# Aroma determination in alcoholic beverages: green MS-based sample preparation approaches

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Keywords: sample preparation; volatile odor-active compounds; alcoholic beverages; green
extraction; mass spectrometry.

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### 28 ABBREVIATION

GAC, green analytical chemistry; CW, carbowax; CAR, carboxen; DI, direct immersion; DI-29 30 SPME, direct immersion solid phase micro extraction; DLLME, dispersive liquid-liquid micro 31 extraction; DVB, divinylbenzene; ESI, electrospray ionization; FEDHS, full evaporation dynamic 32 head space; GC, gas chromatography; HF-LPME, hollow fiber liquid phase micro extraction; HS, 33 head space; HS-SPME, head-space solid phase micro extraction; HPLC, high performance liquid 34 chromatography; LC; Liquid Chromatography; LLE, Liquid-Liquid Extraction; LPME, liquid 35 phase micro extraction; MS, mass spectrometry; OFD, on fiber derivatization (OFD); PA, PFBHA, o-(2,3,4,5,6-pentafluorobenzyl) hydroxylamine; 36 polyacrylate; PDMS, 37 polydimethylsiloxane; SA-SBSE, solvent assisted stir bar sorptive extraction; SBSE, stir bar sorptive extraction; SDME, single drop micro extraction; SPE, solid phase extraction; SPME, 38 solid phase micro extraction; Supercritical Fluid Extraction (SFE); VOA, volatile odor active 39 compounds (VOAs). 40

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- 71 Abstract

Aroma determination in alcoholic beverages has become a hot research topic due to the ongoing effort to obtain quality products, especially in a globalized market. Consumer satisfaction is mainly achieved by balancing several aroma compounds, which are mixtures of numerous volatile molecules enclosed in challenging matrices. Thus, sample preparation strategies for quality control and product development are required. They involve several steps including copious amounts of hazardous solvents or time-consuming procedures. This is bucking the trend of the ever-increasing pressure to reduce the environmental impact of analytical chemistry processes. Hence, the evolution of sample preparation procedures has directed towards miniaturized techniques to decrease or avoid the use of hazardous solvents and integrating sampling, extraction, and enrichment of the targeted analytes in fewer steps. Mass spectrometry coupled to gas or liquid chromatography is particularly well suited to address the complexity of these matrices. This review surveys advancements of green miniaturized techniques coupled to mass spectrometry applied on all categories of odor-active molecules in the most consumed alcoholic beverages: beer, wine, and spirits. The targeted literature consider progresses over the past 20 years.

# 94 I. Introduction

95 Since the year 2000, when the "green chemistry" was introduced (Namiesnik, 2000), one 96 of the main efforts of analytical chemists has been implementing analytical methods to enhance 97 the eco-sustainability of the entire analytical process. One of the most effective ways to obtain 98 greener methodologies is to miniaturize each step of the analytical procedure (Agrawal et al., 99 2021; Armenta et al., 2019). This miniaturization fulfills one of the fundamentals of Green 100 Analytical Chemistry (GAC), and include: (i) reducing the use of hazardous solvents from 101 hundreds of mL to a few  $\mu$ L to generate a tiny amount of toxic waste; (ii) using environmentally 102 friendly solvents (Sanchez-Prado et al., 2015; Vazquez-Roig & Picó, 2015); (iii) reducing the 103 amount of needed sample; (iv) using of miniaturized and automatized sample preparation 104 techniques to strongly decrease the energy consumption with comparable performance. From the 105 sample preparation point of view, the microextraction techniques offer a high-potential strategy. 106 These methodologies ensure adequate quality features, such as pre-concentration, accuracy, and 107 precision, with reduced or null solvent consumption coupled to lower risks for the operator and 108 the environment (Valcarcel, 1980).

109 Thus, green micro-extraction techniques are rapidly evolving in several fields such as 110 bioanalytical, forensic (Borden et al., 2020), and food applications (Agrawal et al., 2021; Hansen 111 & Pedersen-Bjergaard, 2020; He & Concheiro-Guisan, 2019; Soares da Silva Burato et al., 2020; 112 V. Soares Maciel et al., 2018). In agro-food analysis, the determination of flavor and fragrances in food and beverages has become a hot research topic, mainly because of their massive 113 consumption worldwide (Martins et al., 2021; V. Soares Maciel et al., 2018). The accurate 114 115 identification and quantification of the characteristic odor-active compounds in wine, beer, and 116 spirits are crucial for obtaining a well-balanced product appreciated by consumers (Van Opstaele, 117 De Causmaecker, et al., 2012). Because of that, research activities focused on beverage aroma are 118 out of the most dynamic topics in food chemistry (Lyu et al., 2021). Just by browsing the literature 119 produced up to the time this review was written and searching for the name of the beverage (wine, 120 beer, or brandy, rum, spirit, vodka, gin, and distillate for spirits) associated with the word

121 "aroma", the number of outputted documents by the ScienceDirect database is remarkable (Figure







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However, analysis of such complex matrices is still challenging, requiring at least one sample preparation step to balance matrix effects, and overcome false quantitative results. Generally, sample preparation procedures for alcoholic beverages combine several processes such as extraction, preconcentration, fractionation, and isolation of targeted compounds, including 132 copious amounts of organic solvents (Marín-San Román et al., 2020a). Nowadays, microextraction techniques are helpful to eliminate or minimize the amounts of solvents and reagents 133 134 used, matching GAC requirements as well as the needed sample treatments. Moreover, these 135 techniques consider the recovery of the extractant solvents, their reuse (Namiesnik, 2000), and in 136 some instances, the use of less toxic solvents. Non-chlorinated organic compounds, novel solvents 137 such as ionic liquids (ILs)(Hallett & Welton, 2011; Pacheco-Fernández & Pino, 2019; Trujillo-138 Rodríguez et al., 2013), eutectic point solvents ultra-low (DESs) (Cunha & Fernandes, 2018; 139 Smith et al., 2014), supramolecular solvent (amphiphilic solvents) (Ballesteros-Gómez et al., 140 2010; Melnyk et al., 2014), or supercritical fluids (Jose A. Mendiola, Miguel Herrero, Maria Castro-Puyana, 2013) are the new trend in the micro extraction applications. The following 141 142 sections illustrate the current scenario of the mostly used green microextraction techniques to 143 determine specific aroma compounds, the volatile odor active molecules (VOAs) in popular 144 alcoholic beverages.

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### 146 A. Role of VOAs in alcoholic beverages

147 From the chemical point of view, wine, beer, and spirit aromas are complex mixtures of volatile 148 molecules derived from raw materials, transformation steps, ageing phenomena, and, sometimes, 149 undesired side reactions. These compounds are often part of articulated equilibrium involving 150 phase transitions (physical equilibrium), bond cleavage or redox reactions (chemical equilibrium), 151 and many bio catalyzed steps that make them just the tip of an iceberg (Gabrielli et al., 2021; Luo 152 et al., 2020; Polášková et al., 2008; Schieberle, 1995; Vanderhaegen et al., 2006). Among the 153 multitude of intrinsic components of alcoholic beverages, VOAs are the first compounds reaching 154 the consumers, even before drinking (odor); together with the ones received through the retro nasal way (aroma), they compose the flavor (Ibáñez & Cifuentes, 2015; Özay et al., 2019). Odor, 155 156 and especially aroma are also the quality aspects that are most directly related to taste, satisfaction 157 and healthiness (Morrin & Tepper, 2021). Several groups of compounds are related to characteristics scents such as esters for fruity (Niu et al., 2019), terpenes for floral (Yang et al., 158

159 2019), six carbon chain alcohols and aldehydes for herbaceous (Sun et al., 2020), four/six/eight/ten carbon chain linear saturated fatty acids for cheesy (Katarína et al., 2014; Selli 160 161 et al., 2006). Contrarily, strong identity molecules can be directly associated with specific good 162 like 1,1,6-trimethyl-1,2-dihydronaphthalene for kerosene (Dobrydnev et al., 2020), furaneol for 163 strawberries (Ferreira et al., 2003), diacetyl for butter (Anderson et al., 2019) and so on. This 164 composition is characteristic for fermented beverages such as wine, beer, spirits, and all those 165 beverages that are produced using microbiological transformations (Anjos et al., 2021; Garde-166 Cerdán & Ancín-Azpilicueta, 2006; Pissarra et al., 2005). In addition, beverages whose 167 production occurs a barrel refining, are involved in a sorption equilibrium between the liquid 168 phase and the wood, from which many compounds can be extracted or adsorbed (Martínez-Gil et al., 2018). Hence, VOAs are an heterogeneous class of compounds whose concentrations varies 169 170 from a few ng-L<sup>-1</sup> to hundreds of mg-L<sup>-1</sup> who are enclosed in complex and compositionally variable matrices in which interfering macro-components such as polyphenols, ethanol, 171 polysaccharides, and fermentative compounds can modulate their volatility (Andujar-Ortiz et al., 172 173 2009; Castro-Vázquez et al., 2011; Davis & Qian, 2019).

These characteristics highlighted the complexity of VOAs analysis that requires dedicated sample 174 175 preparation procedures to allow an accurate quantitative determination. The most critical step lies 176 in selecting an extraction method capable of isolating the desired analytes without resulting in the 177 formation of artifacts (Thompson-Witrick et al., 2015). Moreover, each alcoholic beverage matrix 178 remarkably varies one from the other, making many methods suitable only for a specific class of 179 products. Therefore, the need for specific methods for each matrix, often associated with laborious 180 pretreatments or further operations is mandatory. In fact, because of the diversity of VOAs, an 181 ideal method that can concentrate and recover all targeted compounds is not available (Zhang et 182 al., 2020). Accordingly, this review article covers the widely used microextraction techniques in 183 the determination of VOAs in alcoholic beverages, with particular emphasis on the greenest 184 methodologies.

### 186 B. Sample preparation for determining VOAs

187 Classical sample preparation techniques for the determination of VOAs in wine, beer, and spirits 188 include Static (S-HS) or Dynamic Head-Space extraction (D-HS) (Rosillo et al., 1999), Liquid-189 Liquid Extraction (LLE) (Costa Freitas et al., 2012; Mamede & Pastore, 2006; Mayr et al., 2014), 190 and Solid-Phase Extraction (SPE) (Cabrita et al., 2007; Remedios Castro et al., 2008; López et 191 al., 2002). Extraction techniques based on S-HS and D-HS are efficient for analyzing compounds 192 with very high vapor pressure values. One of the most significant advantages of S-HS is the 193 absence of required sample pretreatment, but it is characterized by a minimal sample capacity, 194 leading to poor sensitivity. D-HS technique allows the concentration of the head space volatile 195 compounds in a cold trap (or sorbent) under the action of a gas flow. Then, the trap is rapidly 196 heated, allowing the transfer of trapped compounds into the chromatographic system, generally by quickly heating the trap. However, these two techniques are being replaced by modern 197 198 headspace sampling techniques with a greater enrichment factor.

199 Strategies based on LLE are effective, allowing the determination of a broad range of compounds 200 with very different polarities (Andujar-Ortiz et al., 2009; R. Castro et al., 2004). However, they 201 are quite tedious, time-consuming and with a significative consumption of hazardous solvents 202 (Silvestre et al., 2009); these drawbacks resulted in changing this technique in favor of others. 203 SPE widely replaced LLE methods, mainly because it allows a significative improvement in 204 enrichment and selectivity reducing in the meanwhile the use of solvents. The optimization of 205 several parameters such as selected sorbents, the solvent used for conditioning, sample flow rate, 206 and the eluting solvent is crucial to obtain a reliable extraction leading to more complicated 207 procedures instead of LLE (Fornells et al., 2019; Ochiai et al., 2008). In addition, these techniques 208 involve the use of a large amount of sample, which means that these methods are neither 209 environmentally sustainable nor cheaper (Marín-San Román et al., 2020a).

210 Microextraction techniques with reduced or no solvent consumption are well-established green 211 alternatives to exhaustive solvent-based or sorbent-based methods (Spietelun et al., 2013). They 212 can integrate several activities such as sampling, extraction, and enrichment of the targeted

213 analytes in fewer steps than the traditional ones. Like conventional techniques, these green 214 alternatives are classified as solvent-free, such as Solid Phase Micro-Extraction (SPME), Stir Bar 215 Sorptive Extraction (SBSE) that are based on sorbents as SPE derivatives, and the solvent-based 216 techniques where a solvent is used as extraction media as the LLE principle (Soares da Silva 217 Burato et al., 2020). Because of the null consumption of organic solvent and the applicability of 218 several compounds, the determination of VOAs in alcoholic beverages is largely performed by 219 solvent-free techniques. Less volatile compounds can be extracted with Liquid Phase Micro 220 Extraction (LPME) techniques, that describes the LLE with a downscaled solvent volume (microliters). The theory of "like dissolves like" is on the basis on the success of these micro 221 222 extraction procedures, that can be optimized varying solvent system composition, and/or pH value. These modifications can be performed accordingly to the distribution coefficient of the 223 224 analyte/matrix allowing best and fastest extraction. LPME is usually divided into three main 225 groups: (a) Dispersive Liquid-Liquid Micro-Extraction (DLLME), (b) Hollow-Fiber LPME (HF-226 LPME), (c) Single Drop-Micro-Extraction (SDME) (Pena-Pereira et al., 2009; Soares da Silva 227 Burato et al., 2020). However, this review limits the discussion on the DLLME applied in MS-228 based methods, coupled to mass spectrometry (MS) which is which is -the extraction technique 229 currently available in the literature comprising the specific determination of VOAs in alcoholic 230 beverages.

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## 232 C. Separation and detection techniques for VOAs determination

The achievement of the GAC goals is made possible as a result of the evolution of extraction techniques described in this review as well as the technological progress of the analytical instrumentation. The increased sensitivity provided by the last developed mass spectrometers is the key to reliably detect and quantitate trace analytes using reduced amount of sample. Nonbonded VOAs compounds are molecules whose physical characteristics perfectly fit for gas chromatography (GC). In GC the separation takes place in gas form so the best MS source for the analysis of vapor-phase molecules is Electron Ionization (EI). This hard ionization is a physical

240 process that involves a significative amount of energy (mostly 70 eV) generating a widespread 241 fragmentation that provides many structural information (Famiglini et al., 2021). In addition, EI 242 has no polarity limitation so it can be used for every class of volatile compound and, since it's not 243 based on a chemical reaction, matrix effects due to ionization interferences are limited (Famiglini 244 et al., 2018). Currently, most EI mass spectrometers are coupled to low resolution analyzers such 245 as quadrupole (Q), -or-triple quadrupoles (QqQ), and ion traps (IT). + however, ion traps (IT)-High 246 resolution mass spectrometer such as and especially time-of-flight (TOF) analyzers are used in 247 particular for untargeted applications where the knowledge of the exact mass is a precious 248 information (Eichhorn et al., 2012; Saito-Shida et al., 2018). Finally, GC-EI-MS perfectly fits the 249 HS-SPME working-flow.

Simplifying the preparation step, either minimizing volumes or replacing solvents with safer ones, it is often paid by a reduction of the sample purity; this lack can be balanced using high performance chromatography and robust MS detectors such as EI based ones (Armenta et al., 2020). Based on what was stated above, EI is a powerful tool for compound discovery, especially when coupled to bi-dimensional chromatography like GCxGC, and a reliable source for quantitative experiments in complex matrices (Herrero et al., 2009).

256 Unfortunately, EI-MS has some limitation: it requires high vacuum conditions into the source so 257 it is mostly hyphenated with GC, and it is based on a a low efficiency ionization process with 258 negative repercussions in sensitivity. Sources with increased efficiency are Atmospheric Pressure 259 Ionization (API), mostly Electrospray (ESI); in this ionization molecules are transformed into 260 ions through a chemical acid/base reaction or the formation of adducts (Bruins & Niessen, 2019). 261 The energy involved is minimal so the fragmentation provides poor structural information but, on 262 the other hand, the molecule-to-ion efficiency is considerably higher, with a significative gain in 263 sensitivity. In the ESI, pH and elution conditions are crucial for the ionization so this source is generally only coupled to Liquid Chromatography (LC) for the determination of more polar 264 compounds. The lack of in-source fragmentation is compensated by the use of collision cell in 265 266 tandem MS, where its use allows the access to structural libraries like EIThe lack of in source

267 fragmentation is offset by the use of collision cell in tandem MS which use allows the access to 268 structural libraries like. Finally, LC-MS is the only technique for the analysis of bonded-VOAs. 269 Summarizing, most methods described in the next pages are based on GC-EI-MS as a 270 consequence to its suitability to the analysis of volatile compounds like VOAs (Pena-Pereira et 271 al., 2009; Savchuk et al., 2020); many GC systems, injectors, analyzers, and accessories are fitted 272 for tailoring its characteristics to the sample preparation method of choice. However, LC-ESI-MS 273 has a significative spread in many applications, especially when coupled to solvent-based 274 extraction techniques and derivatization processes. In the following sections the main extraction 275 techniques used in the analysis of VOAs in alcoholic beverages will be illustrated. Their main 276 features will be shown, as well as their advantages and disadvantages (Campillo et al., 2018). 277

# 278 II. Solvent-free techniques for the analysis of VOAs

The best way to minimize the environmental impact of solvents is to avoid their use. Solvent-free techniques have strongly spread their use in many analytical routes because represent an efficient answer to the green issue (Sciarrone et al., 2015). In these techniques the molecules of interest are sampled directly in the gas phase (D-HS, S-HS) or the extraction takes place using heterogeneous phase like in the SBSE and SPME.

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### 285 A. Head-space based techniques

HS techniques perfectly couple with aroma analysis since all VOAs molecules are available in the gaseous phase in equilibrium with the matrix (Soria et al., 2015). The direct sampling and injection of vapors produced by beverages is a good compromise to achieve a green method without the requirement of further instrumentation. However, as a consequence of the variations which affects the concentration of VOAs (from  $ng^{\pm}L^{-1}$  to  $mg^{\pm}L^{-1}$ ), and the strong interaction between water matrix and functional groups, direct approaches are unsuitable for most analytes.

- As a result of this evidence, to provide a strong and reliable enrichment, quantitative analyses are
- used to be performed by head-space sampling using SPME (HS-SPME).

**294** 1. Head Space Solid Phase Micro Extraction (HS-SPME)

This extraction and pre-concentration technique was developed by Pawliszyn in 1989 (Arthur & Pawliszyn, 1990). It is one of the most popular microextraction techniques. Its simplicity, ease of automation, robustness, and the great sensitivity it achieves in most applications, make it a powerful tool in analytical chemistry. The main configuration involves a sorbent phase coating a metal tube support (Figure 2).



300

**301 FIGURE 2.** Schematic of SPME holder and fiber.

The fiber is usually 1 cm in length, and the sorbent (or stationary) phase thickness ranges between
7 and 100 μm. Several SPME devices are commercially available (*Restek*,.; *Supelco*,) which
differentiate by coating thickness, material and composition. The SPME presents two operation
modes depending on the nature of analytes and matrix; these are Head-Space SPME (HS-SPME)
and Direct Immersion SPME (DI-SPME) that are illustrated in Figure 3(a) and 3(b), respectively.



FIGURE 3. Extraction modes in solid phase micro extraction (SPME). (A) Head-Space (HS) SPME. (B)
 Direct immersion (DI) SPME.

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The first attempt of SPME analysis was presented in 1996 as a promising application for this sample preparation strategy. Lay-Keow et. al. extracted several commercial vodkas with DI-SPME using a 100 µm PDMS fiber coupled to GC-MS for the quantitation of as many analytes as possible (comprising odor-active fatty acids, esters, furans, and others) (Ng et al., 1996). Thanks to the amenable content of macromolecules, particles, and solids, it was possible to directly soak the fiber into the sample.

317 HS-SPME is mostly used for determining volatile and semi-volatile compounds; in this 318 configuration, the fiber is exposed into the head-space between the sample and the cap of the vial allowing gas-phase analytes to migrate from the sample to the sorbent. Once the compounds are 319 320 retained by adsorption/absorption mechanisms, they are desorbed for the instrumental analysis. 321 In solvent-free applications desorption is thermally performed directly into the GC injector with high efficiency and avoiding the use of solvents; SPME fibers can be also subjected to elution 322 with a small amount of organic solvent (<500 µL) (Pł otka-Wasylka et al., 2015; SAS Wercinski, 323 324 1999) to make it suitable for LC methods.

Performing HS-SPME analysis in alcoholic beverages presents several limitations due to the
matrix composition and different concentration of the odor-active molecules (López et al., 2002).

327 Beverage vapor, especially for alcoholic ones, is mostly made of ethanol, water, and many other 328 compounds like ethyl esters, phenols, higher alcohols, fatty acids, which are present at more than 329 0.1 mg/L<sup> $\cdot$ 1</sup> (Ferreira et al., 2015). The matrix molecules and analytes are in a competition for 330 interacting with the fiber affecting extraction efficiency, especially for trace compounds (Mestres 331 et al., 1999). This behavior is more evident for DI-SPME because the fiber is also in contact with 332 non-volatile analytes (Frago Ramos, 2016); this disadvantage balances the higher efficient in the 333 extraction of semi-volatile compounds that sometimes contribute to the beverage aroma. In this 334 sense, HS-SPME gives better efficiency and quantitate the real amount present in the beverage. 335 HS-SPME provides a significantly longer fiber life, increased performance stability, better 336 representativeness of beverage odor, and longer instrumental maintenance intervals. In complex 337 matrices like fermented beverages, HS-SPME showed also a better extraction efficiency for 338 aroma compounds so it is preferred in most quantitative methods (Demyttenaere et al., 2003). 339 Several parameters determine HS-SPME performance such as stirring, extraction time, temperature, coating thickness and phase-type. Since odor-active molecules have high volatility 340 341 and most of them have a low molecular mass and reduced polarity, the extraction time and 342 temperature are reduced if compared to methods developed for other analytes (Hiroyuki Kataoka, 343 Heather L. Lord, 2000). Polydimethylsiloxane (PDMS) is a non-polar phase that has a high 344 affinity for a-polar compounds and moderate for polar ones, if the extraction properties are 345 optimized. Enhanced performance towards alcohols, esters and carbonyls can be achieved by 346 using a more polar phase like polyacrylate (PA) with a consequent decrease of non-polar recovery 347 (Remedios Castro et al., 2008). Mixed coatings, which have intermediate and complementary properties according to their polarity and retention capacity, are more suitable for aroma 348 349 compound quantitation (Marín-San Román et al., 2020b). In addition, some of these phases are 350 more polar than PA, and better extract compounds with greater polarity such as esters, carbonyls 351 and alcohols.

Perestrelo et. al. developed an HS-SPME method for the determination of volatile compounds in
grapes. Using this procedure, it was possible to determine a broad class of compounds like 27
monoterpenes, 27 sesquiterpenes, 21 carbonyl compounds, 17 alcohols (of which 2 aromatics),

355 10 C13-norisoprenoids, and 5 acids. In this article, 6 different fiber coatings were evaluated (polydimethylsiloxane (PDMS, 100µm), polydimethylsiloxane/divinylbenzene (PDMS/DVB, 65 356 357 divinylbenzene/carboxen/polydimethylsiloxane (DVB/CAR/PDMS, um). 50/30 um). carboxen/polydimethylsiloxane (CAR/PDMS, 75 µm), polyacrylate (PA, 85 µm), and 358 359 carbowax/divinylbenzene (CW/DVB, 70 µm) demonstrating that DVB/CAR/PDMS was the best 360 compromise to achieve suitable performance for all class of analytes(Perestrelo et al., 2011). Four 361 mL of sample were extracted in HS-SPME for 40 minutes at 40 °C under stirring (1100 rpm) 362 using sodium chloride (1.4 g) to enhance ionic strength. This procedure, which demonstrated to 363 be very versatile and efficient since it was able to quantitate 107 compounds coming from 6 364 different classes in just one run, was then extended to wine by Lukic et. al. for the evaluation of 365 the effects of six maceration treatments on volatile aroma profile of Teran red wine, and the study 366 of late and ice-harvest on Gewürztraminer odor composition (Lukić et al., 2016, 2017).

367 An in-depth study of SPME extraction for the determination of several odor-active molecules in 368 wine was presented by Metafa et. al. (Metafa & Economou, 2013). The authors explored 5 369 different fiber coatings (PDMS, PDMS/DVB, CAR/PDMS, and DVB/CAR/PDMS) both in DI 370 and HS operating mode. In this case, since further pre-treatments were scheduled, DI-SPME was selected using a PDMS/DVB fiber to enhance the enrichment of analytes, necessary for the 371 372 detection with a single-quadrupole GC-MS. Twenty analytes including terpenes, terpenoids, and 373 norisporenoids were determined using 10 mL of sample extracted for 10 minutes at room 374 temperature at 1000 rpm of magnetic stirring.

SPME based sampling procedures were used also in beer for a similar purpose as wine. Cajka et. al. developed an HS-SPME method coupled to GC–TOF-MS for the acquisition of aroma profile in 265 beer samples (Cajka et al., 2010). Several SPME fibers were tested (100 µm PDMS, 65 µm PDMS/DVB, 65 µm CW/DVB, 50/30 µm DVB/CAR/PDMS, and 85 µm PA) and other parameters such as extraction time, extraction temperature, salt addition were optimized. Four mL of beer were extracted with 1.7 g of NaCl at 30 °C for 5 minutes, after 10 minutes of incubation at 60°C using a 50/30 µm DVB/CAR/PDMS fiber. 382 Based on a similar procedure, Dennenlöhr et. al. developed and validated an HS-SPME-GC-MS/MS methodology for quantification of selected hop aroma compounds in beer (Dennenlöhr, 383 384 Thörner, Manowski, et al., 2020). Hop aroma is the odor contribution of a particular mixture of 385 terpenes, terpenoids and esters, compounds that represent a key quality characteristic of many 386 popular beer styles such as "lager" and "IPA" (Anderson et al., 2019). The authors presented a 387 method that aimed to cover a wide concentration range (1-1000 mg/L) for 19 key odor-active 388 molecules and is applicable to the most significant beer styles, from light lagers to highly dry-389 hopped beers. The method needed 1 mL of decarbonized beer sample, 0.4 g NaCl, and 7.5 minutes 390 of extraction time at 60 °C with a 50/30 µm DVB/CAR/PDMS fiber.

391 Another interesting procedure was presented by Riu-Aumatell et. al. focused on the determination 392 of key-odorant in low alcoholic beers (Riu-Aumatell et al., 2014). In this method, 5 mL of sample were extracted at 45 °C for 40 minutes with a 50/30 µm DVB/CAR/PDMS fiber after the addition 393 of 1.75 g of NaCl. Fifty-nine analytes between fermentative compounds like esters, fatty acids, 394 395 and alcohols, varietal molecules such as terpenes and terpenoids, and many others related to the 396 ageing process (carbonyls and furans) were quantified. Thanks to the lower extraction temperature 397 and to a longer extraction time it was possible to efficiently extract 14 oxygen-containing compounds coming from different categories (carbonyls, carboxyl acids, esters, and furans) 398 399 without derivatization, as usually did (Buiatti, 2008).

Yu Ping Zhao et. al. characterized the six most well-known distilled spirits using HS-SPME and
GC-MS (Y. P. Zhao et al., n.d.). Fourteen carbonyls, 2 lactones, 59 esters, 5 acetals, 26 between
terpenes and norisoprenoids, 22 alcohols, 6 furans, 2 carboxy acids, and 19 additional compounds,
for a sum of 155 analytes were quantitated or semi-quantitated in SIM mode. The extraction was
performed for 15 minutes at 50 °C consuming 5 mL of diluted sample (deionized water was added
to each liquor until 10% v/v ethanol), saturated with 1.5 g of NaCl and extracted using a 50/30
µm DVB/CAR/PDMS fiber.

407 A robust HS-SPME method for the determination of nitrogen-heterocyclic volatile aroma 408 compounds (i.e. pyrazines, quinones, and pirroles) in spirits was validated and presented by Picard 409 et. al.; this class of odor-active molecules are known to provide a complex aromatic bouquet 410 related to ageing in oak barrels that remove the immature character of raw distillate (Picard et al., 411 2019). All parameters were explored and optimized obtaining the following procedure: 10 mL of 412 1:10 diluted spirit sample which pH was adjusted to 7, the addition of NaCl 3 g, 30 minutes of 413 extraction at 60 °C with an 85 µm CAR/PDMS coated fiber. The method demonstrated adequate linearity since it provided  $R^2$ >0.99 in whisky through 10 calibration levels (0.5–1000 µg/L). 414 Intraday precision (RSD <10%) was evaluated acquiring 10 replicates of the same spirit spiked 415 416 at 50  $\mu$ g/L whereas the interday precision (RSD <20%) was assessed by analyzing 12 replicates 417 at 50  $\mu$ g/L in 1 month. Accuracy was calculated as a recovery percentage in spiked samples at 3 418 levels and ranged from 78.4% to 121.6%.

Niu et. al. extended the HS-SPME aroma determination to cocktails whose composition was based on vodka (Niu et al., 2019). Eight mL cocktail with the addition of 2 g NaCl were extracted at  $50^{\circ}$ C for 45 minutes using a triphasic 50/30 µm DVB/CAR/PDMS fiber; 36 between esters, terpens, terpenoids, norisprenoids, and alcohols were quantitated. The method showed good linearity (R<sup>2</sup> >0.99) for a broad concentration range (approximately from few µg/L<sup>-1</sup> to mg/L<sup>-1</sup>).

424 Cognac is one of the spirits that better represents French tradition all over the world; its sensorial 425 impact is a fingerprint that strongly depends on the contribution of some age-related molecules 426 such as 3-methyl-2,4-nonanedione (3-MND). It is a well-known compound reminiscent of anise 427 or "dried fruit", according to its concentration, and gives a significative contribution to the characteristic aroma of distilled wines where oxygen plays a key role, both in the production and 428 429 in the ageing process such as grappa, brandy, rum, vodka, and many others. (Luo et al., 2020; N. 430 Moreira et al., 2018; Plutowska & Wardencki, 2008; Vanderhaegen et al., 2006) Thibaud et. al. 431 developed a method based on HS-SPME that provided adequate performance for its quantitation 432 since the average concentration in the literature ranges from trace to 11.2  $\mu$ g/L<sup>-1</sup> (Melnyk et al., 433 2015; Thibaud et al., 2021). Ten mL of diluted sample (0.250 mL spirit + 9.750 deionized water) 434 were added to 5 g of ammonium sulphate and extracted at 50 °C for 25 minutes using a 65 µm 435 PDMS/DVB fiber. Quantitation was performed with GC-MS in chemical ionization mode using 436 methanol as a reagent gas.

MND is a diketone that belongs to the volatile carbonyl compounds family (VCCs). These 437 molecules, depending on their concentration, are related to pleasant nuances since the 438 439 winemaking of some oxidized wines like Vin Santo (Tofalo et al., 2009), Port (Prata-Sena et al., 440 2018), Sherry (R. Castro et al., 2004), and Madeira (Pereira et al., 2011) or many distilled wine 441 spirits (Melnyk et al., 2015)) are tailored to emphasize their production (Manzocco et al., 2000). 442 However, in most cases, the presence of VCCs is a marker of long-standing undesired oxidation 443 related to aroma defects (Alañón et al., 2015; Gabrielli et al., 2021; Li et al., 2008). Carbonyls 444 quantification is sometimes used as a tool for the evaluation of complete fermentation and storage 445 monitoring (Tian et al., 2009). However, due to the significative polarity of carbonyls and the 446 establishing of strong hydrogen bonds with water and ethanol, VCCs (especially with higher 447 molecular weight) are often derivatized before the extraction via HS-SPME (Marín-San Román 448 et al., 2020a).

449 Many HS-SPME methods have been purposed with O-(2,3,4,5,6-pentafluorobenzyl) hydroxylamine (PFBHA) on-fiber derivatization (Schmarr et al., 2008) and in solution 450 451 derivatization (Bueno et al., 2014; Nathalie Moreira et al., 2019), both with satisfactory results 452 but different simplicity of execution. On-Fiber Derivatization (OFD) strategy was used for the 453 determination of staling aldehydes in wort and beer samples (Dennenlöhr, Thörner, Maxminer, et 454 al., 2020). PFBHA was used as a derivatizing agent and GC-EI-MS/MS was the instrumentation 455 of choice due to its improved sensitivity and reduced matrix effects resulting from overlapping 456 PFBHA-oximes (PFBOs). Fifteen selected aldehydes were determined in wort and beer across a 457 wide concentration range (0.01-1000  $\mu$ g/L<sup>-1</sup>). The presented method was extensively validated through linearity assessment ( $R^2 > 0.99$ ), LOD/LOQ, precision (RSD < 9.2%), and recovery (80-458 459 118%). Extraction needed 3 mL of decarbonized beer, 1 g NaCl, and 10 minutes at 50 °C of fiber 460 exposure previously loaded with the PFBHA. A similar method was purposed by Schmarr et. al. for the determination of many VCCs, such as alkanals, (E)-2-alkenals, (E,E)-2.4-alkadienals, and 461 others including S-containing ketones (Schmarr et al., 2008). In this case, the extraction needed 462 463 20 minutes at 40 °C using 10 mL of sample and no further preparation step.

464 On Solution Derivatization (OSD) was implemented into a new analytical method for the determination of 18 carbonyl compounds in wines based on HS/SPME and GC-IT-MS (Pérez 465 466 Olivero & Pérez Trujillo, 2010). After exploring five fiber coatings, time and extraction 467 temperature, desorption time and temperature, pH, and ionic strength, content in tannins and 468 anthocyans, sucrose, SO<sub>2</sub>, and alcoholic degree, the best extracting conditions were: 2 mL of wine saturated with NaCl extracted with a 50/30 µm DVB/CAR/PDMS fiber for 45 minutes at 40 °C. 469 470 The method was validated over a wide range of concentrations showing good linearity ( $R^{2>}$ 471 0.998), remarkable repeatability and reproducibility (RSD <5.5%), and LOD ranging from 0.62  $\mu g/L^{-1}$  to 129.2  $\mu g/L^{-1}$ . 472

473 Moreira et. al. optimized an HS-SPME method coupled to GC-MS/MS with a prior in-solution 474 derivatization step with PFBHA to quantify 38 VCCs in different categories of Port wines 475 (Nathalie Moreira et al., 2019). Due to its winemaking and ageing process, Port is rich in 476 carbonyls so many issues such as carryover and fiber saturation must be considered. Optimal 477 extraction conditions were achieved with 2 mL of wine extracted using a 65 µm PDMS/DVB 478 fiber under stirring for 20 minutes at 32 °C. The method was also validated in terms of linearity, 479 repeatability, inter and intra-day precision and accuracy.

Similar methods have also been used to perform carbonyl quantitation in other beverages like 480 481 beer; Moreira et. al. presented a fully automated HS-ISD-SPME method using PFBHA as the 482 derivatizing agent like in most procedures for the determination of 45 different VCCs (Nathalie Moreira et al., 2013a). Sixty-five µm PDMS/DVB fiber was used to extract 5 mL of beer at 45°C 483 484 for 20 minutes without salt addition. The proposed method showed to be linear, precise, accurate and sensitive. LODs ranged from 0.003 to 0.510 µg/L<sup>-1</sup>, except for furans which were higher 485  $(1.54-3.44 \ \mu g/L^{-1})$  whereas LOQs varied from 0.010 to 1.55  $\mu g/L^{-1}$ , except for furans (4.68 - 10.4)486 487  $\mu$ g/L<sup>-1</sup>). Good repeatability was achieved (RSD <17%) for all analytes. Accuracy was measured by evaluating recovery in spiked samples which ranged from 88% to 114%. 488

HS-SPME with GC-MS was used for the determination of acrolein in alcoholic beverages. M.
Kächele et.al. developed a method using a fiber of 85 µm CAR/PDMS coating (Kächele et al.,
2014). Samples were prepared by weighing and mixing in an HS vial 2 g of NaCl, 5 mL of distilled

water and 0.125 g of beverage. The extraction was performed at 50 °C for 10 minutes. Since 492 493 acrolein is both an odor-active compound and a cytotoxic hazard for human health, its 494 determination is a very important task from more than one point of view. A very similar method 495 was developed for the quantification of carbonyl and furan derivates whose exposure could be a 496 risk for human health (Hernandes et al., 2019). A 50/30 µm DVB/CAR/PDMS fiber was used for 497 the extraction of 1 mL of sample with 30% NaCl (m/v) at 55°C for 1 hour. The fiber was 498 overcoated with PDMS to allow a simultaneous quantification of brewing compounds. 499 Performances were significant, especially for a SIM-mode quantification system (LOD ranging from 0.03  $\mu$ g/L<sup>-1</sup> for acrolein, to 1  $\mu$ g/L<sup>-1</sup> for furfural). 500

501

### 502 2. Poly Ionic Liquids (PILs) in HS-SPME

503 Ionic liquids (ILs) are a particular class of non-molecular solvents also known as liquid, organic, 504 molten, or fused salts, which couple negligible vapor pressure and low melting point (usually 505 lower than 100°C) (F. Zhao et al., 2008). Most ILs are composed of nitrogen-based cations 506 (pyridine, pyrrolidine, imidazole and others) and a widespread variety of anions, spanning from 507 halides to more complex organic conjugated bases (Hallett & Welton, 2011). The main features 508 of ILs are their adjustable viscosity, significative thermal stability and the possibility to design 509 their formulation to enhance or reduce water miscibility (Mehrdad et al., 2019). Poly Ionic Liquids 510 (PILs) are organic polymers mainly obtained by the polymerization of unsaturated ionic liquid 511 monomers. The main advantage provided is the mechanical stability of polymers coupled to the 512 features of ILs that make PILs a promising coating for SPME fibers (Singha et al., 2018).

513 González-Álvarez et. al. used an imidazolium based PILs as a coating for SPME fiber used to 514 analyze beer aroma (González-Álvarez et al., 2013). Two different fiber materials were 515 synthetized by a free radical polymerization and assessed to provide high thermal and structural 516 stability. The IL-1 butenyl fiber was compared to the conventional PDMS-DVB 65 μm and CAR-517 PDMS 75 μm fibers, showing a significant performance boost. Efficiency was evaluated in the analysis of lemon beer aroma by spiking real samples at 3 levels (100, 200, and 300  $\mu$ g/L) obtaining satisfactory recoveries (78.4 – 123.6%) for all 8 compounds.

520 Crucello et. al. evaluated some PILs coatings for the aroma characterization of novel Brazilian 521 wines (Crucello et al., 2018). The best PILs-coated fiber was evaluated to be made a cross-linked 522 imidazolium-based polymer which exhibited superior performance compared to 523 DVB/CAR/PDMS. No quantitative data was provided but the comprehensive wine aroma profile 524 obtained (up to 372 compounds identified) allowed a powerful evaluation of VOAs compounds available in the samples and could be a powerful tool for comparisons between different 525 526 winemaking styles or vintages.

# **527** 3. Full Evaporation Dynamic Headspace (FEDHS)

Full Evaporation Dynamic Head Space (FEDHS) is a solvent-free technique that can be coupled
to GC–MS for the determination of volatile compounds at sub-ng\*mL<sup>-1</sup> level. In FEDHS a
reduced amount of sample is fully vaporized without any liquid-to-gas equilibrium that
maximizes sensitivity for semi-volatile compounds and ultra-trace ones (Figure 4).



532

533 FIGURE 4. Schematic of fully evaporation dynamic head space FEDHS extraction system.

534 Compared to conventional D-HS and HS-SPME, FEDHS provides more uniform enrichment over

the entire polarity range for odor compounds in aqueous samples. Ochiai et. al. developed a

536 method for the analyses of key odor compounds (including hydrophilic and less volatile) 537 characteristics in whiskey (Ochiai et al., 2012). FEDHS was performed at 80°C using 3 L of purge 538 gas to allow the complete vaporization of 100 µL of whiskey. The developed method showed high 539 recoveries (85–103%) of the 18 odor-active compounds, separating them from most of the low volatile matrix. Good linearity ( $R^2 > 0.9909$ ) and high sensitivity (limit of detection: 0.21–5.2 540 541 ng\*mL<sup>-1</sup>) were achieved. Phenolic compounds including vanillin were determined in the range of 0.92–5.1  $\mu$ g\*mL<sup>-1</sup> (RSD < 7.4%) in 6 single malt whiskey samples. Eight compounds including 542 543 12 potent odorants (e.g. coumarin, furaneol, indole, maltol, and pyrazine congeners) were determined in the range of  $0.21-110 \text{ ng}^{\text{*}} \text{mL}^{-1}$  (RSD < 10%). 544

All relevant applications based on solvent-free analysis of aroma-active compounds in alcoholicbeverages are reported in Table 1.

547 TABLE 1. List of solvent-free methods for the analysis of odor active compounds in alcoholic beverages548 and related highlights.

| Ext. technique       | Matrix  | Ext. volume | Instrumentation       | Pro & Cons   | Article  |
|----------------------|---------|-------------|-----------------------|--|--|
| HS-SPME              | Wine    | 4 mL        | GC- <u>EI-</u> MS     | <ul> <li>+ Wide range of analytes,<br/>high-optimization</li> <li>- Ion extraction<br/>chromatogram used for<br/>quantification</li> </ul> | (Lukić et al.,<br>2016, 2017;<br>Perestrelo et<br>al., 2011) |
| HS-SPME +<br>DI-SPME | Wine    | 10 mL       | GC- <u>EI-</u> MS     | + Strong validation, good<br>performance<br>- Only varietal<br>compounds   | (Metafa &<br>Economou,<br>2013)                              |
| HS-SPME              | Beer    | 4 mL        | GC- <u>E1-</u> TOF-MS | <ul> <li>+ Fast, many analytes,<br/>reduced RSD, efficient</li> <li>- Only high concentrated<br/>analytes</li> </ul>                       | (Cajka et al.,<br>2010)                                      |
| HS-SPME              | Beer    | 1 mL        | GC- <u>E1-</u> MS/MS  | + Accurate quantitation<br>(3 LS.+MRM), reduced<br>sample volume<br>- High LOQ, non-<br>commercial LS.                                     | (Dennenlöhr,<br>Thörner,<br>Manowski, et<br>al., 2020)       |
| HS-SPME              | Beer    | 5 mL        | GC- <u>E1-</u> MS     | <ul> <li>+ 59 analytes, polar compounds without derivatization</li> <li>- Long extraction time</li> </ul>                                  | (Riu-Aumatell<br>et al., 2014)                               |
| HS-SPME              | Spirits | 5 mL        | GC- <u>EI-</u> MS     | <ul> <li>+ 155 analytes from all categories</li> <li>- Poor validation data provided</li> </ul>  | (Y. P. Zhao et al., n.d.)                                    |
| HS-SPME              | Spirits | 1 mL        | GC- <u>EI-</u> MS     | + Robust quantitation of<br>N-heterocycles, LOQ,<br>sample volume  | (Picard et al., 2019)  |

|   |                 |                   |          |                            | - pH adjustment, only 1                      |                          |
|---|-----------------|-------------------|----------|----------------------------|--|--------------------------|
| 1 |                 |                   |          |                            | class of analytes                            |                          |
| ļ | HS-SPME         | Vodka<br>cocktail | 8 mL     | GC- <u>EI-</u> MS          | + Broad calibration<br>range, complex matrix | (Niu et al.,<br>2019)    |
|   |                 |                   |          |                            | - Large sample volume,                       |                          |
|   |                 |                   |          |                            | only fermentative<br>analytes                |                          |
|   | HS-SPME         | Cognac            | 0.25 mL  | GC-CI-MS                   | + Reduced sample                             | (Thibaud et al.,         |
|   |                 |                   |          |                            | volume, performance                          | 2021)                    |
| 1 |                 | <b>D</b>          | 21       |                            | - Only MND, require CI                       | (D 1"1                   |
| I | HS-OFD-<br>SPME | Beer              | 3 mL     | GC- <u>E1-</u> MIS/MIS     | + Reduced LOD,<br>extensive validation       | (Dennenlonr,<br>Thörner, |
|   |                 |                   |          |                            | - Complexity, only some                      | al., 2020)               |
| 1 |                 | ***               | 10 1     |                            | aldehydes                                    | (0.1 + 1                 |
| I | HS-OFD-<br>SPME | wine              | 10 mL    | GC- <u>E1-</u> 11-MS       | + Broad range of carbonyls, no salt          | (Schmarr et al., 2008)   |
|   |                 |                   |          |                            | addition                                     | ,                        |
|   |                 |                   |          |                            | - Large sample volume,                       |                          |
|   |                 |                   |          |                            | no real application<br>presented             |                          |
|   | HS-ISD-         | Wine              | 2 mL     | GC- <mark>EI-</mark> IT-MS | + Performance, robust                        | (Pérez Olivero           |
|   | SPME            |                   |          |                            | validation, automatable                      | & Pérez                  |
|   |                 |                   |          |                            | - Limited range of                           | 11ujilio, 2010)          |
| I | HS ISD          | Wino              | 2 mI     | CC FI MS/MS                | carbonyls<br>+ Wido range of VCCs            | (Nathalia                |
| I | SPME            | w me              | 2 1111   | GC- <u>E1-</u> M5/M5       | robust validation,                           | Moreira et al.,          |
|   |                 |                   |          |                            | efficient, reliable                          | 2019)                    |
|   |                 |                   |          |                            | - No diketone was                            |                          |
|   |                 |                   |          |                            | quantified, used in                          |                          |
| 1 | HS-ISD-         | Beer              | 2 mL     | GC- <u>EI-</u> IT-MS       | + Strong validation,                         | (Nathalie                |
|   | SPME            |                   |          |                            | efficient, reliable                          | Moreira et al.,          |
|   |                 |                   |          |                            | - Proof of application                       | 20130)                   |
|   |                 |                   |          |                            | with a reduced number of<br>samples          |                          |
|   | HS-SPME         | Beer,             | 0.125 mL | GC- <u>EI-</u> MS          | + Performance,                               | (Kächele et al.,         |
|   |                 | wine,             |          |                            | robustness, almost all                       | 2014)                    |
|   |                 | spirits           |          |                            | amount of sample                             |                          |
|   |                 | •                 |          |                            | Only 1 analyta                               |                          |
| 1 | HS-SPME         | Beer              | 1 mL     | GC- <u>EI-</u> MS          | + Wide range of polar                        | (Hernandes et            |
| • |                 |                   |          |                            | analytes                                     | al., 2019)               |
|   |                 |                   |          |                            | - Long extraction time,                      |                          |
| 1 | HS-SPME         | Beer              | 8 mL     | GC-EI-MS                   | + Significative efficiency                   | (González-               |
| I |                 |                   | •        | <u> </u>                   | boost, good validation.                      | Álvarez et al.,          |
|   |                 |                   |          |                            | - Only 8 compounds, only                     | 2013)                    |
|   |                 |                   |          |                            | water  |                          |
|   | HS-SPME         | Wine              | 10 mL    | GC- <u>EI-</u> MS          | + Full automatable,                          | (Tang & Duan,            |
|   |                 |                   |          |                            | strong optimization and validation, good     | 2017)                    |
|   |                 |                   |          |                            | performance                                  |                          |
|   |                 |                   |          |                            | - Long extraction time                       |                          |
|   | HS-SPME         | Wine              | 4.5 mL   | GCxGC-EI-MS                | + Performance,                               | (Crucello et al.,        |
| • |                 |                   |          |                            | informations provided                        | 2018)                    |

|         |         |        |                      | - No quantitative results<br>provided, long extraction<br>time (60 min) |                            |
|---------|---------|--------|----------------------|---|----------------------------|
| SBSE    | Wine    | 20 mL  | GC- <u>EI-</u> MS    | + Flexibility, simplicity   | (Caven-                    |
|         |         |        |                      | - Affected from ethanol.  | quantrill &                |
|         |         |        |                      | laborious   | Bugiass, 2011)             |
| SBSE    | Wine    | 20 mL  | GC- <u>EI-</u> MS    | + Simplicity, strong  | (Magali Picard,            |
|         |         |        |                      | enrichment  | Celine Franc,<br>Gilles De |
|         |         |        |                      | - Requires a previous<br>SPE  | Revel, 2018)               |
| SA-SBSE | Beer    | 5 mL   | GC- <u>EI-</u> MS/MS | + Strong enrichment,<br>modularity                                      | (Ochiai et al.,<br>2016)   |
|         |         |        |                      | - Effect of ethanol, heat-<br>induced artifacts                         |                            |
| FEDHS   | Whiskey | 0.1 mL | GC- <u>EI-</u> MS    | + Minimized sample volume, sensitivity                                  | (Ochiai et al.,<br>2012)   |
|         |         |        |                      | - Require   |                            |
|         |         |        |                      | instrumentation,  |                            |
|         |         |        |                      | unsuitable for reacting   |                            |
|         |         |        |                      | molecules, only few<br>analytes   |                            |

549

### 550 B. Immersion-based techniques

## **551** 1. Direct Immersion Solid Phase Micro Extraction (DI-SPME)

552 In DI-SPME, the sorbent is directly exposed/immersed into the sample determining a higher 553 interaction between analytes and fiber, and better recoveries for semi-volatile or non-volatile 554 compounds (Figure 3 (b)). On the other hand, for the same reason, this configuration is affected 555 by an increased matrix effect from complex samples that is also related to reduced fiber lifetime. Significative steps have been done through the development of new, more specific sorbent 556 557 materials to overcome these restrictions (P. Rocío-Bautista, 2018) but currently DI-SPME is not 558 the gold-standard in VOAs solvent-free analysis. Despite that, Tang et al. proposed a porous PILs 559 to be used as a fiber coating for the analysis of organic acids in wine (Tang & Duan, 2017). An 560 imidazolium-based coating was used and assessed to provide a significant performance increase. 561 Samples were extracted with an on-fiber derivatization strategy using N-tert-butyldimethylsilyl-562 N-methyltrifluoroacetamide (MTBSTFA). All parameters including salt addition, pH, extraction 563 temperature and time, derivatization temperature and time were explored in addition to the PILs 564 synthesis optimization, to achieve the best performances. An extensive validation demonstrated good linearity ( $R^2 > 0.99$ ) in the range  $0.01 - 1 \text{ mg/L}^{-1}$ , satisfactory LOD (up to 0.07  $\mu$ g/L<sup>-1</sup>), proper 565

- repeatability (RSD<16%) and fiber-to-fiber reproducibility (RSD<20%). Recoveries in spiked
- wine samples ranged from 78.19 to 98.11% and lifetime fiber durability was satisfactory.
- 568

### **569** 2. Stir-Bar Sorptive Extraction (SBSE)

- 570 An alternative to SPME which combines the absence on solvents and provides a simultaneous
- 571 enrichment and isolation of analytes, is represented by SBSE. These devices are bases in the
- 572 coating of a stir bar of PDMS with a potential extraction sorbent (Figure 5).



574 FIGURE 5. Extraction modes in stir bar sorptive microextraction (SBSE). (A) Classical SBSE extraction.
575 (B) Solvent assisted (SA) SBSE extraction

576

573

577 Contrary to HS-SPME or DI-SPME, in which the sorbent remains static throughout the overall 578 extraction process, the SBSE is a dynamic extraction. In this process, the sorbent is moving 579 through the solution, in this case, by a magnetic force (David & Sandra, 2007). This favors 580 analyte-sorbent interactions, and therefore requires much shorter extraction times than SPME. 581 Caven-Quantrill et. al. presented a study where SBSE was used in a comparison of volatile

- 582 composition between grape juice and model wine (Caven-quantrill & Buglass, 2011). Twenty-
- 583 four μL PDMS coated stir bar (length: 10 mm, film thickness: 0.5 mm) was used to extract 20 mL
  - 26

of sample at room conditions for 2 hours at 1100 rpm; the stir bar was then washed with pure
water, dried and placed into the thermal desorption tube.

586 SBSE was also used as an enrichment step following a previous sample preparation technique to 587 make detectable trace analytes. Picard et. al. developed a method for the determination of 8 588 limonene derived monoterpenes related to the mint aroma in red wines (Magali Picard, Celine 589 Franc, Gilles De Revel, 2018). These molecules are not detectable without a complex sample 590 preparation so, in this application, SPE was followed by SBSE to make identifiable. A PDMS 591 coated stir bar (126 µL; length: 20 mm; film thickness: 1 mm) was dropped into 20 mL of SPE 592 extract (diluted in Milli-O water to a final ethanol concentration of 15% v/v) for 1 h at 20°C 593 stirring at 600 rpm. After a comprehensive optimization, the method was validated and successfully applied to 15 Bordeaux red wines coming from different producers and vintages. 594

### 595 2.1 Solvent Assisted (SA)-SBSE

596 SBSE was used for the determination of 28 aroma compounds in beer in an innovative method 597 presented by Ochiai et al. (Ochiai et al., 2016). A commercial PDMS stir bar (63 µL; length: 10 598 mm; film thickness: 1 mm) was swollen in several solvents with log Kow ranging from 1.0 to 3.5 599 while stirring for 30 min before extraction; this procedure is named Solvent-Assisted SBSE (SA-600 SBSE) and showed in Figure 5 (B). SA-SBSE demonstrated to provide better recoveries if 601 compared to conventional SBSE depending on the solvents used in the stir bar preparation step and on the log  $K_{ow} < 2.5$  of the analytes. Working with low-temperature thermal desorption (80°C) 602 603 the formation of heat-induced artefacts was excluded and it was possible to optimize the method for the determination of 21 aroma active compounds in beer samples. Repeatability (RSD  $\leq 8\%$ ) 604 and linearity ( $R^2 > 0.99$ ) were obtained for all compounds and that was a significant result. Similar 605 606 procedures for the analysis of beverage with higher alcoholic percentage like wine and spirits 607 have not been published yet; this is reasonably due to the reduced polarity of ethanol compared 608 to water those lower recoveries for low-polarity analytes.

# 609 III. Solvent based techniques

610 As mentioned before, SPME is the most utilized miniaturized sample preparation technique for 611 GC-MS determination of volatile and semi-volatile compounds in alcoholic beverage samples 612 (Fontana et al., 2018; López-Vázquez et al., 2012). However, less volatile and high water soluble 613 compounds, still amenable to GC analysis, are difficult to extract using this technique. Thus, there 614 is a demand for alternative sample preparation approaches capable of extracting a broad set of 615 volatile, semi-volatile, polar, and non-polar compounds in alcoholic beverages. As mentioned 616 before, LPME techniques fulfill these requirements allowing the high analyte pre-concentration 617 and the extraction with a volume lower than 100 µL simultaneously. It can be entirely injected 618 into the analytical instrumentation avoiding additional time-consuming procedures. Nowadays, 619 several LPME strategies are under investigation in beverages applications. However, these 620 researches are mainly focused on the determination and quantification of pesticides and 621 exogenous compounds rather than VOAs. To the best of our knowledge, only DLLME is 622 successfully applied to this specific application.

623

### A. Dispersive Liquid-Liquid Micro Extraction (DLLME)

625 DLLME is an interesting microextraction technique used in broad application fields 626 (Abdolmohammad-Zadeh & Sadeghi, 2010; Mashayekhi et al., 2010), mainly because of its low 627 operational cost and simplicity of the required equipment (Oller-Ruiz et al., 2017). It can be 628 performed directly in standard conical tube obtaining an efficient preconcentration of the analytes 629 in a short time using few microliters of solvents (Viñas et al., 2014). For this reason, since it was 630 introduced in 2006 by Rezaee et al. (Rezaee et al., 2006), more than 2500 papers have been found 631 in the literature, making it a very active topic in sample preparation research studies (Hansen & 632 Pedersen-Bjergaard, 2020).

633 DLLME employs a ternary system consisting of an extraction solvent (10-500  $\mu$ L) immiscible in 634 water and a dispersion solvent (0.2-1 mL) miscible both with water and the extractant solvent, 635 which are quickly injected into an aqueous sample (2-10 mL). The basis of the technique is the partition of the analyte between the sample and the extractant solvent. The contact of the three
components creates a cloudy solution in which the extraction solvent is dispersed in the sample
solution forming multiple organic microdroplets (Figure 6).



640 FIGURE 6. Traditional dispersive liquid–liquid microextraction workflow.

639

641 Various strategies such as salt addition or ultrasound assistance can be used to boost recoveries. 642 A further centrifugation step ensures the phase separation which is followed by the droplet 643 collection and easy analysis by hyphenated or direct analytical methodologies. Several papers are 644 available in literature to demonstrate its applicability and performance level for VOAs analysis. 645 Zhou et al., used the conventional DLLME coupled with followed by GC-MS analysis to 646 determine main higher alcohols in fermented alcoholic beverages (Zhou et al., 2020). This method 647 allowed to efficiently quantify six alcohols in a characteristic chinese alcoholic beverage. The 648 sample (3.5 mL) was diluted 1:1 with water without any pH adjustment and using 2.1 mL of 649 solvents (1.5 mL of acetonitrile as dispersion and 0.6 mL of dichloromethane as extractant 650 solvents, respectively). Once optimized extraction conditions, only seven minutes (Zhou et al., 651 2020) are needed to perform the overall sampling process leading to a very rapid method with Enrichment Factors (EFs) spanning from 8.1 % and 9.1 % for selected compounds. Moreover, 652

653 they compared DLLME with S-HS and HS-SPME, demonstrating the DLLME advantages in 654 terms of recoveries, peak shape in the chromatographic separation, and time saving. Fariña et al. 655 (Fariña et al., 2007), determined two volatile phenols, 4- ethyl guaiacol and 4-ethylphenol in wine 656 using DLLME and coupled with GC-MS. They used 5 mL of wine samples, to which were added 657 1 mL of acetone as a dispersion solvent and only 50 µL of carbon tetrachloride as extractant 658 solvent. Results showed a very rapid procedure that takes less than six minutes for the extraction 659 time with a minimum solvent usage. The comparison with other techniques conventionally used 660 to determine volatile phenols in wine (LLE, SPE, HS-SPME, and SBSE) showed how this strategy 661 reduced the analysis time and the required amount of sample. Fontana et al. (Fontana et al., 2018) 662 characterized the profile of volatile and semi-volatile compounds in grape marc distillates 663 extracted by DLLME and analyzed with a GC coupled to a time-of-flight accurate MS (TOF-664 MS). The extraction method was performed and optimized on a group of 17 compounds with 665 different chemical functionalities (ketones, aldehyde, esters, alkanes, and alcohols) and response 666 ranges in distillates. A selected volume of 2.5 mL of grape sample was diluted at 9 mL with water 667 before extraction to reduce the adverse effect of the high percentage of ethanol (~ 40%) in the 668 affinity of the compounds to extractant solvent. Acetonitrile (400  $\mu$ L) and chloroform (100  $\mu$ L) were selected as dispersive and extractant solvents, respectively. The obtained EFs were up to 52 669 670 times. The extraction efficiency of DLLME was compared with three SPME conditions: Direct-671 SPME at room temperature, HS-SPME at room temperature, and HS-SPME at 50 °C thermostated 672 sample. The results showed that 12 out of 17 compounds are better extracted with the DLLME technique with low consumption of sample, solvent, and in a very faster sampling procedure (4 673 674 min). Oller-Ruiz et al., investigated for the first time the DLLME technique followed by coupled 675 to LC-MS/MS to determine five monoterpenes in hazelnut liqueur, red wine, wiskey, brandy, 676 rum, and gin\_(Oller-Ruiz et al., 2017). No dilution in water was assessed because the ethanol 677 content of each beverage was used as a dispersive solvent in a sample volume of 8 mL. 678 Chloroform was chosen as extractant solvent and 300  $\mu$ L of it were rapidly added to unmodified 679 beverages sample. The enriched phase of chloroform was evaporated and reconstituted on 50  $\mu$ L

- of water, a suitable LC-MS solvent. The optimized method allowed to achieve EFs ranging from
- 681 12 and 88 using low amounts of organic solvents in a very short extraction time (3 min).
- 682

### 683 B. Supercritical Fluid Extraction (SFE)

684 Supercritical Fluid Extraction (SFE) is a sample preparation technique where a supercritical fluid

685 is used as extraction solvent (Figure 7).



686

FIGURE 7. Schematic representation of SFE extraction and the equipment used (Gracia et al., 2009).
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year [2022].

690

691 The supercritical state is a combination of high temperature and high pressure which both exceed 692 their critical values. As a consequence, the solvent properties gradually change showing a gas-693 type viscosity coupled to a density similar to that of the liquid state (Sakai et al., 2019). In terms 694 of polarity, supercritical fluids are known to be non-polar and their use as extracting solvent gives 695 the best efficiency with nonpolar or low polar substances. However, the characteristics mentioned 696 above can be tuned by adjusting temperature and pressure making supercritical fluids a non-toxic, 697 flexible, and selective alternative to conventional organic solvents. In addition, it's important to 698 highlight that in SFE methods the supercritical fluid can be easily removed from the extract and recirculated, making the extraction simple, clean, solvent efficient, and environmentally 699 sustainable (Lang & Wai, 2001). The aspects pointed above allow supercritical fluids to be 700 701 included in the group of green solvents and SFE to be labelled as a GAC procedure. Currently,

CO<sub>2</sub> is the most used supercritical fluid, sometimes coupled with co-solvents such as ethanol or
methanol to adjust its polarity (Macedo et al., 2008).

Even though SFE was, especially in the last 20 years, an emerging GAC technique, Namieśnik et. al. (Wiśniewska et al., 2015) published a review in 2014 where only one study was presented for the determination of ethanol, which is not an VOAs compound, in spirit based alcoholic beverages (Señoŕns et al., 2001). Up to now, SFE is used as a promising technology of aroma compound extraction for industrial purposes (Van Opstaele, Goiris, et al., 2012), or as a treatment for off-flavor removal (Gernat et al., 2020).

710 Carro et. al. developed an SFE based on supercritical CO<sub>2</sub> for the extraction of many free VOAs 711 in wine and must aroma (Carro et al., 1996). This paper can be considered a vanguard work since 712 it was presented in 1996 when the GAC principles were still not presented yet. However, despite 713 being over twenty years old, the authors developed an efficient SFE method that can be considered 714 "green" if compared to other used in those years. The sample (50 mL) was first extracted with 3.94 g of Amberlite XAD-2 in the extraction chamber, spiked with methanol (used as modifier), 715 716 extracted with supercritical CO<sub>2</sub>, and finally eluted with 2 mL dichloromethane. Forty free volatile 717 compounds including terpenes, terpenoids and norisoprenoids were tentatively identified and 718 quantified after a brief validation (repeatability, correlation coefficient, and linearity range).

719 As concerns the spirits, Gracia et. al. presented an SFE method for the isolation of VOAs in sugar 720 cane spirits using supercritical CO<sub>2</sub>. In this experiment, SFE was evaluated as a promising 721 technology for concentrating aroma compounds but since the extracts were analyzed, it can be 722 also considered for analytical purposes (Gracia et al., 2007). Twenty mL of extracts were diluted 723 1:1 with standard ammonium sulphate solution and extracted with 5 mL of diethyl ether/hexane 724 mixture (2:1). The organic layer was collected, concentrated under a nitrogen flow, filtered, and 725 used for GC-MS analysis. Up to 24 VOAs compounds were identified in crude and aged sugar 726 can spirits. The same research group extended the same procedure to fast aged rum with the same 727 purpose (Gracia et al., 2009).

All the discussed applications of VOAs analysis in alcoholic beverages using green miniaturizedsolvent-based techniques are reported in Table 2.

# 731 TABLE 2. List of solvent-based methods for the analysis of odor active compounds in alcoholic beverages

# and related highlights.

730

| Ext.<br>technique | Matrix   | Sample<br>volume | Ext.<br>solvent<br>volume                | Ext. details   | Instrumentation | Pro & Cons  | Ref.   |
|-------------------|--|------------------|--|--|-----------------|---|--|
| DLLME             | Huangjiu<br>(non-<br>distilled<br>alcoholic<br>beverage) | 2.5 mL           | 0.6 mL<br>(dichlor<br>omethan<br>e)      | Dispersive<br>solvent<br>Volume: 1.5<br>mL<br>(acetonitrile) | GC-MS           | + rapid (1<br>min<br>extraction<br>time)<br>-Dilution<br>required to<br>decrease<br>ethanol<br>percentage   | (Zhou<br>et al.,<br>2020)  |
| DLLME             | Wine   | 5.0 mL           | 0.05 mL<br>(carbon<br>tetrachlo<br>ride) | Dispersive<br>solvent<br>Volume: 1.0<br>mL<br>(acetone)      | GC-MS           | + rapid<br>extraction<br>-only two<br>compounds<br>detected   | (Fariña<br>et al.,<br>2007;<br>Gracia<br>et al.,<br>2007,<br>2009) |
| DLLME             | Grape<br>marc<br>distillate                              | 2.5 mL           | 0.1 mL<br>(chlorof<br>orm)               | Dispersive<br>solvent<br>Volume: 0.4<br>mL<br>(acetonitrile) | GC-HRMS         | + rapid<br>extraction<br>for a wide<br>class of<br>compounds<br>-Dilution<br>required to<br>decrease<br>ethanol<br>content  | (Fontan<br>a et al.,<br>2018)                                      |
| DLLME             | Rum,<br>Brandy,<br>Mistela                               | 8 mL             | 0.3 mL<br>(chlorof<br>orm)               |  | LC-MS           | + Rapid<br>extraction;<br>disperser<br>solvent not<br>required<br>-Evaporation<br>to dryness<br>required due<br>to the LC-<br>MS<br>incompatibil<br>ity of<br>extraction<br>solvent | (Oller-<br>Ruiz et<br>al.,<br>2017)                                |
| SFE               | Wine   | 50 mL            |  | Ion resin<br>adsorption<br>prior to SFE                      | GC-MS           | + Efficient,<br>linear<br>- Use of ion<br>resin and<br>dichloroetha<br>ne   | (Carro<br>et al.,<br>1996)   |
| SFE               | Spirit,<br>rum   | 20 mL            |  | Micro-LLE on<br>SFE extract                                  | GC-MS           | + micro LLE<br>- Need of<br>LLE, only<br>qualitative  | (Gracia<br>et al.,<br>2007,<br>2009)                               |

# 734 IV. Conclusions

Aroma is one of the most relevant aspects in beverage, so the analysis of VOAs s is crucial, both for product development and quality control. Since wine, beer and spirits are among the most consumed beverages all over the world, the environmental impact of greening the analytical process of their aroma is relevant. This result must be achieved with a simultaneous improvement in terms of performance, because of the low concentration of many odor active compounds.

Miniaturized solvent-based techniques are a good answer for this issue since a strong reduction of all volumes is the first goal to achieve for the GAC rule. LPME, such as DLLME allows to combine a significative lowering of sample, solvents, and waste, without requiring specific instrumentation; in addition, these techniques are the ones which better fits with LC-MS analysis. However, since the extraction solvent must be immiscible with the matrix, finding a green one with this characteristic is not an easy task. SFE is a promising extraction technique but currently it has been used only for few MS based protocols.

747 On the other hand, nowadays solvent-free techniques could be addressed as the best solution for 748 coupling good recovery, high enrichment, and robustness without using energy and polluting 749 solvents. Currently, HS-SPME is the gold standard for GAC applied to VOAs analysis since it is 750 widely used for most aroma active compounds with excellent results, both with and without 751 derivatization; since it's used for sampling the head-space, HS-SPME is more representative of 752 the beverage aroma. SPME perfectly couple with GC-MS analysis and it's the most automatable 753 extraction technique. Many coatings have been developed, spanning from PDMS to PILs, which 754 allow to find a fiber suitable for most VOAs compound. SBSE could be assessed as a good 755 alternative instead of SPME, especially for mid-volatile compounds; since it works immersed in 756 the sample, extraction efficiency is not limited by molecules volatility. However, SBSE is limited 757 by a restricted choice of coatings if compared to SPME, lower life-time and is less automatable. 758 Currently, SBSE was employed only for low alcoholic grade beverages like beer.

The GAC principles are moving sample preparation towards solvent-free techniques, whichdemonstrate to be the best choice for most analytes; however, a significative improvement should

| 761 | be the optimization focused for coupling these sample preparation methods with LC-MS analysis.    |
|-----|---|
| 762 | Succeeding in this task means to reach the goal of extending the best GAC extraction techniques   |
| 763 | to trace-compounds, to better determine mid-volatile analytes and to significative improve limits |
| 764 | of detection and quantification of each other.  |
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