

Active inversion tectonics, simple shear folding and back-thrusting at Rioni Basin, Georgia

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Abstract

The Rioni Basin, located between the Greater and Lesser Caucasus in Georgia, is an outstanding example of ongoing inversion tectonics. Marine and continental deposits of Cretaceous-Neogene age have been locally uplifted since the ending of Miocene. The area of uplift is of 1300 km², and Plio-Quaternary river deposits have been raised up to 200 m above the surrounding plane. Inversion tectonics has been accompanied by the development of south-vergent asymmetrical folds and strike-slip faults along the border of the uplifted area. The folds have locally an en-échelon geometry and microtectonic data indicate rotation of the paleostress direction with time, suggesting simple shear deformation. In the interior of the uplifted area, there are gentle symmetrical folds and one main active south-dipping reverse fault, corresponding to a backthrust. A series of morphostructural clues, the tilting of Quaternary strata, the offset of Quaternary alluvial deposits, and the presence of crustal seismic activity, indicate that compressional tectonics is still active. The combination of field data with seismic reflection sections shows that the inversion tectonics took place through a series of north-dipping blind thrusts and a wedge with passive back-thrusting. Uplift and contraction are more developed along the eastern part of the study area, suggesting the westward propagation of the closure of the Transcaucasian depression.

Key words: Caucasus, active faults, simple shear folds, seismicity, inversion tectonics, backthrusts

1 Introduction

The Caucasus is a young orogenic system that started to develop following the Tertiary collision between the Arabian and Eurasian plates. This collision caused the

38 partial closure of previous basins whose modern remnants are represented by the
39 Black Sea and the Caspian Sea (Fig. 1). Between these two depressions there are the
40 Greater and Lesser Caucasus, separated by the Transcaucasian intermontane valley.
41 In the Transcaucasian depression, during Oligocene-Early Miocene times the Rioni
42 Basin and the Kura Basin developed as foreland depressions, and successively were
43 involved into the orogenic fold-and-thrust belts (Adamia et al., 1977, 2010, 2011a,
44 2011b; Banks et al., 1997; Mosar et al., 2010; Sosson et al., 2010, 2013; Forte et al.,
45 2010; Alamia et al., 2016).

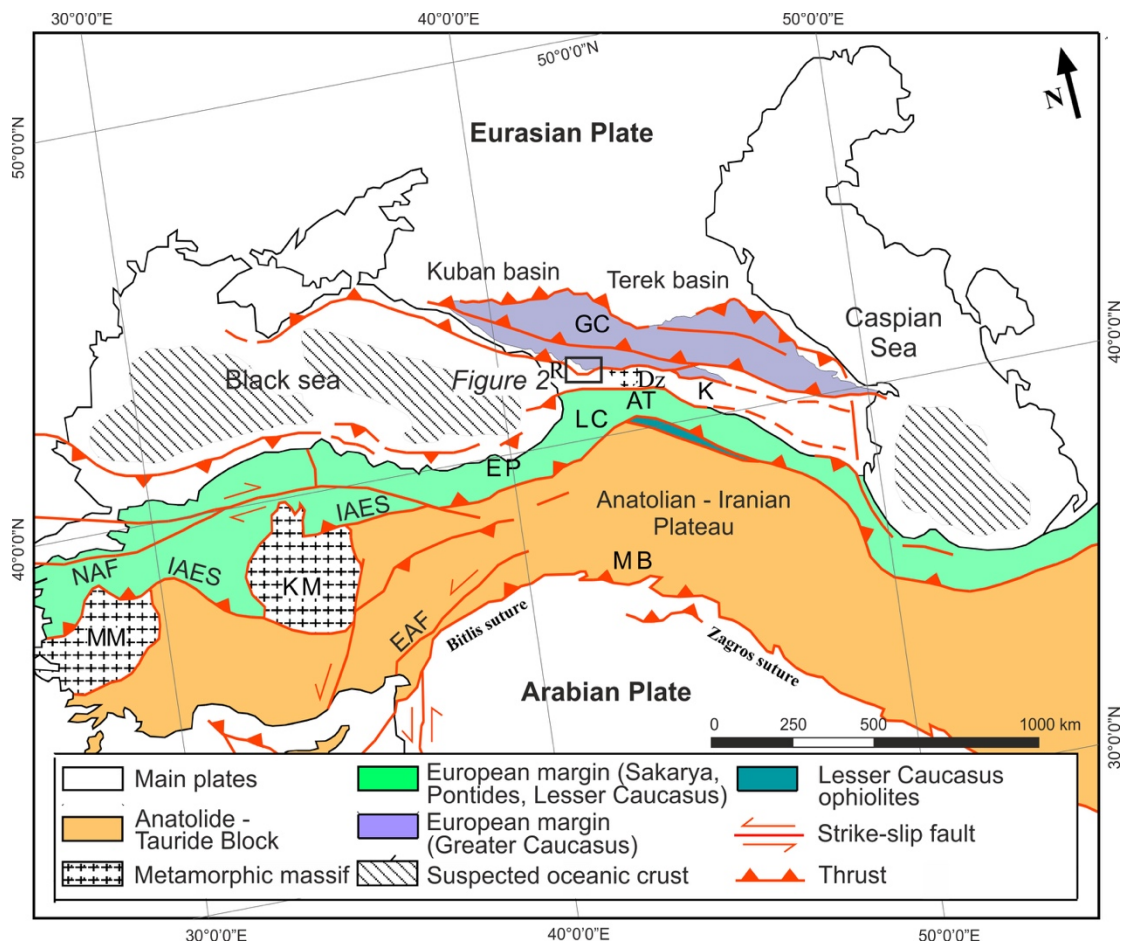
46 The recent geodynamic processes are also testified by the magmatic activity, with
47 volcanoes emplaced both at the edge of the Greater Caucasus, as the Mt Elbrus, or
48 along the Lesser Caucasus (Rebai et al., 1993). The volcanism in the mountain belt,
49 associated to the Eurasian and Arabian plates collision started only in the late Miocene
50 in the central part of the Caucasus (Adamia et al., 1977, 2015; Koronovskii and
51 Demina, 1999).

52 Recent GPS data and plate tectonic models indicate that, also at nowadays, the
53 Greater and Lesser Caucasus are tectonically very active, with ongoing mountain
54 building processes comprising complex plate boundary interactions with vertical and
55 horizontal strain partitioning (Rebai et al., 1993; Koçyiğit et al., 2001; Reilinger et al.,
56 2006; Tan and Taymaz, 2006; Kadirov et al., 2013). Very active ongoing process of
57 mountain building are also demonstrated by diffuse seismicity with compressional
58 events of magnitude up to 7 (Tsereteli et al., 2016).

59 All these data indicate that the Caucasus orogens and the Transcaucasus
60 depression represent outstanding examples of recent mountain building processes of
61 Neogene-Quaternary times. The Neogene compression produced partial closure of the
62 Eastern Black Sea and the consequent flexure of its northern margin (Banks et al.,
63 1997). This flexuring, in turn, induced the formation of the relatively small Rioni Basin,
64 which is normally interpreted as a foreland basin filled with late Miocene to Quaternary
65 sediments. In spite of this structural setting, the Rioni Basin also shows a series of
66 folds that suggest inversion tectonics. We thus embarked in a major effort to
67 understand the age and modality of occurrence of this inversion tectonics, also in view
68 of the potential associated seismic hazard in case of still-ongoing processes. The
69 region, in fact, hosts several villages and small towns and an important hydroelectric
70 infrastructure. We thus integrated new field geological-structural, microtectonic and
71 geomorphological data with seismological data and seismic reflection sections, in order

72 to describe the structural architecture of the Rioni Basin. Regional observations have
 73 been integrated with detailed and systematic microtectonic data with the aim of
 74 understanding the development of the shallow folds and of reconstructing the evolution
 75 of stress orientations. The results show that the uplifting Rioni Basin is mostly bounded
 76 by south-vergent hidden thrusts, whereas to the east is limited at the surface by simple
 77 shear folds. The interior of the uplifting area is affected by a main active backthrust in
 78 response to a tectonic wedge. The data here exposed are useful not only for a better
 79 comprehension of the structural architecture and seismic hazard of the area, but also
 80 as a general example of how active blind thrusts and shallow faults may influence
 81 surface structures and landforms.

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84 **Figure 1.** Tectonic map of the Arabia - Eurasia collision zone (modified from [Sosson](#)
 85 [et al., 2010](#)). Abbreviations: GC-Greater Caucasus; LC-Lesser Caucasus; AT-Achara-
 86 Trialeti; R-Rioni; Dz-Dzirula; K-Kura; MB-Mus Basin; EP-Eastern Pontides; KM-
 87 Kirsehir Massif; EAF-Eastern Anatolian Fault; NAF-North Anatolian Fault; IAES-Izmir-
 88 Ankara-Erzincan Suture; MM-Menderes Massif.

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92 **2 Geological settings**

93 **2.1 Regional geology**

94 Rioni Basin is located between the western Greater Caucasus orogen and the
95 Achara-Trialeti fold and thrust belt, which belongs to the northern part of Lesser
96 Caucasus (Fig. 1). The western Greater Caucasus is predominantly a single-vergent
97 orogeny (i.e. southward), in contrast to the central and eastern parts of Greater
98 Caucasus that show a double-vergent orogenic wedge (Forte et al., 2014). During the
99 long Neotethys subduction process, several domains formed in back-arc positions
100 within the Eurasian Plate, mainly the Greater Caucasus basin that opened in Early–
101 Middle Jurassic (Khain, 1974; Dercourt et al., 1986; Adamia et al., 1981, 2011a), and
102 the western and eastern Black Sea basins that opened during the Cretaceous and
103 Cenozoic (Adamia et al., 1974, 1981; Letouzey et al., 1977; Finetti et al., 1988;
104 Zonenshain and Le Pichon, 1986; Okay et al., 1994, 2013; Robinson et al., 1996;
105 Spadini et al., 1996; Cloetingh et al., 2003; Vincent et al., 2005; Yegorova and
106 Gobarenko, 2010; Khriachtchevskaia et al., 2010; Stephenson and Schellart, 2010) or
107 Middle Jurassic–Early Cretaceous (Sosson et al., 2016). Based on apatite fission-track
108 data, the exhumation process in the Greater Caucasus started in the Oligocene and
109 reached the highest rate in the Miocene–Pliocene (Vincent et al., 2007; Vincent et al.,
110 2010; Avdeev and Niemi, 2011). Plate reorganization occurred within the Arabia-
111 Eurasia collision zone at ~5 Ma BP, and first-order plate motions have remained
112 relatively constant since that time (Westaway, 1994; McQuarrie et al. 2003; Allen et
113 al., 2004). The period ~3–5 Ma coincides with the formation of the Zagros fold and
114 thrust belt, South Caspian Sea and Rioni-Kura foreland fold and thrust belts (Adamia
115 et al., 2010; Adamia et al., 2011a; Forte et al., 2010; Devlin et al., 1999). The Apatite
116 fission-track data from the central part of Achara-Trialeti fold and thrust belt (Borjomi
117 area) indicate that exhumation of Paleogene strata and compressional deformation
118 started in Middle Miocene (Albino et al., 2014). According to GPS data, convergence
119 rate between the Greater Caucasus and Lesser Caucasus increases from West to East
120 in the Caucasus region; namely, convergence rate in the Rioni basin is about 4 mm/yr,
121 and in Kura foreland (in Azerbaijan) is about 14 mm/yr (Reilinger et al., 2006).

122 Rioni Basin developed mainly during the Oligocene–Miocene through loading by
123 the Achara-Trialeti and Greater Caucasus fold and thrust belts (Banks et al., 1997).
124 The northern part of Rioni foreland is characterized by thrusts with a curved geometry
125 in plan view, and is dominated by a thin-skinned tectonic style (Banks et al., 1997;

126 [Adamia et al., 2010, 2011b](#)). Ramp anticlines formed above south-vergent thrusts that
127 detach and flatten along the Upper Jurassic evaporites of the Rioni foreland basin. The
128 anticlines involve Cretaceous-Neogene strata and are arranged en-échelon. These
129 structures are clearly related to compression in the Greater Caucasus ([Banks et al.,
130 1997; Adamia et al., 2010](#)). Regionally, the Greater Caucasus frontal folds began to
131 form as early as Late Eocene but anticlines from the northern part of Rioni Basin
132 apparently formed from the Middle Miocene onwards with most growth probably during
133 the Meotian and very little during the Pontian-Recent ([Banks et al., 1997](#)). Beneath the
134 ramp anticlines and a similar offshore structure, known as Shatsky Ridge, it can be
135 seen that the top Cretaceous regional surface actually falls by seismic profile across
136 the compressional faults. This suggests that the thrusts overlie earlier extensional
137 faults that are likely to be related to the opening of the Eastern Black Sea. As a
138 consequence, these normal faults should have a Late Paleocene to Early Eocene age
139 ([Robinson et al., 1996; Banks et al., 1997](#)).

140 The frontal folds of the Achara-Trialeti fold and thrust belt form the oil-bearing
141 structures on the southern flank of the Rioni basin. These structures are compressional
142 ramp anticlines formed initially from the Early Sarmatian to the Pontian with minor
143 growth continuing into the Quaternary ([Banks et al., 1997; Adamia et al., 2010](#)).

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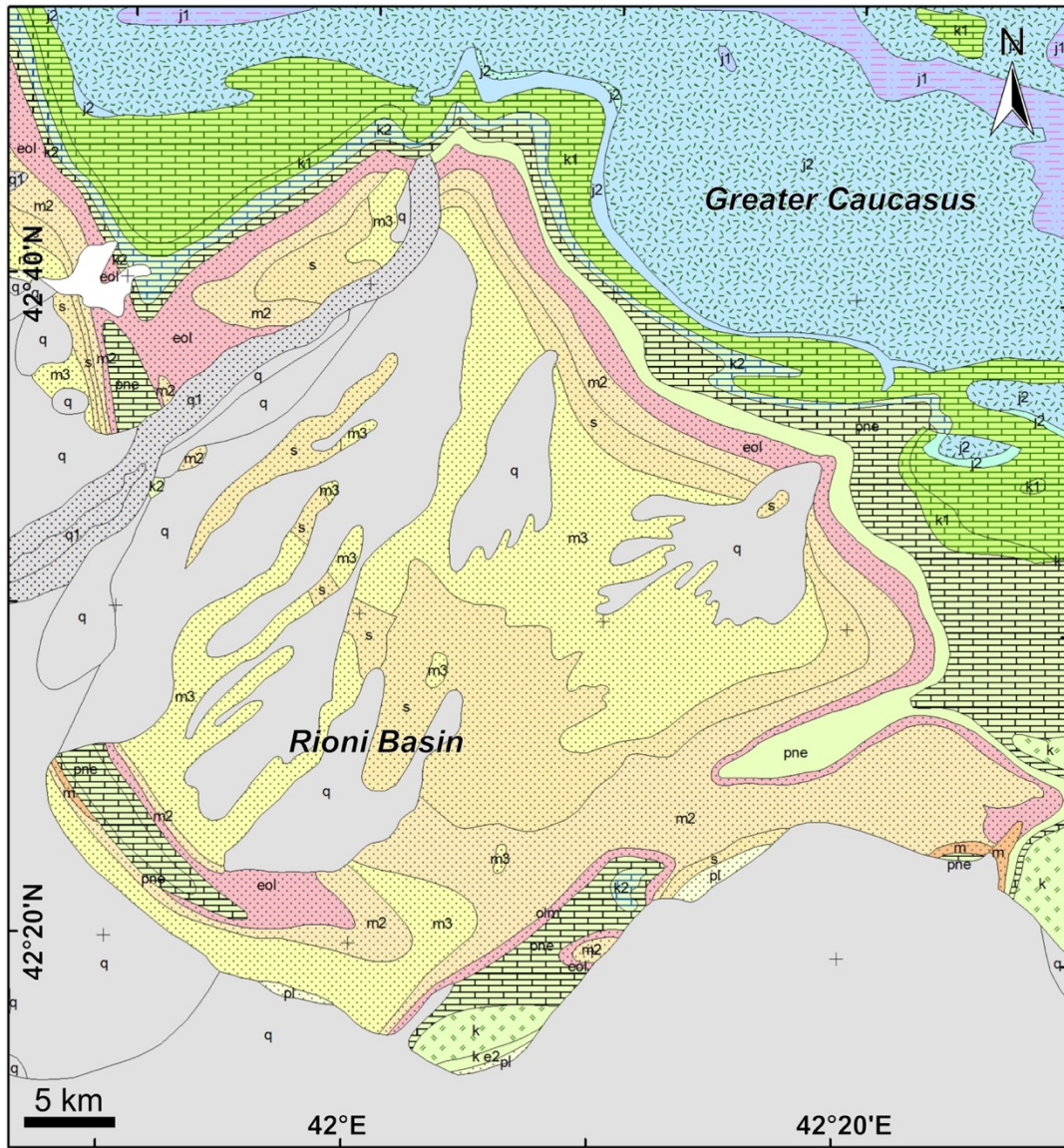
145 **2.2 Stratigraphy of Rioni Basin**

146 The distribution of the main stratigraphic units of Rioni Basin is represented in the
147 geological map of [Figure 2](#) and schematized in the stratigraphic column of [Figure 3](#).
148 The sedimentary cover of the Rioni basin is commonly > 5 km thick ([Adamia et al.
149 2011a](#)). The oldest formation exposed north (Greater Caucasus) of Rioni Basin is
150 represented by Lower Jurassic (about 1500 m thick) sandstones and shales. Pre-
151 Jurassic basement crops out in the Dzirula Massif that separates the Rioni and Kura
152 basins. The Dzirula massif is dominated by pre-Variscan diorite-plagiogneiss-
153 migmatite complex and Variscan granitoids ([Zakariadze et al., 2007; Adamia et al.,
154 2011a](#)). The Middle Jurassic deposits are represented by Bajocian tuff-turbidities with
155 rare bands of calc-alkaline andesite-basalts and the Bathonian freshwater-lacustrine
156 coal-bearing sandy-argillaceous rocks; thickness is about 2500 m. The Upper Jurassic
157 rocks are made of evaporites, clastic deposits, and basalts (about 500 m thick). Lower
158 Cretaceous turbidities, dolomites, limestones (Urgonian facies), organogenic
159 limestones and marls, and conglomerates at the base, transgressively rest on the

160 Upper Jurassic rocks; thickness is about 350-400 m ([Adamia et al. 2011a](#)). Within the
161 Rioni Basin and in the surrounding area, there are also Upper Cretaceous, Paleocene
162 and Eocene deposits mainly made of neritic organogenic limestones, marls and
163 volcanogenic rocks; their thickness in some places exceeds 2000 m ([Adamia et al.](#)
164 [2011a](#)). The Oligocene-Lower Miocene (Maykopian) series is represented mainly by
165 alternation of gypsiferous clays with sandstones that are highly specific for the Eastern
166 Paratethys; thickness is about 800-900 m ([Banks et al., 1997](#); [Jones & Simmons, 1997](#);
167 [Adamia et al., 2010](#)).

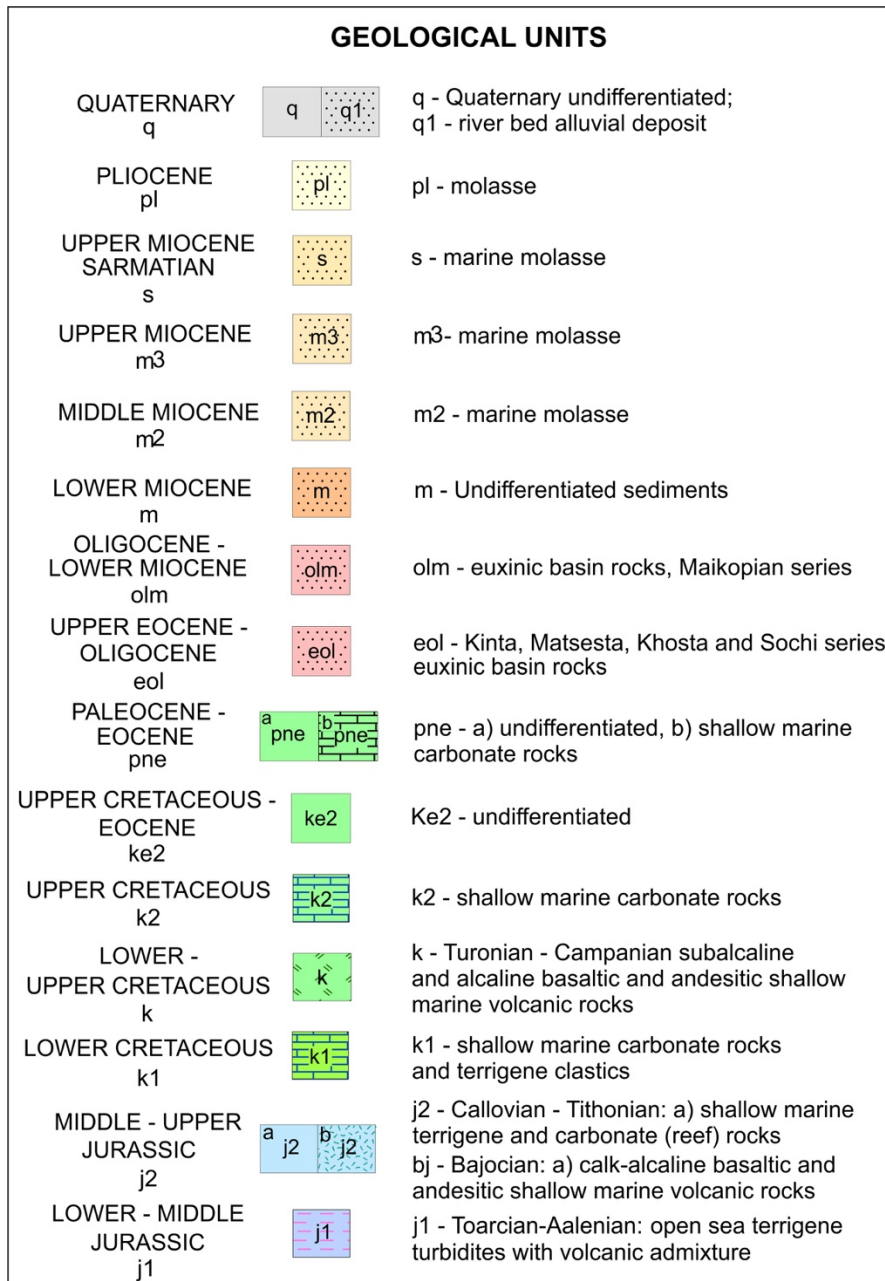
168 Syntectonic strata of Middle - Upper Miocene (Sarmatian and Meotian-Pontian),
169 Pliocene (Cimmerian and Kuyalnikian) and Pleistocene (Gurian) are represented by
170 shallow marine and continental, predominantly terrigenous clastic deposits,
171 conglomerates, sandstones, mudstones, claystones, sandy clays, clays and rare shell-
172 beds. Total thickness of the syntectonic strata is about 1500-2000 m ([Banks et al.,](#)
173 [1997](#); [Adamia et al., 2010](#)).

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 176 **Figure 2.** Geological map of the study area (modified after [Adamia and Gujabidze,](#)
 177 [2004](#)).

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181 **Figure 3.** Lithostratigraphic units present in the Rioni basin and their ages (modified
182 after *Adamia and Gujabadze, 2004*).

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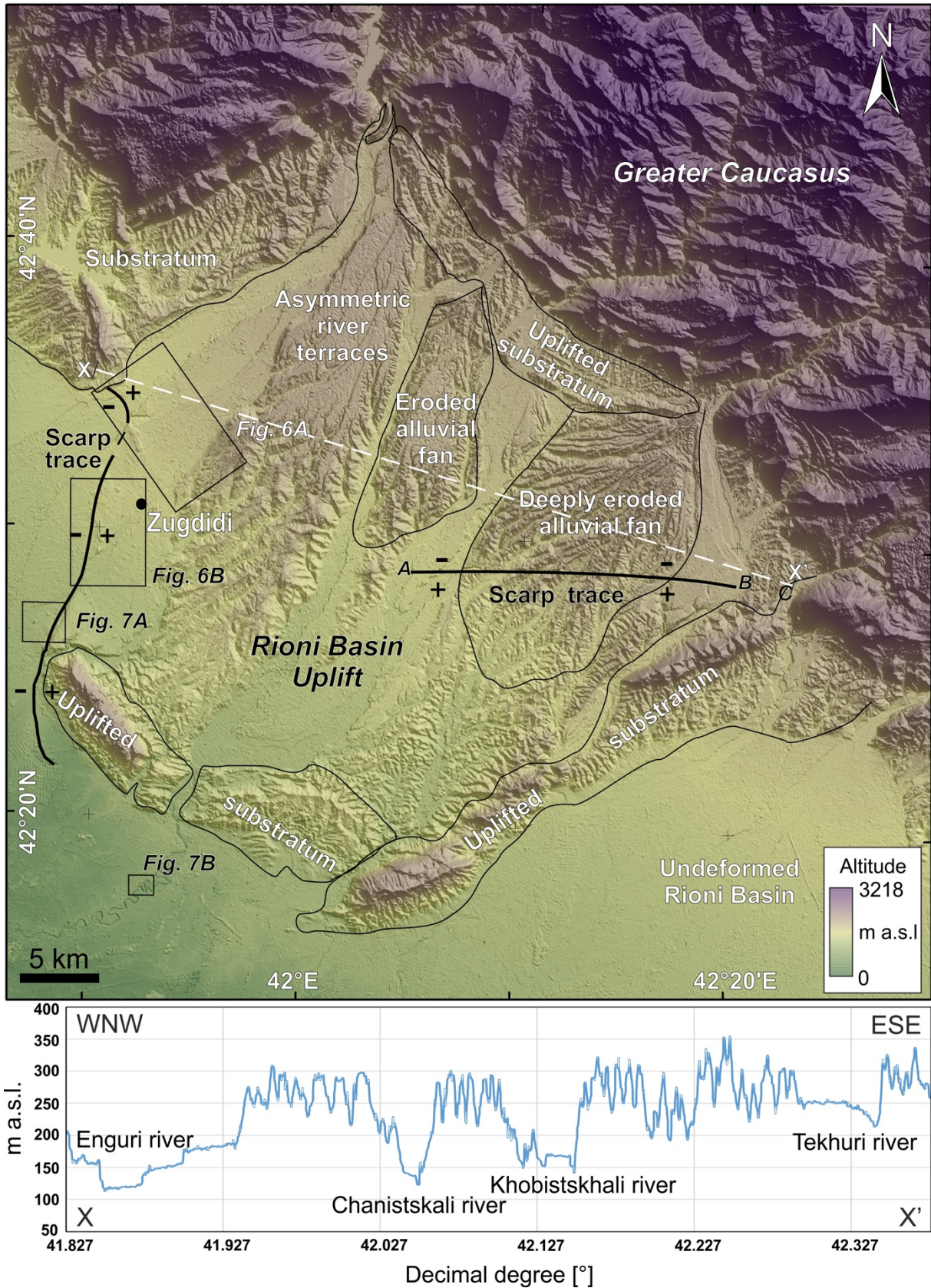
184 3 New data

185 In the next sections we describe the results of a series of multidisciplinary analyses
186 that we carried out in the region. We will describe first the geomorphological
187 observations, then field geological-structural data, seismological data, and seismic
188 reflection profiles.

189

190 3.1 Geomorphology

191 Part of the Rioni Basin presents a series of geomorphological characteristics that
 192 deserve attention. While along the coast of Black Sea and towards the Lesser
 193 Caucasus, the floor of the Rioni Basin is flat (altitudes mostly < 10 m a.s.l.), more to
 194 the north, in the area shown in Figure 4, the topography rises up to 300-400 m a.s.l.



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196 **Figure 4.** Digital Elevation Model of Rioni Basin uplift area, with indication of the main
197 geomorphological features and location of **Figures 6-7**. The graph illustrates the
198 eastward increase of topography along the trace X-X'.
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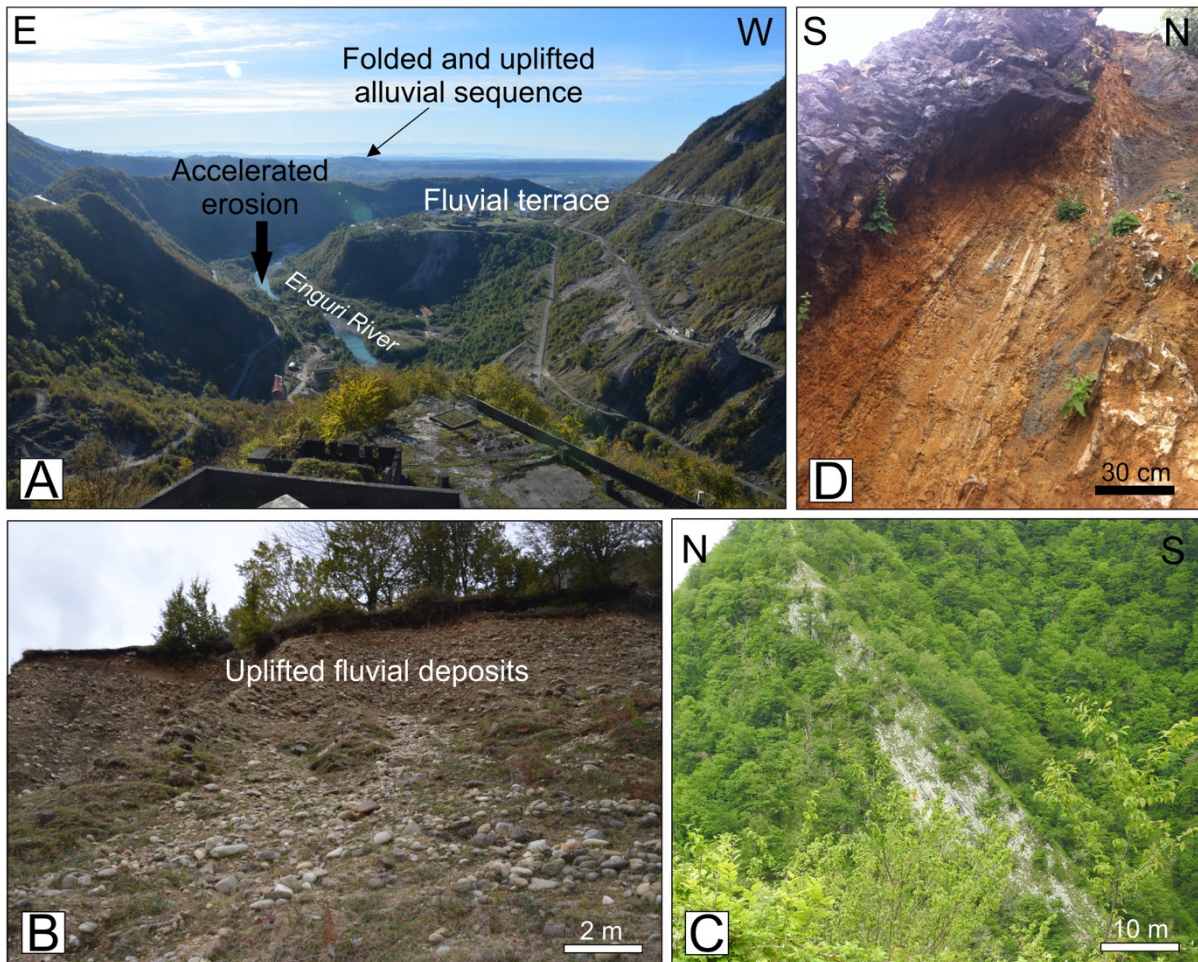
200 This area is characterised by a complex morphology with deeply dissected alluvial fans
201 and series of rivers terraces at different altitudes. Elongated features are also present
202 and corresponds to anticline structures as will be described in the chapter dedicated
203 to structural geology.

204 Most of this relatively high area is covered by fluvial conglomerates of Pliocene-
205 Quaternary age. These conglomerates have been found up to altitudes of 350-400 m
206 (**Figs. 5A-B**) and thus stand 100-200 m above the surrounding valley floor. The
207 conglomerate succession is in turn deeply incised by the modern Enguri river: for
208 example, at the foothill of the Greater Caucasus, immediately south of the Enguri dam,
209 the river is deeply entrenched in a canyon, with the river bed located at 305-290 m
210 a.s.l. (**Fig. 5A**) This canyon has been cut into the carbonatic bedrock, leaving a river
211 terrace with conglomerates at an altitude of 409-395 m a.s.l. Another parallel river,
212 located more to the east, also excavated a 140-600 m deep gorge into the same
213 bedrock. More to the south, the northern segment of the Enguri river flows at an altitude
214 of 255-240 m a.s.l.; along the same course segment, the conglomerates in the upper
215 terraces stand up to 370 m a.s.l., indicating an erosion of about 120 m. At the foothill
216 of the Greater Caucasus there are also two large alluvial fans (**Fig. 4**). They show deep
217 river incisions and are in turn cut by more recent terraces, especially to the west.

218 The river terraces are particularly developed along the Enguri valley, in the western
219 part of this high area. Most, if not all of them, are asymmetric terraces, in the sense
220 that they developed only on the eastern side of the river valley. As an example, we can
221 see in **Figure 6A** the strong asymmetry of the river terraces produced by the Enguri
222 river. In the background of this oblique view of the area (looking north), it is possible to
223 observe that at least eight orders of river terraces are present on the eastern side of
224 the Enguri river, suggesting a gradual migration of the river in the opposite direction.
225 The bottom of this series of river beds is interrupted along the zone A-B-C (**Fig. 6A**) by
226 a slight uplifted topography elongated NNW-SSE, and standing from a few meters up
227 to 20 m respect to the valley floor further south. This uplifted zone is transversal to the
228 modern course of the Enguri river, and the latter is obliged to make a deviation towards
229 SE. Part of the river is capable of flowing again towards south at point B, but the zone

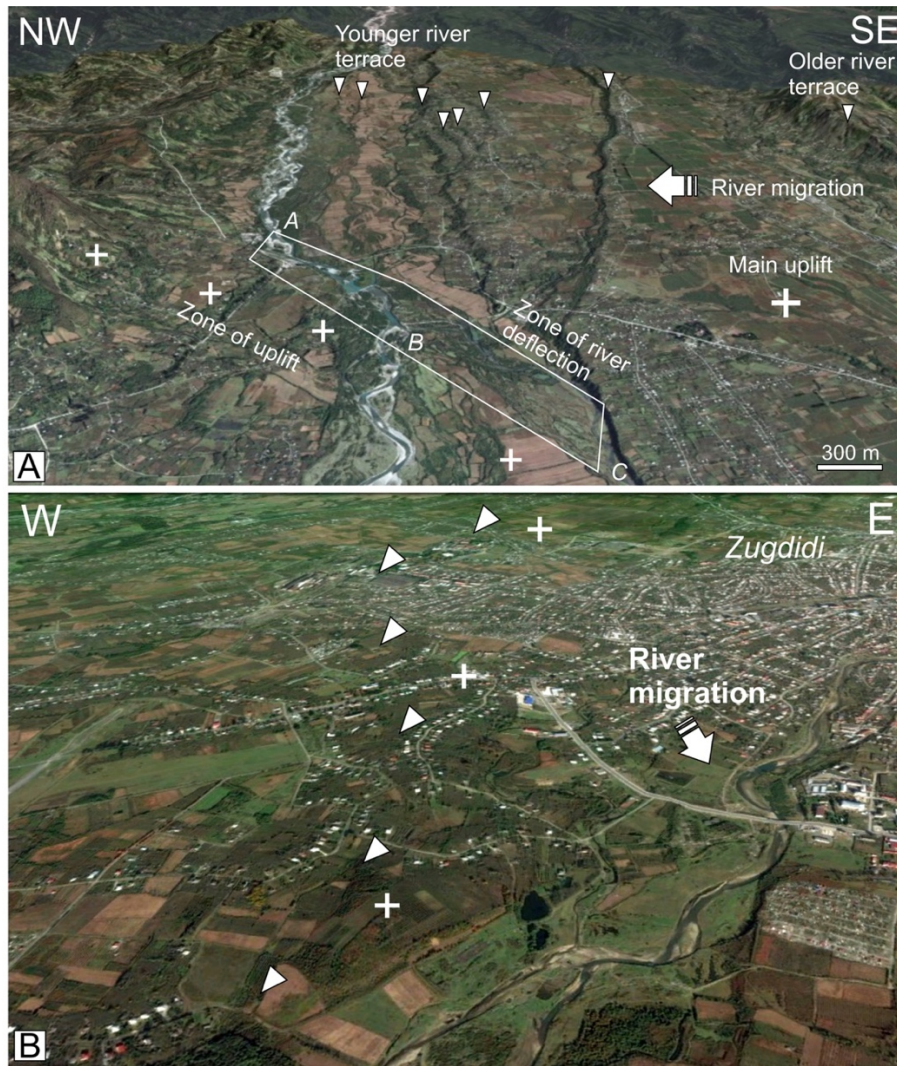
230 of uplift deflected part of the water course more to the SE, allowing southward flow of
231 the river only at point C.

232 The above described morphological scarp can be followed more to the south, at the
233 western side of the town of Zugdidi and further south (see the complete trace in Fig.
234 4). Near Zugdidi in fact (Fig. 6B), there is a N-S-striking escarpment, facing westwards,
235 about 10-35 m high respect to the valley floor further west. This feature can resemble
236 a river terrace escarpment, but detailed measurement of the topography along the foot
237 and the upper edge of the scarp, shows that altitudes slightly increase southwards. If
238 this escarpment was a river terrace, it should have had a slight decrease in altitude in
239 the direction of river flow, which is to say southward. The fact instead that the altitude
240 increases in that direction, suggests that this escarpment might represent a tectonic
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243 **Figure 5.** (A) River terraces and (B) fluvial conglomerates standing up to altitudes of
244 150-200 m respect to the present valley floor. (C) Near Enguri, the substrate of
245 carbonatic rocks of the Greater Caucasus shows a dominant dip towards S forming a
246 steep monocline. (D) The southern limb of the Senaki fold shows steeply-dipping
247 volcanic and sedimentary strata.
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250 **Figure 6.** (A) Westward migration of the northern part of the Enguri River (north of
 251 point A) and opposite eastward migration of the southern Enguri segment (south of
 252 point B). The white triangles indicate the eight orders of fluvial terraces. The box shows
 253 the strip along which the Enguri river has been deviated from point A to point B and
 254 also to point C. (B) River migration towards SE induced by an elongated zone of uplift;
 255 white triangles indicate the possible fault scarp. (GoogleEarth oblique views, 3x vertical
 256 exaggeration). Location in [Figure 4](#).

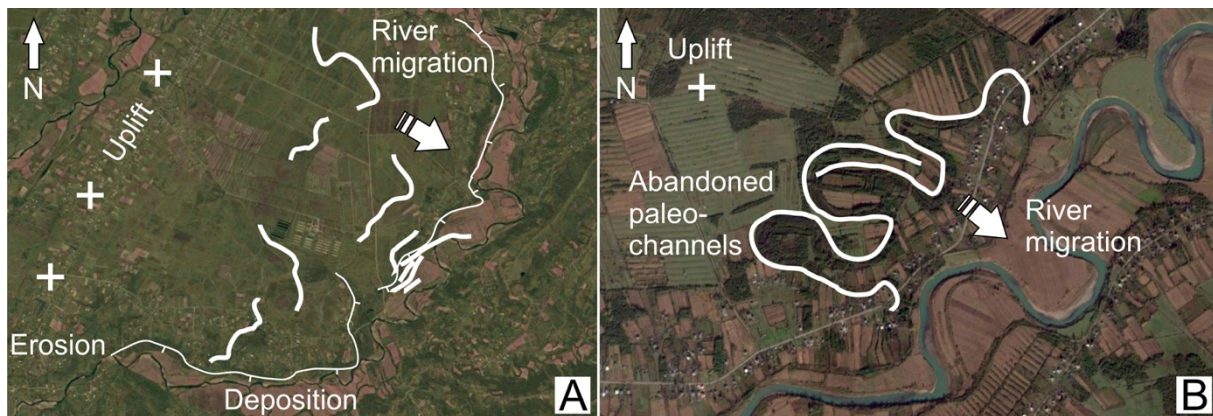
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258 feature, as will be discussed later. The relatively uplifted area located NE of this
 259 escarpment shows evidence of past river migration towards SE.

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Similar evidence is present at several other areas, as for example in [Figures 7A](#) and
 261 [7B](#). In these areas there are traces of ancient water courses in the form of abandoned
 262 channels or linear sinuous zones of very high humidity visible in infrared satellite
 263 images. These ancient water courses are located between topographic highs and the
 264 modern river course, indicating past river migration towards SE. All these areas are
 265 located along the frontal part of the uplifted Rioni Basin.

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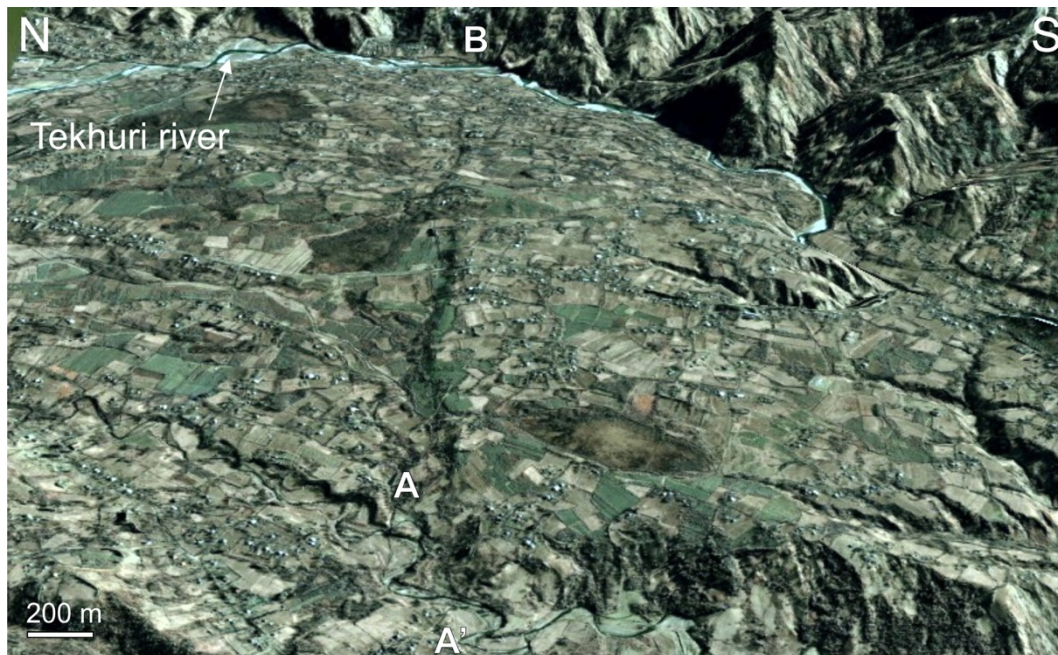
Figure 7. Migration of rivers induced by differential uplift along the frontal zone of the Rioni Basin uplift. Thick lines represent traces of old river beds as evidenced by differential humidity in infrared satellite images (A) or abandoned channels with still recognizable landforms (B). Thin lines are river terrace escarpments. Crosses indicate zones of uplift. Location in [Figure 4](#).

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In the northern part of the Rioni Basin uplift area, at the foothills of the Greater Caucasus, there is a series of linear features trending WNW-ESE that correspond to outcrops of substrate carbonatic strata with a steep dip angle. In the central part of the uplift area, the morphology is more complex with series of hills with different orientation. They partially correspond to structural highs linked to folds of the substrate or of the Neogene-Quaternary sedimentary deposits, and to old fluvial patterns.

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In the central-eastern part of the uplift area, there is an E-W-striking scarp facing north (points A-B in [Fig. 4](#)). This scarp cuts the continuity of all the other landforms and interests also the alluvial plane to the east ([Fig. 8](#)). Along this segment the Tekhuri river is deflected eastward (B in [Fig. 8](#)) and another river is deflected westward (from point A to point A' in [Fig. 8](#)). The scarp here is from a few meters high to the east up to 15 m high to the west, as can be appreciated in the detailed GPS topographic profiles that we measured perpendicularly to the scarp ([Fig. 9](#)). Further east, the scarp is cut by the Tekhuri river and then there are rare evidence up to the point C of [Figure 4](#) where there is a topographic high of the substrate carbonatic rocks. This outcrop is located south of the possible eastward prosecution of the scarp and shows evidence of overdeepening in the form of an about 20-m-deep gorge excavated by the Abasha river. At the western termination of this scarp, the Ochkhomuri river is deflected westward, whereas an affluent is obliged to run parallel to the scarp. River flow parallel to the scarp may have deepened locally the scarp height, but cannot explain the escarpment formation as a whole. The scarp here, always facing northward, is up to 25 m high. The total length of the scarp is 17.5 km along the segment A-B, and 22 km



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299 **Figure 8.** Oblique view (Google Earth, 3x vertical exaggeration) of the eastern part of
 300 the E-W scarp affecting the central-eastern area of the Rioni Basin uplift. The scarp
 301 here is cut into the alluvial plane of the Tekhuri river. At point B, the Tekhuri river is
 302 deflected eastward, whereas at point A another minor river is deflected westward at
 303 point A'.

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305 if we consider the segment A-C (Fig. 4). In Figure 8 it is also important to observe that
 306 the area south of this scarp (right side of the image) is characterised by a slight upward
 307 convex profile and overdeepened erosive gullies.

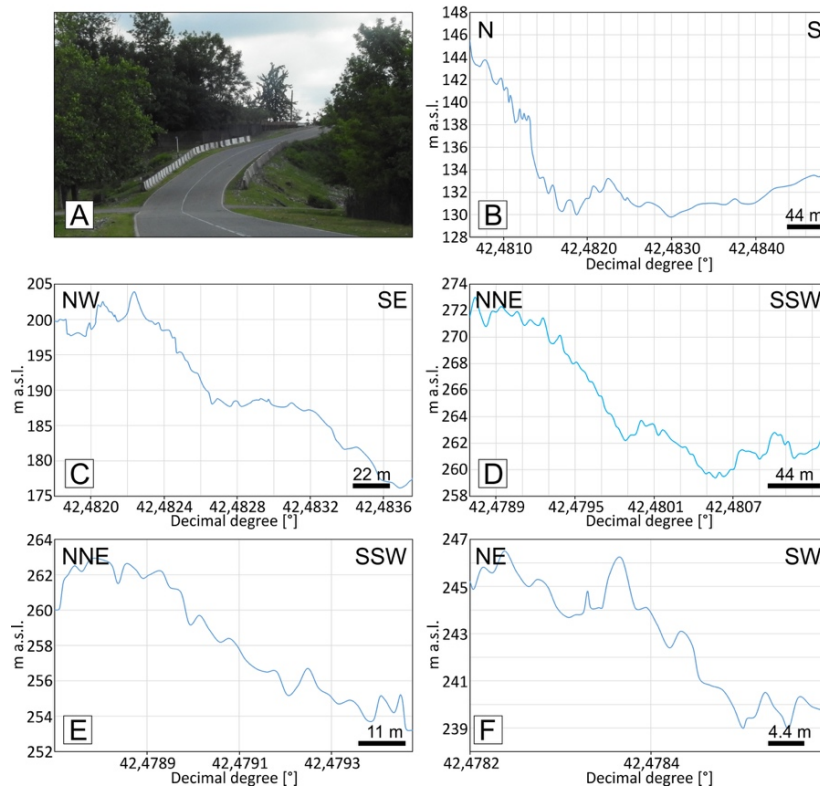
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309 3.2 Structural geology

310 3.2.1 Main structures

311 Starting from the northern part of the study area, the substrate of carbonatic rocks
 312 of the Greater Caucasus shows a dominant dip towards S and SSW (Fig. 10). The
 313 strata dip angle is around 30-50° and then increases southward to 70-90° forming a
 314 monocline (Fig. 5C). Some recumbent folds with gentle interlimb angle are present in
 315 correspondence of the monocline as well as local overturning. The general strata
 316 steepening southward indicates a southern-vergence. No major faults have been
 317 detected here in the field, whereas fault planes with some-cm-long fault striae have
 318 been detected in a secondary tunnel near the Enguri dam, and will be described in the
 319 “Microtectonic data” section.

320 More to the south, in the Rioni Basin uplift, the substrate carbonatic rocks locally
 321 crop out at the foothill of the Greater Caucasus with a sub-vertical dip. Further south



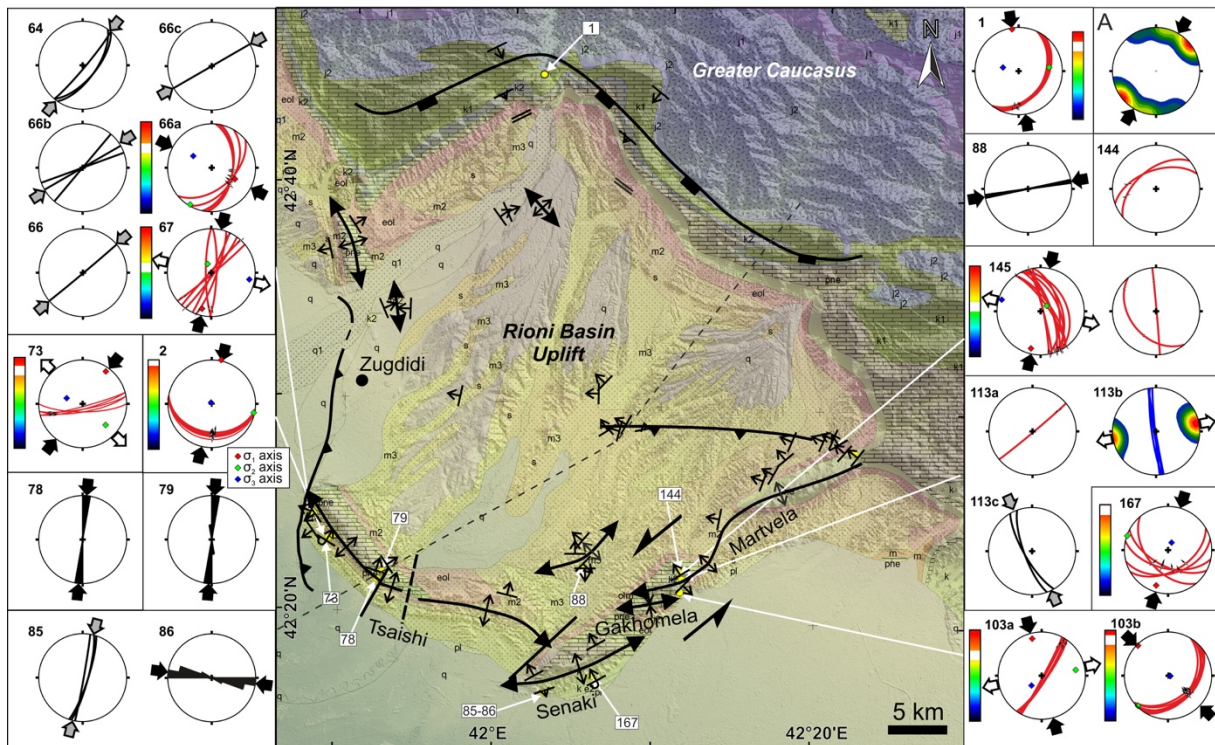
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Figure 9. (A) Photo of the scarp shown in [Figure 8](#). (B-F) Detailed GPS topographic profiles measured perpendicularly to the scarp. The scarp here is from a few meters high to the east up to 15 m high to the west.

328 in all the central area of the Rioni Basin uplift, there are several scattered outcrops of
329 Neogene-Quaternary terrigenous deposits. These are mostly represented by
330 claystones, siltstones and sandstones in the lower stratigraphic part and
331 conglomerates in the upper part. They are arranged in symmetric folds with interlimb
332 angles from gentle to open. The hinge lines trend dominantly N-S to NNE-SSW in the
333 western part and NE-SW to ENE-WSW in the eastern part.

334 Along the southern and eastern border of the uplift area, there is a series of major
335 folds with substrate rocks of carbonatic and volcanic origin cropping out ([Figs. 5D and](#)
336 [10](#)). The topographic culminations of these folds are higher than the remaining
337 topography of the Rioni Basin uplift, and topography becomes flat further south and
338 east. These folds will be described in detail in the following chapter.

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341 **Figure 10.** Map of structures of Rioni Basin uplift area and microtectonic data plotted
 342 as lower hemisphere Schmidt's stereograms. In these plots, black arrows give
 343 orientation of σ_1 and white arrows of σ_3 if horizontal, calculated with inversion of fault
 344 slip data; the white strip in the color bars indicates the tectonic regime (red = reverse,
 345 green = strike-slip and blue = normal). Black arrows give σ_1 from tectonic stylolites if
 346 associated to rose diagrams showing stylolite peaks. Grey arrows give σ_{Hmax} desumed
 347 from extensional joints. Divergent white arrows at site 113b give σ_3 from crystal fibers.
 348 Stereogram "A" in the upper right corner gives the average σ_1 and its dispersion from
 349 the youngest σ_1 directions surveyed in the whole area. Dashed line shows trace of
 350 section of **Figure 16**.

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3.2.2 Fold geometry

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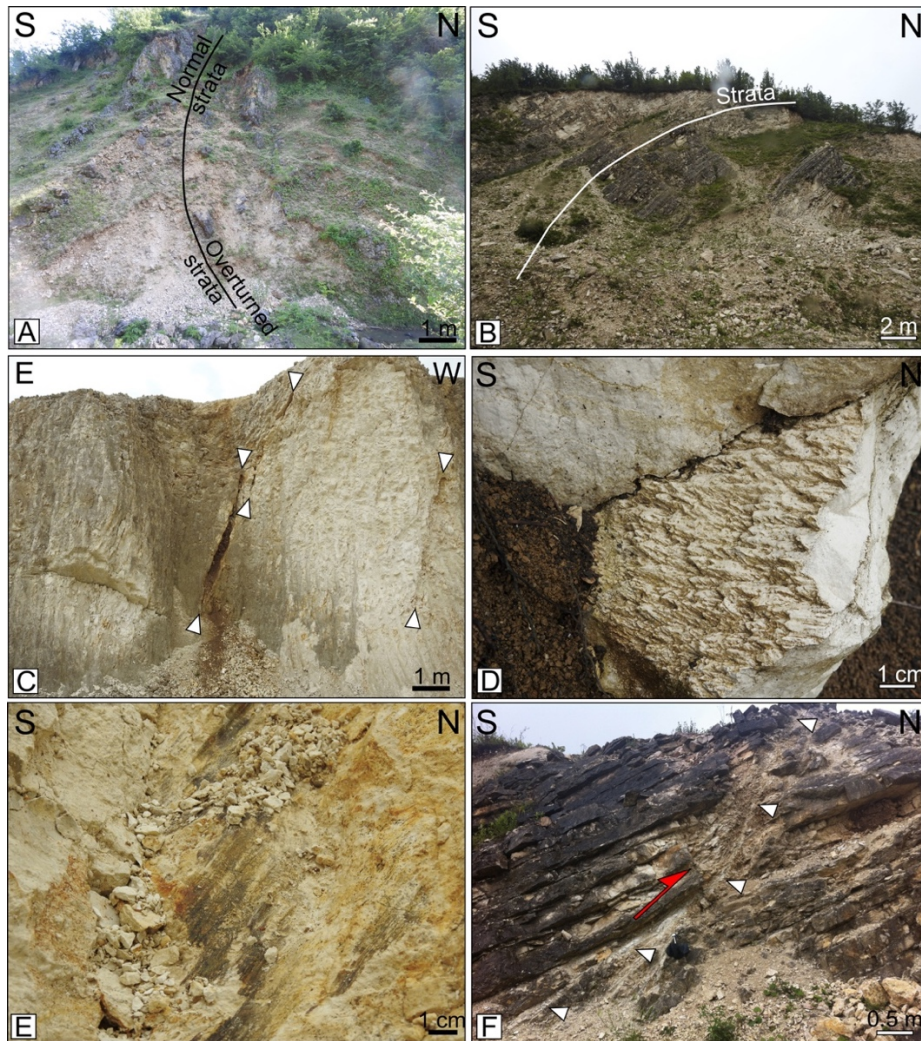
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One major fold is located in the southwestern part of the Rioni Basin uplift and is known as Tsaishi fold (**Fig. 10**). This is an anticline with a more complex structure than previously reported, being characterised by a sub-vertical dip of strata to the southwest, locally overturned, and becoming more gentle towards northeast where strata dip 20-40° to the SW. The axial plane dips about 35° to the NE showing a clear vergence to the SW. The hinge line trends N156° in proximity of the northwestern conical termination of the fold, and N144° along the central and southeastern parts. The fold is 13.2 km long here. More to the east, the continuity of strata is interrupted by two narrow valleys trending NNE-SSW, but the strata dips allow to recognize the same fold that continues for other 16.2 km. The two valleys should coincide with faults as shown by the possible offset of the fold hinge lines. Here the hinge lines trend, from

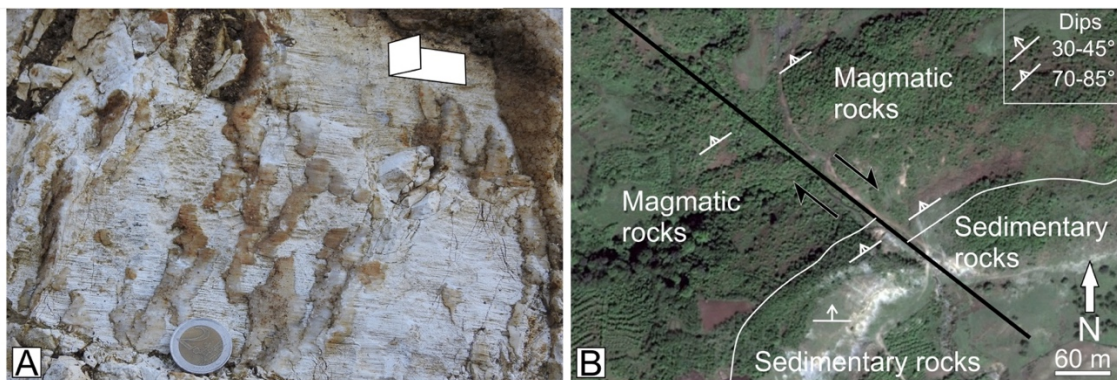
365 west to east, N100°, N90°, and N126° near the eastern conical termination. As a whole,
366 this fold in plan view has a sinuous “S” shape and a total length of 29.4 km. It involves
367 rocks of Paleocene to Upper Miocene age, but due to the scarcity of outcrops it has
368 not been possible to observe if younger deposits are also folded.

369 Further east, separated by a NE-trending lineament, there are other three major
370 folds with hinge lines trending ENE-WSW (Fig. 10). They have an en-échelon left-
371 stepping geometry within a corridor trending N45°. The first fold, located to the SW and
372 here named Senaki, has a N78°-trending hinge line in the western part and a N63°-
373 trending hinge line in the eastern part. The strata dip gently towards NNW along the
374 northern limb and steeply towards SSE at the southern limb (e.g. Fig. 5D), with local
375 overturned strata, resulting in a south-vergent anticline (e.g. Fig. 11A). The total length
376 of the outcropping fold is 12.2 km. The fold is affected by some NW-SE-striking right-
377 lateral faults, as in the examples of Figure 12. Deposits of Cretaceous to Middle
378 Miocene age are involved, whereas younger deposits do not crop out here. The
379 deposits here are made both of sedimentary and volcanic rocks. Immediately to the
380 north there is a syncline fold, here named Gakhomela, with a 7.7-km-long hinge line,
381 trending N84°. Further NE, there is another complex major fold, here named Martvili,
382 with a total length of 21.2 km. It has a “S” shape in plan view given by a hinge line
383 trending N67° near the SW termination, N33° in the central part, and N72° towards the
384 NE termination. Strata dip 20-45° to the NW along the northern limb and up to
385 subvertical along the opposite limb, giving rise to an asymmetric,
386



387

388 **Figure 11.** (A) Overturning of strata at the southern limb of the Senaki fold. (B)
 389 Steepening of strata at the southern limb of the Tsaishi fold. (C) Example of the
 390 youngest structures at the Tsaishi fold given by N-S-striking extensional fractures. (D)
 391 Stylolites giving N-S compression at the Tsaishi fold. (E) Example of striated reverse
 392 fault at the southern limb of the Tsaishi fold. (F) Example of backthrust here given by
 393 a south-dipping reverse slip plane, found in the central part of the Tsaishi fold.
 394



395
 396

397 **Figure 12.** (A) Example of right-lateral strike-slip fault in carbonatic rocks with
 398 accretionary fibers at Senaki fold. (B) Example of NW-striking right-lateral fault
 399 affecting the Senaki fold, with offset of the sub-vertical limit between Cretaceous
 400 magmatic and sedimentary rocks.

401 south-vergent anticline. Deposits of Paleocene to Upper Miocene age are involved, but
402 younger deposits do not crop out here.

404 The age relationships indicate that all these folds developed after the Upper
405 Miocene, but the scarcity of younger deposits prevent from assessing if these folds are
406 still active based only on stratigraphy.

407 In the interior of the study area, there are folds affecting the Miocene-Pliocene
408 succession and locally also the Quaternary deposits (Fig. 10). The folds affecting the
409 Neogene succession have limbs with strata dip in the range 10-30° giving rise to gentle,
410 broad synclines and anticlines. In the western part of the study area, strata dips
411 suggest folds with hinge lines trending N-S to NNW-SSE. In the eastern part, hinge
412 lines are WSW-ENE to SW-NE. The folds in the interior of the uplift area, thus, are
413 parallel to sub-parallel to the folds along the borders. It is noteworthy to observe that
414 strata here mostly dip towards WNW to NW. The same strata attitude has been
415 systematically measured along the E-W scarp of Figures 8-9, suggesting that the
416 development and geometry of this scarp is independent from any control of strata
417 attitude.

418 **3.2.3 Microtectonic data**

420 Wherever possible we measured microtectonic data in correspondence of the main
421 structures of the study area (stereograms in Figure 10). Data comprise slickenside fault
422 planes with kinematic indicators, extensional joints, veins with accretionary fibers, and
423 tectonic stylolites. Special attention was given to recognize the order of formation of
424 these structures. Fault planes with tectoglyphes have been separated in the field based
425 on their age as resulting from the crosscutting relationships between fault planes of
426 different orientation and between faults and lithostratigraphic units. Faults of the same
427 age have been processed with the SG2PS software (Structural Geology to Post Script
428 Converter - <http://www.sg2ps.eu>; Sasvári and Baharev, 2014), in order to reconstruct
429 the stress tensor. This software allows paleostress inversion using several methods by
430 Turner (1953), Sprang (1972), Michael (1984), Angelier (1990), Fry (1999), Shan et al.
431 (2004), and Mostafa (2005). In this work we performed Paleostress analyses using the
432 direct inversion method INVD (Angelier, 1990) because this methodology is capable of
433 calculating a misfit vector “*v*” between the measured and calculated shear vector, and
434 of minimizing its length, for at least four different striated faults of the same age.

435 The results are shown in Table 1 and Figure 10. Table 1 gives also plunge and dip
436 of resulting greatest principal stress (σ_1), intermediate principal stress (σ_2) and least
437 principal stress (σ_3), ratio (R (Φ)) between the differences of the principal stress
438 eigenvalues, $(\sigma_2 - \sigma_3)/(\sigma_1 - \sigma_3)$, and Misfit Angle (A_v) expressed as the average angle
439 between computed shear stress and slip vector. The orientation of the σ_1 has been
440 analysed also based on the statistical trend of the peaks of tectonic stylolites, whereas
441 the greatest horizontal principal stress (σ_{Hmax}) has been also measured as orientation
442 of vertical extensional joints. The orientation of the σ_3 has been also measured based
443 on the orientation of growth of crystal fibres along veins.

444 In general, reverse faults and strike-slip faults are equally present at most outcrops.
445 Most reverse fault planes dip southward (e.g. Figs. 11E-F) but the offsets indicate they
446 are minor faults. Notwithstanding, it is important to note that shallow brittle deformation
447 here seems to be associated to penetrative faulting in the form of backthrusts respect
448 to the general southward vergence. Transcurrent faults are mostly given by right-lateral
449 strike-slip motions along vertical planes striking NW-SE to N-S (e.g. Figs. 12A-B).
450 Vertical left-lateral strike-slip faults are also present with dominant NNE-SSW to NE-
451 SW strikes.

452 In Figure 10 the microtectonic observations are differentiated by data types. The
453 most represented directions of the σ_{Hmax} are in the range N5-40° with the average value
454 at N30° (stereogram A in Fig. 10) as obtained by fault slip data inversion, crystal fibre
455 growth, tectonic stylolites, and extensional joints. Fault slip data and stylolites are
456 consistent with $\sigma_{Hmax} = \sigma_1$. Where more than one deformation phase has been
457 recorded by structures, it resulted in σ_{Hmax} orientation varying apparently with time. As
458 an example, at the northwestern part of the Tsaishi fold the older structures are
459 represented by reverse faults (e.g. Fig. 11E). The oldest age can be attributed based
460 on the fact that all the other structures interrupt the continuity of these reverse faults
461 here, and also by the reverse fault dip angles up to 50° suggesting possible tilting. The
462 reverse faults give a subhorizontal N110° σ_1 (site 66a, Fig. 10). Younger strike-slip
463 faults give a horizontal N20° σ_1 (site 67), followed by the youngest structures, here
464 represented by open fissures that suggest a N40-50° σ_{Hmax} (sites 64, 66b and 66).
465 These data indicate an apparent gradual clockwise rotation of σ_{Hmax} with time. At the
466 central part of the Tsaishi fold, a series of striated backthrusts results in a N10° σ_1 (site
467 2), consistent with the direction of N5° of the σ_1 based on stylolites a few km to the
468 southeast (sites 78-79).

469 Near the western termination of the Senaki fold, the older structures are represented
 470 by stylolites indicating a N105° σ_1 (site 86), followed by the development of vertical
 471 extensional joints that give a N14° σ_{Hmax} (site 85). These data indicate a possible large
 472 apparent clockwise rotation of σ_{Hmax} with time. At the central part of the Senaki fold,
 473 reverse faults dipping SSW (site 167) give a N18° σ_1 .

474 At the southern flank of the southwestern part of the Martvela anticline, the older
 475 structures are represented by reverse faults dipping to the southeast (site 103b) that
 476 give a horizontal N135° σ_1 . These structures have been followed by the development
 477 of NE-SW left-lateral transcurrent faults that give a N169° σ_1 (site 103a), thus resulting
 478 again in an apparent clockwise rotation with time. At the northern flank of the same
 479 fold, NNW-SSE right-lateral strike-slip faults resulted from a N14° σ_1 (site 145), and
 480 crystal fibres give a N85° σ_3 that corresponds to a N175° σ_{Hmax} (site 113b). The age
 481 relationships between the latter two sites are not clear. The youngest structures here
 482 are extensional joints that give a N160° σ_{Hmax} (site 113c).

483 Finally, in the northernmost part of the study area in an artificial tunnel near the
 484 Enguri dam (site 1), several fault planes show oblique slickenside lineations that give
 485 a N175° σ_1 .

486

487 **Table 1.** Results of paleostress calculation.

Site	Lat (dd°)	Lon (dd°)	N. of data	σ_1 (plg/dip)	σ_2 (plg/dip)	σ_3 (plg/dip)	R (Φ)	Av Misfit Angle	Tectonic regime
2	42.392	41.827	6	013/00	103/00	207/90	0.483	2.5	PURE COMPRESSIVE
66a	42.409	41.804	5	118/39	209/01	300/51	0.094	5.7	TRANSPRESSIVE
67	42.408	41.805	7	193/13	338/74	101/09	0.322	13.3	PURE STRIKE SLIP
73	42.390	41.822	6	43/17	141/25	283/59	0.645	2.1	PURE COMPRESSIVE
1	42.757	42.0340	6	352/07	085/29	250/60	0.519	1.4	PURE COMPRESSIVE
145	42.371	42.192	10	193/11	058/75	285/10	0.337	3.5	PURE STRIKE SLIP
167	42.289	42.102	6	197/13	288/04	033/76	0.874	4.6	RADIAL COMPRESSIVE
103a	42.356	42.193	5	346/17	083/21	221/63	0.169	2.1	TRANSPRESSIVE
103b	42.356	42.193	7	314/05	224/01	125/85	0.741	1.7	PURE COMPRESSIVE

488

489 Ratio (R (Φ)) between the differences of the principal stress eigenvalues, $(\sigma_2 - \sigma_3) / (\sigma_1 - \sigma_3)$; Av
 490 Misfit Angle = average angle between computed shear stress and slip vector.

491

492

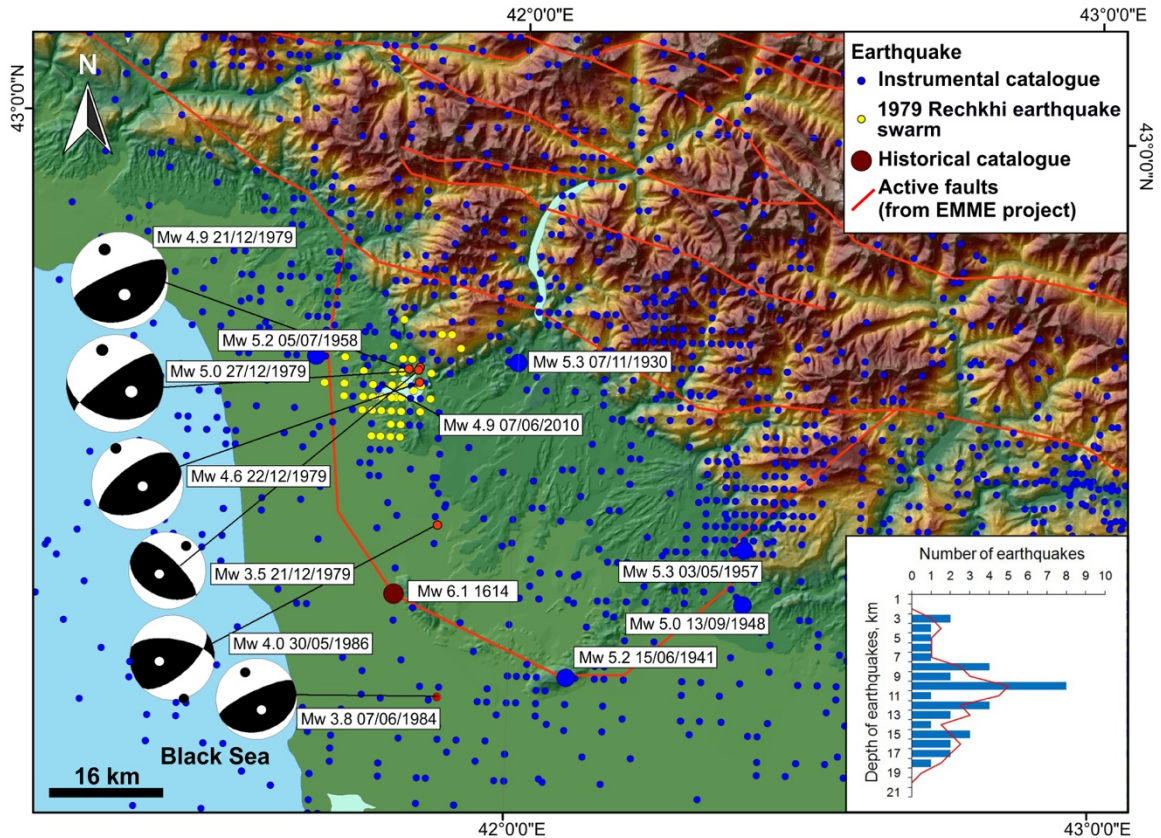
493 3.3 Seismicity

494 The seismicity is portrayed in **Figure 13** showing data from both the historical (before
 495 1900 AD) and instrumental catalogue. The Intensity of historical earthquakes ranges
 496 up to 9 (MSK scale) (Varazanashvili et al., 2011). The M_w of instrumental events is in
 497 the 2 to 5.3 range.

498 The strongest seismic events in the investigated area during the instrumental period
499 are: Samegrelo-Svaneti, 1930 ($M_W = 5.3$); Menji, 1941 ($M_W = 5.2$); Western Georgia,
500 1948 ($M_W = 5.0$); Gegechkori, 1957 ($M_W = 5.3$); Achigvari, 1958 ($M_W = 5.2$); Rechkhi,
501 1979 ($M_W = 5.0$). It should be noted that the epicenters are mostly located along the
502 northwestern and southeastern sides of the uplift area. In the southeastern part, a
503 cluster of earthquakes with a NE-SW trend can be recognized; this cluster coincides
504 with the zone of en-échelon folds previously described. The cluster along the
505 northwestern part of the uplift area is represented by the epicenters of the December,
506 1979 Rechkhi earthquake swarm, made of foreshocks, aftershocks and two main
507 events of M_W 4.9 and 5.0. The earthquake depths were between 2 - 5 km. These
508 earthquakes occurred in the area of Gali water reservoir (belonging to the Enguri
509 hydroelectrical scheme) in coincidence with the filling of this reservoir and of Enguri
510 reservoir. It is important to note that the distance between these reservoirs and the
511 earthquakes was at minimum of 19 km, and the seismic activity of this area is still
512 ongoing. For example, in July, 2010 an earthquake with $M_W = 4.9$ occurred in the same
513 area. It is thus highly questionable that this swarm was originated by reservoir infilling.
514 In fact, high seismicity linked to tectonic stresses is often manifested (approximately
515 20 yr period) as earthquake swarms in the area. For example, in June-July, 1941 there
516 were earthquakes swarms with main shocks of $M_W = 5.0$, $M_W = 5.0$ and $M_W = 5.2$). In
517 January, 1957 there was also the large Gegechkori earthquake swarm, which
518 comprised three main shocks of $M_W = 5.2$, $M_W = 5.2$ and $M_W = 5.3$) and several
519 foreshocks and aftershocks.

520 Instrumental earthquake depths for the study area are provided in the histogram of
521 **Figure 13** showing the number of earthquakes with $M_W \geq 4.4$ vs. their depth for the
522 period 1900-2014. The graph shows also the trend line that was calculated through the
523 moving averaging by step 2. In this map we plotted only those events which have a
524 reliable depth estimation taken from the new Georgian catalogue. Most hypocenters
525 are located at depths from 2 to 19 km, and most of them have originated at depth of 9-
526 10 km.

527 It is important to underscore that one main historical earthquake ($M_W = 6.1$, $I_0 = 8$ -
528 9, MSK) did occur immediately southwest of the Tsaishi anticline (**Fig. 13**). This seismic
529 event took place on 1614 AD (Tsaishi earthquake, [Varazanashvili et al., 2011](#)). This
530 epicenter is located exactly along the trace of the escarpment described previously
531 (**Figs. 4 and 10A**).



532
 533 **Figure 13.** Distribution of instrumental (yellow and blue circles) and the main historical (brown circle) epicentres in the study area. Earthquake focal mechanism solutions
 534 have been computed in the framework of the present work. The histogram shows the
 535 number of earthquakes with $M_w \geq 4.4$ vs. their depth for the period 1900-2014 in the
 536 study area, together with trend line.
 537
 538

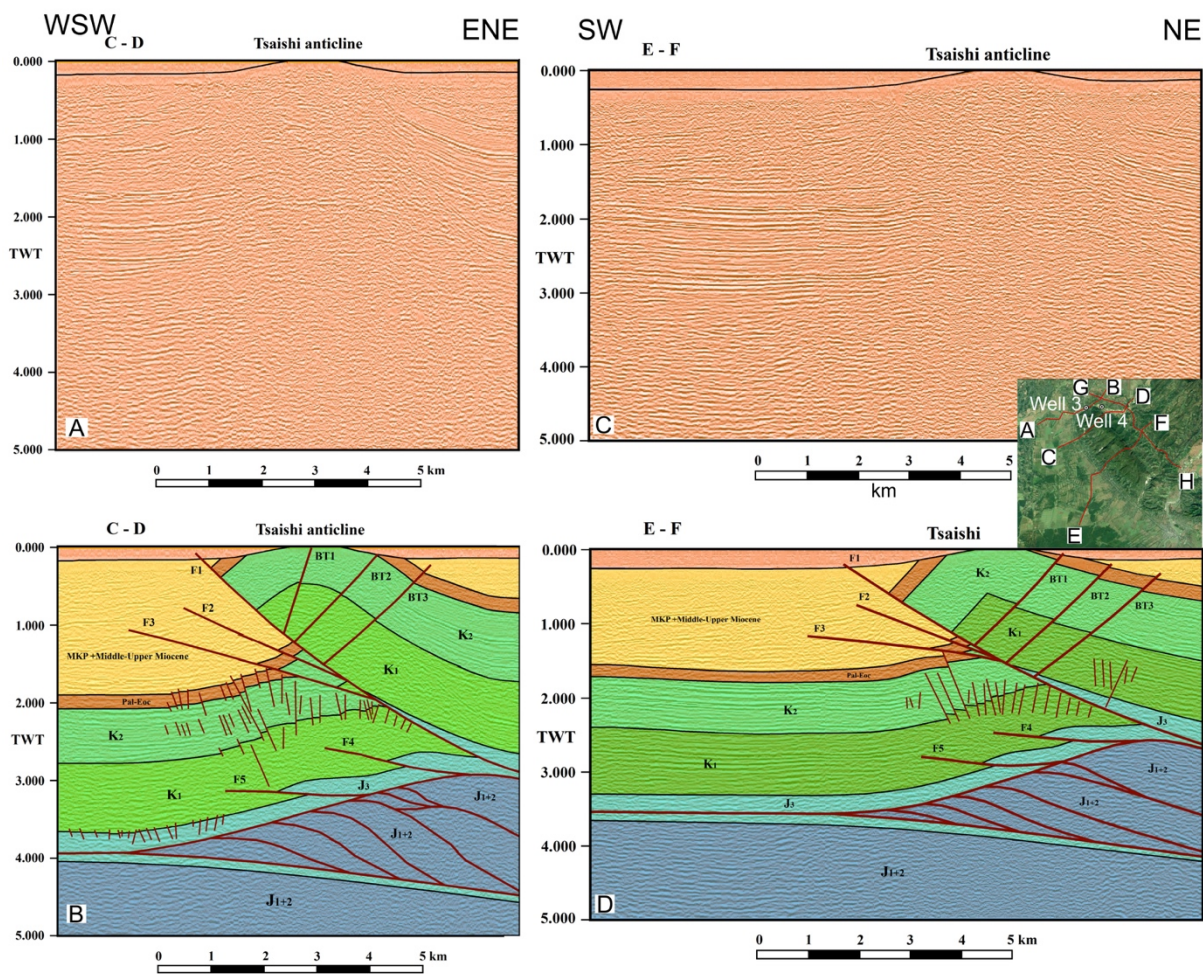
539 Some new fault plane solutions for instrumental earthquakes were calculated for
 540 the study area (Fig. 13). For determination of the fault planes we used the first motion
 541 polarity technique. Only earthquakes for which the number of polarities is equal or more
 542 than 8, and azimuthal gaps were less than 180, were selected. All the focal mechanism
 543 solutions have a reverse kinematics. The slip fault planes strike NE-SW for five
 544 solutions and NW-SE in one case. Following the classification scheme of World Stress
 545 Map (Zoback, 1992; Heidbach, 2009) stress regime here is TF (thrust fault).
 546

547 3.4 Seismic sections

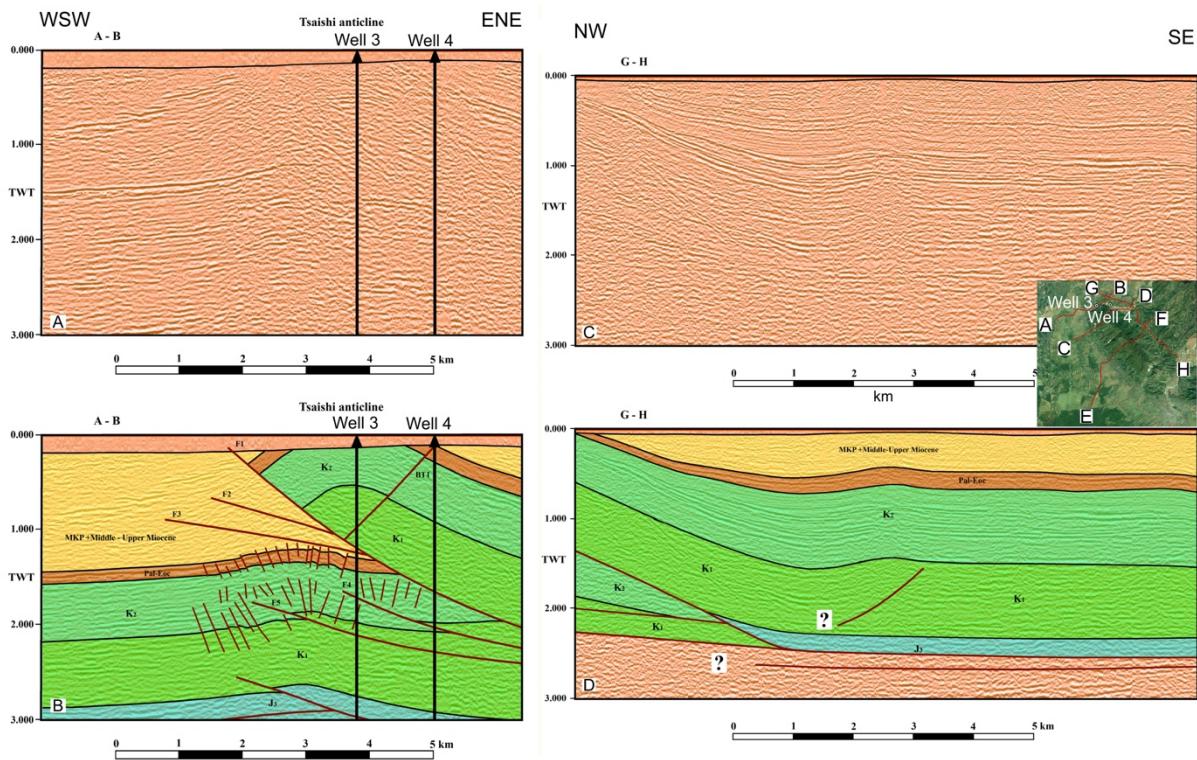
548 A series of unpublished seismic reflection sections have been here interpreted in
 549 order to complete the data surveyed in the field with the geophysical evidence of
 550 deeper structures (Figs. 14-15). We obtained three NE-SW trending (A-B, C-D, E-F)
 551 and one NW-SE trending (G-H) seismic reflection sections. These sections are
 552 concentrated in the southwestern part of the study area, around the Tsaishi fold.

553 Identification of stratigraphic units at depth of seismic sections was based on outcrop
 554 correlations and two deep wells data (box in Fig. 14C). The sections A-B, C-D and E-
 555 F reveal in the uppermost part the presence of the south-vergent Tsaishi anticline, of
 556 south-vergent thrust faults (F1, F2, F3, F4, F5) and of north-vergent backthrusts (BT1,
 557 BT2, BT3).

558 The Tsaishi anticline is a fault-propagation fold whose front limb is broken by thrust
 559 faults. The lower part of these sections are marked by the upper detachment, which
 560 correlates to the evaporites of Upper Jurassic age. Above there are units represented
 561 by Tertiary, Cretaceous and Upper Jurassic strata. The south-vergent thrust faults,
 562 backthrusts, and reactivated and non-reactivated extensional faults in the top section,
 563 are the most clear faults observed on the time-migrated seismic data. The middle
 564 section between the upper detachment and lower detachment is characterized by blind
 565 wedging of the thrust sheets. The bottom section below the lower detachment zone is



566
 567 **Figure 14.** (A) Uninterpreted and (B) interpreted seismic reflection profiles C-D, and
 568 (C) uninterpreted and (D) interpreted seismic reflection profiles E-F. Location in box.
 569 Abbreviations: J1+2 Lower and Middle Jurassic; J3 Upper Jurassic; K1 Lower
 570 Cretaceous; K2 Upper Cretaceous; Pal-Eoc Paleocene-Eocene; MKP Maikopian
 571 (Oligocene-Lower Miocene).



573

574 **Figure 15.** (A) Uninterpreted and (B) interpreted seismic reflection profiles A-B, and
 575 (C) uninterpreted and (D) interpreted seismic reflection profiles G-H. Location in box.
 576 Abbreviations: J1+2 Lower and Middle Jurassic; J3 Upper Jurassic; K1 Lower
 577 Cretaceous; K2 Upper Cretaceous; Pal-Eoc Paleocene-Eocene; MKP Maikopian
 578 (Oligocene-Lower Miocene).

579

580 autochthonous. In the underlying duplex, the thrusts flatten upward into the
 581 detachment zone, forming the wedge-shaped triangle zone geometry (Figs. 14B and
 582 14D). South-vergent duplexes involve Middle-Lower Jurassic strata. All the seismic
 583 sections suggest that the Rioni Basin tectonics is of thin-skinned type.

584

585 **4 Discussion**

586 **4.1 Geometry of main structures**

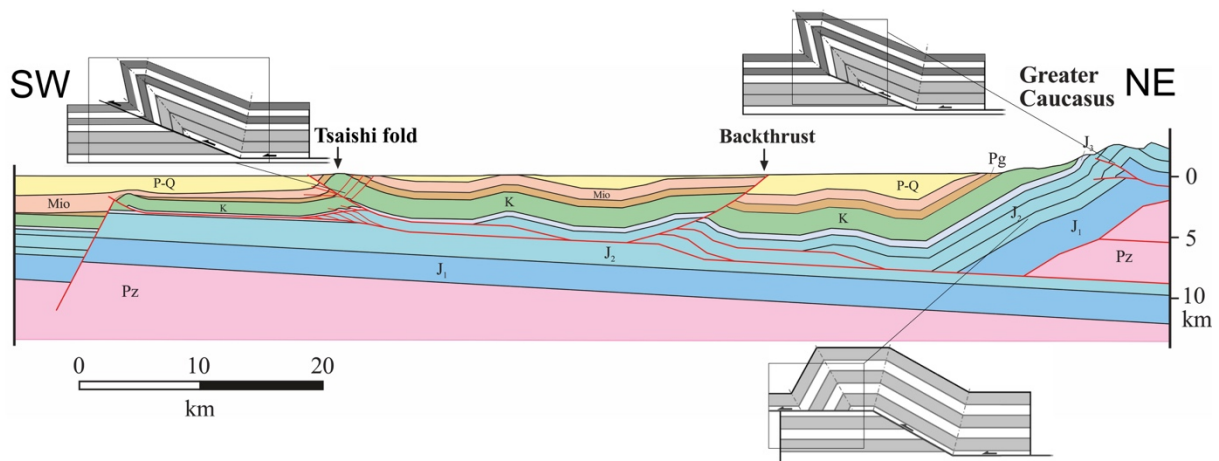
587

588 The study area is limited to the north by a wide asymmetric fold with a gentle-dipping
 589 limb strata to the north, and steep-dipping strata to the south, locally verticalized. This
 590 structure is morphologically represented by the southern front of the Greater Caucasus
 591 where the average altitude drops from 1500-2000 m a.s.l. to the 200-300 m a.s.l. of
 592 the Rioni Basin. Our field investigations did not allow to recognize main outcropping
 593 active faults along this part of the Caucasus front. Although we admit that the area is
 594 heavily covered by forests and of difficult access, the outcrops here do not show any
 major fault. The only evidence of faulting has been seen inside the artificial tunnel near

595 the Enguri dam. Here microtectonic observations indicate the presence of left-lateral
 596 oblique faults dipping N120° (site 1 in Fig. 10). Our field data collected at the Enguri
 597 dam zone suggest that this structure here is the effect of a process of fault-propagation
 598 folding (A in Fig. 16). A distinctive marker of fault-propagation folds, in fact, is the
 599 asymmetric fold profile characterized by a steeply dipping to overturned forelimb and
 600 a gently-dipping backlimb. Fault slip progressively decreases along the low-angle
 601 propagating ramp, terminating upsection in a blind tip with shortening accomplished by
 602 folding (Williams and Chapman, 1983; Suppe and Medwedeff, 1990; Mitra, 1990). This
 603 model is consistent with the verticalized strata observed in the field at the forelimb.

604 On the contrary, fault-bend folds are formed by the passive accommodation of
 605 hangingwall strata over a thrust fault that changes dip angle (B in Fig. 16) (Suppe,
 606 1983; McClay, 2011). The distinctive geometry of a fault-bend fold is the more
 607 symmetric hangingwall fold profile characterized by a broad anticline with gently-
 608 dipping forelimb and backlimb.

609 Combining the field data with our seismic sections and with those published in
 610 Banks et al. (1997), we have reconstructed the structural crustal section of Figure 16.
 611 In the northern part of this section, the outcropping strata are arranged into the
 612 asymmetric south-vergent fold, interpreted as a fault-propagation fold; this is consistent
 613



614
 615 **Figure 16.** Structural crustal section obtained by combining our field data and seismic
 616 reflection sections with data published in Banks et al. (1997). Pz Paleozoic, lJ lower
 617 Jurassic, mJ middle Jurassic, uJ upper Jurassic, lK lower Cretaceous, uK upper
 618 Cretaceous, Pg Paleogene, Sar Sarmatian, P-Q Pliocene-Quaternary. Schematic
 619 geometric model of (A) a fault-propagation fold (modified after Suppe and Medwedeff,
 620 1990), and (B) a fault-bend fold (modified after Suppe, 1983). The fault-bend fold is
 621 characterized by a relative symmetry between the forelimb and backlimb, whereas the
 622 fault-propagation fold has a steep to overturned strata in the forelimb. (C) Shows a
 623 fault-propagation fold with the thrust reaching the surface. Section trace in Figure 10.
 624

625 with a shallow reverse fault interpreted by seismic sections. At a deeper level, the
626 seismic data of [Banks et al. \(1997\)](#) indicate the presence of a thrust plane below the
627 southern frontal zone of the Greater Caucasus. The fault gently dips northward and is
628 here located at a depth of 5-9 km. The geometry of this deeper part of the frontal
629 structure should correspond to a leading anticline-syncline pair, originated as a fault-
630 bend fold with the main ramp located further north. The involved rocks here are given
631 by Jurassic deposits and Paleozoic units.

632 More to the south, the tectonic block located above the footwall flat is characterised
633 by the presence of deposits of Cretaceous and Tertiary age. These are slightly
634 deformed consistent with the field data where gentle folds are present in the interior of
635 the Rioni Basin uplift. Based on our field data, this tectonic block is interested by a
636 backthrust dipping southward ([Fig. 16](#)). This fault is expressed at the surface by the E-
637 W-striking scarp that offsets the Quaternary deposits, illustrated in [Figure 8](#). As shown
638 also in [Figure 10](#), along this scarp the strata dip toward WNW and thus we can exclude
639 an origin of morphoselection. We have also to exclude that this scarp is the expression
640 of a river terrace since rivers here have always been running perpendicularly to the
641 scarp strike. The rocks that crop out at the footwall block are given by silty and clay
642 deposits of probable Upper Miocene age. The deviation of the rivers, the
643 overdeepening of the hanging wall block surface, the fact that the fault scarp is cut into
644 Miocene-Quaternary deposits, and the presence of earthquake shallow hypocenters
645 south of the scarp, indicate that this is a recent, probably active, backthrust.

646 Further south, based on seismic data, the tectonic block above the footwall flat is
647 affected by a splay fault dipping north. This is a blind fault connected with a shallow
648 fault-propagation fold. More to the south, our seismic sections show the presence of
649 another splay fault departing from the main thrust. It describes a north dipping ramp
650 that ends immediately southwest of the Tsaishi fold. Our field data indicate that this is
651 an asymmetric fold with steep-dipping forelimb strata and more gentle-dipping
652 backlimb strata. Moreover, the morphological scarp observed west of the town of
653 Zugdidi and immediately southwest of this fold, might represent the emersion of the
654 thrust splay fault. This interpretation is consistent with the aligned morphological
655 scarps facing towards west (illustrated in [Figure 4](#)), with the migration of rivers on the
656 hangingwall block as a response to uplift, and to the occurrence of the 1614 Tsaishi
657 earthquake exactly along this structure. This scarp cannot be related to a river terrace
658 since it has a different orientation respect to the rivers and average altitude increases

659 downvalley along the scarp edge. All these data suggest that the Tsaishi fold is a fault-
660 propagation fold with the thrust plane propagated throughout the entire fold rock
661 succession under the western segment of the fold (C in Fig. 16). Under the eastern
662 segment of the Tsaishi fold instead, the thrust tip is still hidden. Below the Tsaishi fold,
663 a local tectonic wedge developed. Finally, further south, the seismic sections indicate
664 the presence of another blind thrust. As a whole, the tectonic units are arranged into
665 an imbricate series of south-vergent thrust slices.

666

667 **4.2 Tectonic evolution**

668 The Rioni Basin has been the site of marine sedimentation during Tertiary times,
669 followed by continental deposits during the Upper Miocene-Pliocene. These rocks
670 represent a molasse-type deposition in an E-W-elongated depression limited to the
671 north and to the south by the growing Caucasus mountain belts. The presence of past
672 extensional tectonics is demonstrated by the normal faults found in the seismic
673 sections. These faults are limited to the lower part of the sections since they displace
674 deposits of Jurassic to Paleogene age. The normal faults do not cross deposits of
675 Sarmatian age and younger. The deposits of the interval Paleogene–Middle Miocene
676 are condensed to absent beneath the Rioni Basin, reflecting non-deposition and
677 erosion during foreland basin development (Robinson et al., 1996; Banks et al., 1997).
678 The main fill of the Rioni foreland basin is thus late Miocene to Quaternary in age.

679 All deposits are involved into reverse faults and folds, indicating that inversion
680 tectonics occurred here since the Sarmatian age. The compressional tectonics took
681 place by the development of main north-dipping ramp-and-flat structures, with flat
682 surfaces mostly developed along a detachment level represented by upper Jurassic
683 evaporites (Banks et al., 1997). The folds started to grow as they moved along the
684 ramp-and flat structure, accompanied by the development of minor reverse faults
685 mostly dipping southward. Thus penetrative minor deformation took place in the form
686 of backthrusting. This was followed by the formation of minor transcurrent faults, and
687 locally the strike-slip tectonics nucleated along a few major structures. This is
688 suggested by the presence of the NNE to NE-trending lineaments that offset the frontal
689 folds. Although it has not been possible to directly observe these fault planes, their
690 rectilinear trace in plan view and the presence of several strike-slip minor faults with
691 the same geometry, are consistent with this interpretation. These major transcurrent
692 faults acted also as nucleus for river erosion, allowing the deepening of a few major

693 river beds across the folds. The latest brittle deformations occurred as vertical
694 extensional joints in the shallowest part of the rock succession, whereas at deeper
695 level the main north-dipping thrust propagated towards the surface in the western part
696 of the study area, and a main backthrust developed in the eastern interior of the
697 uplifting area and reached the surface.

698 The youngest directions of the σ_{Hmax} are quite homogeneous all over the area
699 following a dominant N-S to NNE-SSW trend. This corresponds to σ_1 , consistent also
700 with GPS data (Reilinger et al., 1997, 2006; McClusky et al., 2000) and with focal
701 mechanism solutions at regional level (Tsereteli et al., 2016). The paleostress instead
702 are much more complex showing different orientations with time. We suggest that
703 these different orientations do not correspond to changes in the principal stress
704 direction with time, but they are the effect of rotation of the folds (or at least of some
705 portions) under a simple shear regime, similarly to what has been found for example
706 during the development of en-échelon folds in the Algerian Atlas (Ferrari et al., 1990).
707 Our preliminary field data at Rioni Basin uplift suggest a dominant anticlockwise sense
708 of rotation of the structures. Although this rotation is consistent with the en-échelon
709 left-stepping geometry of the folds within a left-lateral shear zone, we retain that the
710 existing data are still not enough to generate a definitive conclusion on the sense of
711 transcurrent motions or other processes that can be associated to the rotation of these
712 folds, and more field studies are necessary.

713 The contraction and uplift amounts are differential with a gradual increase
714 eastward. This is shown by a series of clues: 1) the average topography increases
715 eastward as illustrated in the graph of Figure 4, 2) the valley bottom altitudes increase
716 in the same direction, 3) in general, the main rivers migrated westward as indicated by
717 the asymmetric several orders of river terraces present on the eastern sides of the
718 valleys, 4) the Upper Miocene-Quaternary succession in the interior of the uplift area
719 mostly dips towards WNW as resulting from differential uplift, 5) folds are more
720 developed in the eastern side of the study area, and 6) a major backthrust developed
721 in the eastern part of the area in response to larger contraction here. This differential
722 pattern is consistent with the westward ongoing propagation of the closure of the
723 Transcaucasian depression. East of the study area in fact, the tectonic units of the
724 Greater Caucasus and the Lesser Caucasus came already into contact after the
725 Neogene closure of the intermontane depression.

726

727 **4.3 Active tectonics and seismic hazard**

728 The presence of folds and faults affecting Quaternary deposits and the diffuse
729 seismicity testify to the presence of active tectonics in the studied area. The presence
730 of several villages and, above all, of the Enguri hydroelectrical plant, pose a serious
731 concern about geo-hazards. The Enguri 271 m-high-dam, the 15-km-long artificial
732 water reservoir, and the associated installations represent the most important facility
733 of the country for energy production.

734 Although from the point of view of the general geomorphology of the area it appears
735 that the southern front of the Greater Caucasus is the most prominent feature, in reality
736 our data do not suggest the presence of an outcropping major fault here. The main
737 fault responsible for the development of the structural flexure here is given by the north-
738 dipping blind thrust. This thrust allows the southward motions of this sector of the
739 Caucasus and should relieve the major part of the N-S shortening, since along the
740 opposite northern front of the Caucasus no major active faults have been detected
741 (Reilinger et al., 2006; Avagyan et al., 2010; Tsereteli et al., 2016). In correspondence
742 of the Enguri hydroelectrical scheme, the blind thrust is located at a depth of 4-9 km
743 and due to its gentle dip, it maintains a shallow position below all the area of the Rioni
744 Basin uplift; the hypocentre of a possible future earthquake might occur at any place
745 along this thrust and the shallow depth will prevent a dissipation of the seismic energy.

746 Two possible surface traces of active faults have been detected: one is located
747 along the western border of the uplift area and passes nearby the Zugdidi town (Fig.
748 10). This fault has been imaged also on the seismic reflection sections and represents
749 the emersion of the main thrust in the form of a splay fault (Fig. 15). This fault should
750 be responsible for the 1614 Tsaishi earthquake that destroyed the nearby villages.
751 Anyway, the seismic sections indicate the presence of a further thrust slice located
752 more to the south, which represents the real frontal reverse fault of the emblicated
753 system.

754 The other surface fault trace corresponds to the E-W-striking backthrust; also this
755 slip plane is shallow because it should be connected with the main thrust plane. An
756 earthquake here might occur with a focal depth in the order of less than 9 km.

757 The shallow depth of the main fault planes, the abundant evidence of active
758 tectonics in the area, and the position of these structures at the front of the
759 contractional system of the Greater Caucasus, point to deserve a special attention to
760 the study of this area from the point of view of seismic hazard and risk assessment.

761

762 **5 Conclusions**

763 New field geological, geomorphological and structural data have been integrated
764 with seismological data and seismic reflection sections to understand the geometry,
765 kinematics and evolution history of part of the Rioni Basin, located between the Greater
766 and Lesser Caucasus in Georgia.

767 We confirm that marine and continental deposits of Cretaceous-Neogene age have
768 been locally uplifted since the ending of Miocene. The area of uplift is of 1300 km², and
769 Plio-Quaternary river deposits have been uplifted up to 200 m above the surrounding
770 plane. The border of this area has been interested by the development of south-vergent
771 asymmetrical folds and strike-slip faults, as already suggested by [Banks et al. \(1997\)](#)
772 [and Philip et al. \(1989\)](#).

773 Some of these folds have a left-stepping en-échelon geometry and our new
774 microtectonic data indicate rotation of the greatest principal stress σ_1 . We thus suggest
775 simple shear deformation linked with the southward propagation of tectonic blocks
776 respect to the main Greater Caucasus front. In the interior of the uplifted area, there
777 are gentle symmetrical folds and one main recent south-dipping reverse fault,
778 corresponding to a backthrust.

779 A series of morphostructural clues, the tilting of some Quaternary strata, the offset
780 of Quaternary alluvial deposits, and the presence of crustal seismic activity, indicate
781 that compressional tectonics is still active.

782 The combination of these data with seismic reflection sections shows that the
783 inversion tectonics took place through a series of north-dipping blind main thrusts along
784 the frontal part of a ramp-and-flat structure that becomes deeper below the Greater
785 Caucasus. Contraction and uplift developed at a higher rate in the eastern part of the
786 study area, consistent with the westward ongoing propagation of the closure of the
787 Transcaucasian depression.

788

789

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799

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