subsets forms a regular hypergraph. Suppose that $k \leq l \leq n - d_k$. Let A be a k -subset which is an edge in a hypergraph E of smallest valency, and let K be any $(k - 1)$ -subset. Let

$$B = K \cup \{x : K \cup \{x\} \notin E\},$$

so that $B = n - d_k$. Take the partition P of B consisting of singleton parts containing the points of K with all the rest in a single part. If Ag is a transversal for P , then $K \subseteq Ag$, but by construction, the remaining point of Ag lies outside B , a contradiction. So G does not have the $(k, n - d_k)$ -ut property, whence $t(G, k) \geq n - d_k + 1$.

Assume that G is not $(k - 1)$ -homogeneous. From Proposition 2.3, we have only three exceptional cases to consider and in all cases $t(G, k) = n$. Hence the bound $t(G, k) \geq n - d_k + 1$ is trivially satisfied. \square

Despite the fact that in Propositions 3.1 and 4.1 we obtained an explicit value $n - d_k + 1$ for $t(G, k)$ when $k \in \{1, 2\}$, we cannot hope to have this equality for $k \geq 3$. For instance, $\text{AGL}(1, 7)$ has the 3-ut property, with $t(\text{AGL}(1, 7), 3) = 7$, but the smallest valency of a regular $\text{AGL}(1, 7)$ -invariant 3-uniform hypergraph is 2.

Corollary 5.2. *Let G be a permutation group of degree n having the k -ut property with $k \leq \max\{\lfloor (n+1)/2 \rfloor, n-6\}$. If G is k -homogeneous, then $t(G, k) = k$; if G is not k -homogeneous, then $t(G, k) \geq (n + k + 1)/2$.*

Proof. If G is not k -homogeneous, then it has at least two orbits on k -subsets, and the smallest regular G -invariant k -uniform hypergraph has valency at most $(n - (k - 1))/2$. The proof now follows from Proposition 5.1. \square

6 The cases $k \geq 3$: details

We have nearly complete classifications of finite groups satisfying the k -ut property for $k \geq 3$. We can hence go through this classification to determine the threshold value $t(G, k)$.

6.1 Case $k = 3$

The groups satisfying 3-ut were partially classified in [7]; in [3], these results were extended and some minor corrections added to obtain the following classification.

Proposition 6.1. *Every group in the following list has the 3-ut property.*

- (a) G is 3-homogeneous;
- (b) $n = q + 1$ and $\text{PSL}(2, q) \leq G \leq \text{P}\Sigma\text{L}(2, q)$, where $q \equiv 1 \pmod{4}$;
- (c) $n = 2^{2d-1} \pm 2^{d-1}$, $G = \text{Sp}(2d, 2)$, $d \geq 3$, in either of its 2-transitive representations;

(d) $n = 2^{2d}$, $G = 2^{2d} : \text{Sp}(2d, 2)$, $d \geq 2$;

(e) (n, G) is one of $(5, C_5)$, $(5, D(2 * 5))$, $(7, \text{AGL}(1, 7))$, $(11, \text{PSL}(2, 11))$, $(16, 2^4 : A_6)$, $(64, 2^6 : G_2(2))$, $(64, 2^6 : G_2(2)')$, $(65, \text{Sz}(8))$, $(65, \text{Sz}(8) : 3)$, $(176, HS)$, $(276, \text{Co}_3)$.

If there is any other group with 3-ut, then it is one in the following list:

(1) Suzuki groups $\text{Sz}(q)$, potentially extended by field automorphisms ($n = q^2 + 1$);

(2) $\text{AGL}(1, q) \leq G \leq \text{AFL}(1, q)$, where q is either prime with $q \equiv 11 \pmod{12}$, or $q = 2^p$ with p prime, and for all $c \in \text{GF}(q) \setminus \{0, 1\}$, $|\langle -1, c, c - 1 \rangle| = q - 1$ ($n = q$);

(3) subgroups of index 2 in $\text{AGL}(1, q)$, with $q \equiv 11 \pmod{12}$ and prime, and for all $c \in \text{GF}(q) \setminus \{0, 1\}$, $|\langle -1, c, c - 1 \rangle| = q - 1$ ($n = q$).

In the part (b) and (c) above, we have denoted by $\text{GF}(q)$ the finite field of cardinality q ; moreover, for $c \in \text{GF}(q) \setminus \{0, 1\}$, we have denoted by $\langle -1, c, c - 1 \rangle$ the subgroup of the multiplicative group of $\text{GF}(q)$ generated by -1 , c and $c - 1$. The 3-ut property was confirmed by computation for all listed potential groups with $n \leq 50$.

Recall that a *regular two-graph* [29] on a set Ω is a set T of 3-subsets of Ω such that:

- (a) any 4-subset contains an even number of members of T ;
- (b) any two points lie in λ members of T , for some fixed value λ .

It is not hard to verify that the groups in (b), (c), (d) and $(n, G) = (276, \text{Co}_3)$ of Proposition 6.1 all have just two orbits on 3-subsets and that each orbit forms a regular two-graph, see for instance [29, Examples 6.2, 6.3 and 6.4 and Theorem 6.7]. For these groups, we let T and T' denote these two orbits and we let λ and λ' denote the number of 3-sets in T and T' , respectively, that contain two given points (the valency as 3-uniform hypergraphs). Moreover, we use the convention that $\lambda \leq \lambda'$.

Proposition 6.2. *Let G be one of the groups in (b), (c), (d) or $(n, G) = (276, \text{Co}_3)$ of Proposition 6.1 and let λ and λ' be as above. Then $\lambda' + 3 \leq t(G, 3)$. Moreover, if $l > \lambda' + 2$ and $l > \min\{3\lambda/2, (6\lambda' + 9)/5\}$, then G has the $(3, l)$ -ut property.*

Proof. Let T and T' be the two G -orbits on 3-subsets with parameters $\lambda \leq \lambda'$, respectively.

Pick distinct points x and y and let $Z := \{z \mid \{x, y, z\} \in T'\}$. By definition, $|Z| = \lambda'$. The partial 3-partition $\{\{x\}, \{y\}, Z\}$ witnesses that G does not satisfy $(3, \lambda' + 2)$ -ut and hence $t(G, 3) \geq \lambda' + 3$.

Now consider an arbitrary partial partition $\{X, Y, Z\}$ with $|X| \leq |Y| \leq |Z|$. We first treat the case $|X| = 1$, that is, $X = \{x\}$ for some x . Consider the orbital graph of G_x on $\Omega \setminus \{x\}$, such that $\{y, z\}$ is an edge if and only if $\{x, y, z\} \in T$. This graph is vertex-primitive, because in each of the groups under consideration G_x acts primitively on $\Omega \setminus \{x\}$. Hence its connectivity equals its valency λ by [31]. If $1 + |Y| + |Z| > n - \lambda$, the induced subgraph on $Y \cup Z$ is connected, and hence $\{X, Y, Z\}$ has a section from T . By the same argument, it also contains a section of T' . It follows that if G fails $(3, l)$ -ut with $l \geq n - \lambda + 1 = (\lambda + \lambda' + 2) - \lambda + 1 = \lambda' + 3$, this failure is not witnessed by a partition involving a singleton.

Now consider the case $|X| \geq 2$, and assume that the partial partition $\{X, Y, Z\}$ witnesses the failure of $(3, l)$ -ut for G , with $l \geq \lambda' + 3$. Hence all sections of $\{X, Y, Z\}$ lie in either T or T' . Moreover, as in the proof for the 3-ut property for the same groups in [7], it follows that for fixed $x_1, x_2 \in X$, all 3-subsets of the form $\{x_1, x_2, w\}$ with $w \in Y \cup Z$ lie in the same G -orbit, and the corresponding result holds for any permutation of X, Y, Z .

Define a graph Γ on $X \cup Y \cup Z$ as follows. For distinct elements v and w , pick an element u in a part containing neither v nor w , and let v and w be adjacent in Γ if and only if $\{u, v, w\} \in T'$. It follows from the previous paragraph that this definition does not depend on the choice of u .

Note that pairs $\{u, v\}$ not contained in a part are either all edges or all non-edges of Γ . From this, it is easy to see that any 3-subset of $X \cup Y \cup Z$ is contained in T' if and only if it contains 1 or 3 edges.

If the subgraph induced by Γ on X is complete, then for distinct $x, x' \in X$, $\{x, x', w\} \in T'$ for all $w \in (X \cup Y \cup Z) \setminus \{x, x'\}$. However, this contradicts $|X \cup Y \cup Z| = l \geq \lambda' + 3$. Therefore, the subgraph induced by Γ on X is not complete and hence there exist two distinct points $x, x' \in X$ non-adjacent in Γ . Then $\{x, x', w\} \in T'$ for all $w \in Y \cup Z$, and hence $\lambda \geq |Y \cup Z| = l - |X| \geq l - l/3 = 2l/3$. Thus

$$l \leq \frac{3\lambda}{2}.$$

Let $a = |X|$, let $w \in Z$, let $\bar{x} \in X$ and let $v_{\bar{x}}$ be the number of neighbours of \bar{x} in X . Then \bar{x} has $a - 1 - v_{\bar{x}}$ non-neighbours in X . In particular, there are

$$\frac{(a-1)(a-2)}{2} - v_{\bar{x}}(a - v_{\bar{x}} - 1)$$

pairs $\{x, x'\}$ in X such that either both or none of x, x' is adjacent to \bar{x} . This number is minimal when $v_{\bar{x}} = (a-1)/2$ with minimum

$$\frac{(a-1)(a-2)}{2} - \left(\frac{a-1}{2}\right)^2 = \frac{(a-1)(a-3)}{4}.$$

As \bar{x} was arbitrary, we deduce that there are at least $a(a-1)(a-3)/4$ pair-point combinations in X with this property. Hence, for some pair $\{x, x'\}$ in X , there are at least $(a-3)/2$ corresponding points. Suppose that $\{x, x'\}$ is an edge (a non-edge will result in an improved bound). Then $\{x, x', w\} \in T'$ for at least $(a-3)/2$ values $w \in X$, in addition to all $w \in Y \cup Z$. Hence

$$(a-3)/2 + |Y| + |Z| \leq \lambda'.$$

We want to maximise $l = a + |Y| + |Z|$ with regard to the above constraint. This is achieved if a is maximal, and thus for $a = l/3 = |Y| = |Z|$. Hence $(l/3 - 3)/2 + l/3 + l/3 \leq \lambda'$ and so $l \leq (6\lambda' + 9)/5$. \square

Proof of Theorem 1.5. We need to consider only the groups arising from Proposition 6.1 part (b), (c), (d) and (e), because the groups in (a) have $t(G, 3) = 3$ and any other group having the 3-ut property has been reported in Table 1 with no information on $t(G, 3)$.

When G is as in (b), the proof follows from Proposition 6.2 observing that $\lambda = \lambda' = (q-1)/2$, see [29]. When G is as in (c), the proof follows from Proposition 6.2 observing that $n = 2^{2d-1} \pm 2^{d-1}$ and $\{\lambda, \lambda'\} = \{2^{2d-2}, 2^{2d-2} \pm 2^{d-1} - 2\}$, see [29] (the case $\text{Sp}(6, 2)$ with $n = 28$ is the only one where $l > 3\lambda/2$ is stronger than $l > (6\lambda' + 9)/5$). When G is as in (d), the proof follows from Proposition 6.2 observing that $n = 2^{2d}$, $\lambda = 2^{2d-1} - 2$ and $\lambda' = 2^{2d-1}$, see [29]. Finally suppose that G is as in (e). If $(n, G) = (276, C_{O_3})$, then $\lambda = 112$ and $\lambda' = 162$ by [29] and hence the bounds in Table 1 follow from Proposition 6.2. The exact value for $t(G, 3)$, when $(n, G) \in \{(7, \text{AGL}(1, 7)), (11, \text{PSL}(2, 11)), (2^4 : A_6)\}$, follows with a computation. The bound for $t(G, 3)$, when $(n, G) \in \{(64, G_2(2)), (64, G_2(2)'), (65, \text{Sz}(8)), (65, \text{Aut}(\text{Sz}(8))), (176, HS)\}$, follows again with the help of a computer: we have determined the smallest valency of a regular G -invariant 3-uniform hypergraph for G and we have applied Proposition 5.1. \square

6.2 Case $k \geq 4$

Proof of Theorem 1.1. This follows immediately from the remark preceding Theorem 2.3. \square

Proof of Theorem 1.2. When $k \leq \lfloor (n+1)/2 \rfloor$, the result follows from [7, Theorem 1.4]. When $\lfloor (n+1)/2 \rfloor < k \leq n-6$, the result follows from [7, Theorem 3.3]. \square

Proof of Theorem 1.3. From [7, Theorem 1.5], we see that either G is 5-homogeneous (that is, $t(G, 5) = 5$), or $n = 33$ and $G = \text{PTL}(2, 32)$. In the second case, the determination of $t(G, 5)$ follows with a computation. \square

Proof of Theorem 1.4. From [3], we see that either G is 4-homogeneous (that is, $t(G, 4) = 4$), or (n, G) is one of the four cases listed in the statement of Theorem 1.4. For the first three cases, the value of $t(G, 4)$ follows with a computation. \square

7 Regular semigroups

Arguably, three of the most important classes of semigroups are *groups*, *inverse* semigroups and *regular* semigroups, defined as follows. For a semigroup S , we have that

- S is a group if, for all $a \in S$, there exists a unique $b \in S$ such that $a = aba$;
- S is inverse if, for all $a \in S$, there exists a unique $b \in S$ such that $a = aba$ and $b = bab$;
- S is regular if, for all $a \in S$, there exists $b \in S$ such that $a = aba$.

Note that, if $a = aba$, then by setting $b' := bab$ we have $a = ab'a$ and $b' = b'ab'$.

To a large extent semigroup structure theory is about trying to show how the idempotents shape the structure of the semigroup, see for instance [7]. Therefore it is no surprise that groups and inverse semigroups can be characterized by their idempotents:

- a semigroup is inverse if and only if it is regular and the idempotents commute (see [16, Theorem 5.5.1]);
- a semigroup is a group if and only if it is regular and contains exactly one idempotent (see [16, Ex. 3.11]).

Inverse semigroups, apart from being the class of semigroups with the largest number of books dedicated to them, were introduced by geometers and are still very important in the area [27].

Let Ω be a finite set. The semigroup of partial transformations or functions on Ω , denoted $\text{PT}(\Omega)$, is regular, and all regular semigroups embed in some $\text{PT}(\Omega)$; every group embeds in some $\text{PT}(\Omega)$ as a group of permutations; and every inverse semigroup embeds in some $\text{PT}(\Omega)$ as a semigroup of partial 1-1 transformations. (This follows from the Vagner–Preston representation [16, Theorem 5.1.7] that maps every inverse semigroup into an isomorphic semigroup of partial bijections on a set, and the analogous theorem of Cayley for groups.)

The following result is stated for partial transformations but the proof is essentially in [18]; we provide it just for the sake of completeness. For $t \in \text{PT}(\Omega)$, let $\text{dom}(t)$ denote the domain of t , $\text{im}(t) := \Omega t$ and $\text{rank}(t) := |\Omega t|$.

Lemma 7.1. ([18, Lemma 2.3]) *Let $u \in \text{PT}(\Omega)$ and let $G \leq \text{PT}(\Omega)$ be a group of permutations. Then the following are equivalent:*

- (a) u is regular in $\langle G, u \rangle$;
- (b) there exists $g \in G$ such that $\text{rank}(ugu) = \text{rank}(u)$.

Proof. Suppose u is regular in $\langle G, u \rangle$. Then, there exists $b \in \langle G, u \rangle$ such that $u = ubu$. As $b = g_1 u \cdots g_{m-1} u g_m$ for some $g_1, \dots, g_m \in G$, it follows that

$$\text{rank}(u) = \text{rank}(ubu) = \text{rank}(ug_1 u \cdots g_{m-1} u g_m u) \leq \text{rank}(ug_1 u) \leq \text{rank}(u),$$

and hence $\text{rank}(ug_1 u) = \text{rank}(u)$.

Conversely, suppose $g \in G$ and $\text{rank}(ugu) = \text{rank}(u)$. Let $r := \text{rank}(u)$ and let $\{A_1, \dots, A_r\}$ be the kernel of u . Since $\text{rank}(ugu) = \text{rank}(u)$, $\text{im}(ug)$ is a transversal for the kernel of u and hence

$$\text{rank}((ug)^m) = \text{rank}(u),$$

for all natural numbers $m \geq 1$. Since Ω is a finite set, there exists a positive integer ω such that $(ug)^\omega$ is idempotent. As $\text{rank}(u) = \text{rank}((ug)^\omega)$, we deduce that $\{A_1, \dots, A_r\}$ is also the kernel of $(ug)^\omega$. In particular, for each $i \in \{1, \dots, r\}$, there exists $a_i \in A_i$ with $A_i(ug)^\omega = \{a_i\}$. Then

$$A_i(ug)^\omega u = \{a_i\}u \subseteq A_i u,$$

for every $i \in \{1, \dots, r\}$. This shows that $(ug)^\omega u = u$. If $\omega = 1$, then $u = ugu$ and hence u regular in $\langle G, u \rangle$. Similarly, if $\omega > 1$, then $u = (ug)^\omega u = ug(ug)^{\omega-1}u = u \cdot g(ug)^{\omega-1} \cdot u$ and hence u is regular in $\langle G, u \rangle$. \square

Proof of Theorem 1.6. It is clear that c) implies b).

We now show that b) implies a). Let A be a k -subset of Ω , let B an l -subset of Ω and let P be a k -partition of B . Let $t \in \text{PT}(\Omega)$ with $\text{dom}(t) = B$ and with kernel P . In particular, $\text{rank}(t) = k$ and $|\text{dom}(t)| = l$ and hence $t \in \text{PT}_{k,l}(\Omega)$. As $\langle G, t \rangle$ is regular, t is regular in $\langle G, t \rangle$ and hence by Lemma 7.1 there exists $g \in G$ with $\text{rank}(tgt) = \text{rank}(t)$. Therefore g maps $\text{im}(t) = A$ into a transversal of the kernel P of t .

We finally prove that a) implies c), when $k \leq \max\{(n+1)/2, n-6\}$. Let G possess the (k, l) -ut property, let $t \in \text{PT}_{k,m}(\Omega)$ with $l \leq m$ and let $v \in S := \langle G, t \rangle$. We let $r := \text{rank}(v)$, $B := \text{dom}(v)$, $A := \text{im}(v)$ and P be the kernel of v . Observe that $r \leq k$, and if $k = r$ then $|B| \geq l$. Suppose first that G possesses the $(r, |B|)$ -property. Thus, we deduce that there exists $g \in G$ such that $Ag = \text{im}(vg)$ is a section of P and hence $\text{rank}(vgv) = \text{rank}(v)$. Therefore, by Lemma 7.1, we have that v is regular in $\langle G, v \rangle$ and hence regular in S .

Suppose that G does not possess the $(r, |B|)$ -ut property. In particular, G is not r -homogeneous by Proposition 2.1 (a) and (c). Moreover, by Proposition 2.1 (c), we have $1 \leq r < k$, as $k = r$ implies $|B| \geq l$. From Proposition 2.3 and from the remark preceding Proposition 2.3, we see that we have one of the following cases to deal with:

- $n = 5, k = 3, t(G, k) = 5$ and G is cyclic or dihedral,
- $n = 7, k = 4, t(G, k) = 7$ and G is isomorphic to $\text{AGL}(1, 7)$.

Thus $l = m = n$, because $n \geq m \geq l \geq t(G, k)$. In particular, the domain of t is the whole of Ω . As $v \in S = \langle G, t \rangle$, we deduce that the domain of v is also the whole of Ω and hence $|B| = n$. However, another direct inspection on these cases shows that G possesses the (r, n) -ut property, contradicting the fact that we were assuming G does not have the $(r, |B|)$ -ut property. \square

8 Problems

In the light of Theorems 1.4 and 1.5, we propose the following problem.

Problem 8.1. *Improve upon the values of $t(G, 3)$ in Table 1 and determine whether case (d) in Theorem 1.4 arises in general.*

A semigroup is said to be *idempotent generated* if it is generated by its own idempotents.

Problem 8.2. *Let Ω be a set of size n and let $1 \leq k \leq l < n$. Classify the permutation groups G acting on Ω such that for all $t \in \text{PT}_{k,l}(\Omega)$, the semigroup $\langle G, t \rangle \setminus G$ is idempotent generated.*

A degree n permutation group on Ω is said to have the k -et property if there exists some k -set $K \subseteq \Omega$ such that the orbit of K under G contains transversals for every k -partition of Ω [3]. The k -et property generalizes the k -ut property. Let k and l be integers with $1 \leq k \leq l \leq n$. Then G is said to have the (k, l) -existential transversal property (or (k, l) -et for short) if there exists a k -subset A such that the orbit of A contains a transversal for every k -partition of any l -subset B of Ω .

Problem 8.3. *Prove, for groups possessing the (k, l) -et property, results analogous to the ones in this paper on groups possessing the (k, l) -ut property.*

If the previous problem can be solved, then it will be possible to provide an analogue of Theorem 1.6 for transformations with prescribed image (rather than just prescribed rank), a much stronger result.

Let V be a vector space of finite dimension n . For $1 \leq k \leq l \leq n$, let $\text{End}_{k,l}(V)$ be the set of linear transformations $t : L \rightarrow K$, with $Lt = K$, where L and K are subspaces of V of dimension l and k , respectively.

Problem 8.4. *Classify the linear groups $G \leq \text{Aut}(V)$ such that for all $t \in \text{End}_{k,l}(V)$ there exists $g \in G$ such that $\text{rank}(tgt) = \text{rank}(t)$.*

The previous problem is certainly very difficult since easier versions of it (see [7, Problems 10 and 11]) are still open.

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