

TITLE PAGE

Clinical outcomes of subglottic stenosis in granulomatosis with polyangiitis: results of an international multicenter observational study

CATEGORY: Original article

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ABSTRACT

Objectives. Subglottic stenosis (SGS) is a challenging manifestation of granulomatosis with polyangiitis (GPA), often relapsing and poorly responsive to immunosuppressive treatment. Current guidelines lack specific recommendations for SGS management and evidence is limited. This study aimed to identify features of SGS associated with a more aggressive course and to assess the efficacy of available treatments in preventing relapses.

Methods. We conducted a multicenter, retrospective cohort study including GPA patients with SGS. Patients were stratified into higher relapsers (≥ 2 flares) and lower relapsers (≤ 1 flare). Clinical and treatment features were compared across groups. Univariate and multivariate analyses were conducted to identify independent predictors of relapse and multiple flares. Kaplan–Meier curves assessed time-to-relapse across regimens.

Results. Eighty-nine patients were included (30% male). Forty-eight patients (54%) were higher relapsers, with a median time-to-relapse of 36 months. Systemic immunosuppressive therapy was associated with fewer relapses (82% vs 18%, $p=0.04$) compared to local treatments alone. Glucocorticoids in induction regimens reduced relapse risk (86% vs 12%, $p=0.03$). Cyclophosphamide (CYC) was associated with the longest relapse-free survival and reduced 5-year relapse risk (OR 0.3, $p=0.049$). By contrast, glucocorticoid monotherapy in either induction or maintenance phase was associated with higher relapse rate ($p=0.006$). No specific maintenance regimen was significantly protective, though rituximab and DMARDs showed a trend toward improved outcomes.

Conclusions. Systemic immunosuppressive therapy, particularly CYC-based induction, was associated with fewer SGS relapses and prolonged relapse-free survival, while glucocorticoid monotherapy was less effective. Prospective studies are needed to optimize induction and maintenance strategies in GPA-related SGS.

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3 **Keywords:** Granulomatosis With Polyangiitis – Subglottic stenosis – Relapse – Risk factor –
4 Treatment
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10 **Key messages:**

- 11 - Systemic immunosuppression reduces SGS relapses compared to local treatment alone.
- 12 - Cyclophosphamide induction provides the longest relapse-free survival in GPA-related
- 13 SGS.
- 14 - Glucocorticoid monotherapy is linked to higher relapse risk in SGS
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INTRODUCTION

Subglottic stenosis (SGS) is an insidious manifestation of GPA¹, yet it represents the most common form of tracheobronchial involvement, with a prevalence ranging from 10% to 23%^{2,3}.

Subglottic stenosis consists in narrowing of the airway region bounded superiorly by the glottis and inferiorly by the trachea, typically located at the level of the cricoid cartilage—, an area particularly susceptible to stricture. SGS predominantly affects younger female patients (<30 years), and those with disease phenotypes limited to the ENT region, often ANCA negative⁴.

The clinical presentation of SGS ranges from asymptomatic cases to life-threatening airway obstruction^{5,6}. Despite its inflammatory pathogenesis, GPA-related SGS has historically been poorly susceptible to immunosuppressive therapy and often local approaches, like endoscopic dilatation, are needed. Notably, SGS lesions often display indolent granulomatous or nonspecific inflammation, lack the vasculitic component, and are characterized by marked tissue fibrosis, a histopathological hallmark that reduces drugs' effectiveness and penetration⁷.

Moreover, due to its chronic and fibrotic nature, SGS often relapses multiple times over the disease course, leading to substantial morbidity and a significant impact on quality of life^{6,4,8,9}.

Although current guidelines recommend cyclophosphamide (CYC) or rituximab (RTX) combined with glucocorticoids for the treatment of GPA¹⁰, they do not specifically address rare manifestations such as SGS^{4,11,12,13}. Current evidence is based on small retrospective observational studies and case reports and suggests that the combination of local therapy and systemic immunosuppressants is more effective than local procedures alone^{6,9,2,14}, but the optimal management of SGS remains undefined.

As GPA therapeutic landscape is evolving and SGS represents a difficult-to-treat manifestation, it is crucial to identify patients at risk of worse prognosis, such as higher relapse rates, and increased risk of organ damage, in order to individualize treatment approach.

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3 The aim of our study is to identify clinical characteristics associated with poorer outcomes in patients
4 with SGS, and to evaluate the most effective therapeutic strategies for preventing relapses.
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10 **MATERIALS AND METHODS**

11 **Study design**

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13 This is a multicenter, retrospective, international, observational study conducted within the STOP-
14 GPA protocol (Subglottic Stenosis and Orbital Pseudotumor in Granulomatosis with Polyangiitis).
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16 Data were collected using standardized Case Report Forms (CRFs) and centralized in a single
17 dedicated database including variables on demography and epidemiology, disease characteristics,
18 treatment, and clinical outcomes. Treatment options included systemic treatments and local treatment.
19
20 Systemic immunosuppressive therapies included cyclophosphamide (CYC), rituximab (RTX) and
21 DMARDs [methotrexate (MTX), azathioprine (AZA) and mycophenolate mofetil (MMF)]. The study
22 was conducted in accordance with the principles of the Declaration of Helsinki and was approved by
23 our local ethical committee, 'Comitato Etico Territoriale Lombardia 1' (CETL1) (CET 153-2024).
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37 We included adult patients (≥ 18 years) diagnosed with GPA, according to 2022 American College of
38 Rheumatology/European Alliance of Associations of Rheumatology (ACR/EULAR) classification
39 criteria, and Subglottic Stenosis (SGS) from 17 centers from Italy, Germany, France, Russia and
40 Turkey, between 1981 and 2023. Patients with SGS due to other diseases were excluded.
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47 **Endpoint**

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49 The primary endpoint was SGS relapse, defined as endoscopic demonstration of narrowing of the
50 airway space with or without worsening symptoms, necessitating local intervention and/or escalation
51 of systemic treatment as per clinical judgement. Secondary endpoint was time-to-relapse.
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57 **Statistical Analysis**

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3 Demographic and clinical characteristics were analyzed to investigate potential predictors of relapse.
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5 We assessed whether demographic and clinical data or specific treatments influenced relapse risk and
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7 whether time to relapse differed based on the treatment received. Patients were also stratified into
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9 two groups based on the number of relapses (0–1: “lower relapsers [Lr]” vs. ≥ 2 : “higher relapsers
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11 [Hr]”) for additional analyses. Patients with less than 6 months of follow-up after SGS diagnosis were
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13 excluded from the analyses.
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17 For comparisons between categorical variables, Chi-square or Fisher’s exact tests were used.
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19 Continuous variables were presented as median and interquartile range and compared using Mann–
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21 Whitney U tests or Kruskal–Wallis tests, as appropriate.
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25 Survival analysis for relapse-free survival was performed using Kaplan–Meier curves, and
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27 differences between groups were assessed with the log-rank test. Data were censored at 5 years to
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29 preserve proportionality assumptions. A sub-analysis at 12 months was conducted to explore the early
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31 effect of induction regimens. Cox proportional hazards regression analysis was used to explore factors
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33 associated with time to relapse, with results expressed as hazard ratios (HR) and 95% confidence
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35 intervals (CI).
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38 39 **RESULTS**

40 41 **Study population**

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43 We enrolled a total of 96 patients with GPA and SGS. Mean prevalence of SGS among all GPA
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45 cohorts was 7% (± 4.2). The analyzed cohort included 89 patients who had a follow-up since SGS
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47 onset of at least six months. Sixty-two were females (70%), with a median age of 34 years at GPA
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49 diagnosis and 37 years at SGS onset. SGS was the first manifestation of GPA in 42 patients (47%),
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51 while in the remaining cases SGS became clinically evident after a median of 42 months from GPA
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53 diagnosis (IQR: 17-100). Concomitant endobronchial stenoses were detected in 15 patients (17%).
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55 ANCA were positive in 60 patients (67%) with a predominance of anti-PR3 over anti-MPO (82% vs
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3 18%), and negative in 25 (27%); in 5 patients ANCA status was not known. Histological samples
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5 from the SGS lesion were available in 31 patients (35%); among them, granulomas were found in 13
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7 cases (42%), vasculitis in 6 (19%), while the remaining specimens showed nonspecific inflammatory
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9 changes. Median follow up was 83 months (IQR: 45-163). Further demographic and clinical
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11 characteristics are detailed in **Table 1 and Supplementary Table S1 and S2**.

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15 Eighty patients (90%) received systemic immunosuppressive therapy for SGS, while 56 (63%) also
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17 underwent local procedures such as endoscopic dilatation, laser therapy, and/or glucocorticoid
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19 injections; 14 (16%) needed tracheostomy placement. Nine patients (10%) were treated with local
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21 therapies alone. Among systemic therapies employed for SGS relapse, cyclophosphamide was the
22
23 most commonly used induction of remission agent (40%), followed by conventional DMARDs (30%)
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25 and RTX (26%). Systemic glucocorticoids (GCs) were used in 65% of patients, either in combination
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27 or as monotherapy (8%). For maintenance treatment, DMARDs were employed most frequently
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29 (62%), followed by RTX (34%). Glucocorticoids were part of the maintenance regimen in 56% of
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31 patients.
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35 36 **Outcomes**

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39 Forty-eight patients (54%) experienced at least one SGS relapse, with a median time-to-relapse (TTR)
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41 of 36 months (IQR: 21-98). In ten patients (21%) SGS relapse co-occurred with systemic vasculitis
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43 ones. Nineteen patients (21%) were “higher relapsers” (Hr), whereas 70 patients (79%) were “lower
44
45 relapsers” (Lr).
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49 We first analyzed potential risk factors for SGS relapse by comparing relapsers and non-relapsers.
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51 No demographic or clinical characteristics were significantly associated with relapse risk, except for
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53 patients who presented with SGS as the initial manifestation of GPA, who had a lower likelihood of
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55 relapse (25% Hr vs. 54% Lr; $p = 0.04$). Regarding treatment, patients receiving systemic treatment
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57 for SGS were less prone to relapse compared to those managed with local interventions alone (82%
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59 Lr vs 18% Hr, $p=0.04$). Among local interventions, endoscopic dilatation was associated with a risk
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3 of frequent relapses ($p=0.02$). Among patients receiving immunosuppressive therapies, the
4
5 concomitant use of local procedures led to numerically less relapses (45% Lr vs 15% Hr, $p=0.09$).
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8 The use of GCs as part of the induction regimen was associated not only with a reduced risk of relapse
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10 but also with a reduced risk of developing multiple relapses during disease course (percentage of
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12 patients receiving GC as induction in Lr: 86% vs Hr: 12%, $p = 0.03$), compared with patients treated
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14 without GCs. Notably, the use of intravenous glucocorticoid pulses did not show a significant impact
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16 on relapse risk. Mean oral GCs dose was 33 mg/day (± 19). There was no significant difference in
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18 terms of relapse rate between high (> 33 mg) vs low (< 33 mg) dose of GCs.
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23 Induction treatment with CYC was associated with the highest SGS relapse-free survival at 5 years
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25 (67%, $p=0.006$) (**Figure 1**), while the group treated with GCs alone showed a 100% relapse rate at
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27 36 months. Relapse-free survival at 5 years after induction with RTX and DMARDs was 52% and
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29 32%, respectively. These findings were confirmed in the multivariable Cox regression analysis (table
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31 2), in which CYC was the only immunosuppressant significantly protective against the risk of
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33 subsequent relapses after the first one (HR 0.33; 95% CI 0.1-0.99; $p = 0.049$), followed by a possible
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35 trend for GCs ($p=0.059$), when adjusted for sex and age. A sub-analysis of the first 12 months after
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37 induction treatment, showed a significant difference between CYC versus DMARDs or RTX, in
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39 which the last one was associated with higher relapses ($p= 0.02$). Regarding maintenance therapy
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41 (**Figure 2**), patients receiving GCs alone were more prone to early relapse (median time-to-relapse -
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43 RTX: 49 months, DMARDs: 56 months, GCs: 25 months). Nonetheless, no regimen reached
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45 statistical significance (relapse rate – RTX: 50%, DMARDs: 50%, GCs: 86%; $p = 0.06$). No specific
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47 treatment regimen was associated with more than one relapse in the multivariate regression analysis.
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56 **DISCUSSION**

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3 Treatment of GPA has evolved over recent decades with the adoption of CYC and RTX for induction
4 of remission, dramatically changing the disease course^{10, 15}. However, limited evidence is available
5 regarding the optimal management of difficult-to-treat manifestations such as SGS.
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7 Immunosuppressive therapy alone has historically shown limited efficacy in SGS (ranging from 20%
8 to 26% across various studies), often necessitating multiple endoscopic procedures¹⁶.
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11 In this study, we tried to identify patients at risk to develop more relapses and, consequently, organ
12 damage and to evaluate the best treatments to prevent flares. We found that patients treated with
13 systemic immunosuppressive therapy had better outcomes in term of SGS relapses. In particular, the
14 use of glucocorticoids (GCs) as part of induction treatment was associated with a lower risk of relapse
15 and fewer relapses overtime. Among immunosuppressants, induction regimens with
16 cyclophosphamide were associated with the best time-free-survival, opposite from patients treated
17 only with GCs, which had the worst outcomes. The efficacy of RTX is evident only at 5 years,
18 probably reflecting the cumulative effect of multiple treatment courses.
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34 Langford et al., in 1996, demonstrated the effectiveness of endoscopic dilation techniques and
35 intralesional steroid injections in the treatment of isolated SGS, in the absence of immunosuppressive
36 therapy. This raised the hypothesis of an independent course of SGS from systemic vasculitis⁶. The
37 2015 study by Terrier et al. showed good efficacy of endoscopic dilation, laser therapy, and local
38 steroid injections compared to systemic therapy alone in reversing SGS, although with high treatment
39 failure rates due to relapses between 1 and 5 years. Among immunosuppressive agents, only high-
40 dose steroids (>30 mg/day) were associated with better response rates, while no significant
41 differences were observed between other agents⁹.
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53 In 2023, the study by Moroni et al. showed a significant superiority of systemic immunosuppressive
54 treatment combined with local therapy when compared to endoscopic treatment alone. In particular,
55 both steroid therapy and induction regimens based on CYC or RTX, unlike MTX, were associated
56 with lower relapse rates (78% with CYC and 70% with RTX did not need any dilatation during their
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3 follow-up after first episode of SGS). However, there was no significant difference in time-to-relapse
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5 (TTR) among the different immunosuppressants².
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8 In 2024, the study by Aden et al. showed that maintenance therapy with RTX, when administered
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10 after local laser therapy, was associated with fewer relapses compared to patients treated with other
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12 immunosuppressive agents. However, none of the latter received cyclophosphamide in this study. No
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14 significant differences were observed in TTR¹⁴. In addition, a recent study from Torres et al
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16 highlighted a longer interval between endoscopic airway interventions in patients receiving RTX¹⁶.
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20 Considering the above, the approach currently best supported by scientific evidence for the treatment
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22 of SGS in GPA consists of endoscopic management of the lesion using balloon dilation, intralesional
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24 steroid injection, combined with immunosuppressive treatment. Endoscopic procedures have proven
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26 more effective when performed after some time has passed since diagnosis and initiation of
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28 immunosuppressive therapy³.
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32 Although tracheostomy rates in our cohort may appear high, they align with published frequencies of
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34 up to 40% in GPA-associated SGS, especially in earlier reports, in patients presenting with severe or
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36 fixed stenosis, and in tertiary referral settings that tend to manage more complex airway disease¹⁷.
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39 Our data confirms that SGS often follows a relapsing course, in the absence of systemic
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41 immunosuppression. Interestingly, patients who developed SGS as the initial manifestation of GPA
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43 experienced fewer relapses during follow-up. This finding contrasts with prior hypotheses suggesting
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45 that early SGS presentation might indicate a more aggressive disease course. A potential explanation
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47 is that early onset of SGS may prompt earlier diagnosis and systemic therapeutic intervention, which
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49 could improve disease control and limit recurrence. Additionally, when SGS is the first sign of GPA,
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51 patients may be monitored more closely from the outset, allowing for timely adjustments in treatment
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53 that prevents flares. These findings suggest that early recognition and prompt management of SGS
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55 may positively impact the disease trajectory.
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3 Importantly, systemic glucocorticoids therapy emerged as a protective factor against SGS relapse in
4 the induction phase, supporting their central role in initial management. Steroid pulses did not show
5 any benefit in our study, however, high quality studies addressing the correct initial dose of GC to
6 treat SGS are warranted.
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13 In our cohort, the mean starting dose of glucocorticoids was 33 mg/day. We did not observe
14 significant differences in relapse rates based on the initial steroid dose; however, the study was not
15 designed to determine the optimal glucocorticoid dosage, and available data on this topic were
16 limited. Based on these findings, initiating treatment with a dose of approximately 30 mg/day, as
17 suggested by the previous mentioned study, may represent a reasonable therapeutic approach⁴. From
18 a pathogenetic standpoint, the rapid anti-inflammatory effect of GCs can modify the early progression
19 trajectory during the first few months and result in less airway narrowing in the long term. However,
20 steroid monotherapy is inadequate, as evidenced by a 100% relapse rate in this subgroup, highlighting
21 the necessity for combination regimens. Among immunosuppressants, induction regimens based on
22 CYC, compared to RTX or DMARDs, and maintenance regimen based on DMARDs or RTX,
23 compared with GCs alone, has shown the best effect in maintaining prolonged SGS relapse-free
24 survival. CYC induction showed longest time-free-survival both at 12 months and 5 years. This can
25 have to do with the pathogenesis of the granulomatous infiltration where a cytotoxic agent (CYC)
26 might target the cells involved more efficiently than RTX.
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46 A major strength of our study is the sample size since it is, to our knowledge, the largest cohort of
47 patients with SGS in the context of GPA reported to date. Moreover, patients were enrolled from
48 multiple international centers, increasing the external validity and relevance of our findings.
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53 Nonetheless, this study has several limitations. First, we lacked data on the severity of SGS, limiting
54 our ability to draw definitive conclusions regarding the role of local procedures in more severe cases
55 and the use of DMARDs in milder forms. Second, as an observational study, patients may have
56 received additional or intercurrent treatments during the disease course or for other GPA
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3 manifestations, potentially confounding our findings. By contrast, patients undergoing endoscopic
4 dilatation had more relapses, likely reflecting more severe or symptomatic disease. Another limitation
5 is the limited granularity of data regarding endobronchial stenosis, which was not systematically
6 documented across participating centers and therefore should be interpreted with caution.
7
8 Furthermore, the retrospective design constrained our ability to reconstruct precise DMARDs dosing
9 and treatment strategies. In addition, pulmonary function tests were not consistently available,
10 preventing their inclusion in the relapse definition. Ultimately, as a multicentric study, the results
11 may be influenced by possible regional differences between different disease phenotype and
12 therapeutic approaches among different countries.

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14 About possible future perspectives, a small 2021 study showed potential benefit from sirolimus
15 therapy in SGS. This drug, known for its anti-inflammatory and anti-proliferative effects, acts on the
16 mTOR receptor, offering a rationale for inhibiting fibrosis within subglottic lesions—unlike
17 conventional immunosuppressants¹⁸. We don't have sufficient data to evaluate efficacy of avacopan
18 in our cohort. In the future it may prove useful in the treatment of SGS, due to its glucocorticoid-
19 sparing effect¹⁹.

20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 **CONCLUSIONS**

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42 In conclusion, our findings indicate that all patients with SGS should receive systemic glucocorticoids
43 as part of the initial treatment. However, steroid monotherapy and only local procedures are
44 insufficient as they are associated with early relapse and should be combined with an
45 immunosuppressive agent to reduce the risk of recurrence of SGS. For patients with severe disease
46 requiring induction regimens, cyclophosphamide may be preferred, as it was associated with a longer
47 time-to-relapse. In contrast, for milder forms of GPA or for SGS occurring in the context of a more
48 systemic but less aggressive disease, rituximab may be an appropriate choice.

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51 Further studies with larger cohorts and randomized controlled trials (RCTs) will allow for a better
52 understanding of this rare manifestation of GPA. Moreover, the refinement of diagnostic techniques
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3 capable of quantifying the extent of inflammation and fibrosis may enable the selection of more
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5 effective therapeutic strategies and the personalization of treatment based on individual disease
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7 characteristics.
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10 11 12 **DATA AVAILABILITY STATEMENT**

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14 The data underlying this article will be shared upon reasonable request to the corresponding author,
15 subject to approval by the local ethics committee.
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26 The authors have declared no conflicts of interest.
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Table 1. Clinical and demographic characteristics of the whole cohort and of higher relapsers (Hr) compared to lower relapsers (Lr). Abbreviations: Hr: higher relapsers; Lr: lower relapsers; GPA: granulomatosis with polyangiitis; ANCA: anti-neutrophil cytoplasmic antibodies; PR3: Proteinase 3; MPO: myeloperoxidase; BVAS: Birmingham Vasculitis Activity Score; SGS: subglottic stenosis; CYC: Cyclophosphamide; RTX: Rituximab; DMARDs: Disease-modifying antirheumatic drugs; GCs: Glucocorticoids.

	Total	Hr	Lr	p-value
N (%)	89 (100)	19 (21)	70 (79)	
Sex				
Female (%)	62 (70)	13 (21)	49 (79)	0.79
Male (%)	27 (30)	6 (22)	21 (78)	
Age at GPA diagnosis (years, median - IQR)	34 (23-45)	29 (18-39)	34 (25-46)	0.12
ANCA (%)	60 (67)	12 (20)	48 (80)	0.3
PR3 (%)	44 (49)	9 (20)	29 (80)	
MPO (%)	12 (18)	3 (25)	18 (75)	
BVAS at GPA diagnosis (median - IQR)	10 (6-15)	6 (3-10)	10 (6-13)	0.08
SGS as first GPA manifestation (%)	42 (47)	5 (25)	37 (54)	0.04
Systemic therapy (%)	80 (90)	14 (18)	66 (82)	0.04
Induction regimen				
CYC (%)	36 (40)	5 (14)	31 (86)	0.13
RTX (%)	23 (26)	2 (9)	21 (91)	0.06
DMARDs (%)	27 (30)	7 (26)	20 (74)	0.59
GCs in induction regimens (%)	58 (65)	7 (12)	51 (86)	0.03
Maintenance regimen				
RTX (%)	30 (34)	4 (13)	26 (87)	0.18
DMARDs (%)	55 (62)	10 (18)	45 (82)	0.29
GCs in maintenance regimens (%)	50 (56)	7 (14)	43 (86)	0.86
Local therapy				
Endoscopic dilatation (%)	56 (63)	17 (30)	39 (70)	0.03
Laser therapy (%)	37 (42)	13 (35)	24 (65)	0.02
GCs infiltration (%)	8 (9)	3 (38)	5 (62)	0.7
Tracheostomy	13 (15)	4 (31)	9 (69)	0.5
	14 (16)	2 (10)	12 (17)	0.5

Table 2. Multivariable analysis showing the association of different treatment with higher relapsers (Hr) and lower relapsers (Lr). Abbreviation: CYC: Cyclophosphamide; RTX: Rituximab; DMARDs: Disease-modifying antirheumatic drugs; GCs: Glucocorticoids.

Variable	Hr vs Lr: Induction regimen		Hr vs Lr: maintenance regimen	
	HR (CI)	p-value	HR (CI)	p-value
CYC	0.33 (0.1-0.99)	0.049	-	-
RTX	2.46 (0.42-14.56)	0.3	0.47 (0.08-2.47)	0.37
DMARDs	2.25 (0.72-7.23)	0.16	0.58 (0.13-2.63)	0.48
GCs	0.33 (0.1-1)	0.059	3.12 (0.9-11.2)	0.083
Age	0.98 (0.95-1.02)	0.34	1.97 (0.96-1.02)	0.62
Sex	1.69 (0.55-5.17)	0.36	1.9 (0.67-5.76)	0.2

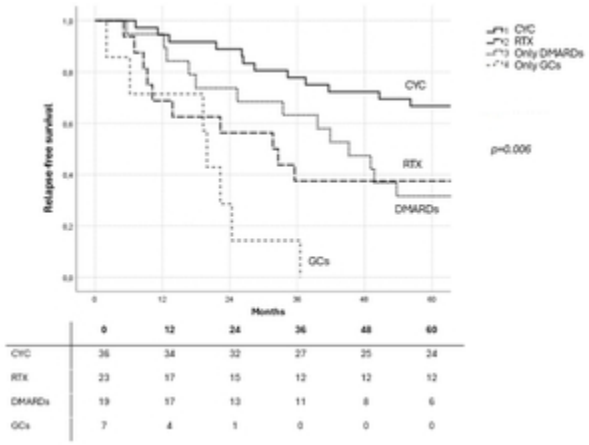
Figure 1. 5-years relapse risk after stratified by induction therapy. Abbreviations: CYC: Cyclophosphamide; RTX: Rituximab (continuous line); DMARDs: Disease-modifying antirheumatic drugs (dashed line); GCs: Glucocorticoids (dotted line).

ALT TEXT: Kaplan–Meier plot illustrating differences in 5-year relapse-free survival among patients receiving different induction therapy strategies, with divergence of curves over time indicating varying relapse risks.

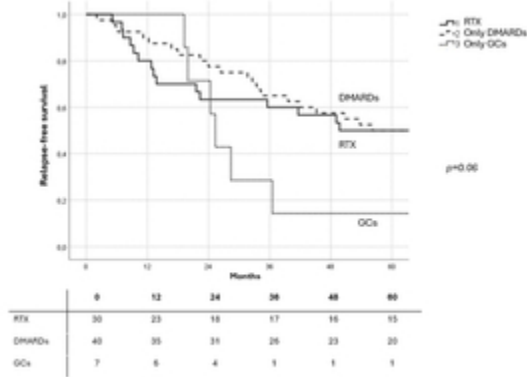
Figure 2. 5-years relapse risk stratified by maintenance therapy. Abbreviations: CYC: Cyclophosphamide; RTX: Rituximab (continuous line); DMARDs: Disease-modifying antirheumatic drugs (dashed line); GCs: Glucocorticoids (dotted line).

ALT TEXT: Kaplan–Meier plot illustrating differences in 5-year relapse-free survival among patients receiving different maintenance therapy strategies, with divergence of curves over time indicating varying relapse risks.

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