

21 lack of brittle faulting of the offshore Red River fault in the Yinggehai-Song Hong basin
22 since 5.5 Ma, despite dextral strike-slip movement on the onshore Red River fault. We
23 calculate the upper-crustal, whole-crustal, and whole-lithospheric stretching factors for the
24 Yinggehai-Song Hong basin, which show that the overall extension observed in the upper
25 crust is substantially less than that observed for the whole crust or whole lithosphere. We
26 suggest that fast basement subsidence after 5.5 Ma may arise from crustal to lithospheric
27 stretching by the regional dynamic lower crustal/mantle flow originated by collision
28 between India-Eurasia and Indian oceanic subduction below the Eurasian margin. In
29 addition, we present a basin wide sediment budget in the Yinggehai-Song Hong basin to
30 reconstruct the sedimentary flux from the Red River drainage constrained by high-
31 resolution age and seismic stratigraphic data. The sediment accumulation rates show a
32 sharp increase at 5.5 Ma, which suggests enhanced onshore erosion rates despite a slowing
33 of tectonic processes. This high sediment supply filled the accommodation space produced
34 by the fast subsidence since 5.5 Ma. Our data further highlight two prominent sharp
35 decreases of the sediments accumulation at 23.3 Ma and 12.5 Ma, which could reflect a
36 loss of drainage area following headwater capture from the Paleo-Red River. However, the
37 low accumulation rate at 12.5 Ma also correlates with drier and therefore less erosive
38 climatic conditions.

39 Key words: Yinggehai-Song Hong Basin, South China Sea, Exhumation, Sediment
40 Budget, Red River Fault

41

42 **1 Introduction**

43 The collision of India with Eurasia, starting in the late Paleocene-early Eocene (e.g.
44 Royden, 1997; Tapponnier et al., 2001; Replumaz et al., 2013; Leech et al., 2005) and
45 continuing to the present, resulted in the formation of the Tibetan Plateau, the highest
46 terrain on the planet. It is widely accepted that Tibetan mountain building influenced global
47 climate (e.g. An et al., 2001; Molnar et al., 1993; Tapponnier et al., 2001) as well as
48 regional drainage patterns (e.g. Brookfield, 1998; Clark et al., 2004), which in turn control
49 the discharge of eroded sediment to the ocean (e.g. Molnar et al., 1993).

50 The region of Southeastern Tibet, northern Vietnam and southwest China is
51 particularly important for understanding the causative relationships between climate,
52 erosion and tectonic changes during the Cenozoic. There are several large rivers here (e.g.
53 Red River, Yangtze River, Mekong River and Pearl River) separated by parallel mountain
54 ranges. The Red River has been a special focus of research because of suggestions that this
55 drainage was much larger in the past than it is at present (Brookfield, 1998; Clark et al.,
56 2004). According to this proposal, the Red River had been one of the largest systems in
57 Asia, but suffering progressive loss of drainage area to the neighboring Yangtze River
58 through the Cenozoic as a result of drainage capture. However, the time for this river
59 capture event has been the subject of debate for more than two decades. Local
60 geomorphological studies along the eastern margins of the Tibetan plateau show that major
61 headwater capture from the paleo-Red River occurred before the late Miocene (Clark et al.,
62 2004). Nd isotopes have been used as a provenance tool in the onshore Hanoi Depression
63 and showed a rapid change of compositions towards negative value during the Oligocene,
64 before ca. 24 Ma (Clift et al., 2006). It has also been argued on the basis of

65 thermochronometric data that the capture of the middle Yangtze and Sichuan basin by the
66 lower Yangtze, beheading the Red River, took place in the Eocene (Richardson et al.,
67 2010). However, detrital zircon U-Pb ages at the lower reaches of the Yangtze River
68 suggested that capture of middle Yangtze River occurred at 36.5-23 Ma (Zheng et al.,
69 2013). Furthermore, zircon data from close to the 'first bend' (the apparent capture point
70 between the Red and the Yangtze rivers) seems to suggest a re-routing of the river from
71 the south to the northeast only 1.7 Ma ago (Kong et al., 2012). Previous studies mainly
72 focused on the Red River estuary or the Yangtze River drainage system, but neglected the
73 sedimentary history of the Yinggehai-Song Hong basin, which has a better age control
74 from biostratigraphic constraints within the marine sediments.

75 In this study we present newly released high-resolution seismic reflection profiles and
76 well data from the Yinggehai-Song Hong basin, located offshore of the Red River drainage
77 (Figure 1). Based on this new data, we constrain the geology of the basin at depth and
78 reconstruct the strike-slip movements of the offshore Red River fault. Unlike the dextral
79 strike-slip movement of the onshore Red River fault, offshore Red River fault has been
80 inactive and characterized by fast subsidence since 5.5 Ma. These observations cast doubt
81 on the interpretation of the dextral strike-slip movement of the Red River fault in the
82 Yinggehai-Song Hong basin and its controls on the basin's evolution. A high-resolution
83 sediment budget is reconstructed for the Yinggehai-Song Hong basin, which we consider
84 to be dominated by the Red River, an assumption consistent with our data and supported
85 by previous multidisciplinary investigations (Shi et al., 2001; Wang et al., 2011). We use
86 estimated accumulation rates of clastic material to infer continental erosion rates in the
87 source area and find abrupt decrease at 23.3 Ma and 12.5 Ma respectively, and a sharp

88 increase at 5.5 Ma. Through these results, we constrain the climatic and tectonic evolution
89 of SE Asia and provide additional insights on potential Red River capture events.

90 **2 Geological Setting**

91 The Yinggehai-Song Hong basin formed at the southeastern termination of the largest
92 Tibetan strike-slip lineaments, the Red River fault zone, and is the principal repository of
93 material eroded from the Red River drainage. To the north it is separated from the Hanoi
94 Depression by the structural high of the Lingao Uplift (Figure 1), while to the south and
95 east it connects to the South China Sea. The basin contains a large thickness of Cenozoic
96 sediments, up to 17-20 km thick (Gong and Li, 1997; Lei et al., 2011; Zhu and Lei, 2013),
97 making the Yinggehai-Song Hong basin one of the thickest basin sections on Earth. Most
98 of the sediments were delivered by the Red River (Wang et al., 2011; Shi et al., 2001),
99 which currently originates in SE Tibet and SW China, running across the SW edge of the
100 Yangtze Craton, into NW Vietnam and terminating at the Yinggehai-Song Hong basin.
101 Thus, the sedimentary successions preserved within the Yinggehai-Song Hong basin is a
102 source of information about the processes affecting erosion across the southeastern margin
103 of the Tibetan Plateau and SE Asia.

104 Opening of the NW-trending Yinggehai-Song Hong basin has often been linked to the
105 strike-slip motion of the Red River fault (e.g. Gong and Li, 1997; Jolivet et al., 1999;
106 Rangin et al., 1995; Sun et al., 2003; Zhu et al., 2009), which has been proposed to have
107 occurred in response to collision of India and Asia (e.g. Tapponnier et al., 1990 and 2001;
108 Leloup et al., 1995 and 2001; Molnar and Tapponnier, 1975; Peltzer and Tapponnier, 1988)
109 and/or back-arc extension associated to the Indian and other western Pacific subduction

110 zones (e.g. Jolivet et al., 1994; Fournier et al., 2004; Taylor and Hayes, 1983; Sternai et
111 al., 2014). However, to the east of the Yinggehai-Song Hong basin, the structures are
112 closely related with rifting, which is associated with oceanic spreading of the South China
113 Sea (Zhu and Lei, 2013; Clift and Sun, 2006). The initial deformation of the Red River
114 Fault Zone was left-lateral in the Oligocene-early Miocene (Gilley et al., 2003; Leloup et
115 al., 2001; Searle et al., 2010). It is estimated that the left-lateral displacement is 500-1400
116 km (e.g. Leloup et al., 2001; Tapponnier et al., 1990). The high heat flow in the basin
117 (Gong and Li, 1997; Hao et al., 1995) may be the result of extension of the lithosphere by
118 the mantle return flow due to rollback of the Indian and other western Pacific subduction
119 zones (Jolivet et al., 1994; Fournier et al., 2004; Sternai et al., 2014). In the Pliocene, the
120 Red River fault changed its sense of motion to dextral strike-slip (Allen et al., 1984; Leloup
121 et al., 1995; Replumaz et al., 2001). The magnitude of right lateral displacement, however,
122 is much smaller and generally considered to be on the order of tens of kilometers.

123 **3. Data and methodology**

124 This study is based on 155 000 km of recently reprocessed regional 2D conventional
125 reflection seismic data, kindly provided by the China National Offshore Oil Corporation
126 (CNOOC). The 2D seismic reflection profiles cover large parts of the Yinggehai-Song
127 Hong basin. The seismic lines in the Lingao Uplift, the Eastern Slope and the Central
128 Yinggehai-Song Hong Depression are generally in a 5×8 km grid spacing, but denser in
129 some areas. Two 3D seismic reflection datasets cover the structures and overlying strata of
130 the Diapirs LD221 and DF11 (Figure 1).

131 Well logs in the basin were used to verify our interpretation of the depth to key

132 horizons. Based on the amplitude and geometry of the reflections, in accordance to the
133 method of Sangree and Widmier (1979), the Neogene succession in the basin was divided
134 into 13 seismic units. These horizons were then correlated across the entire study area with
135 age picks from 28 drilling sites with nannofossil biostratigraphy. The original
136 biostratigraphic zones provided in the drilling reports were converted into numerical ages
137 using the timescale of Walker and Geissman (2009). The oldest age from drillings was
138 Cretaceous rocks in wells LT1135, YIN1, YIN6, YINQ2 and YX3211. However, these
139 wells penetrated less than 1 km into the Cenozoic sediments. The well LG1120 in Lingao
140 Uplift and well LT11A1 in central Yinggehai-Song Hong basin penetrated deeper in the
141 section in a stratigraphic column without erosion. Age resolution is best for Miocene and
142 Plio-Pleistocene strata because a number of wells penetrated these formations. The
143 Oligocene strata were cored from the industrial wells LG1120 and LT11A1. In contrast,
144 Eocene strata were not cored by industrial wells, so we could not provide a detailed
145 temporal subdivision for these units. However, the base of Eocene strata is inferred from
146 the basement reflector, which can be followed over long distances from the Beibuwan and
147 Qiongdongnan basins.

148 Age controls are present on regional mapped seismic horizons for 55, 38, 32, 23.3,
149 17.5, 16.5, 15.5, 13.8, 12.5, 10.5, 5.5, 4.2, 3.8, 2.4 and 1.9 Ma. The geophysical data were
150 interpreted using the Geoframe software produced by Schlumberger GeoQuest. After a
151 time-depth conversion achieved by applying regional stacking velocities from the original
152 processing of multichannel reflection data and well logging data constructed by the
153 CNOOC, the major seismic units were converted into depth isopach maps based on a
154 weighted least squares contouring algorithm in Landmark's Z-Map Plus.

155 Because most of the wells in the Yinggehai-Song Hong basin were drilled on the
156 basement highs or on diapiric structures, sediment decompaction estimates are performed
157 on 523 pseudo-wells, synthesized from the seismic lines (Figure 1). The synthetic wells
158 are representative stratigraphic columns across different domains of the basin. The
159 lithological control for each of the synthetic wells considered here was constrained by
160 correlation with well-based age data. Knowledge of the sediment type is important to this
161 calculation because shales experience much greater loss of porosity during burial than
162 sandstones (Bahr et al., 2001). For the sediments we use a standard porosity-depth curve
163 (Athy, 1930), with an initial porosity of 0.48 and a compaction coefficient between 0.51
164 and 0.21 to calculate the present porosity. The porosity is calculated for each
165 lithostratigraphic unit and corresponds to a value derived from porosity-depth curves. From
166 the present volume and porosity we calculate the volume of the eroded material. Bulk
167 sediment volume was inferred by integrating the point wise estimates and normalizing the
168 rates for each dated interval.

169

170 **4. Seismic interpretation**

171 **4.1 Basin structure**

172 The seismic line 0793 (Figure 2), located in the modern offshore Red River delta,
173 shows the general extensional structures of the Yinggehai-Song Hong basin. It shows a
174 classic pull-apart type basin with a relatively symmetrical morphology of the basement
175 around an axis at the Lingao Uplift. Since the deepest drilling well in the Yinggehai-Song
176 Hong basin, well LG1120 (Figure 2), only penetrated the Oligocene sedimentary rocks, the

177 nature of the oldest sedimentary formations in the basin center cannot be defined. However,
178 the Paleocene-Eocene terrigenous sedimentary rocks penetrated by wells in the nearby
179 Beibuwan and Qiongdongnan basins, which can be followed over long distances and are
180 correlated with the deepest formations in the Yinggehai-Song Hong basin by sequence
181 stratigraphy (Gong and Li, 1997). The Paleogene age section in the Line 0793 is offset by
182 several medium-scale faults and the fault throw of the basin basement ranges between 1.3-
183 2 km. It is noteworthy that the amount of extension accommodated by faulting in the
184 Paleogene sediments decreases upwards.

185 The total Cenozoic stratigraphic succession in the central Yinggehai-Song Hong basin
186 is up to 17 km thick based on gravity calculations (Gong and Li, 1997). The seismic line
187 0755 (Figure 3) provides a large-scale regional image of the Yinggehai-Song Hong basin
188 along its central axis, and shows the great sag forming here. Strata are subject to a general
189 thickening southeastward from 6.1 km to 14.6 km on the seismic line 0755 along the
190 longitudinal axis of basin, corresponding to the lithospheric flexure toward the ocean basin.
191 It is well documented that the Lingao Uplift underwent significant extensional faulting in
192 the Paleogene on the seismic profile 0755. However, the deep sediments before 32 Ma in
193 the Central Yinggehai-Song Hong Depression are poorly or not defined. 5 reflection-free
194 structures are imaged in Line 0755. The exploration drilling cored muddy sediments from
195 these structures. In addition, these reflection-free zones are surrounded by chaotic
196 reflection patterns related to diapirism, which disrupts the sediments in the surrounding
197 strata (Lei et al., 2011).

198 Nannofossil biostratigraphy in the Yinggehai-Song Hong and Beibuwan basins
199 provided by CNOOC shows that the oldest age for sedimentation is ca. 55 Ma. This

200 indicates that the basin started to rift and subside at that time. The extensional faults within
201 the pre-Cenozoic granite basement generated large accommodation space in the basin
202 center bounded by lateral shoulders subject to erosion (Figures 2, 4 and 5). We identify
203 rapid subsidence after 32 Ma on the seismic profiles, which is presumably caused by the
204 sinistral movement on the offshore Red River fault (Gilley et al. 2003).

205 Lines 0727 and 0735 (Figures 4 and 5) present two profiles cutting across the strike
206 of the Central Yinggehai-Song Hong Depression and show the steep basin margin that
207 drops off sharply into the basin center. In the eastern margin of the Central Yinggehai-Song
208 Hong Depression, the deformation occurred across the eastern branches of the offshore
209 Red River Fault Zone, namely the Number One, Yingdong and Dongfang faults in the
210 Chinese literature (Figure 1). These faults dip steeply to the west with offsets up to 5 km,
211 and mainly cut the sediment cover older than 13.8 Ma. The slip rate then decreased during
212 the middle Miocene. The offshore Red River fault in the Yinggehai-Song Hong basin
213 appears to have been inactive after 5.5 Ma, as there is little brittle faulting in the younger
214 sediments. Active rifting was followed by thermal subsidence since the late Miocene,
215 creating accommodation space and trapping large volumes of sediments.

216 **4.2 Shelf break and sedimentation**

217 Regional seismic profile 0755 shows a double shelf break close to the Lingao Uplift,
218 where the basement was cut by two large faults (Figure 3). This clinoform shows that more
219 than ca. 50 km seaward migration occurred since the early Miocene. The shelf break was
220 considerably closer to the offshore domain than its present location. The gradient of the
221 shelf at 23.3 Ma was about 0.1-0.2°, which is three to five times steeper than at 5.5 Ma.
222 Following the major seaward shift associated with the reflector dated at 5.5 Ma, the

223 clinoform at Lingao Uplift continued to prograde seaward and remained high and steep.
224 The thickness of the sediments younger than 5.5 Ma in the Central Yinggehai-Song Hong
225 Depression is more than 2 km, which is 3 times more than on the Lingao Uplift.

226 A close-up image of the shelf break at Lingao Uplift from line 0738 (Figure 6a) better
227 images the progradational clinoform. The geometry of the depositional sequences between
228 reflectors of 8.2 and 5.5 Ma show a wedge-shaped and progradational reflection
229 configuration thickened toward the basin center (Figure 6a and 6b). Through the drilling
230 data from wells DF1529 and LG1120, we distinguished a delta and submarine fan
231 depositional system between reflectors dated at 8.2 and 5.5 Ma in the northernmost part of
232 the central Yinggehai-Song Hong Depression (Figure 6). The estimated size of the
233 submarine fan is more than 100 km along both the dip and strike directions. In addition,
234 line 0727 (Figure 4), cutting the strike of line 0738, presents a bidirectional downlapping
235 geometry between the reflectors dated at 8.2 and 5.5 Ma. The seismic facies and sequence
236 stratigraphy identified through the DF1529 core indicate that the delta and submarine fan
237 system was originated from the Red River (Figure 6c).

238 No clear downlapping structures or progradational reflections indicate that sediments
239 were delivered from Hainan Island. Wells LT1135, YIN2, YIN7, YINQ3 and YX3211 on
240 the eastern shelf penetrated all the Cenozoic sediments and cored sediments of Cretaceous
241 age. Very thin layers of clastic material and carbonate rocks of Plio-Quaternary and mid-
242 Miocene age, respectively, indicate very low sedimentation rates at the shelf. Seismic lines
243 0727 and 0735 show aggradation of clinoform started at 5.5 Ma and the clinoform rollover
244 at the eastern margin of the basin did not migrate through time (Figures 4 and 5), which
245 implies that the sediment supply from Hainan Island was stable. In addition, seismic data

246 from the eastern margin display onlapping structures onto the Hainan Uplift, which also
247 suggest limited sediment supply from the Hainan Island. This observation confirms
248 previous zircon and apatite thermochronologic data showing that erosion on Hainan Island
249 after the Oligocene would not be an important source to the Yinggehai-Song Hong basin
250 (Shi et al., 2011).

251 The most important river draining into the Yinggehai-Song Hong basin from the east
252 coast of Vietnam is the Gianh River, which covers a significantly smaller area (2670 km²),
253 than that of the Red River catchment (76276 km²). Limited regional AFT data from
254 bedrock samples from the east coast of Vietnam (Carter et al., 2000) show that the average
255 regional denudation rates is ~34 m/Ma prior to 16 Ma and 390–500 m/Ma between 16-0
256 Ma (Carter et al., 2000). Assuming a 35% average porosity, the volume of eroded material
257 is estimated as $\sim 0.12 \times 10^3$ km³/Ma (before 16 Ma) and $1.4\text{--}1.8 \times 10^3$ km³/Ma (16-0 Ma). The
258 lithology of the east coast of central Vietnam, however, is dominated by carbonates, while
259 the lithology in the center of the basin (as determined primarily from wells) consists of
260 sandy/muddy clastic rocks, not a mixture of carbonates and clastics or carbonate clasts.
261 Therefore, the sediment supply from the east coast of the Vietnam is limited. Core data
262 from DF1529 (Figure 6b) and LG1120 (Figure 2) in the central Yinggehai-Song Hong
263 basin further show that the delta and submarine fan consists predominately of clastic
264 deposits delivered from the longitudinal direction of the Yinggehai-Song Hong basin,
265 which are inferred to derive from the Red River.

266 **5 Stratigraphic Mapping and sediment budget**

267 **5.1 Stratigraphic Mapping**

268 Our new high-resolution seismic profiles constrained by detailed nannofossil
269 biostratigraphy from 28 drilling sites allow us to explore the evolution of the sedimentary
270 fill in great detail. As Paleocene-Eocene sequences are not well imaged in the southern part
271 of the central Yinggehai-Song Hong Depression, we focus on the sediment volume since
272 32 Ma because the high quality seismic reflection data allow us to construct isopach maps.

273 Figure 7 shows contoured isopach of the major stratigraphic units within the
274 Yinggehai-Song Hong basin. There was no sedimentation on the eastern Slope before 5.5
275 Ma with the exception of carbonate rocks between 13.8-15.5 Ma. The steepness of the
276 eastern margin of the basin is largely influenced by the Number One fault, especially before
277 13.8 Ma. Therefore, sedimentation on the eastern side of the basin is closely related to
278 active faulting. In contrast, the western margin of the basin is characterized by gentler
279 slopes generated by several splayed transform faults (Figure 1). In Figure 7, we also show
280 the migration of the depocenter, which was located in the central part of the Central
281 Yinggehai-Song Hong Depression between 23.3–16.5 Ma, and then migrated to the
282 southeastern part of the basin between 16.5 and 13.8 Ma. Between 13.8 and 10.5 Ma, the
283 depocenters moved toward the northwestern sector of the Central Yinggehai-Song Hong
284 Depression. Finally, after 10.5 Ma, the depocenter migrated to the southwestern part of the
285 basin.

286 **5.2 Sediment budget**

287 To compare the volume of sediment in the Yinggehai-Song Hong basin with the
288 eroded material across the onshore Red River drainage area, we estimate the original
289 sediment thickness prior to burial as described in section 3. Average volumes and
290 accumulation rates are calculated for 13 epochs and are summarized in Figure 8.
291 Uncertainties in sediment budget calculations include the effects of post-depositional
292 erosion and uncertainties in compaction estimates, but these errors are moderate and rarely
293 exceed 5%.

294 The results show a clear pattern of modest sedimentation rates during the Early
295 Miocene (23.3-17.5 Ma), followed by an increase during the period 17.5-12.5 Ma, which
296 is similar to the period 32–23.3 Ma (Figure 8). The Miocene peak at 17.5-12.5 Ma is
297 followed by a sharp drop in the late- Middle Miocene. Relatively steady low depositional
298 rates characterize the period 12.5-5.5 Ma, followed by a three to ten-fold increase in
299 sediment volume rate since the Pliocene time, especially for the period 4.2–3.8 Ma. We
300 discuss the implications of this pattern below.

301 **6 Tectonic subsidence analysis**

302 The tectonic subsidence history of the basin can be calculated by accounting for the
303 loading effects of the sedimentary cover, its burial compaction and the water depth of
304 deposition. We reconstructed the basement subsidence at two deep wells LG1120 and
305 LT11A1 (see locations in Figure 1, and see sediments penetrated by wells in Figures 2 and
306 4), displaying the thickest stratigraphic columns in the Lingao Uplift and central
307 Yinggehai-Song Hong basin and therefore likely to be representative of the basin as a

308 whole. The subsidence is measured by backstripping the Cenozoic sediments assuming an
309 Airy isostatic basement response and incorporating corrections for compaction and
310 changes in water depth and sea level (Sclater and Christie, 1980; Bond and Kominz, 1984).
311 Because drilling at LG1120 and LT11A1 has demonstrated that the sections were not
312 heavily overpressured, this should be a reasonable approximation of the actual compaction
313 history. The greatest uncertainties in this analysis are in the water depth estimates, although
314 in this region water depth was always less than 200 m. A correction was made for long-
315 term sea-level variations using the reconstruction of Haq et al. (1987). Table 1 shows the
316 starting model for the reconstruction of the basement subsidence curves, showing the
317 different formations and the associated parameters necessary for the calculation.

318 Figure 9 illustrates the subsidence evolution in the different domains of the
319 Yinggehai-Song Hong basin, which is driven primarily by its tectonic and thermal
320 evolution. The subsidence curves are characterized by marked changes in subsidence rate.
321 The period before 13.8 Ma is characterized by fast subsidence rates of ~ 84 m My^{-1} and 106
322 m My^{-1} for wells LG1120 and LT11A1, respectively. The period between 13.8 and 5.5 Ma
323 displays moderate subsidence rates of ~ 24 m My^{-1} , consistent throughout the entire basin.
324 Finally, the period between 5.5-0 Ma displays a considerable increase in subsidence, which
325 is recorded in different domains of the basin (Figure 9). For example, in the Lingao Uplift
326 a subsidence rate of 145 m My^{-1} is recorded, whereas a subsidence rate of 127 m My^{-1} is
327 observed in the central Yinggehai-Song Hong basin.

328 **7 Stretching and thinning in the Yinggehai-Song Hong basin**

329 In our study we quantify stretching β -factors related to the Paleocene to recent history

330 of the basin and test whether the Cenozoic history of the Yinggehai-Song Hong basin is
331 compatible with a depth-uniform thinning model.

332 Extension and thinning can be measured at three levels within the continental
333 lithosphere: the upper crust from fault heave analysis, the whole crust from crustal
334 thickness measurements, and the whole lithosphere from subsidence analysis. These
335 techniques have been previously clarified (Davis and Kusznir, 2004; Kusznir and Karner,
336 2007) and are not described in detail here.

337 The analysis of upper-crustal faulting to determine Cenozoic upper crustal extension
338 was made from the line 0732 (Figure 2). The seismic data is of high quality and allows the
339 identification of small extensional faults. Fault-derived stretching and thinning factors are
340 shown in Figure 10b. Upper-crustal stretching factors are small, peaking at a stretching
341 factor of 1.26 around the center of the Yinggehai-Song Hong basin.

342 The crustal structure of the line 0793 is derived from Gong et al. (1997) taken from
343 an inversion of Bouguer gravity anomaly data. The crustal stretching is calculated
344 assuming an initial homogenous pre-rift crustal thickness of 32 km (Jin and Sun, 1997).
345 Figure 10f shows the profiles of crustal thinning-derived stretching factors. The peak value
346 is 2.3 in the central part of the seismic line.

347 Flexural backstripping, decompaction and reverse post-breakup thermal subsidence
348 modeling has been carried out on the line 0793 to determine a lithospheric stretching factor.
349 Compaction parameters from Sclater and Christie (1980) have been used. The assumption
350 that the unconformities dated at 23.3 Ma at the Lingao Uplift and Eastern Slope were at (or
351 near) sea level has been used as a palaeobathymetric constraint for the flexural
352 backstripping (Figure 10d). While a range of values of the effective elastic thickness of the

353 plate T_e (0, 3, 5, 10 and 25 km) was used in the flexural backstripping, these results are
354 relatively insensitive to this parameter, because the wavelength associated with loading is
355 long. The resulting stretching factor profile for the Cenozoic lithosphere stretching event
356 is shown in Figure 10e, assuming $T_e=3$ km, which is same as previous work (Clift, et al.,
357 2002). The result shows a value of 4 in the central part of the profile decreasing toward 1
358 in the east and 3 in the west.

359 Upper-crustal, whole-crustal and lithospheric stretching factors for the Yinggehai-
360 Song Hong basin are summarized in Figure 10f. The summed extension observed in the
361 upper crust is substantially less than that observed for the whole crust or whole lithosphere,
362 which suggests depth-dependent stretching of the continental lithosphere.

363 **8. Discussion**

364 **8.1 River basin organization in the SE Asia**

365 The modern Yinggehai-Song Hong basin has three potential source areas: the Red
366 River catchment, Hainan Island and Vietnam coast. However, low temperature
367 thermochronometric data imply no large sediment supply from the Hainan Island (Shi et
368 al., 2011) since the Miocene and east coast of the Vietnam since the Oligocene (Carter et
369 al., 2000). We reinforce these conclusions through our data; no clear downlapping
370 structures younger than 5.5 Ma from the Hainan Island and Vietnam coast can be identified
371 from our new high-resolution seismic profiles, which densely cover the whole basin. In
372 contrast, on the northern side of the basin the unit shows well developed steeply dipping
373 clinoforms prograding towards the southeast. Downlapping relationship with the lower
374 unit suggests a dominant sediment influx from the Red River drainage. The large scale Red

375 River delta/submarine fan depositional system depicted in Figure 6 demonstrates the large
376 sediment budget of the Red River, which rapidly accumulated along the axial direction of
377 the Yingehai-Song Hong basin.

378 One would expect significant changes in the sedimentation rate to occur if rock uplift
379 and precipitation are the key controls on erosion, or if major headwater capture has
380 occurred within the paleo-Red River catchment. We have shown that accumulation rates
381 were low during 23.3-17.5 and 12.5-5.5 Ma and fast during 32-23.3 Ma, 17.5–12.5 Ma and
382 5.5-0 Ma (Figure 8). It has been suggested that sedimentation rates, which are genetically
383 correlated with erosion in the source area, increased globally since 5.5 Ma, consistent with
384 the hypothesis that Pliocene-Pleistocene climate change enhanced global erosion (Hay et
385 al., 1988; Métiévier et al., 1999; Willett, 2010; Zhang et al., 2001). Our study identified two
386 peaks in sedimentation rate at 4.2–3.8 and 2.4-1.9 Ma since the Pliocene. The peak
387 sediment accumulation rates are roughly 3–5 times those during the periods 3.8–2.4 and
388 1.9-0 Ma, and are considerably higher than those inferred for the preceding tens of million
389 years. In the southeastern margin of Tibetan Plateau (e.g. Yunan and northern Vietnam)
390 thermochronometric studies of detrital minerals indicate an acceleration in exhumation and
391 erosion after ca. 4 Ma (Schoenbohm et al., 2006), which correlates with strengthening and
392 rapid fluctuations of the monsoon in Asia since that time (Clift et al., 2008). Therefore we
393 attribute the increase of sedimentation since 5.5 Ma in the basin to the rapid climate
394 variability since the Pliocene.

395 Strike-slip motion along the Red River fault zone strongly affected the geology and
396 topography of the eastern Tibetan plateau margin and must have been instrumental in
397 helping to guide the reorganization of rivers in the region. Dating of the onshore Red River

398 Fault Zone shows that sinistral motion started ca. 34 Ma and was most rapid after 27 Ma
399 and before 17 Ma (Gilley et al., 2003; Leloup et al., 2001) with widely varying estimates
400 of total slip of 500-1400 km (Harrison et al., 1992; Leloup et al., 2001; Tapponnier et al.,
401 1990). However, the seismic data in our seismic data library show strong activity of the
402 offshore Red River fault before 13.8 Ma, that is 3-4 Ma after the major faulting of the
403 onshore Red River fault (Leloup et al., 2001), and quiescence since 5.5 Ma. If strike-slip
404 faulting is the cause of the river capture, river capture would not occur later than 17 Ma,
405 after cessation of the left lateral strike-slip motion related to the progressive growth of
406 Tibetan topography toward the southeast.

407 The headwater capture from the Paleo-Red River must result in an abrupt reduction
408 of the sediment accumulation rate in the Yinggehai-Song Hong basin. Our study presents
409 significantly lower sediment accumulation rates between 23.3-17.5 and 12.5-5.5 Ma,
410 which could indeed reflect a loss of drainage area. However, this timing does not match
411 well the slow exhumation during the Middle to Late Miocene inferred from
412 thermochronometry (Harrison et al., 1996). This decrease in sediment supply from Red
413 River drainage is also synchronous with increased aridity and stronger winter monsoon
414 across Asia (Derry and France-Lanord, 1996; Dettman et al., 2003; Gupta et al., 2004; Wan
415 et al., 2009). The timing of the decrease in sedimentation rate matches more closely this
416 change in climatic forcing.

417 According to Wan et al. (2012), there were strong summer monsoons between 22 and
418 17 Ma. This should result in higher sedimentation rates in the Yinggehai-Song Hong basin.
419 However, we observe the opposite in our calculated sediment budget (Figure 8). A possible
420 explanation for this discrepancy is that sediment flux was affected by capture of the Red

421 River during the Early Miocene (23.3–17.5 Ma) as a consequence of heavy monsoon
422 erosion, which would have diverted any sediment pulse from eastern Tibet and SW China
423 into the East China Sea.

424 **8.2 Fast basin subsidence and lower crustal/mantle flow**

425 Our analyses show that the basin exhibited fast subsidence rates after 5.5 Ma. Since
426 our high-resolution seismic reflection data do not support deformation along the Red River
427 fault in the basin within the last 5.5 Ma, we judge unlikely fast subsidence related to strike-
428 slip deformation as suggested by Xie and Heller (2009). Other sources of subsidence during
429 the last 5.5 Ma may be related to lower crustal and mantle flow. For example, previous
430 works have proposed that, in regions of high heat flux, less viscous lower crust may flow
431 to equilibrate lateral pressure gradients (Block and Royden, 1990; Bird, 1991; Wdowinski
432 and Axen, 1992). This process may result in crustal thinning, as observed across rifted
433 continental margins (e.g. Davis and Kusznir et al.; Liao et al., 2011).

434 Models in which the lower crust and mantle motion provide a substantial contribution
435 to the surface evolution of the sedimentary basin, are also consistent with our estimates of
436 the β -factors related to crustal and lithospheric stretching. The Yinggehai-Song Hong basin
437 experienced only limited upper-crustal extension ($\beta \leq 1.26$), yet the Red River catchments
438 delivered 12 km of post-rift sequences (23.3-0 Ma), of which 3-4 km were emplaced since
439 5.5 Ma. Conventional post-rift backstripping would require uniform lithospheric thinning
440 by stretching factor larger than 100 to explain these tremendous thicknesses, which
441 indicates an oceanic stretching factor. However, the Yinggehai-Song Hong basin is not
442 thought to be floored by oceanic crust. Therefore, we think the basin must be floored by

443 anomaly crust of extreme thinned crust, possibly exhumed mantle. This is similar with the
444 sediments locally overlaid on the exhumed mantle in the Phu Khanh Basin in the SW
445 margin of the South China Sea (Savva et al.2013), whose extension rate is also very large.
446 Thus our results suggest that some mantle and lower crust flow contributed significantly
447 more than the upper crustal thinning to the surface evolution since the Cenozoic. This
448 implies that the depth-uniform thinning model of basin formation (McKenzie, 1978) is not
449 applicable to the Cenozoic history of the Yinggehai-Song Hong basin, while a depth-
450 dependent lithosphere-scale thinning may be more appropriate, which is also observed in
451 its neighbor, Qiongdongnan (Lei et al., 2013). Seismic tomography shows that the crust at
452 15 km depth beneath the Yinggehai-Song Hong basin has low (up to 2% below average)
453 seismic velocities (Lei et al., 2009), indicating relatively high temperatures. This is
454 supported by petroleum exploration wells, which show that the geothermal gradient in the
455 Yinggehai-Song Hong basin is high (i.e., 46°C/km) (Hao et al., 1995). Therefore, we
456 postulate that fast subsidence of the Yinggehai-Song Hong basin after 5.5 Ma may arise
457 from the occurrence of lithospheric warming, weakening and thinning by lower crustal
458 and/or mantle flow resulting from collision between India-Eurasia and Indian oceanic
459 subduction below the Eurasia.

460 **9. Conclusions**

461 In this study we explored the onshore tectonics and river organization of SE Asia from
462 the observed offshore stratigraphy in the Yinggehai-Song Hong basin during the Cenozoic
463 period. A new grid of high-resolution seismic profiles tied to wells with biostratigraphic
464 age control allowed us to examine the changes in sediment delivery rate from the Red

465 River, which is related to both tectonics and climate in SE Asia. Our analysis showed that
466 the sedimentary section comprises a thick Cenozoic sequence, which is affected strongly
467 by faulting of the offshore Red River fault and basement subsidence of the basin. Most of
468 the offshore Red River fault terminated at an unconformity dated at 13.8 Ma. The result of
469 the sediment accumulation rates shows several prominent shifts, including a sharp decrease
470 at 23.3 Ma and 12.5 Ma respectively, and a sharp increase at 5.5 Ma. The sediment
471 decompaction calculations show sharply reduced sedimentation rate at 12-13 Ma, which
472 match similar trends in South Asia and the Pearl River Mouth basin as result form monsoon
473 weakened at that time. Fast sedimentation resumed in the last 5.5 Ma, which is likely the
474 consequence of the onset of cyclical glacial-interglacial climate during the Plio-Pleistocene
475 and is part of a global change in erosion at that time. Reconstructions show that sediment
476 flux to the Yinggehai-Song Hong basin changed markedly from the Oligocene and early
477 Miocene. However, because the Asian monsoon begins to strengthen significantly in the
478 early Miocene (Wan et al., 2012), the loss of drainage area might have been offset by the
479 increased sediment flux driven by the more erosive climate. We favor major headwater
480 capture away from the Red River to predate the Middle Miocene, probably during the
481 Oligocene and early Miocene.

482 We have shown that the Yinggehai- Song Hong basin has experienced an apparently
483 anomalous fast subsidence history since the Pliocene-Quaternary. Qualifying stretching
484 and thinning of the basin indicates the Cenozoic history of the Yinggehai-Song Hong basin
485 is compatible with a depth-dependent lithospheric thinning model. We suggest that a
486 combination of the mantle and lower crustal flow originated by collision between India-
487 Eurasia and Indian oceanic subduction below the Eurasia may explain the large subsidence

488 since the Pliocene-Quaternary, but paucity of faulting.

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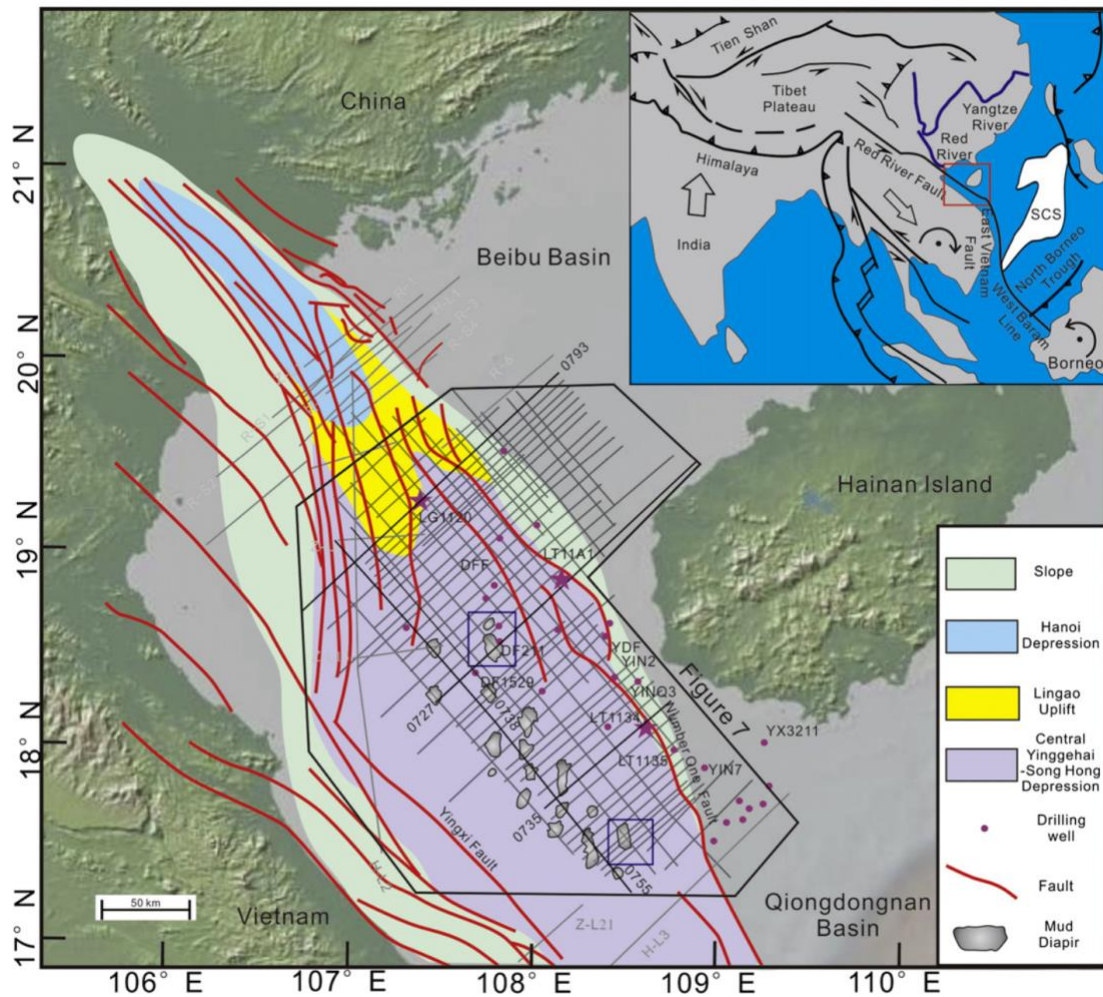
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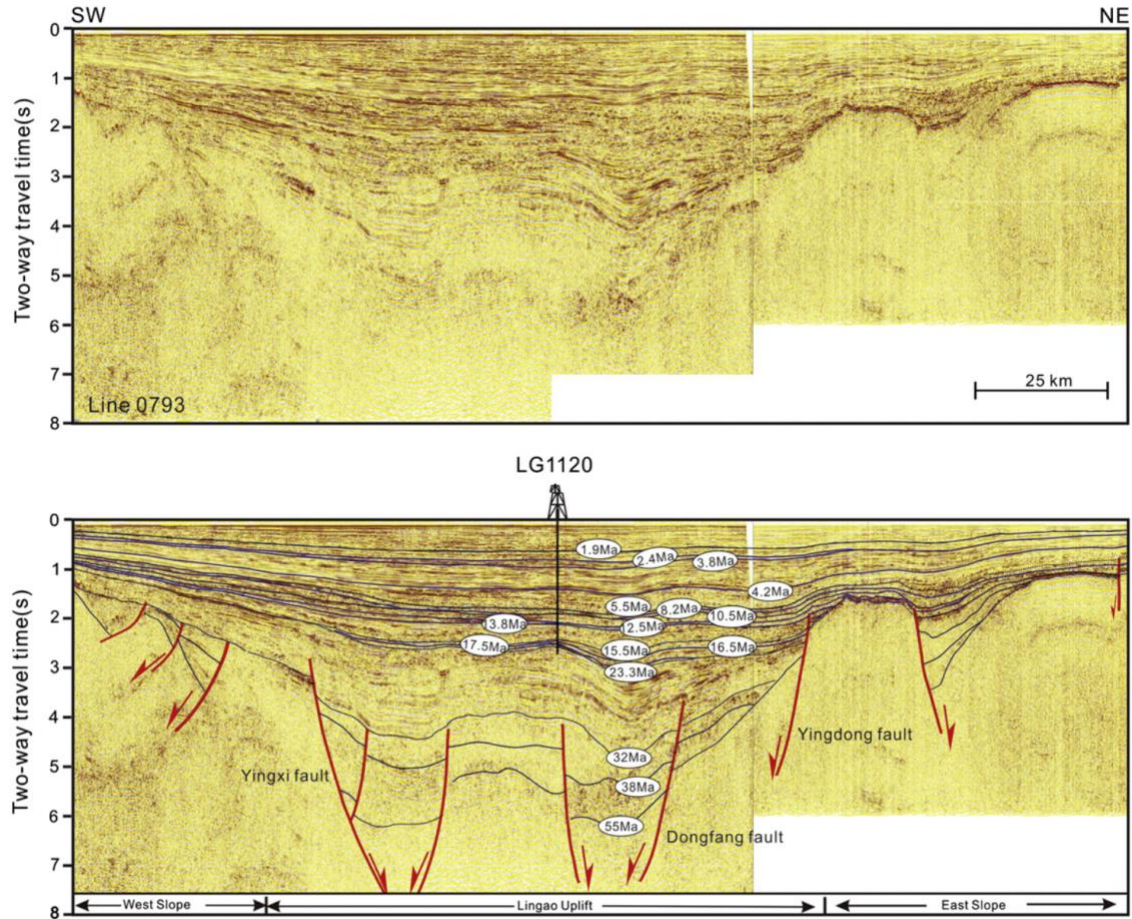
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725 **figures and table**



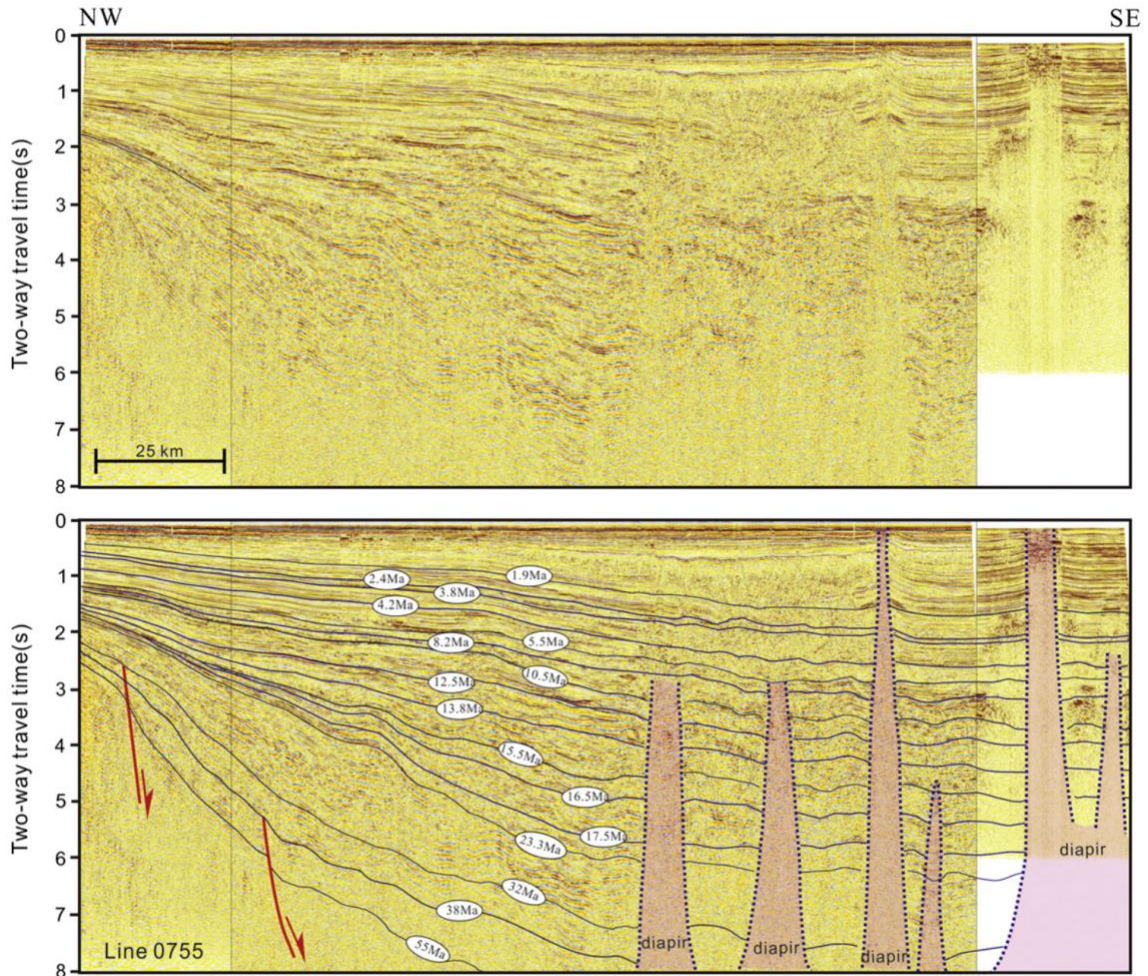
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727 Fig. 1 Location map for the study area, showing the total seismic reflection data set considered and
 728 drilling wells (brown stars and solid points). The seismic lines marked by R, Z and H adopted from
 729 Rangin et al (1995), Zhu et al (2009) and Van Hoang et al (2010), and the others (black solid and grey
 730 solid lines) are from our available seismic profiles library. Blue boxes outline the extent of the 3D
 731 seismic data used in this study. Diapirs are modified after Lei et al (2011). The Red box and blue solid
 732 lines in the inset map of tectonics and geology of Southeast Asia shows location of Yinggehai-Song
 733 Hong basin and rivers discussed in this paper. SCS: South China Sea, YDF: Yingdong fault, DFF:
 734 Dongfang fault, YXF: Yingxi fault.



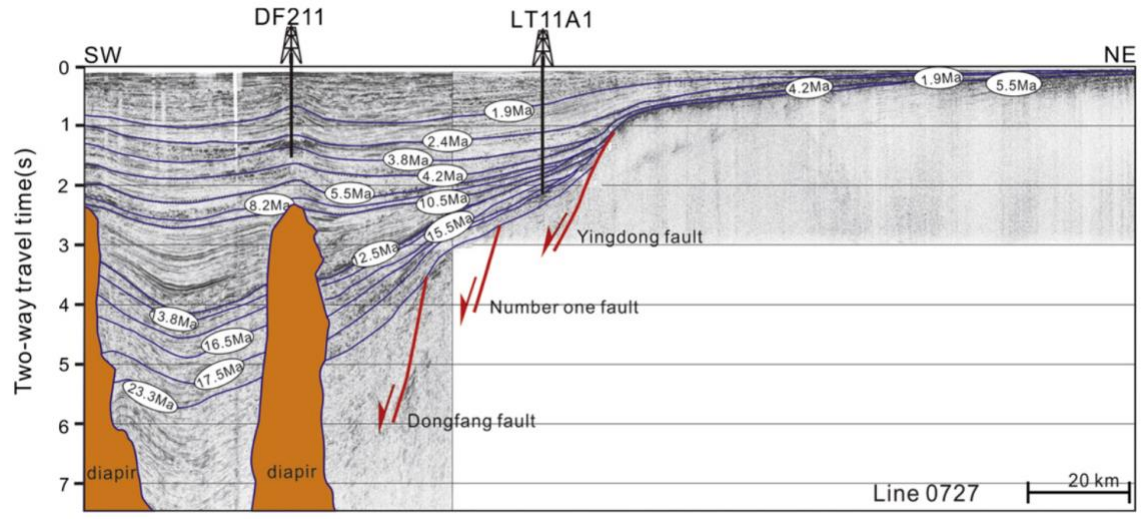
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736 Fig.2 Uninterpreted and interpreted regional seismic section of line 0793 running from southwest to
 737 northeast through the Yinggehai-Song Hong basin. Basement is characterized by strong faulting, which
 738 formed a succession of graben-horst structures. Line location is shown on Figure 1.



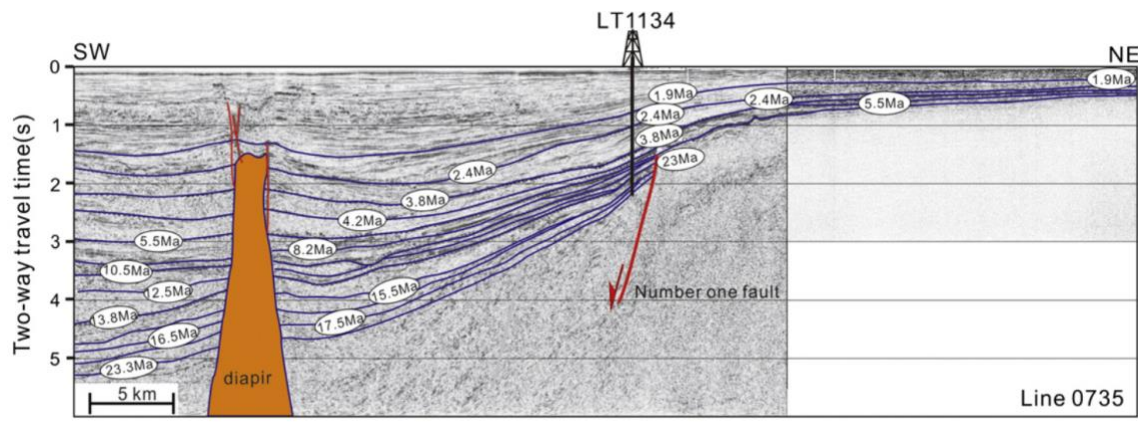
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740 Fig.3 Interpreted regional seismic section of line 0755 from the northwest to southeast through the
 741 Yinggehai-Song Hong basin. The progradation configuration since the 23.3 Ma observed here suggests
 742 sediments spilled over to the Southeast. A large volume of sediment was trapped in the center of the
 743 basin and several pronounced large-scale shale diapirs appear there. Line location is shown on Figure
 744 1.



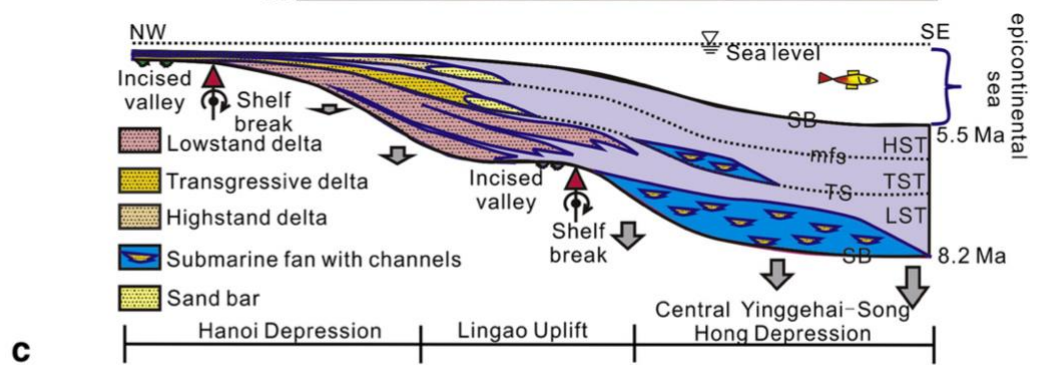
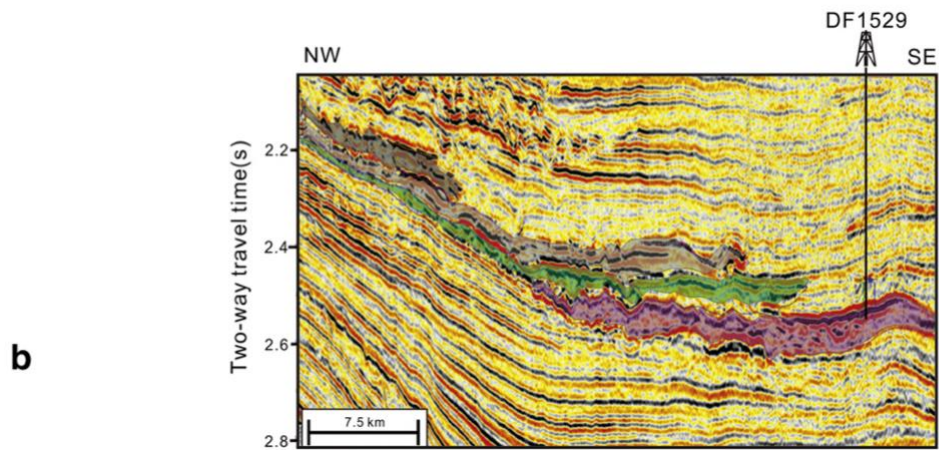
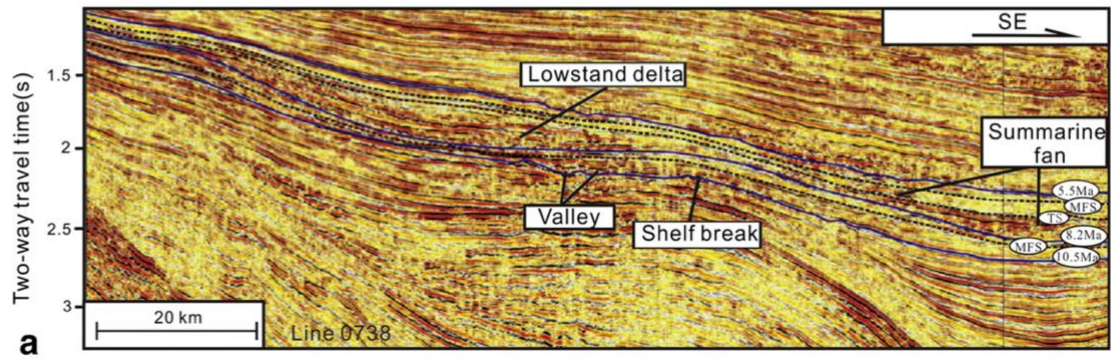
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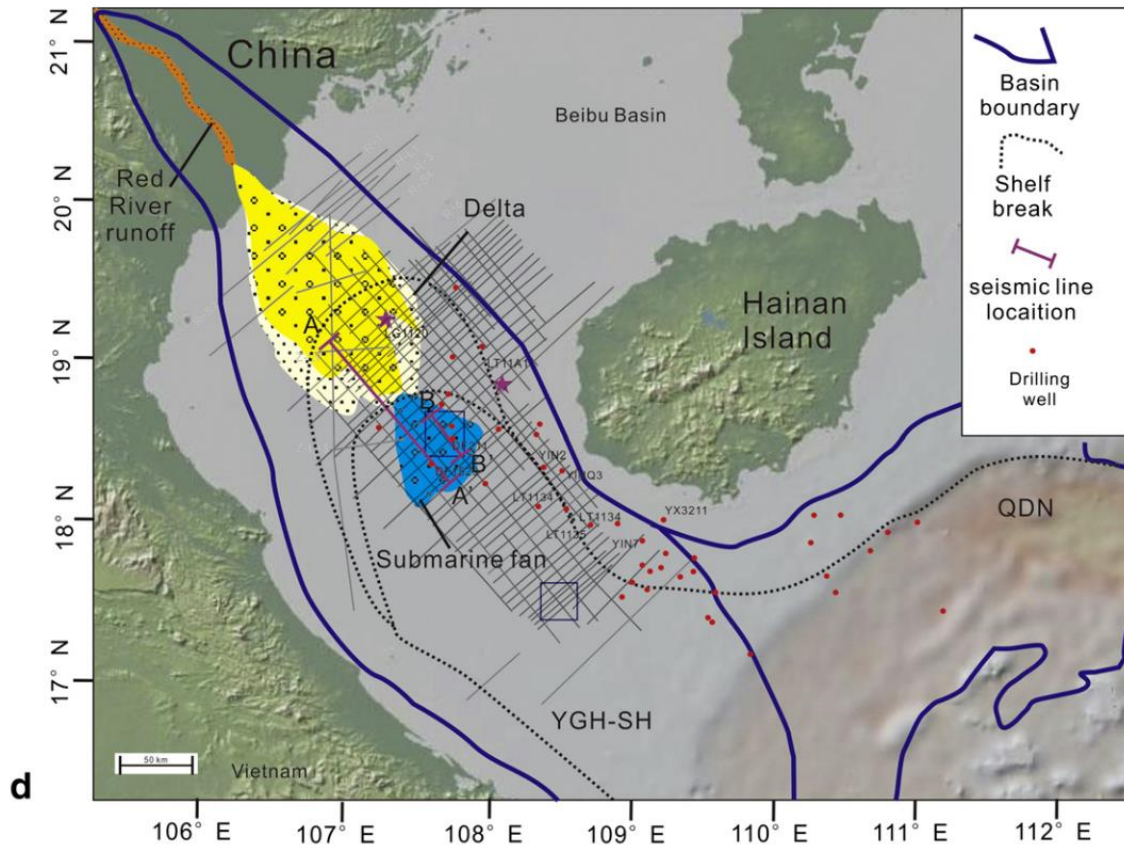
746 Fig.4 Interpreted seismic section of line 0727 from the eastern margin of the Yinggehai-Song Hong
 747 basin. Line location is shown on Figure 1.



748

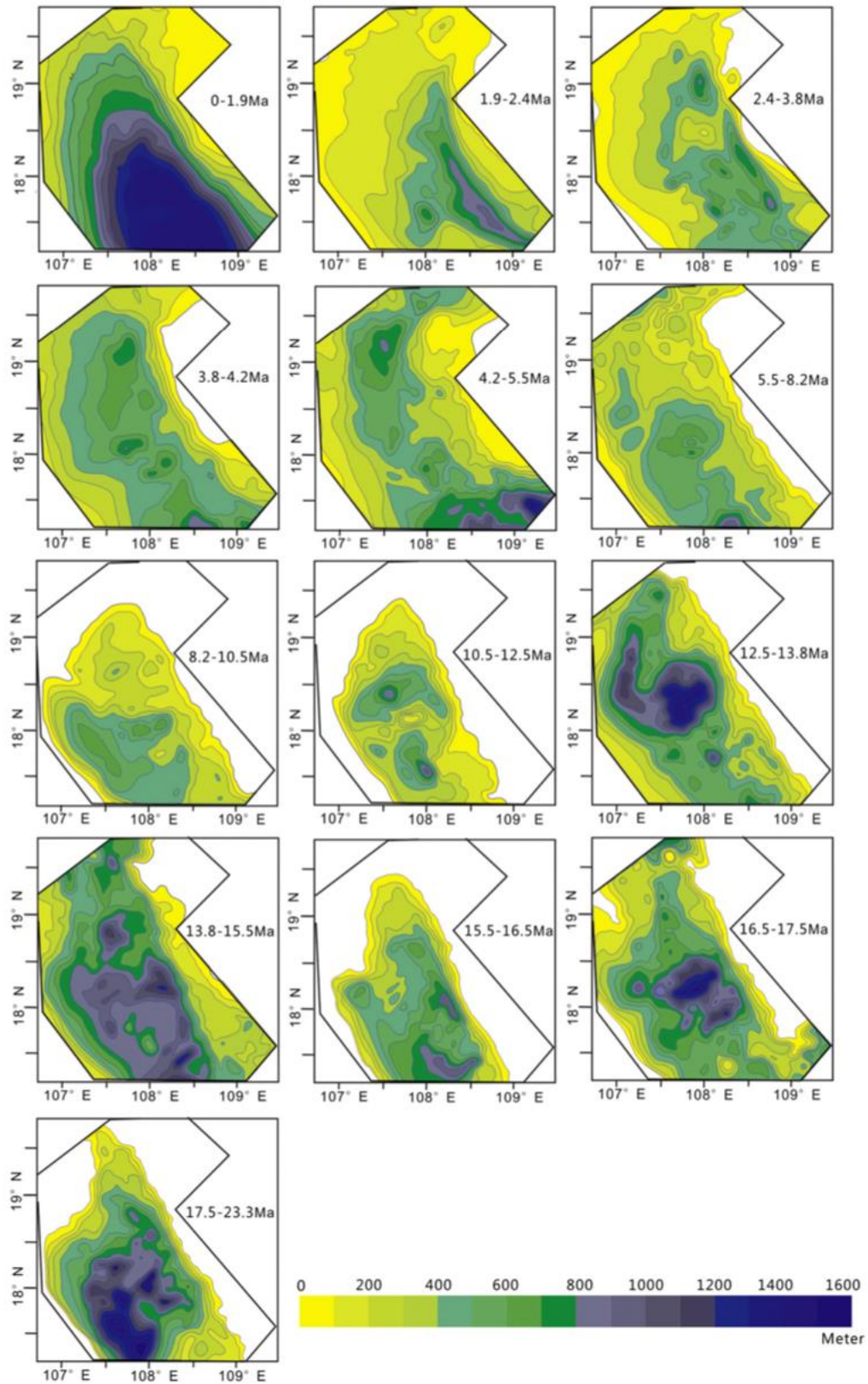
749 Fig.5 Interpreted seismic section of line 0735 running the eastern margin of the Yinggehai-Song Hong
 750 basin. The seismic section shows diapirism and increased sediments thickness toward the Central
 751 Yinggehai Depression. Line location is shown on Figure 1.



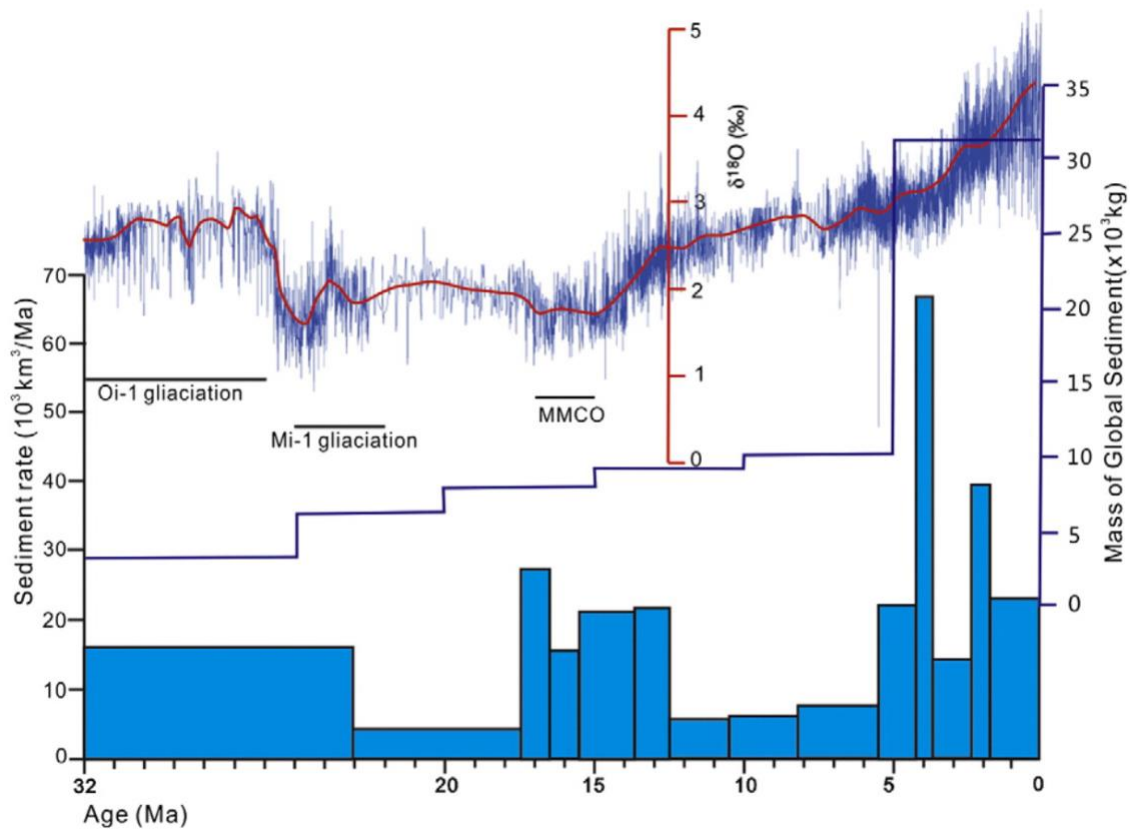


753

754 Fig.6 (a) 2D Seismic profile, Line 0738, along the strike of the Red River system dispersion in Miocene
 755 time shows characteristics of a submarine fan.; (b) a better resolution of seismic profile from 3D seismic
 756 data shows a close-up of the investigated submarine fan constrained by the well DF1529 that allows its
 757 evolution to be seen more clearly. (c) Conceptual sketch of the Red River delta and submarine fan at
 758 8.2-5.5 Ma from onshore Hanoi Depression to Central Yinggehai-Song Hong Depression. (d)
 759 Distribution of the Red River delta and submarine fan in the northwest corner of the South China Sea.
 760 Dash lines show the distribution of the shelf breaks in the late Miocene in the Yinggehai-Song Hong
 761 and Qiongdongnan basins. YGH-SH: Yinggehai-Song Hong basin, QDN: Qiongdongnan basin.

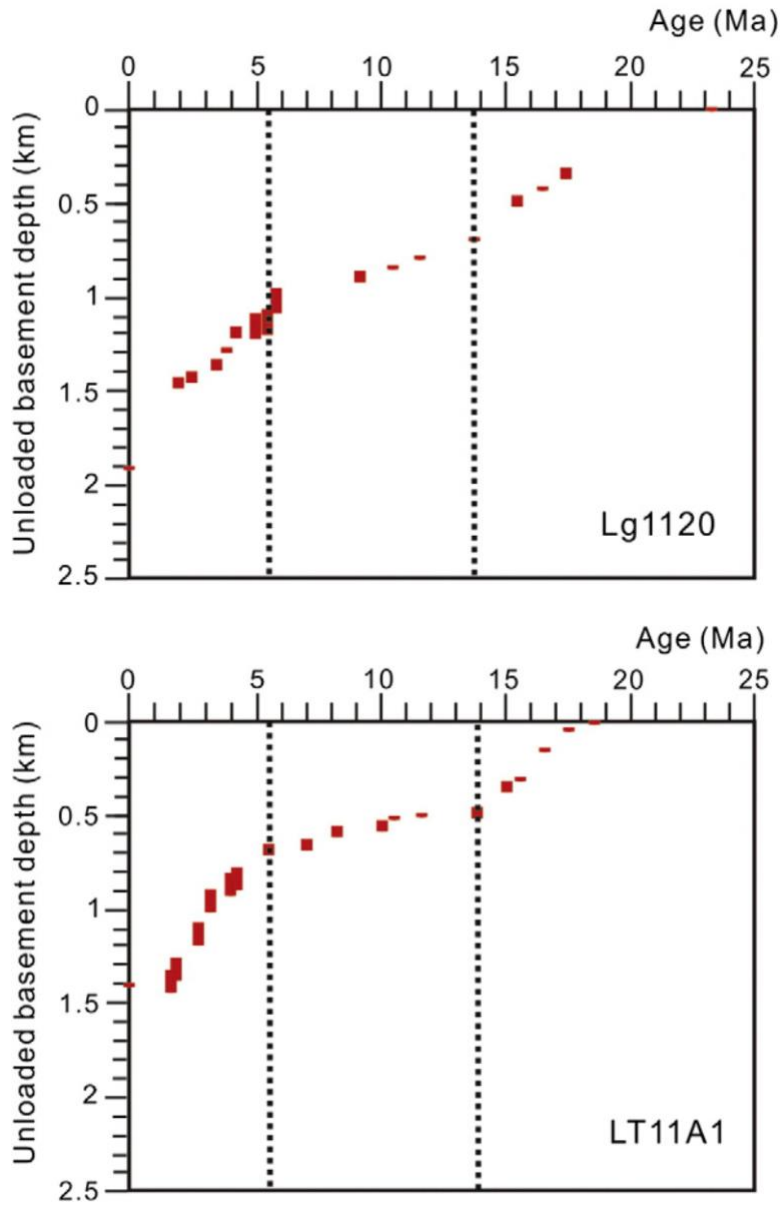


763 Fig.7 Regional maps showing the sediment thickness variability during the thirteen time periods
 764 investigated in this study. Specific depositional units shown here represent the main input to the
 765 sediment released from Red River catchment.



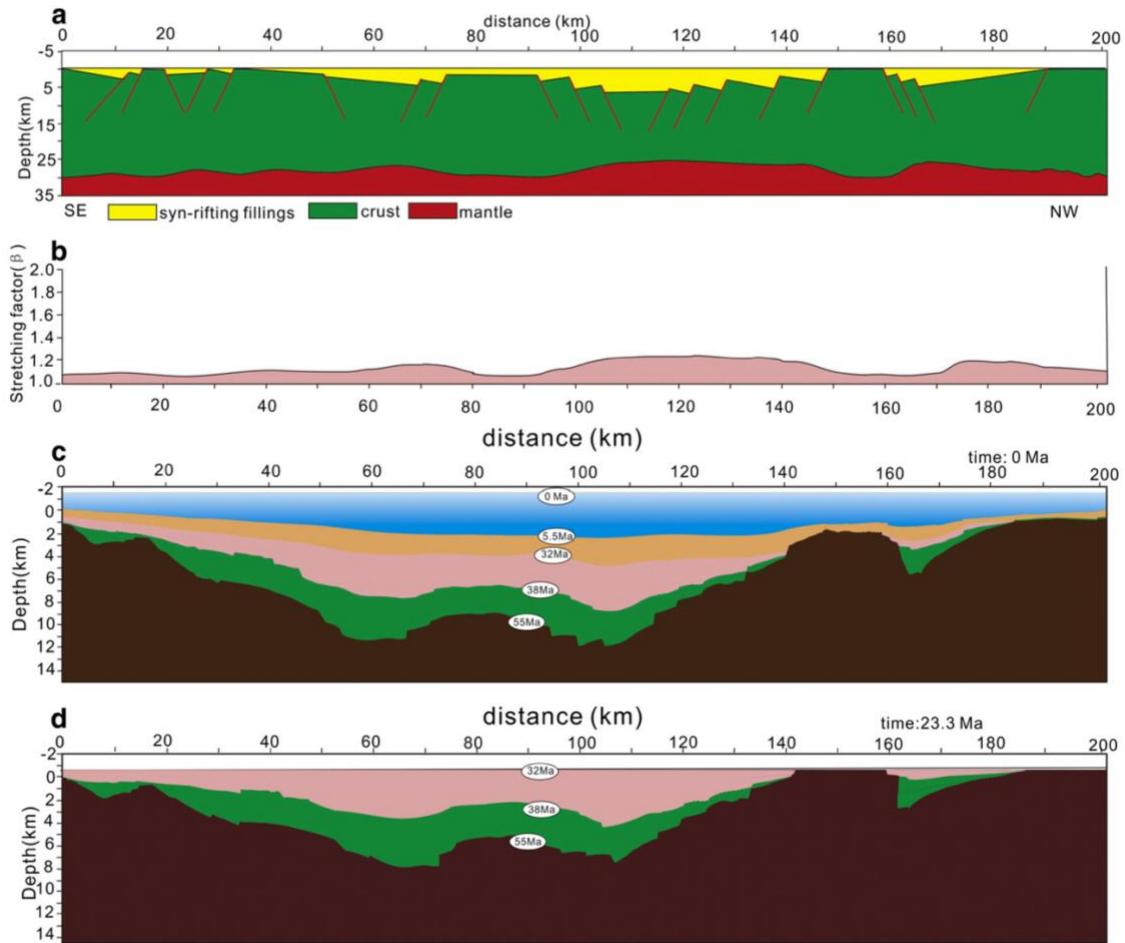
766

767 Fig.8 Estimated sediment volume accumulation rates since Oligocene for the Yinggehai-Song Hong
 768 basin (green block diagram). On the top of the figure, $\delta^{18}\text{O}$ curve with scale bar in the middle
 769 indicates climatic shifts (Zachos et al., 2001) (red line). In the middle of the figure, blue line and
 770 values on the right axis indicate global sediment mass accumulation from Hay et al (1988) averaged
 771 over 5 Ma time intervals. MMCO: Mid-Miocene climatic optimum



772

773 Fig. 9. Backstripped subsidence history of the sediment-unloaded basement at site of Well LG1120 in
 774 the Lingao Uplift and Well LT11A1 in the Central Yinggehai-Song Hong Depression. Red vertical
 775 bars indicate the unloaded depth to basement at each time, the length of the bar showing uncertainties
 776 derived from paleo-water depth.



777

778 Fig. 10 Depth-dependent lithospheric stretching for line 0793 in the Yinggehai-Song Hong basin. (a)
 779 Syn-rift lithosphere response to extension along planar faults in the upper crust showing half graben
 780 formation, footwall uplift and block rotation. (b) Variable upper crust stretching factors profile
 781 generated by forward model. (c) Present-day depth section of the Line 0793. (d) Section reverse
 782 modeled to 23.3 Ma with stretching factor profile, which is for whole-lithospheric stretching (e). (f)
 783 Stretching factor determined for upper, whole crust and whole lithosphere for Line 0793 in the
 784 Yinggehai-Song Hong basin.

785

786 Table 1 Lithology and age constraints taken from wells LG1120 and LT11A1, which are used for the
 787 1D subsidence analysis

Depth	Thickness	Age	Depositional environment	Minimum paleo-water depth	Maximum paleo-water depth	Litho 1	L1	Litho 2	L2	Litho 3	L3
(m)	(m)	(Ma)		(m)	(m)		(%)		(%)		(%)
<i>LG1120</i>											
446		0.00									
573	127	1.90	Littoral	1.00	20.00	Sand	0.53	Shale	0.47		
735	162	2.40	Littoral	1.00	20.00	Shale	0.63	Sand	0.37		
1050	315	3.40	Littoral	1.00	20.00	Shale	0.56	Sand	0.44		
1495	445	3.80	Littoral	1.00	20.00	Shale	0.58	Sand	0.42		
1675	180	4.00	Littoral	1.00	20.00	Shale	0.78	Sand	0.22		
1750	75	4.20	Littoral	1.00	20.00	Shale	0.91	Sand	0.09		
2075	325	5.00	Neritic	21.00	150.00	Shale	0.67	Sand	0.33		
2150	75	5.50	Neritic	21.00	150.00	Sand	0.52	Shale	0.48		
2320	170	5.80	Neritic	21.00	150.00	Shale	0.65	Sand	0.35		
2350	30	6.30	Littoral	1.00	20.00	Shale	0.64	Sand	0.36		
2520	170	9.20	Littoral	1.00	20.00	Shale	0.88	Sand	0.12		
2595	75	10.50	Coastal plain	0.00	1.00	Shale	0.97	Sand	0.03		
2675	80	11.60	Coastal plain	0.00	1.00	Shale	0.83	Sand	0.17		
2839	164	13.80	Coastal plain	0.00	1.00	Shale	0.85	Sand	0.15		
3272	433	15.50	Littoral	1.00	20.00	Sand	0.60	Shale	0.40		
3327	55	16.50	Coastal plain	0.00	1.00	Shale	0.80	Sand	0.20		
3475	149	17.50	Littoral	1.00	20.00	Shale	0.54	Sand	0.46		
3803	328	23.00	Coastal plain	0.00	1.00	Shale	0.53	Sand	0.47		
<i>LTI1A1</i>											
51		0									
445	394	1.64	Neritic	21.00	150.00	Sand	0.53	Shale	0.47		
670	225	1.8	Neritic	21.00	150.00	Sand	0.54	Shale	0.46		
1270	600	2.7	Neritic	21.00	150.00	Shale	0.75	Sand	0.25		
1730	460	3.2	Neritic	21.00	150.00	Shale	0.66	Sand	0.34		
1900	170	4	Neritic	21.00	150.00	Shale	1.00	Sand	0.00		
1975	75	4.2	Littoral	1.00	20.00	Shale	1.00	Sand	0.00		
2100	125	5.5	Littoral	1.00	20.00	Shale	0.59	Sand	0.41		
2150	50	7	Littoral	1.00	20.00	Shale	1.00	Sand	0.00		
2250	100	8.2	Littoral	1.00	20.00	Shale	0.63	Sand	0.37		
2295	45	10	Littoral	1.00	20.00	Shale	0.74	Sand	0.26		
2335	40	10.5	Coastal plain	0.00	1.00	Shale	0.54	Sand	0.46		
2370	35	11.6	Coastal plain	0.00	1.00	Sand	0.54	Shale	0.46		
2430	60	13.8	Littoral	1.00	20.00	Sand	0.75	Shale	0.25		
2680	250	15	Littoral	1.00	20.00	Sand	0.74	Shale	0.26		
2724	44	15.5	Coastal plain	0.00	1.00	Sand	0.92	Shale	0.08		
2920	196	16.5	Coastal plain	0.00	1.00	Sand	0.59	Shale	0.41		
3010	90	17.5	Coastal plain	0.00	1.00	Shale	0.84	Conglomerate	0.09	Sand	0.07
3048	38	18.5	Littoral	1.00	20.00	Shale	0.58	Sand	0.28	Conglomerate	0.14